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# White Paper on Human Rights in North Korea 2020

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Authors : Kyu-chang Lee, Sookyung Kim, Ji Sun Yee,

Eun Mee Jeong, Yejoon Rim

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Address : Korea Institute for National Unification 217, Banpo-daero,

Seocho-gu, Seoul (06578), Republic of Korea

Telephone: (Representative No.) +82-2-2023-8000

(Fax) +82-2-2023-8295

Website : http://www.kinu.or.kr

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# WHITE PAPER ON HUMAN RIGHTS NORTH KOREA 2 0 2 0

## Kyu-chang Lee

Director/Senior Research Fellow, Humanitarianism and Cooperation Research Division, KINU

# Sookyung Kim

Research Fellow, Humanitarianism and Cooperation Research Division, KINU

## Ji Sun Yee

Research Fellow, Humanitarianism and Cooperation Research Division, KINU

### Eun Mee Jeong

Research Fellow, North Korean Research Division, KINU

# Yejoon Rim

Assistant Professor, College of Public Policy, Korea University

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# Part I

# Purpose and Research Methodology

The White Paper on Human Rights in North Korea that the Korea Institute for National Unification has published annually since 1996 aims to raise awareness on North Korean human rights issues at home and abroad and to provide related basic materials by objectively surveying and analyzing the North Korean human rights situation. The White Paper on Human Rights in North Korea 2020 incorporates information from in-depth interviews with 118 North Korean defectors who had lived in North Korea most recently before entering South Korea. These interviewees were selected with consideration for their demographic and social backgrounds. This White Paper 2020 classifies and analyzes the human rights situation in the North under the following sections: The Reality of Civil and Political Rights; The Reality of Economic, Social, and Cultural Rights; Vulnerable Groups; and Major Issues.

# Part II

# The Reality of Civil and Political Rights

The right to life is still not properly protected in North Korea. Again in the 2019 survey, cases have been collected where executions were carried out for crimes such as narcotics trading, the watching and distribution of South Korean recordings, and violent crimes including homicide and rape. In addition, although the specific grounds of the sentences have not been confirmed, there were cases where the death penalty was carried out due to charges of carrying the Bible, distributing propaganda leaflets, and engaging in acts of superstition. While it is difficult to determine whether such collected cases fall under the category of extrajudicial, summary, or arbitrary execution, the broad scope of charges for capital punishment in North Korean criminal law and frequent executions may constitute violation of the duties of the States Parties stipulated in the ICCPR. However, it appears that compared to the past, the number of public executions has been decreasing and that less residents are mobilized to execution sites. Still, it is not clear whether the actual number of public executions has decreased or the number of non-public executions or secret summary executions has increased.

In the 2019 survey, there was no testimony collected regarding torture and inhuman treatment in the process of investigating criminal cases to force a confession. However, many testimonies were collected on experiencing or witnessing the forcing of a fixed posture or physical abuse when detained in the MSS holding centers (*jipkyulso*) and detention centers (*guryujang*). Moreover, there were many testimonies that very intense labor is imposed on prisoners in holding centers (*jipkyulso*) in border regions. The imposition of labor training punishment for misdemeanors and the imposition of re-educational labor discipline based on the Administrative Penalty Law and the People's Security Enforcement Law and the forcing of labor on prisoners of holding centers (*jipkyulso*) may constitute forced labor.

Moreover, arbitrary or illegal arrests and detentions are still carried out in North Korea, and the Criminal Procedure Law does not have any provision related to informing the suspect of the reasons for his/her arrest and the facts of the charge. It also does not include a system to review the validity of a warrant by a judge. In the 2019 survey, there was no testimony on meaningful changes in this regard.

Violent and cruel treatment still takes place in detention facilities such as prison camps (*kyohwaso*), labor training camps (*rodongdanryundae*), holding centers (*jipkyulso*), and detention centers (*guryujang*), and their nutritional, sanitary, and medical situation is very poor. However, in the 2019 survey, there were testimonies that violent and cruel treatment has decreased in

holding centers (jipkyulso) and detention centers (guryujang). There were also testimonies that the sanitary situation in holding centers (jipkyulso) is decent. It was also identified that the nutritional, sanitary, and medical situation in some detention facilities is improving. Such a change is noteworthy, and it is necessary to continuously monitor the situation to see if there are meaningful changes in the human rights situation at detention facilities.

The freedom of movement and residence of the North Korean people is also seriously violated: the travel permit system, crackdowns by zone, forced deportation, and the restriction of access to certain areas are the most typical examples. It seems that the forced deportation of those who are involved in defection is decreasing, but this is likely due to the North Korean authorities having limited space to accommodate residents to be relocated. Such a situation is leading the North Korean government to reinforce border control to prevent and discourage North Korean people from defection.

The right to a fair trial is not guaranteed due to the denial of judicial independence and the implementation of perfunctory trials as well as quasi-judicial systems. The violations of the right to have legal assistance by a defense counsel and the right to appeal as well as the limited rights for foreigners detained in North Korea to consult with a consul have also been continued. In the 2019 survey, however, there was a testimony that the testifier received assistance from a private attorney.

The violation of privacy continues through monitoring residents via the People's Unit (*inminban*) and Life Review Sessions (*saenghwalchonghwa*), tracking tapping residents whose relatives defected to South Korea, conducting illegal house searches and interfering with communication. In this regard, since 2015, cases have been occasionally reported where people protested or raised complaints against illegal house searches. Similar cases have been collected in the 2019 survey. Although somewhat limited, this shows that the overall sense of rights among North Koreans has grown. Moreover, many testifiers perceive that the increase in illegal house searches under the Kim Jong Un regime has degenerated into a means for authorities to swindle money and goods, and thus have increased distrust for government authorities.

Violations of the right to freedom of thought, conscience, and religion; the right to freedom of expression; and the right to freedom of assembly and association has continued. In fact, considering that controlling and denying the abovementioned rights are the core pillars supporting the North Korean regime, it is hard to expect fundamental change. In the 2019 survey, there were many testimonies that people were sent to political prison camps (*kwanliso*) or executed for simply carrying the Bible, as the

regime carried out severe suppression of Christianity and heavy punishment of those accused of acts of superstition including fortunetellers and shamans. However, it seems that emphasis and education on the Ten Principles to Firmly Establish the Party's Unitary Leadership System, which can be seen as the governing rules of the Kim Jong Un regime, have somewhat weakened.

Since the outset of the Kim Jong Un regime, it seems that crackdowns on and punishments for possessing recordings and using mobile phones have been strengthened. North Korea revised its Criminal Law in 2015 to add Crimes of Illegal International Communications (Article 222), strengthening its enforcement of the ban on such communications and mobile phone use around border areas. In the 2019 survey, many defectors testified that being caught with illegal recordings and calling South Korea led to actual punishment and could not be resolved through paying bribes. There was no meaningful testimony on freedom of assembly and association, and there seems to be little chance that the North Korean people's awareness of the right to freedom of assembly and association will spread in the short term.

Many recent cases were collected on the right to political participation in the 2019 survey, as many North Korean defectors experienced the SPA deputy elections in April 2019. It is noteworthy that there was active encouragement for voting through official media and the People's Unit (*inminban*) chief and that people recognized the candidates before the elections. However, the surveillance cameras installed and watchers dispatched at the polling stations create a coercive atmosphere that restricts the free expression of opinions. Since it is not possible to seek public office based on free will and a liberal environment to vote yes or no for the candidates is not guaranteed, it cannot be said that the right to political participation is guaranteed.

The right to equality is seriously violated through discrimination based on background and class. The majority of North Korean people are affected by "family background" throughout their lifetime, and they are discriminated against based on their background (songbun) and class in their joining of the Party, entering into society, job assignment, appointment and promotion to become a senior official, school entrance, joining of the military, and residence assignment. Since the start of the Kim Jong Un regime, it has been noted that economic power is more influential than family background in some areas, including the joining of the Party, selection of low-level officials, and job assignment. However, it is difficult to say that the existing discrimination based on family background and region of origin has improved, and rather, the discriminatory structure has been reinforced as new forms of discrimination and inequality based on economic power overlap with the old.

# Part III

# The Reality of Economic, Social, and Cultural Rights

The general evaluation is that the food situation in North Korea has slightly improved, but North Korea has yet to break away from chronic food shortages. The North Korean authority is making policy efforts to increase food production through the introduction of the "paddy unit responsibility" system, but the right to food of farm members is still under threat due to the unfair operation of the system, shortage of agricultural materials, and excessive skimming by the government. Although some special classes are receiving normal rations, the majority of workers either rarely receive rations or the ration amount falls substantially short of the actual needs. Moreover, due to the sanctions against North Korea, the right to food is being violated even for those who used to receive normal rations, as they are experiencing the suspension or decrease of rations. Therefore, a substantial number of people are carrying out personal economic activities, including the sale and cultivation of small plots of land, to manage the food shortages. The crisis in the right to food is also leading to corruption, with some professional workers maintaining their sustenance through receiving bribes.

Although North Korea stipulates a free medical care system, it

was found that, in most cases, patients bear the costs in the process of receiving medical services. The cost for medicine and supplementary costs in the process of receiving treatment are entirely borne by the patients, and in most cases, they pay the doctors in cash or goods to cover treatment costs. Moreover, there were testimonies that medical accessibility is discriminatory and that there are separate medical facilities used by senior officials of the Party. Although it was found that North Korea is emphasizing preventive medicine in some cases, it is still vulnerable to infectious diseases such as tuberculosis.

As evident in the case of group allocation, North Korean authorities unilaterally assign people to their workplace without guaranteeing the freedom to choose work according to each individual's ability and desire. Meanwhile, laborers are virtually working without pay, and must carry out separate economic activities to sustain both their own lives and those of their family members as their official work offers no stability in supporting their families. Moreover, the freedom to form, join, and withdraw from a labor union is not guaranteed for the workers.

Meanwhile, North Korea claims to have institutionalized a free educational system, but the cost of school operation as well as teachers' remuneration are borne by parents and students. Students' right to education is severely infringed upon due to the frequent mobilization of their labor for agriculture, construction,

and political events.

From a legal perspective, North Korea's social security system is well established. In reality, however, it fails to fulfill its functions. Elderly pension is provided in only meager amounts, offering little help for the elderly in sustaining their lives. In addition, as for emergency welfare system or support related to industrial accidents, sufficient payments are not offered. In the 2019 survey, there was no significant testimony collected.

# Part W

# **Vulnerable Groups**

Gender discrimination seems to be rampant in North Korea, and it appears women's rights are still suppressed, as women have limited access to social status and activities. In addition, they are easily exposed to domestic or sexual violence, but there is no social institution to help them when falling victim to such crimes. In North Korea, women are more likely to suffer from excessive labor as they shoulder the double burden of economic activities to support their family and household chores. However, in the 2019 survey, there were testimonies that the perception of gender roles is gradually changing, led by the young generation, and domestic violence has decreased.

The human rights situation of North Korean children still

remains poor. It has been identified that the overall level of the health and welfare of children is low, and the gap between Pyongyang and the provinces, and between the cities and rural areas, is also severe. It seems that children are still being mobilized as members of the workforce and for political events in order to supplement labor shortages. However, there have been some positive changes, including an increase in the vaccination rate and decreases in child malnutrition and infant/child mortality.

In 2019, North Korea adopted several measures to improve the human rights of persons with disabilities, including non-barrier architecture standards to improve accessibility by persons with disabilities. Moreover, it accepted the recommendation by UN member states to improve the rights of persons with disabilities in the third UPR in 2019. Despite such efforts, however, it appears that the overall human rights situation of persons with disabilities is still poor.

# Part V

# Major Issues

The reasons for detention in political prison camps (*kwanliso*) collected in the 2019 survey include receiving or delivering money from South Korea, attempting to defect to South Korea, engaging in human trafficking, and distributing the Bible. In

particular, there continue to be cases of people being sent to political prison camps (*kwanliso*) that are related to defecting to South Korea, and unprecedentedly, North Korean people are being notified of the fact that such people are sent to political prison camps (*kwanliso*). There were also testimonies that people who commit human trafficking are being sent to political prison camps (*kwanliso*) instead of prison camps (*kyohwaso*) if the case is related to defecting to South Korea.

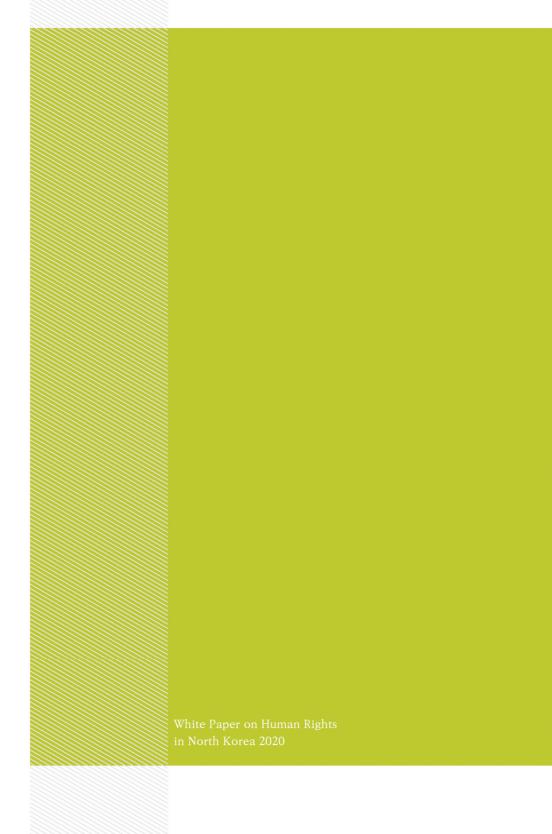
In the 2019 survey, there were many testimonies on giving and receiving bribes. Bribery occurs widely in the daily lives of North Koreans, including for joining the Party, entering college, receiving job assignments, changing jobs, moving residences and conducting house transactions, securing travel permits, and receiving medical services and overseas dispatch as well as for avoiding a crackdown and punishment. It is difficult to form an understanding of universal human rights in this regard as North Korean people consider the practice of bribery a general practice to resolve issues rather than as an act of corruption.

With regard to defection, border control and crackdowns on defectors have become more severe since Kim Jong Un came to power. Therefore, there is a concern that the violation of the human rights of North Korean residents caught attempting to defect or forcibly repatriated will intensify. As was the case in the 2018 survey, the 2019 survey collected many testimonies where

North Koreans paid an enormous sum of money to avoid punishment after getting caught attempting to defect from North Korea. This indirectly shows that the punishment for defection was strengthened.

The scale of overseas dispatch is presumed to have decreased. In general, selection opportunities to be dispatched overseas are reserved for those with good family backgrounds and the ability to offer bribes. It was found that a substantial amount of their wages earned through overseas labor is required to be submitted to the State. Not only that, it was found that some of the wages are embezzled by middle-managers. Meanwhile, it was identified that there are risks that the workers could be subjected to forced labor, the confiscation of their ID card, and delays in the payment of wages due to any debt incurred in the process of being dispatched.

The issues of separated families, abductees, and Korean War POWs are humanitarian issues where international humanitarian laws apply as well as human rights issues where international human rights laws apply. There were no family reunions in 2019, and their existence is being barely maintained at the private level. Today, most of the applicants for the family reunions are extremely old. From a humanitarian level, an inter-Korean agreement is needed to fundamentally resolve the problem of separated families by regularly holding reunion events and exchanges.





# Purpose and Research Methodology

- 1. Purpose
- 2. Research Methodology

North Korea has one of the worst human rights records in the world. The international community has expressed concerns and urged North Korea to address its serious human rights violations. The United Nations Commission on Human Rights (hereinafter UNCHR) adopted resolutions on North Korean human rights between 2003 and 2005, and the United Nations Human Rights Council (hereinafter UNHRC), the successor to the UNCHR, has adopted resolutions on North Korean human rights between 2008 and 2020. The United Nations (hereinafter UN) General Assembly has also adopted resolutions on North Korean human rights every year since 2005, expressing grave concerns regarding the human rights situation in North Korea.<sup>2</sup> In accordance with the UNHRC resolution, the Commission of Inquiry on Human Rights in the Democratic People's Republic of Korea (hereinafter COI) was

<sup>1</sup>\_The UNHRC adopted a resolution on North Korean human rights by consensus on 22 June 2020. UN Doc. A/HRC/RES/43/25 (2020).

<sup>2</sup> The UN General Assembly adopted a resolution on North Korean human rights by consensus on 19 December 2019. UN Doc. A/RES/74/166 (2020).

established in March 2013. After a year of investigation, the COI reported that systematic, widespread, and grave human rights violations exist in North Korea, with many of them constituting crimes against humanity. In June 2015, a field office on North Korean Human Rights under the Office of the United Nations High Commissioner for Human Rights (hereinafter OHCHR) was established in Seoul as part of the follow-up measures to the COI report. Meanwhile, the UN Security Council discussed North Korean human rights issues for four consecutive years, from 2014 to 2017.<sup>3</sup> The international community's discussion on how to improve human rights conditions in North Korea will continue, and an objective investigation and analysis is crucial in supporting such endeavors.

Korea Institute for National Unification (hereinafter KINU) has conducted in-depth research and professional, systematic collection and management of data on North Korean human rights. Since 1996, it has annually published the *White Paper on Human Rights in North Korea* in Korean and English. This *White Paper* aims to raise awareness of North Korean human rights issues in South Korea and abroad, and provide relevant

<sup>3</sup>\_The UN Security Council did not convene to address the human rights issue in North Korea as an agenda item in 2018. In order to convene a Security Council meeting, at least nine out of 15 members of the Council must cast an affirmative vote, but in 2018, seven members voted against a meeting including China, a permanent member, and Côte d'Ivoire, a non-permanent member. Also, in 2019, the convening of such a meeting foundered as it was voted down in the procedural vote.

basic materials for discussion and action by objectively conducting investigations and analysis of the North Korean human rights situation, while handling those issues comprehensively and systematically.

# Research Methodology

This White Paper examines the human rights situation in North Korea within the framework of international human rights standards. North Korea is a State Party to the International Covenant on Civil and Political Rights (hereinafter ICCPR); the International Covenant on Economic, Social, and Cultural Rights (hereinafter ICESCR); the Convention on the Rights of the Child (hereinafter CRC) and the Optional Protocol to the Convention on the Rights of the Child on the sale of children, child prostitution and child pornography (hereinafter CRC-OP2); the Convention on the Elimination of All Forms of Discrimination against Women (hereinafter CEDAW); and the Convention on the Rights of Persons with Disabilities (hereinafter CRPD).

Ratification of or Accession to International Human Rights Treaties by North Korea

Treaty	Status	Date of Accession Ratification (based on date of notification/deposit)	Effective Date	Remark
ICCPR	Acceded <sup>4</sup>	14 September 1981	14 December 1981	
ICESCR	Acceded	14 September 1981	14 December 1981	
CRC	Ratified	21 September 1990	21 October 1990	
CRC-OP2	Ratified	10 November 2014	10 December 2014	
CEDAW	Acceded	27 February 2001	29 March 2001	Reservation to Article 29, Paragraph 1
CRPD	Ratified	6 December 2016	5 January 2017	

According to the Vienna Convention on the Law of Treaties, State Parties have a duty to faithfully fulfill a treaty (Article 26). The Universal Declaration of Human Rights (hereinafter UDHR), which stipulates a minimum universal standard for human dignity and rights, is not legally binding, as it was adopted by a UN General Assembly resolution. However, significant portions are considered to have developed into today's international customary 1aw

International human rights treaties, which North Korea joined or ratified, stipulate that State Parties shall take necessary

<sup>4</sup> North Korea announced its withdrawal from the ICCPR in 1997 to protest the first adoption of a resolution on North Korean human rights at the UN Sub-Commission on the Promotion and Protection of Human Rights. The UN Secretary-General, however, stated in his aide-mémoire to the North on 23 September 1997, that any withdrawal from the Covenant was impossible unless all the other parties agreed. North Korea later normally resumed its activities in the Human Rights Committee. thereby recognizing its status and obligations as State Parties to the ICCPR.

measures to implement the rights listed in those treaties. As public administration and jurisdiction are conducted based on laws, legislation is considered to be the most important measure in implementing treaties. Therefore, we first review the relevant laws and regulations in North Korea and then examine whether the rights recognized by each treaty are respected and protected. If the laws and regulations in North Korea go against the treaties, it is difficult to conclude that the treaties are being faithfully implemented. If regulations in North Korea are in accordance with the treaties but in reality are not complied with, it is also difficult to regard the treaties as being faithfully carried out. Such analysis is meaningful, in that we can examine the extent to which North Korea is carrying out its international duties as a State Party, as well as the laws it enacts on its own.

The human rights situation of a particular country needs to be examined through direct access to that country. The international community has been requesting North Korean authorities to allow continuous access in order to determine the human rights conditions. However, North Korean authorities have refused visits by the UN Special Rapporteur on the Situation of Human Rights in the Democratic People's Republic of Korea (hereinafter DPRK). Therefore, it is impossible to monitor human rights conditions in North Korea independently or to directly access internal information. KINU conducts its investigation of the reality of North Korean human rights against this backdrop and publishes the White Paper based on the following methods.

First, KINU uses in-depth interviews with North Korean defectors who have settled in South Korea as the most important tool for investigation. The White Paper on Human Rights in North Korea 2020 is based on interviews with 118 North Korean defectors. They were selected based on their demographic and social backgrounds (area of residence, experience in detention facilities, etc.), centering on those who had stayed in North Korea until most recently. The following is the demographic profile of the 118 defectors with whom we had in-depth interviews in 2019.

Demographic Profile of In-Depth Interviewees in 2019 **Events** Percentage Category (persons) (%) Male 34 28.81 Gender Female 84 71.19 Total 118 100 Before 2014 2.54 2015 4 3.39 2016 9 7.63 Year of Last Defection 6 2017 5.08 2018 31 26.27 2019 65 55.08 Total 118 100 Date of Arrival 2018 1 0.85 in South Korea 2019 117 99.15 Total 118 100 Teens (2000~) 7 5.93 20s (1990~1999) 49 41.53 30s (1980~1989) 24 20.34 Age Groups 40s (1970~1979) 15 12.71 50s (1960~1969) 19 16.10 60 or older (~1959) 4 3.39 Total 118 100

Category	Details	Events (persons)	Percentage (%)
	One	109	92.37
Number of	Two	5	4.24
River	Three	1	0.85
Crossings	Four or more	1	0.85
	No response	2	1.69
	Total	118	100
	Pyongyang	4	3.39
	South Pyeongan Province	0	0
	North Pyeongan Province	4	3.39
	Jagang Province	0	0
D	South Hwanghae Province	1	0.85
Place of Last	North Hwanghae Province	2	1.69
Residence	Gangwon Province	1	0.85
	South Hamgyeong Province	6	5.08
	North Hamgyeong Province	26	22.03
	Yanggang Province	71	60.17
	No Response	3	2.54
	Total	118	100

KINU systematically manages this data by assigning personal identification numbers (e.g., NKHR2019000000) to all North Korean defectors who were interviewed. When citing their responses in the White Paper, we use identification numbers to protect their personal information. When more information was needed on certain rights or topics in addition to the in-depth interviews held in the early stages of entering South Korea, additional interviews were conducted. For these additional interviews, the sources of information were indicated as "North Korean defector OOO, [Day] [Month] [Year], interviewed in Seoul." Moreover, memoirs written by North Korean defectors were used as supplementary data.

Second, KINU utilized official North Korean documents, such as North Korean laws, proclamations by the Ministry of People's Security (hereinafter MPS), and written judgments.

Third, KINU also used UN documents on North Korean human rights, including reports submitted to UN human rights organizations by North Korean authorities; General Comments and Concluding Observations of the UN Human Rights Committee and the UN Committee on Economic, Social, and Cultural Rights; documents related to the Universal Periodic Review (hereinafter UPR) on North Korea by UNHRC; and the COI reports.

Fourth, survey results and statistics from the World Health Organization (hereinafter WHO), the UN Children's Fund (hereinafter UNICEF), the World Food Programme (hereinafter WFP), UN High Commissioner for Refugees (hereinafter UNHCR), Statistics Korea (South Korea), and other organizations were also used.

Fifth, KINU utilized major North Korean media including the Korean Central News Agency (hereinafter KCNA) and the Rodong Shinmun.

Sixth, KINU compared and verified the information obtained through reports and thesis papers on North Korean human rights in South Korea and abroad.

Seventh, when necessary, KINU utilized major media in South

Korea and abroad that reported on North Korean human rights.

The White Paper on Human Rights in North Korea 2020 is written in accordance with the following principles:

- (1) The White Paper focuses on describing the information obtained in the 2019 survey. However, when KINU considers that a previous survey is necessary for suggesting a tendency or trend in changes, the results of surveys prior to 2019 are included. Moreover, the White Paper uses surveys obtained prior to 2019 when dealing with topics that do not have sufficient information.
- (2) In order to look into the relatively recent human rights situation in North Korea, we use events that took place within five years of the survey for our research and analysis. In other words, the White Paper on Human Rights in North Korea 2020, which is based on the 2019 survey, only examines events that took place between 2015 and 2019. However, if certain events that took place before 2015 are considered to be particularly meaningful, they are also included as exceptions.
- (3) We only include the testimonies of North Korean defectors on what they directly experienced or witnessed in order to guarantee the objectivity and reliability of the investigation. However, testimonies that are considered to be credible for example, when the interviewees have information

obtained directly from others who experienced or witnessed certain events— are also included. In such cases, the White Paper clearly states that the testimony is second-hand information

- (4) The latest North Korean laws and regulations obtained are used. However, when it was necessary to refer to previous laws, it is noted in the White Paper with a clear statement that it is a previous law.
- (5) The White Paper uses the most up-to-date names of North Korean organizations, except when interviewees referred to them by their previous names. As for names of some organizations, previous and current names may be mixed in use. For example, terms such as State Security Department (SSD) and SSD agent are used although the official name of the organization is Ministry of State Security (hereinafter MSS).





#### The Reality of Civil and Political Rights

- 1. Right to Life
- 2. Right Not to be Tortured or Receive Inhumane Treatment
- 3. Right Not to be Forced into Labor
- 4. Right to Liberty and Security of Person
- 5. Right to Humane Treatment of Person in Detention
- 6. Right to Freedom of Movement and Residence
- 7. Right to a Fair Trial
- 8. Right to Privacy
- 9. Right to Freedom of Thought, Conscience, and Religion
- 10. Right to Freedom of Expression
- 11. Right to Freedom of Assembly and Association
- 12. Right to Political Participation
- 13. Right to Equality

## Right to Life

All human rights are meaningless unless the right to life is effectively protected. Therefore, the right to life is the supreme right, as well as the foundation of all other human rights. Hence, key international documents on human rights both at the universal and regional levels, without exception, include regulations to improve and protect the right to life. Article 3 of the UDHR, which is the first of the provisions on substantive rights, stipulates that everyone has the right to life. Likewise, the ICCPR also stipulates the right to life in Article 6, which is first among its provisions on substantive rights.

Table II-1	Article 6 of the ICCPR
Paragraph 1	Every human being has the inherent right to life. This right shall be protected by law. No one shall be arbitrarily deprived of his life.
Paragraph 2	In countries which have not abolished the death penalty, sentence of death may be imposed only for the most serious crimes in accordance with the law in force at the time of the commission of the crime and not contrary to the provisions of the present Covenant and to the Convention on the Prevention and Punishment of the Crime of Genocide. This penalty can only be carried out pursuant to a final judgement rendered by a competent court.
Paragraph 3	When deprivation of life constitutes the crime of genocide, it is understood that nothing in this article shall authorize any State Party to the present Covenant to derogate in any way from any obligation assumed under the provisions of the Convention on the Prevention and Punishment of the Crime of Genocide.
Paragraph 4	Anyone sentenced to death shall have the right to seek pardon or commutation of the sentence. Amnesty, pardon or commutation of the sentence of death may be granted in all cases.
Paragraph 5	Sentence of death shall not be imposed for crimes committed by persons below eighteen years of age and shall not be carried out on pregnant women.
Paragraph 6	Nothing in this article shall be invoked to delay or to prevent the abolition of capital punishment by any State Party to the present Covenant.

This chapter will now examine the situation in North Korea related to the right to life by major issue.

#### A. Extrajudicial, Summary, or Arbitrary Execution

Article 6, paragraph 1 of the ICCPR states that "no one shall be arbitrarily deprived of his life" and stipulates protection of the right to life. The UN Human Rights Committee has emphasized that protection against arbitrary deprivation of life is of paramount importance, and pointed out that deprivation of life by the State

authorities, in particular, is a matter of the utmost gravity and thus should be strictly controlled and limited by laws. The meaning of "arbitrary" is somewhat ambiguous; however, it can be understood as a broader concept than simply "illegal." In other words, even the deprivation of life regarded as "legal" under the domestics laws of a State Party could be considered as "arbitrary" under Article 6 of the ICCPR. Ultimately, prohibition against the "arbitrary" deprivation of life means that life should not be deprived unreasonably. According to the provisions of Article 6 of the ICCPR, Article 14 of the ICCPR (which stipulates the right to a fair trial), and Article 15 of the ICCPR (which stipulates the principle of non-retroactivity of punishment), extrajudicial, summary, or arbitrary execution is prohibited.

In North Korea, it has often been identified that extrajudicial, summary, or arbitrary executions are conducted particularly in detention facilities. A North Korean defector witnessed that in 2013, two inmates fought in Jeongeori *Kyohwaso* and one died as a result. The camp shot the other inmate in front of all the inmates without any trial process.<sup>6</sup> A testimony in the 2019 survey also stated that an inmate who was arrested during his/her escape from Hamheung *Kyohwaso* in April 2016 was publically executed (without trial procedures). According to the testifier, inmates who

5\_UN Human Rights Committee, General Comment, No. 6 (1982), para. 3.

<sup>6</sup>\_NKHR2017000044 2017-07-03.

did not want to witness the execution were threatened with the postponement of their date of release and, after the accused had been shot to death, were forced to throw stones at the body, which was then cremated by the male inmates. Although in many cases such executions are held in public to incite fear among detainees and impose tighter control, testimonies have confirmed that they are sometimes conducted in secret. There are some cases where the death penalty is sentenced through open trial in prison camps (kvohwaso). However, the trial may be meaningless if it is only perfunctory in nature.

Sometimes extrajudicial, summary, or arbitrary executions are conducted in a general context, not in the context of escaping while imprisoned. In some cases, trials are not held, while in other cases, trials are held in a perfunctory manner with no respect for even the most minimum rights for the accused. Since Kim Jong Un came to power, we have confirmed continuous cases of purges of high-ranking officials such as Jang Song-thaek, Hyon Yong-chol, Ri Yong-ho and Kim Yong-jin. In most cases, such purges are identified as extrajudicial, summary, or arbitrary executions.

<sup>7</sup>\_NKHR2019000043 2019-07-01.

<sup>8</sup> NKHR2015000031 2015-02-10.

#### B. Broad Application of Death Penalty for Offenses

Article 6, paragraph 2 of the ICCPR states that in countries which have not abolished the death penalty, a death sentence can only be imposed for the most serious crimes based on the law in force at the time of the commission of the crime. The "law" here is generally understood as law in a formal sense, and the expression "the most serious crimes" may be difficult to define due to its ambiguity. However, the Human Rights Committee explained in its General Comment on the right to life that it should be restrictively interpreted to mean that the death penalty should be an exceptional measure. 9 Moreover, in its Concluding Observation on multiple national reports, the Committee pointed out that sentencing the death penalty for treason, piracy, robbery, trading of toxic or hazardous substances, narcotics-related crimes, draft dodging, property crimes, economic crimes, adultery, corruption, and political crimes violates Article 6 of the ICCPR, as these offenses do not constitute the "most serious crimes." This leaves premeditated murder or attempted murder, and deliberate infliction of serious injury to be classified as the "most serious crimes "

The current North Korean Criminal Law stipulates the death penalty as the most severe statutory punishment for conspiracy to

<sup>9</sup>\_UN Human Rights Committee, General Comment, No. 6 (1982), para. 7.

overturn the State, terrorism, treason against the fatherland, crimes of clandestine destruction, treason against the people, cultivation of opium/manufacturing of narcotics, illegal trafficking/distribution of narcotics, and premeditated murder. The following <Table II-2> indicates crimes punishable by death under the Criminal Law, and their legal requirements and related statutory penalties.

Table II - 2 Crimes Subject to Capital Punishment under the Criminal Law and Their Legal Requirements and Statutory Penalties

Earl and their Legar Requirements and statutory rendictes		
Conspiracy to overturn the State (Article 60)	Extremely serious cases of participation in political revolts, civil disturbances, demonstrations or violent attacks, and conspiracies for anti-state purposes.	Unlimited-term correctional labor punishment or death penalty and confiscation of property
Terrorism (Article 61)	Extremely serious cases of murder, kidnapping or harming of officials or citizens for antistate purposes.	Unlimited-term correctional labor punishment or death penalty and confiscation of property
Treason against the fatherland (Article 63)	Extremely serious cases of betraying the fatherland by fleeing and surrendering to another country; betraying the fatherland or turning over secrets to the enemy.	Unlimited-term correctional labor punishment or death penalty and confiscation of property
Crime of clandestine destruction (Article 65)	Extremely serious cases of disloyal destruction for anti-state purposes	Unlimited-term correctional labor punishment or death penalty and confiscation of property

Treason against the people (Article 68)	Extremely serious cases of treason against nationals where Korean nationals, living under imperialist rule, engage in persecution of North Korea's National Liberation Movement, hinder the struggle for unification of the fatherland, or sell the benefits of Korean nationals to imperialists.	Unlimited-term correctional labor punishment or death penalty and confiscation of property
Illegal cultivation of opium and manufacturing of narcotics (Article 206)	Extremely serious cases of cultivating large amounts of opium or manufacturing of narcotics.	Unlimited-term correctional labor punishment or death penalty
Trafficking/ distribution of narcotics (Article 208)	Extremely serious cases of trafficking/distribution of large amounts of narcotics.	Unlimited-term correctional labor punishment or death penalty
Premeditated murder (Article 266)	Extremely serious cases of premeditated murder based on greed, jealousy or other despicable motivation.	Unlimited-term correctional labor punishment or death penalty

In particular, it should be noted that the death penalty was added as the statutory penalty for crimes related to the cultivation of opium and manufacturing of narcotics in the 2013 Criminal Law. As cases of illegal cultivation of opium or manufacturing of narcotics are increasing, it seems that the punishment for such crimes has been reinforced.

Table II-3	Change of Provisions in Criminal Law Related to the
	Cultivation of Opium and Manufacturing of Narcotics

	cultivation of opidin and Manaractaring of Narcotics
Criminal Law of 2012	Article 206 (Crime of illegal cultivation of opium, manufacturing of narcotics/toxic materials)  Those who illegally cultivated opium or manufactured narcotics/toxic materials shall be subject to up to one year of labor training punishment. In cases where the above paragraph's act is serious, they shall be subject to up to five years of correctional labor punishment.
Criminal Law of 2013	Article 206 (Crime of illegal cultivation of opium, manufacturing of narcotics/toxic materials)  Those who illegally cultivated opium or manufactured narcotics/toxic materials shall be subject to up to one year of labor training punishment. Those who cultivated large amounts of opium or narcotics/toxic materials shall be subject to up to five years of correctional labor punishment.  Those who cultivated particularly large amounts of opium or narcotics shall be subject to five years or more and up to ten years of correctional labor punishment. When the case is serious, they shall be subject to ten years or more of correctional labor punishment.  When the act in Paragraph 3 is particularly serious, they shall be subject to lifetime correctional labor punishment or the death penalty.
Criminal Law of 2015	Article 206 (Crime of illegal cultivation of opium, manufacturing of narcotics)  Those who illegally cultivated opium or manufactured narcotics/toxic materials shall be subject to up to one year of labor training punishment. Those who cultivated large amounts of opium or narcotics/toxic materials shall be subject to up to five years of correctional labor punishment.  Those who cultivated particularly large amounts of opium or narcotics shall be subject to five years or more and up to ten years of correctional labor punishment. When the case is serious, they shall be subject to ten years or more of correctional labor punishment.  When the act in Paragraph 3 is particularly serious, they shall be subject to unlimited—term correctional labor punishment or the death penalty.

Meanwhile, North Korea established a unique supplementary provision, the Addendum to the Criminal Law (General Crimes), in 2007. The Addendum reinforced the punishment for general crimes, some of which are subject to the death penalty or the death penalty together with confiscation of property. Moreover, the Addendum to the Criminal Law stipulates that "cases where

multiple crimes committed by a single criminal are extremely serious or the criminal displays no feeling of remorse (gaejunseong)"10 at all are punishable by the death penalty. This is a serious issue, as it means that the range of crimes for which the death penalty is applicable can be broadly expanded. However, according to the 2010 Addendum to the Criminal Law, which was obtained in 2017, North Korea decreased the number of crimes punishable by death, and the unlimited-term of correctional labor punishment was added to the crimes that were previously only punishable by death or the death penalty together with confiscation of property.<sup>11</sup> This is a slight improvement, considering that the number of crimes subject to the death penalty decreased, and certain crimes are punishable not just by the death penalty but also by restricting physical freedom. Crimes subject to capital punishment under the Addendum to the Criminal Law (General Crimes) of 2007 and 2010, and their statutory penalties, can be seen in <Table  $\Pi$ -4>.

<sup>10</sup>\_The word *gaejunseong* seems to mean *gaejeon*, which refers to a sense of remorse and a change of heart.

<sup>11</sup>\_The provision was revised on 26 October 2010, according to the ordinance 1152 and order 44 of the Supreme People's Assembly.

#### Crimes Subject to Capital Punishment under the Addendum to the Criminal Law (General Crimes) of 2007 and 2010 Table II -4 and Their Statutory Penalties

	and their statutory renarcies	
	Extremely serious willful destruction of combat equipment and military facilities (Article 1)	Death penalty
	Extremely serious plundering of state property (Article 2)	Death penalty with confiscation of property
	Extremely serious theft of state property (Article 3)	Death penalty with confiscation of property
	Extremely serious willful destruction or damaging of state property (Article 4)	Death penalty
	Extremely serious counterfeiting of currency (Article 5)	Death penalty
	Extremely serious smuggling/trafficking of precious or colored metals (Article 6)	Death penalty with confiscation of property
Addendum to the Criminal	Smuggling of state resources (Article 8)	Unlimited-term correctional labor punishment or death penalty with confiscation of property
Law of 2007	Extremely serious smuggling/trafficking or narcotics (Article 11)	Death penalty with confiscation of property
	Extremely serious escape by a prisoner (Article 14)	Unlimited-term correctional labor punishment or death penalty
	Extremely serious misdemeanor (Article 17)	Unlimited-term correctional labor punishment or death penalty
	Operation of unlawful business (Article 18)	Unlimited-term correctional labor punishment or death penalty
	Extremely serious deliberate infliction of serious injury (Article 19)	Unlimited-term correctional labor punishment or death penalty
	Extremely serious kidnapping (Article 20)	Death penalty

	Extremely serious rape (Article 21)	Unlimited-term correctional labor punishment or death penalty
	Extremely serious theft of private property (Article 22)	Death penalty with confiscation of property
	Other exceptional crimes subject to unlimited–term correctional labor punishment or death penalty (Article 23)	Unlimited-term correctional labor punishment or death penalty
	Extremely serious willful destruction of combat equipment and military facilities (Article 1)	Unlimited-term correctional labor punishment or death penalty
	Extremely serious plundering of state property (Article 2)	Unlimited-term correctional labor punishment or death penalty with confiscation of property
	Extremely serious theft of state property (Article 3)	Unlimited-term correctional labor punishment or death penalty with confiscation of property
Addendum to the Criminal	Extremely serious willful destruction or damaging of state property (Article 4)	Unlimited-term correctional labor punishment or death penalty
Law of 2010	Extremely serious counterfeiting of currency (Article 5)	Unlimited-term correctional labor punishment or death penalty
	Extremely serious smuggling/trafficking of precious or colored metals (Article 6)	Unlimited-term correctional labor punishment or death penalty with confiscation of property
	Extremely serious escape by a prisoner (Article 7)	Unlimited-term correctional labor punishment or death penalty
	Extremely serious kidnapping (Article 8)	Unlimited-term correctional labor punishment or death penalty

Extremely serious rape (Article 9)	Unlimited-term correctional labor punishment or death penalty
Extremely serious theft of private property (Article 10)	Unlimited-term correctional labor punishment or death penalty with confiscation of property
Other exceptional crimes subject to unlimited–term correctional labor punishment or death penalty (Article 11)	Unlimited-term correctional labor punishment or death penalty

How the laws and regulations on capital punishment are actually implemented is confirmed based on the testimonies of North Korean defectors who personally witnessed public executions. Several defectors claimed to have witnessed people being executed for narcotics transactions, watching/distributing South Korean video recordings, murder, plundering, stealing or destroying State property, human trafficking, sexual violence.

What is noteworthy here is that the number of executions due to narcotics transactions or watching/distributing South Korean video recordings has risen over the last few years. Relevant testimonies were also collected in the 2019 survey. A North Korean woman in her 50s who lived in Hyesan, Yanggang Province, and defected in 2018 testified that there were many recent cases where people were shot to death due to drugs (bingdu), and the executions were more non-public than public. 12

<sup>12</sup> NKHR2019000022 2019-05-07.

A North Korean defector OOO testified that one person was publicly shot to death for the crimes of distributing South Korean dramas and trafficking narcotics at a plaza in Chongjin, North Hamgyeong Province, in 2014 and that although he/she did not personally witness the event, people passed around and watched the videos. 13 Moreover, another North Korean defector OOO testified that he/she witnessed two men being shot to death for distributing South Korean movies and providing a venue for prostitution in Yeonbong-dong, Hyesan, Yanggang Province, in 2014.<sup>14</sup> North Korean authorities appear to be reinforcing crackdowns and punishments on such cases because narcotics are spreading across North Korea and an increasing number of North Koreans are watching/distributing South Korean video recordings.

Cases of Death Penalty Executions Related to Narcotics Table II-5 or South Korean Recordings

Testimonies	Testifier ID
In 2014, one person was shot to death for the crime of distributing South Korean dramas and trafficking narcotics at a plaza in Chongjin, North Hamgyeong Province.	NKHR2019000084 2019-10-05
In 2014, two men were shot to death for distributing South Korean movies and providing a venue for prostitution in Yeonbong-dong, Hyesan, Yanggang Province.	NKHR2019000103 2019-11-09
In October 2014, three men were shot to death for distributing South Korean video recordings at an airfield in Yeonbong-dong, Hyesan, Yanggang Province.	NKHR2018000098 2018-10-01

<sup>13</sup>\_NKHR2019000084 2019-10-05.

<sup>14</sup> NKHR2019000103 2019-11-09.

Testimonies	Testifier ID
In March 2015, five men in their 30s to 40s were shot to death in Pyeongsong, South Hamgyeong Province, after an open trial for watching South Korean recordings and drug trading.	NKHR2017000083 2017-09-25
In 2017, a man was shot to death for distributing South Korean recordings at an airfield in Yeonbong-dong, Hyesan, Yanggang Province.	NKHR2018000114 2018-10-13
In February 2017, around 20 people were shot to death in Byeoksong County, South Hwanghae Province, for watching and distributing South Korean recordings and drug trading.	NKHR2017000073 2017-08-28

While the specific grounds for carrying out the capital punishment sentences were not confirmed, the cases are presumed to be related to anti-state crimes. A North Korean defector testified that two women were executed for spreading Christianity and another woman received a public trial and was executed for distributing anti-regime leaflets in Gilseongpo Port, North Hwanghae Province, in 2015. 15 According to the testifier, there were crowds of around 1,000~2,000 people and the military, MPS officers, and MSS agents around North Hwanghae Province came, while the People's Unit (inminban) chiefs or secretary-level representatives, members of factory enterprises, and the People's Units (inminban) had to be present. Moreover, while it was not confirmed whether there were representatives from the court, he/she explained that the overall trial and execution were carried out by the MSS. If the trial was a political prisoner trial carried out by the MSS like the testier said, it is tantamount to extrajudicial,

<sup>15</sup> NKHR2019000054 2019-07-29.

summary, or arbitrary execution. Moreover, there was another testimony where the testifier heard about a 70-year-old woman who was shot to death under a bridge of the Suseongcheon stream for acts and dissemination of superstition in Chongjin, North Hamgyeong Province, in 2018, <sup>16</sup> as well as a testimony where the testifier witnessed two people shot to death for carrying the Bible in Pyeongseong, South Pyeongan Province, in 2018.<sup>17</sup>

Testimonies of executions carried out for social deviance and violent crimes, including homicide, have been continuously collected. 18 In the 2019 survey, there was a testimony that the testifier heard about a criminal involved in a case of child abduction who was publicly shot to death in Hyesan, Yanggang Province, in 2014;19 a testimony that the testifier heard about a woman who ran a prostitution operation and worked as a madam was executed in around 2014-2015;<sup>20</sup> and a testimony that the testifier heard about a public execution for homicide in Musan County, North Hamgyeong Province, in around 2017-2018.<sup>21</sup> In

<sup>16</sup>\_NKHR2019000071 2019-08-26.

<sup>17</sup>\_NKHR2019000024 2019-05-18.

<sup>18</sup> In the 2018 survey, there was a case collected in which a man was shot to death for calling South Korea and aiding passage to South Korea in Hyesan, Yanggan Province, in 2017 (NKHR2018000114 2018-10-13) and a case of a woman shot to death for killing a child living next door in Onsong County, North Hamgyeong Province, in December 2017 (NKHR2018000107 2018-10-01).

<sup>19</sup> NKHR2019000047 2019-07-01.

<sup>20</sup>\_NKHR2019000054 2019-07-29.

<sup>21</sup> NKHR2019000038 2019-06-15.

January 2018, there was an incident where a driver's lover murdered the son (6 years old) of the chief secretary of the Onsong County KWP. An order was issued to shoot the female perpetrator in front of a crowd of 100,000, and the woman was shot to death in front of a significantly large number of people.<sup>22</sup> A North Korean defector OOO testified that he/she witnessed a man shot to death for homicide in the military town of Jangsaeng in Jongseong-gu, Onsong County, North Hamgyeong Province, in around February-March 2018.<sup>23</sup>

## C. Death Sentence to Juvenile Offenders and **Execution of Pregnant Women**

Article 6, paragraph 5 of the ICCPR prohibits sentencing the death penalty for crimes committed by persons under eighteen years of age and executing the death penalty for pregnant women. What is noteworthy, however, is that the prohibition of sentencing the death penalty to juveniles is based on their age at the time they committed the crime, not at the time of sentencing.

North Korea added a provision for limiting the death penalty for juveniles and pregnant women in the 1999 revision of the Criminal Law. Article 29 of the current Criminal Law stipulates that "those below the age of eighteen at the time of commission of

<sup>22</sup>\_NKHR2019000111 2019-11-18.

<sup>23</sup> NKHR2019000118 2019-11-30.

a crime shall not be subject to the death penalty; the death penalty shall not be executed on pregnant women." In terms of legislation, North Korea appears to be appropriately implementing Article 6, paragraph 5 of the ICCPR. In KINU's previous surveys, some incompliant cases were identified. However, cases of the death sentence on juveniles and execution of the death penalty on pregnant women were not found in the recent surveys.

### D. Other Infringements on the Right to Life

Article 6, paragraph 1, of the ICCPR states that the "inherent right to life (...) shall be protected by law." The UN Human Rights Committee pointed out in its General Comments the tendency in North Korea to interpret the right to life extremely narrowly, and stressed that the expression "inherent right to life" cannot be fully understood in such a restrictive manner and that the protection of this right requires the State to actively take measures.<sup>24</sup> From this perspective, there are many violations related to the right to life in North Korea. As will be examined in later chapters, cases of death continue to occur in detention facilities due to violence and mistreatment, poor nutrition, and sanitation and health care conditions. This issue will be examined in more detail in 'II. The Reality of Civil and Political Rights, 5. Right to Humane Treatment in Detention.'

24\_UN Human Rights Committee, General Comment, No. 6 (1982), para. 5.

#### E. Evaluation

It appears that the right to life of the North Korean people is still not properly protected. The unique form of law in the Addendum to the Criminal Law (General Crimes) allows an extensive range of crimes to be punishable by death in North Korea. Frequent execution of the death penalty violates Article 6, paragraph 2 of the ICCPR, which stipulates that in a country with a death penalty system, the death sentence shall only be imposed in cases of "the most serious crimes." The 2019 survey also collected cases of the death penalty being imposed for narcotics trading, the watching or distribution of South Korean recordings, and violent crimes including homicide. Although specific grounds for carrying out capital punishment were not found, there were cases of execution for possession of the Bible, distribution of leaflets, and acts of superstition. While it is difficult to establish whether the collected cases constitute extrajudicial, summary, or arbitrary execution, such a broad scope of crimes subject to the death penalty and frequent executions may constitute a violation of the duties of the State Parties stipulated in the ICCPR.

# Right Not to be Tortured or Receive Inhumane **Treatment**

Article 5 of the UDHR states that "no one shall be subjected to torture or to cruel, inhuman or degrading treatment or punishment," in order to protect dignity and physical and mental integrity. As in the UDHR, Article 7 of the ICCPR also prohibits torture and inhuman treatment, and even goes a step further by prohibiting medical and scientific experiments. Article 7 of the ICCPR is complemented by Article 10, paragraph 1, of the ICCPR, which stipulates that "all persons deprived of their liberty shall be treated with humanity and with respect for the inherent dignity of the human person."

Article 7 of the ICCPR

No one shall be subjected to torture or to cruel, inhuman or degrading treatment or punishment. In particular, no one shall be subjected without his free consent to medical or scientific experimentation.

This chapter will examine the major issues related to the situations in North Korea regarding the right not to be tortured or be subjected to inhuman treatment.

#### A. Torture and Inhuman Treatment in the Handling of Criminal Cases

According to the Convention against Torture and Other Cruel, Inhuman, or Degrading Treatment or Punishment (hereinafter CAT), torture is defined as an act of willfully inflicting extreme physical and/or mental pain on individuals by public officials for the specific purposes of obtaining information or a confession, imposing punishment, etc. Other acts of inflicting harsh pain that are not considered to be torture due to a lack of key elements, such as specific purposes, intentional nature, or severe pain, are regarded as cruel, inhuman, or degrading treatment or punishment. While the difference between "torture" and "cruel, inhuman, or degrading treatment or punishment" may be unclear in terms of their definitions, both are clear violations of Article 7 of the ICCPR.

North Korea has regulations on torture and inhuman treatment in its Criminal Law and Criminal Procedure Law. Article 242 of the Criminal Law stipulates that "law enforcement personnels conducting any illegal interrogation or exaggerating/fabricating cases shall be subject to labor training punishment of one year or less," and if such actions cause any serious injury or loss of life, or falsely hold someone criminally liable, those responsible shall be subject to correctional labor punishment of five years or less, and in serious cases, five years or more to ten years or less of such a punishment. Article 6 of the Criminal Procedure Law stipulates that "the country shall strictly guarantee human rights in the handling of criminal cases," and Article 37 of the same law states that "the testimony of the accused obtained through force and inducement cannot be used as evidence." Article 166 also points out that "preliminary examination officers shall not forcibly make the accused admit their guilt or induce statements." Moreover, Article 225 stipulates that witnesses are protected from threats or coercion during interrogation.

Despite these regulations in North Korea's Criminal Law and Criminal Procedure Law, torture and inhuman treatment frequently occur in the actual process of handling criminal cases. Furthermore, torture is prevalent as it is established as one of the investigation methods for obtaining confessions from suspects during interrogation.<sup>25</sup> In the 2019 survey, no testimonies have been collected where torture and inhuman treatment were used as a means to force confession in the process of handling criminal cases. However, there were testimonies that the testifiers

<sup>25</sup> Dong-ho Han et al., Torture and Inhuman Treatment in North Korea (Seoul: KINU. 2016), p. 9.

experienced or witnessed the forcing of fixed posture and beatings when detained in the MSS holding centers (jipkyulso) and detention centers (guryujang).<sup>26</sup> (Refer to II. The Reality of Civil and Political Rights, 5. Right to Humane Treatment of Persons in Detention for details.)

Cases of Torture and Inhuman Treatment in the Process Table II-7 of Handling Criminal Cases

of Harlaning Chirminal Cases	
Testimonies	Testifier ID
A North Korean defector who was investigated for distributing South Korean recordings when living in Onsong County, North Hamgyeong Province, in 2015 said that the preliminary examination officer did not let him/her sleep or move. The testifier's legs were beaten with a right-angle ruler.	NKHR2017000042 2017-07-03
A woman in her 20s who was caught in security monitoring while working as a remittance broker and investigated by the MSS in Hyesan, Yanggang Province, was severely beaten during the investigation procedures.	NKHR2018000049 2018-06-04
A North Korean defector was investigated by the MSS in Onsong County, North Hamgyeong Province, for human trafficking in February 2015 and was frequently beaten. It was testified that there were also people whose bodies were bruised and flesh was decayed when they left, as they were beaten with clubs.	NKHR2018000074 2018-07-30
A North Korean defector was investigated by the MSS in Hyesan, Yanggang Province for 15 days for illegal use of a mobile phone in October 2015. Four security agents beat him frequently with their firsts and feet.	NKHR2018000127 2018-11-19
A North Korean defector was investigated by the MSS in Pyeongseong, South Pyeongan Province, in January 2016 for his/her daughter having escaped from North Korea, said that the investigator in charge grabbed his/her head and hit it against a wall, and kicked his/her legs.	NKHR2017000059 2017-07-31

<sup>26</sup>\_NKHR2019000010 2019-04-08; NKHR2019000013 2019-05-07; NKHR2019000026 2019-05-18; NKHR2019000047 2019-07-01; NKHR2019000069 2019-08-26; NKHR2019000075 2019-08-26; NKHR2019000089 2019-10-19; NKHR2019000106 2019-11-09.

Testimonies	Testifier ID
A North Korean defector was investigated for 8 days in May 2016 at a holding center ( <i>jipkyulso</i> ) in Samjiyeon, Yanggang Province. The defector was bruised all over the body after being beaten up for not admitting the fact that he/she wished to go to South Korea. The beating was even worse for his/her father as he lost all his teeth, and the blood vessels in his eyes burst.	NKHR2018000129 2018-11-19
A North Korean defector who was investigated by the MSS in Samjiyeon, Yanggang Province, testified to having been beaten severely for three days for not admitting to charges of illegal border-crossing.	NKHR2017000057 2017-07-31
A North Korean defector was investigated by the MSS in Onsong County, North Hamgyeong Province for 15 days in September 2016 and was beaten by MSS agents until the North Korean defector made a true confession and acknowledged his or her deeds. The North Korean defector was investigated while he/she was tied to a chair with shackles and when he/she refused to testify, the MSS agents kicked the chair and the North Korean defector fell together with the chair.	NKHR2018000109 2018-10-06
A North Korean defector was investigated by the MSS in Hoeryeong, North Hamgyeong Province for 30 days in November 2016. He/she was severely beaten up during the investigation with a thick club and right-angle rulers.	NKHR2017000054 2017-07-31
A North Korean defector was beaten up frequently in November 2016 while being detained for 20 days in the MSS in Onsong County, North Hamgyeong Province. The officers often beat him while on drugs (bingdu).	NKHR2017000099 2017-10-23

#### B. Public Execution of the Death Penalty

Even countries that allow the death penalty can violate Article 7 of the ICCPR depending on the method of execution. The UN Human Rights Committee stated in its General Comments on the prohibition of torture and inhuman treatment that States must execute the death penalty in such a way as to cause the least possible physical and mental suffering.<sup>27</sup> Against this backdrop, it must be considered that being executed publicly constitutes a cruel, inhuman, or degrading punishment for the person subject to the execution, which thereby violates Article 7.28 In this case. "execution of the death penalty in public" refers to cases where the death penalty is conducted in public, with the committed crimes stipulated in the Criminal Law to be punishable by death and the execution to be carried out according to certain procedures. If it is an extrajudicial, summary, or arbitrary execution and is carried out in public, it would violate both Article 6 and Article 7 of the ICCPR. Meanwhile, regardless of whether an execution is due to the death penalty or is an extrajudicial, summary, or arbitrary execution, it is bound to be seen by the general population if it is carried out in public. Furthermore, from the perspective of those who witness the execution, the act may constitute cruel, inhuman, or degrading treatment, which violates Article 7.29

<sup>27</sup>\_UN Human Rights Committee, General Comment, No. 20 (1992), para. 6.

<sup>28</sup>\_The UN Human Rights Committee expressed concern over public execution of the death penalty in some national reports including the one by North Korea. UN HRC, Concluding Observations: Islamic Republic of Iran, UN Doc. CCPR/C/IRN/CO/3 (2011); Democratic People's Republic of Korea, 27 August 2001, UN Doc. CCPR/ CO/72/PRK; Nigeria, UN Doc. CCPR/C/79/ Add.65 (1996). The UN Special Rapporteur on Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment also pointed out the issue of public execution of the death penalty. Note by Secretary-General, Interim Report of the Special Rapporteur on Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment, UN Doc. A/67/279 (2012).

<sup>29</sup>\_The COI also stated the following in its report of the detailed findings, "Especially for young children and relatives of the victim, the experience of [watching such killings] is often so horrifying, that the witnesses must themselves also be

Although North Korea has provisions for procedures and methods of carrying out the death penalty in its Criminal Procedure Law and Sentence and Decisions Enforcement Law, 30 it does not have explicit stipulations for making the execution of the death penalty public. According to the testimonies of North Korean defectors, public executions are still conducted in North Korea. Public execution cases have also been recorded in the 2018 survey, which include the testimonies of: People's Unit (inminban) disclosing the time and place of public execution in advance but not making attendance mandatory:<sup>31</sup> people being mobilized in school or at work to go and see the execution;<sup>32</sup> and those considered rebellious elements (so-called "Aerosaeng") being seperately mobilized to force them to see the execution.<sup>33</sup> However, it seems that the number of residents going to see the public execution has decreased compared to the past.

considered victims of inhuman and cruel treatment in contravention of Article 7 of the ICCPR." OHCHR, "Report of the Detailed Findings of the Commission of Inquiry on Human Rights in the Democratic People's Republic of Korea", UN Doc. A/HRC/25/CRP.1 (2014), para, 830.

<sup>30</sup>\_Article 421 of the North Korean Criminal Procedure Law stipulates that the death penalty ruling shall be conducted by the punishment enforcement institution that received the death penalty document on direction of enforcement and a certified copy of the written judgment issued by the Supreme Court. Article 32 of the Sentencing and Decisions Enforcement Law has the same provisions as the Criminal Procedure Law and stipulates that the death penalty is to be "conducted in the same way as death by shooting."

<sup>31</sup>\_NKHR2018000060 2018-07-02.

<sup>32</sup>\_NKHR2018000098 2018-10-01.

<sup>33</sup> NKHR2018000114 2018-10-13.

Testimonies	Testifier ID
In 2015, three women were publicly executed by shooting at Gilseongpo Port, North Hwanghae Province.	NKHR2019000054 2019-07-29
In February 2015, five men were publicly executed by shooting at a stadium in Phyeongsong, South Pyeongan Province.	NKHR2017000083 2017-09-25
In the summer of 2014, a city party official was publicly executed by shooting in Hyesan, Yanggang Province.	NKHR2016000158 2016-09-20
In October 2016, three men and four women were publicly executed by shooting at an airfield in Yeonbong-dong, Hyesan, Yanggang Province.	NKHR2017000073 2017-08-28
In 2017, two men were publicly executed by shooting at an airfield in Yeonbong-dong, Hyesan, Yanggang Province.	NKHR2018000114 2018-10-13
In February 2017, approximately twenty people were publicly executed by shooting in Pyoksong County, South Hwanghae Province.	NKHR2017000073 2017-08-28
In December 2017, one woman was publicly shot dead at Onsong County, North Hamgyeong Province.	NKHR2018000107 2018-10-01
In 2018, two people were publicly executed by shooting in Pyeongseong, South Pyeongan Province.	NKHR2019000024 2019-05-18
In 2018, a woman was publicly executed by shooting in Chongjin, North Hamgyeong Province.	NKHR2019000071 2019-08-26
In January 2018, a woman was publicly executed by shooting in Sinuiju, North Pyeongan Province.	NKHR2019000111 2019-11-18
In around February–March 2018, a man was publicly executed by shooting in Onsong County, North Hamgyeong Province.	NKHR2019000118 2019-11-30

Due to limited information, it is not easy to clearly divide the collected cases into those where public execution of the death penalty were conducted and those where extrajudicial, summary or arbitrary executions were conducted in public. However, aside from protecting the minimum legal rights of defendants, such as trial procedures, carrying out an execution by shooting in "public" itself is inhuman under Article 7 of the ICCPR. In this context, the above cases violate, at the very least, Article 7 of the ICCPR.

However, there was a testimony collected in the 2019 survey, following the 2018 survey, that public executions have decreased recently.<sup>34</sup> While some answered that public executions disappeared from a certain point of time,<sup>35</sup> they seem to be limited to their personal experience since there were specific cases of witnessing public executions up until 2018. Regarding the decrease in the number of public executions, more detailed observation is required to determine if it means that there are more private executions or more summary executions taking place in secret.

According to KINU's survey results thus far, by region, executions are most often witnessed in Hyesan, Yanggang Province. In general, public executions of the death penalty are more concentrated in border areas than in inland areas, and in city areas than in rural areas. This may be because there are relatively more illegal activities in border regions and cities, and the North Korean authorities are trying to warn and incite fear among residents in those regions.

<sup>34</sup>\_NKHR2018000115 2018-10-22; NKHR2019000063 2019-07-29; NKHR2019000083 2019-09-25; NKHR2019000086 2019-10-19; NKHR2019000097 2019-10-21; NKHR2019000112 2019-11-18

<sup>35</sup>\_NKHR2019000021 2019-05-07; NKHR2019000033 2019-06-03; NKHR2019000069 2010-08-26; NKHR2019000070 2019-08-26; NKHR2019000078 2019-09-25; NKHR2019000082 2019-09-25; NKHR2019000087 2019-10-05.

### C. Enforced Disappearances

The UN Human Rights Committee has found that enforced disappearances may constitute torture and/or inhuman treatment of the person who is made to disappear and his or her family.<sup>36</sup> People in enforced disappearances would be detained and separated from their families for a long time, and their families would live in worry and concern without knowing the person's whereabouts or whether he/she is alive or dead. Therefore, both the people who are subject to enforced disappearance and their family are victims of torture and/or inhuman treatment. This topic will be examined in more detail in 'II. The Reality of Civil and Political Rights, 4. Right to Liberty and Security of Person'; 'II. The Reality of Civil and Political Rights, 7. Right to a Fair Trial'; 'V. Major Issues, 1. Political Prison Camps'; 'V. Major Issues, 5. Separated Families, Abductees, and Korean War POWs.'

### D. Evaluation

Unlike what has been stipulated in North Korea's Criminal Law and Criminal Procedure Law, torture and inhuman treatment seem to be prevalent in the process of handling cases. In the 2019

<sup>36</sup>\_For example, Committee on Civil and Political Rights Communications 950/2000, Sarma v. Sri Lanka, 31 July 2003, para. 9.5; 1295/2004, El Alwani v. Libyan Arab Jamahiriya. 11 July 2007, paras. 6.5 and 6.6; and 1327/2004. Grioua v. Algeria. 10 July 2007, paras. 7.6. and 7.7.

survey, it was found that public executions and forced witnessing of public executions are still in place. This constitutes cruel, inhuman, or degrading punishment from the perspective of the executed and also cruel, inhuman, and degrading treatment of those who are forced to witness the execution. Meanwhile, in the 2019 survey, there were testimonies that public executions have decreased recently, but it is unclear whether this is related to the increase of non-public executions and secret summary executions.

# Right Not to be Forced into Labor

Article 4 of the UDHR stipulates that no one shall be held in slavery or servitude, and that slavery and the slave trade shall be prohibited in all their forms. Article 8 of the ICCPR prohibits slavery and the slave trade, servitude and forced labor. However, while there are no exceptions regarding the prohibition against slavery, trading of slaves, and servitude, exceptions exist for the prohibition against forced labor.

Table II -9	Article 8 of the ICCPR
Paragraph 1	No one shall be held in slavery; slavery and the slave-trade in all their forms shall be prohibited.
Paragraph 2	No one shall be held in servitude.
Paragraph 3	<ul> <li>(a) No one shall be required to perform forced or compulsory labour.</li> <li>(b) Paragraph 3 (a) shall not be held to preclude, in countries where imprisonment with hard labour may be imposed as a punishment for a crime, the performance of hard labour in pursuance of a sentence to such punishment by a competent court.</li> <li>(c) For the purpose of this paragraph the term "forced or compulsory labour" shall not include: <ol> <li>(i) Any work or service, not referred to in sub-paragraph (b), normally required of a person who is under detention in consequence of a lawful order of a court, or of a person during</li> </ol> </li> </ul>

- conditional release from such detention:
- (ii) Any service of a military character and, in countries where conscientious objection is recognized, any national service required by law of conscientious objectors:
- (iii) Any service exacted in cases of emergency or calamity threatening the life or well-being of the community;
- (iv) Any work or service which forms part of normal civil obligations

This chapter will examine the situation in North Korea regarding the right not to be forced into labor.

# A. Labor Training Punishment for Minor Offenses

Article 8, paragraph 3 (a) of the ICCPR prohibits forced labor. However, Article 8, paragraph 3 (b) states that in countries where crimes are punishable by imprisonment with hard labor, it is not prohibited to force labor on criminals if they were sentenced with such punishment by a competent court. Moreover, according to Article 8, paragraph 3 (c), "forced or compulsory labour" does not include any work or service normally required of a person who is under lawful detention or of a person during conditional release from such detention, any service of a military nature, any service exacted in cases of emergency or calamity, or any work or service that forms part of normal civil obligations. "Lawful detention" refers to all types of detention in consequence of a lawful order of a court, including detention before a trial is held, and "any work or service normally required of a person who is under lawful detention" can be interpreted as daily tasks in detention facilities and work to assist detainees' return to society.

Punishments depriving personal liberty and imposing prescribed labor in North Korean Criminal Law include correctional labor punishment—i.e., unlimited-term correctional labor punishment and limited-term correctional labor punishment—and labor training punishment (Article 27). Unlimited-term/limited-term correctional labor punishment detains criminals in prison camps (kvohwaso) and forces them to work, with the period of limited-term correctional labor punishment ranging from one year to fifteen years (Article 30). Labor training punishment sends criminals to certain places where they are forced to work with the term ranging from six months to one year (Article 31). According to Article 8, paragraph 3 (b), of the ICCPR, detaining those who are sentenced by courts to correctional labor punishment or labor training punishment in prison camps (kvohwaso) or other places and imposing labor upon them does not constitute as prohibited "forced labor." While it is generally interpreted as inappropriate to impose imprisonment with hard labor for minor offenses, the North Korean Criminal Law states that labor training punishment, which falls into the category of "imprisonment with hard labor," can be sentenced for relatively minor offenses. Therefore, this issue can be raised as a violation of Article 8, paragraph 3, of the ICCPR. Moreover, imposing excessive labor on convicted prisoners may not comply with Article 10, paragraph 3, of the ICCPR, which states that appropriate treatment of convicted prisoners shall be carried out. (See 'II. The Reality of Civil and Political Rights, 5. Right to Humane Treatment in Detention').

### B. Re-Educational Labor Discipline

North Korean Administrative Penalty Law requires more attention than any other law in regards to Article 8 of the ICCPR, the right not to be forced into labor. Article 14 of the same law stipulates re-educational labor discipline as a type of administrative penalty. Moreover, Article 17 states that "re-educational labor discipline is an administrative legal restriction for those who committed a crime that is not serious enough to be imposed with labor training punishment," and that re-educational labor discipline cannot be executed on women who are three months before or seven months after childbirth, and patients who are seriously ill or have infectious diseases. According to the Law, the period of the discipline is five days or more to six months or less. Re-educational labor was added when the Administrative Penalty Law was amended on 16 October 2011. The current Administrative Law states that there are a total of 162 activities punishable by re-educational labor discipline. Re-educational labor discipline can be imposed by the Socialist Law-Abiding Life Guidance Committee, prosecutor's office, judicial organs, and the MPS (Articles 230, 232, 233, and 235).

Table II −10 Re-Educational Labor Discipline under the Administrative Penalty Law

Article 230 (Authority of the Socialist Law-Abiding Life Guidance Committee to Impose Administrative Penalty)	In the case where the workers of state agency, company, or group commit illegal activities, the Socialist Law-Abiding Life Guidance Committee can impose them admonitions, stern warnings, unpaid labor, re-educational labor, demotion, removal from jobs, loss of jobs, fines, suspension, demand for compensation, confiscation, suspension of qualifications, degradation, or deprivation of qualifications.
Article 232 (Authority of the Prosecutor's Office to Impose Administrative Penalty)	The Prosecutor's Office can impose fines, suspension, compensation, confiscation, re-educational labor discipline for the illegal activities identified in the process of prosecutor investigating.
Article 233 (Authority of the Trial Institutions to Impose Administrative Penalty)	Trial institutions can impose fines, compensation, confiscation, or re-educational labor discipline, for the students, who was validated to have committed illegal activities.
Article 235 (Authority of the MPS Branch to Impose Administrative Penalty)	The MPS can impose fines, suspension, compensation, confiscation for the illegal for the cases identified during the process of citizen crack-down.

In addition to the Administrative Penalty Law, the People's Security Enforcement Law stipulates that the MPS and Responsible Workers' Association have the authority to impose re-educational labor discipline (Article 57 of the People's Security Enforcement Law).

Re-educational labor discipline does not fall into the aforementioned exceptions regarding the prohibition against forced labor, and therefore, is a more serious issue. A woman in her 20s from Yanggang Province said that she was mobilized to various works including papering the walls, building fences, hardening the ground for the stadium of Samjiyeon and weeding after being sentenced to be accommodated in the disciplinary labor center in Samjiyeon for a month.37 According to her testimony, when going out of the center, she was accompanied by a supervisor. Life Review Sessions (saenghwalchonghwa) took place every Saturday and the inmates were required to recite the Ten Principles and the Regulations of the Labor Training Camp (rodongdanryundae).

Table II-11 Cases of Re-Educational Labor Discipline

Testimonies	Testifier ID
In July 2015, the testifier was detained in a labor training camp ( <i>rodongdanryundae</i> ) in Samjiyeon, Yanggang Province, and did farm work for 12 hours per day.	NKHR2016000015 2016-01-26
In August 2016, the testifier was imprisoned in a labor training camp ( <i>rodongdanryundae</i> ) in Hoeryeong, North Hamgyeong Province, and was forced to do construction work, work at restaurants, hang new wallpaper at the manager's house, etc.	NKHR2017000086 2017-09-25
From August to September 2016, the testifier did logging and cooking at the disciplinary labor center in Bocheon Town, Bocheon County, Yanggang Province. She was able to commute out of consideration for her young daughter at home.	NKHR2018000120 2018-10-22
In November 2016, political committee members and police officers decided to punish the testifier with disciplinary labor for six months after the testifier was absent from work without notice and they had him detained in the Disciplinary Labor Center in Hyesan, Yanggang Province. The testifier was put in the construction field to build Hyesan middle school academy and worked from 7 am to 9 pm.	NKHR2017000095 2017-10-23

<sup>37</sup>\_NKHR2018000129 2018-11-19.

# C. Labor Exploitation of Prisoners in Holding Centers (iipkyulso)

A holding center (jipkyulso) is a place of investigation and detention for travelers who have left their designated region or stayed beyond their authorized duration, homeless children, those whose cases are pending, and defectors who have been repatriated. When a holding center (jipkyulso) notifies the MPS city/county branches or the MSS in charge of the residence area of the detainees, relevant MPS/MSS officers of that area come to the holding center (*jipkyulso*) and transfer the detainees to their residence area. A number of North Korean defectors testified that some holding centers (*jipkvulso*) force their detainees into labor. Depending on the time of notification from the holding center (jipkyulso) to the MPS branch in charge of the detainees' residence area, detainees stay in holding centers (jipkyulso) for three to six months and some holding centers (jipkyulso) intentionally send notifications belatedly to exploit the detainees' labor. A North Korean defector  $\bigcirc\bigcirc\bigcirc$  who was detained in a holding center (jipkyulso) in Chongjin, North Hamgyeong Province for 20 days in 2017 said that he/she was mobilized to build factory fences and harvest in the field. The testifier was able to return home earlier thanks to his/her family. Without such effort, the detention center (guryujang) does not let them go

without at least three months of labor.<sup>38</sup> The testifier said the length of stay in the center depends on how well inmates' family members do "business (*saeop*)" to the police officer in charge. Another North Korean defector who was in a holding center (*jipkyulso*) of Ranam district in Chongjin, North Hamgyeong Province, from May to July in 2015 said that he/she was mobilized to cast the pavement blocks for about 12 hours a day.<sup>39</sup> According to his/her testimony, the holding center (*jipkyulso*) is likely to call the relevant MPS when a person in question does not have money. However, for those with money, the director and officers of the center do not call the police right away as they do not want to split the money that can come directly to their pocket. According to the testimony, those repatriated from China had to stay in the holding center (*jipkyulso*) longer than others as they had a lot of clothing, money, and valuables.

In the 2019 survey, there was a specific testimony collected about the intensity of labor at holding centers (*jipkyulso*) in Chongjin, North Hamgyeong Province. A North Korean defector who was detained in a holding center (*jipkyulso*) in Chongjin, North Hamgyeong Province, from July to August 2018 testified that he/she was not investigated and did farmwork, construction

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<sup>38</sup>\_NKHR2018000098 2018-10-01.

<sup>39</sup> NKHR2018000074 2018-07-30.

site work, and livestock work from 5 am to 8 pm. 40 The testifier carried blocks on his/her back at a construction site and was beaten by a manager when he/she tried to drink water on a hot day reaching 40°C. A North Korean defector who said he/she was at Nongpo holding center (jipkyulso) in the Ranam district in Chongjin, North Hamgyeong Province, from August to December 2017 testified that he/she put cement into burlap bags at a cement plant in the holding center (jipkyulso) and also did farmwork including planting cabbage and pepper.<sup>41</sup> A North Korean defector who was detained at Nongpo holding center (jipkyulso) in the Ranam district in Chongjin, North Hamgyeong Province, in 2015 was mobilized for labor to produce 20 tons of cement and 3,000 precast pavers per day and worked around 15~16 hours per day.42 According to the testifier, he/she had to manually run a machine that cast precast pavers when the electricity was off, and although the tips of his/her fingers were chapped and severely bleeding, he/she could not get treatment and had to work continuously.

<sup>40</sup>\_NKHR2019000010 2019-04-08.

<sup>41</sup>\_NKHR2019000075 2019-08-26.

<sup>42</sup> NKHR2019000089 2019-10-19.

Table II-12 Cases of Forced Labor in Holding Centers

Testimonies	Testifier ID
In 2015, a North Korean defector was mobilized for the production of cement and precast pavers when detained in the Ranam district holding center ( <i>jipkyulso</i> ) in Chongjin, North Hamgyeong Province.	NKHR2019000089 2019-10-19
In September 2015, the testifier was mobilized in the construction work when he/she was detained in the Yongsan holding center ( <i>jipkyulso</i> ) in Rason Special City, North Hamgyeong Province.	NKHR2018000001 2018-03-12
In December 2016, the testifier was forced to participate in making fertilizer with human manure when he/she was detained in a holding center ( <i>jipkyulso</i> ) for travelers in Chongjin, North Hamgyeong Province.	NKHR2017000054 2017-07-31
From December 2016 to March 2017, the testifier was mobilized in making soccer balls and gathering firewood when he/she was detained in Songpyeong holding center ( <i>jipkyulso</i> ) in Chongjin, North Hamgyeong Province.	NKHR2017000099 2017-10-23
From August to December 2017, a North Korean defector was mobilized for the labor of putting cement into burlap bags at a cement plant and was also mobilized for farmwork including planting cabbage and pepper when detained at Nongpo holding center ( <i>jipkyulso</i> ) in the Ranam district in Chongjin, North Hamgyeong Province.	NKHR2019000075 2019-08-26
From July to August 2018, a North Korean defector was mobilized for farmwork, construction site work, and livestock work, etc., when detained in a holding center ( <i>jipkyulso</i> ) in Chongjin, North Hamgyeong Province.	NKHR2019000010 2019-04-08

### D. Evaluation

It is found that North Korea continues to practice forced labor prohibited by Article 8, paragraph 3 of the ICCPR. Key examples include imposing labor training punishment for relatively minor offenses, imposing re-educational labor discipline under the Administrative Penalty Law and the People's Security Enforcement Law, and forcing labor on those who are temporarily detained in holding center (jipkyulso). Relevant cases were reported in the 2019 survey as well. In particular, holding centers (jipkyulso) located in the border areas appear to impose severe labor on its detainees.

# Right to Liberty and Security of Person

The UDHR stipulates the right to liberty and security of person along with the right to life in Article 3, which is the first provision on substantive rights. "Liberty" refers to freedom from physical restrictions, not general freedom of action, and "security" refers to freedom from mental and physical injury, or the maintenance of physical and mental integrity. Article 9 of the ICCPR defines the right to liberty and security of person in five paragraphs. Paragraph 1 states that arrest or detention should not be arbitrary or illegal. Paragraphs 2 to 5 stipulate procedural protections to secure liberty and security; part of paragraph 2 and paragraph 3 only apply to criminal procedures while the rest of the paragraphs apply to all those whose liberty is deprived.

Table II-13	Article 9 of the ICCPR
Paragraph 1	Everyone has the right to liberty and security of person. No one shall be subjected to arbitrary arrest or detention. No one shall be deprived of his liberty except on such grounds and in accordance with such procedure as are established by law.
Paragraph 2	Anyone who is arrested shall be informed, at the time of arrest, of the reasons for his arrest and shall be promptly informed of any charges against him.
Paragraph 3	Anyone arrested or detained on a criminal charge shall be brought promptly before a judge or other officer authorized by law to exercise judicial power and shall be entitled to trial within a reasonable time or to release. It shall not be the general rule that persons awaiting trial shall be detained in custody, but release may be subject to guarantees to appear for trial, at any other stage of the judicial proceedings, and, should occasion arise, for execution of the judgement.
Paragraph 4	Anyone who is deprived of his liberty by arrest or detention shall be entitled to take proceedings before a court, in order that the court may decide without delay on the lawfulness of his detention and order his release if the detention is not lawful.
Paragraph 5	Anyone who has been the victim of unlawful arrest or detention shall have an enforceable right to compensation.

The following sections examine the situation in North Korea by major issue related to liberty and security.

## A. Arbitrary or Unlawful Arrest/Detention

Article 9, paragraph 1 of the ICCPR prohibits arbitrary or unlawful arrest or detention, which refers to the arrest or detention imposed without legal grounds or lawful procedures.<sup>43</sup> The term 'arbitrary' is broader in scope compared to 'unlawful.' It encompasses

<sup>43</sup>\_UN Human Rights Committee, General Comment, No. 35 (2014), para. 11.

elements of inappropriateness, injustice and lack of predictability, legal procedures, reasonableness, necessity, and proportionality.<sup>44</sup> Therefore, prohibition against arbitrary arrest/detention can overlap with the prohibition against unlawful arrest or detention. According to the General Comments of the UN Human Rights Committee, even though the issue of detainee treatment is dealt with in Articles 7 and 10 of the ICCPR, the question of arbitrary detention can be raised if those who are detained are treated in a manner that is not related to the purpose of their detention.<sup>45</sup> Moreover, arrest or detention as a punishment for the legitimate exercise of freedom of opinion and expression, freedom of assembly and association, freedom of religion, and the right to privacy—all of which are protected by the ICCPR—is considered to be arbitrary, as are arrest or detention on discriminatory grounds and detention in violation of the principle of non-retroactivity of punishment. In particular, enforced disappearances constitute a serious form of arbitrary detention, as they violate numerous substantive and procedural provisions of the ICCPR. 46

The North Korean Constitution stipulates that its citizens are protected in terms of their inviolability as persons, and that citizens shall not be detained or arrested without legal grounds

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<sup>44</sup>\_ *lbid.*, para. 12.

<sup>45</sup>\_ Ibid., para. 14.

<sup>46</sup>\_ *lbid*., para. 17.

(Article 79). The North Korean Criminal Procedure Law distinguishes the investigation procedure for identifying criminals and criminal facts from the preliminary examination to confirm criminals and criminal facts. According to the law, compulsory measures, including arrest and detention, are taken during the preliminary examination in principle; however, the arrest of criminal suspects or criminals during the investigation process is allowed only in exceptional cases (Article 142). Regarding arrest or detention during the preliminary examination, the North Korean Criminal Procedure Law stipulates that the "preliminary examination officer can arrest or detain the person undergoing preliminary examination to prevent avoidance of the preliminary examination or trial or disturbance of the investigation" (Article 175). The law also states that "citizens shall not be arrested or detained for reasons not prescribed in the laws or without following the procedures prescribed in law" (Article 176). Moreover, it underlines the time, reasons, duration, etc. of arrest and detention (Articles 177 to 190). On the other hand, the North Korean Criminal Law states that law enforcement personnels who unlawfully arrest, detain, or apprehend people shall receive labor training punishment of one year or less, and those who repeatedly commits such acts or cause serious results shall be punished by correctional labor of five years or less (Article 241).

In spite of such provisions, it appears that arbitrary or unlawful arrest and detention are still prevalent in North Korea. As will be examined in detail later, detaining people in political prison camps (kwanliso) without following lawful procedures for criticizing the regime or insulting the "Supreme Leader (surveong)," attempting to enter South Korea, or engaging in religious activities is both arbitrary and unlawful. Moreover, arresting and detaining people who "unlawfully enter/exit borders" while not recognizing the freedom to leave the country and requiring people to hold travel permits when leaving the city or county in which they reside and arresting and detaining them in holding centers (*jipkyulso*) when they leave the authorized travel district or travel beyond the permitted duration of travel are also considered to be arbitrary arrest and detention (see 'II. The Reality of Civil and Political Rights, 6. Right to Freedom of Movement and Residence'). Arresting and detaining people for watching/distributing South Korean recordings constitutes arbitrary arrest and detention as well (see 'II. The Reality of Civil and Political Rights, 10. Freedom of Expression'). This is due to the fact that although there are provisions for control and punishment in North Korean laws, those arrests and detentions were to punish the legitimate exercise of rights that are protected by the ICCPR. If the above provisions on arrest and detention in the North Korean Criminal Procedure Law are not properly complied with, arrest and detention may be considered to be unlawful. According to an investigation conducted by the Korean Bar Association, most criminal suspects in North Korea are

investigated under arrest or detention, and in many cases, the detention period exceeds the prescribed duration.<sup>47</sup> Even when lifting the detention status, it was emphasized that Kim Jong Un was being merciful rather than presenting relevant legal grounds. A female North Korean defector from Hyesan, Yanggang Province, who escaped in August 2016 testified that she was investigated for five months at the MSS provincial bureau after getting caught by the Border Security Command attempting to escape in February 2015. According to her testimony, she was released because of Kim Jong Un's policy to "spare one's life if the person has 1% conscience despite the 99% guilt," and her detention was not recorded anywhere.<sup>48</sup>

# B. Insufficient Procedural Guarantee of Liberty and Security of Person

Article 9, paragraph 2 of the ICCPR stipulates that one should be notified upon arrest the reasons for arrest and the facts of the alleged crimes. The North Korean Criminal Procedure Law stipulates that notification of arrest and detention shall be provided in the preliminary examination stage (Article 182), although not in the investigation stage. Therefore, it appears that

<sup>47</sup>\_Korean Bar Association, 2016 White Paper on Human Rights in North Korea (Seoul: Korean Bar Association, 2016), pp. 196~208.

<sup>48</sup> NKHR2017000001 2017-04-10.

the right of arrested persons to be informed, based on Article 9, paragraph 2 of the ICCPR, are not properly protected. A woman in her 50s who lived in Hyesan, Yanggang Province, testified that her son was dragged away by an unidentified man in January 2016 and released after investigation. According to the testifier, she later found out that the man who had dragged her son was an MSS agent. Another North Korean defector testified that her husband was arrested by an MSS agent and investigated for about 15 days without being notified of the reason for arrest.

Meanwhile, Article 9, paragraph 3 of the ICCPR states that criminal suspects arrested or detained on a criminal charge shall be brought promptly before a judge or an authority who can exercise judicial power, and shall have the right to receive a trial or be released within a reasonable time frame. The Article also states that detention before trial is, at all times, an exceptional case. North Korea does not hold an investigation to determine a warrant's validity. Regardless, according to the North Korean Criminal Procedure Law, when suspects are arrested during the investigation stage, they should be immediately released if there is no approval by a prosecutor within 48 hours or if there is no validation within ten days that the suspects are guilty (Article 143). Moreover, if they are arrested in the process of the

<sup>49</sup>\_NKHR2018000089 2018-08-27.

<sup>50</sup>\_NKHR2018000099 2018-10-01.

preliminary examination, approval by prosecutor is required to determine whether to detain them or not (Article 185). According to the ICCPR, in order to be recognized as an authority with the power to exercise judicial power, independence, objectivity and fairness must be satisfied. However, it is noteworthy that prosecutors do not fall under this category.<sup>51</sup> In addition, the North Korean Criminal Procedure Law stipulates the length of time suspects may be imprisoned in each stage of investigation, preliminary examination and prosecution. Still, the period of detention for a preliminary examination may last up to five months, which is excessively long for a detention duration before trial (Articles 186 and 187). However, it is identified that even the period regulated by law was not complied with. A man in his 30s from Yanggang Province testified that he was sentenced to correctional labor punishment in 2015 after being detained in the detention center (guryujang) for nine months.<sup>52</sup> However, the period he was detained in the detention center (guryujang) was excluded from the correction period.

<sup>51</sup>\_UN Human Rights Committee, General Comment, No. 35 (2014), para. 32. 52 NKHR2018000034 2018-05-07.

Table II -14		Investigation, Preliminary Examination, and on and Imprisonment Period of Suspects
Investigation Stage	Criminal Procedure Law Article 143	Investigation agent shall gain approval of prosecutor by preparing detention decision document within 48 hours from arrest when detaining a criminal suspect or criminals based on Article 142 of this Law and hand-over the person to preliminary examination by investigating the person within 10 days from the arrest. When failing to gain approval from prosecutor or confirming that the person is a criminal within ten days from arrest, the person shall be released. (The rest is omitted)
Preliminary Examination Stage	Criminal Procedure Law Article 150	Preliminary examination shall be finished within two months from the starting date of preliminary examination of a crime case.  Preliminary examination of a crime case where labor training punishment can be applied shall be finished within ten days.  (The rest is omitted)
	Criminal Procedure Law Article 151	Preliminary examination of especially complicated crime cases for which preliminary examination can be finished in the period stipulated in Article 150, paragraph 1 of this Law, preliminary examination can be conducted for up to 5 months from the starting date of the preliminary examination based on the regulations in Article 187, paragraph 1 and 2 of this Law.  If preliminary examination of a crime case where labor training punishment can be applied cannot be finished in the stipulated period in Article 150, paragraph 2 of this Law for an inevitable reason, the period can be extended by five days with approval of prosecutor.
	Criminal Procedure Law Article 186	Those undergoing preliminary examination cannot be detained for preliminary examination for more than two months.  (The rest is omitted)
	Criminal Procedure Law Article 187	As for criminal cases for which preliminary examination cannot be finished in the regulated period in Article 150, paragraph 1 of this Law, detention period for those undergoing preliminary examination can be extended by one month by city (district)/county preliminary examination officer and province (municipality directly under cental authority) preliminary officer through obtaining approval from the head of Public Prosecutors Office of province (municipality directly under cental authority) and by central preliminary

		examination officer through gaining approval from Supreme Public Prosecutors Office.  As for complicated crime cases where labor training punishment can be applied but for which preliminary examination cannot be finished in the regulated period in Article 150, paragraph 2 of this Law, the detention period for those undergoing preliminary examination can be extended by 5 days with approval of prosecutor.  * Supreme Public Prosecutors Office was changed to Central Public Prosecutors Office in the fourth meeting of the 13th Supreme People's Assembly (29 June 2016.)
Prosecution Stage	Criminal Procedure Law Article 261	The prosecutor who received case records from preliminary examination officer shall make case review decision and review and process the case within 10 days. However, in the case of especially complicated or serious cases for which reviewing and processing within ten days is impossible, the period can be extended by five days.  Records of crime cases where labor training punishment can be applied shall be reviewed/ processed within five days.
	Criminal Procedure Law Article 262	Those undergoing preliminary examination shall be detained for prosecution for ten days. However, as for especially complicated or serious cases, the period can be extended by five days.  Those undergoing preliminary examination for cases for which labor training punishment can be applied shall be detained for five days.

Article 9, paragraph 4 of the ICCPR stipulates the right to claim that the legality of an arrest or detention be reviewed, and paragraph 5 states the right to compensation for unlawful arrest or detention. However, the right to claim a review of the legality of an arrest or detention does not seem to be recognized in North Korea. As for claiming criminal compensation, it is hard to find relevant regulations. In relation to this, the North Korean Compensation for Damage Law stipulates compensation for damages caused by the illegal infringement of one's person (Article 8). In particular, it stipulates the responsibility for damage compensation when a person's liberty has been restricted (Article 40). However, the Compensation for Damage Law is applied only on the relationships between agencies, enterprises, organizations and people (Article 8), and the illegal infringement of one's person by a judicial agency is not stipulated as subject to discipline. However, North Korea mentioned the "Regulation on Criminal Compensation" in its Second Periodic Report for the ICCPR, submitted to the UNHRC in 2000.53 North Korea stated that Article 2 of the same regulation stipulates that "the state shall compensate for the mental and physical suffering and the property loss of the person who has been arrested and detained or punished innocently by investigation, preliminary examination or judiciary institutions." In the Common Core Documents submitted to the UN in 2016, North Korea also mentioned a compensation system for those whose rights are infringed upon. It shows that there is a criminal compensation system as well as a civil compensation system. 54 However, general North Korean residents are not aware of the existence of the criminal compensation system and the system is not used.<sup>55</sup>

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<sup>53</sup>\_Second Periodic Report of the Democratic People's Republic of Korea on Its Implementation of the International Covenant on Civil and Political Rights, UN Doc. CCPR/C/PRK/2000/2 (2000), para. 17 (d).

<sup>54</sup>\_Common Core Document Forming Part of the Reports of State Parties: Democratic People's Republic of Korea, UN Doc. HRI/CORE/PRK/2016 (2016), para. 52.

<sup>55</sup>\_Korean Bar Association, 2018 White Paper on North Korean Human Rights, (Seoul: Korean Bar Association, 2018), p. 85.

### C. Evaluation

It appears that arbitrary or unlawful arrest, which is prohibited by Article 9, paragraph 1 of the ICCPR, continues to occur in North Korea. Detention of political criminals is a representative case of both arbitrary and unlawful arrests and detention. As will be examined specifically in the sections on individual rights, arrest and detention are frequently used in North Korea as punishment for the legitimate exercise of the rights guaranteed in the ICCPR. It is also assessed that the procedural guarantee of personal liberty and security is insufficient. The North Korean Criminal Procedure Laws does not have a provision for informing the suspect of the reasons for his or her arrest and the facts of the charge. It also does not include a system to review the validity of a warrant by a judge. Moreover, the detention period before trial is excessively long. For example, the detention for preliminary examination may last up to five months, but even this is not properly complied with. It seems that North Korea also does not recognize the right to claim a review of the legality of arrest and detention. The 2019 survey has not collected any testimonies showing meaningful change.

# Right to Humane Treatment of Persons in Detention

Article 10 of the ICCPR stipulates the humane treatment of persons who are deprived of liberty, whether unconvicted or convicted.

Table II - 15	Article 10 of the ICCPR
Paragraph 1	All persons deprived of their liberty shall be treated with humanity and with respect for the inherent dignity of the human person.
Paragraph 2	<ul><li>(a) Accused persons shall, save in exceptional circumstances, be segregated from convicted persons and shall be subject to separate treatment appropriate to their status as unconvicted imprisoned persons.</li><li>(b) Accused juvenile persons shall be separated from adults and brought as speedily as possible for adjudication.</li></ul>
Paragraph 3	The penitentiary system shall comprise treatment of prisoners the essential aim of which shall be their reformation and social rehabilitation. Juvenile offenders shall be segregated from adults and be accorded treatment appropriate to their age and legal status.

This chapter will examine the situation in North Korea by major issues related to the right to humane treatment in detention.

# A. Inhuman Treatment of Persons Deprived of Liberty

Article 10, paragraph 1 of the ICCPR stipulates that "all persons deprived of their liberty shall be treated with humanity and with respect for the inherent dignity of the human person." This provision applies to those who are deprived of liberty by the laws and authority of the State Parties when they are kept in prisons, hospitals (particularly psychiatric hospitals), detention centers (guryujang), correctional institutions, and other facilities. 56 Article 10, paragraph 1 of the ICCPR supplements the ban on the People's Unit (inminban) chief or other cruel, inhuman, or degrading treatment or punishment specified in Article 7 of the ICCPR, by imposing on State Parties an active obligation toward persons who are vulnerable when their liberty is deprived.<sup>57</sup> Therefore, persons deprived of their liberty shall not be treated in any way contrary to Article 7 of the ICCPR or be subject to any hardships or pressure other than that which results from the deprivation of liberty. Persons deprived of their liberty must have their dignity respected under the same conditions as those of free persons and must enjoy all the rights set forth in the ICCPR, subject to the restrictions that are unavoidable in a closed environment.58

<sup>56</sup>\_UN Human Rights Committee, General Comment, No. 21 (1992), para. 2.

<sup>57</sup>\_ *lbid.*, para. 3.

<sup>58</sup> *Ibid*.

### (1) Situation in Detention Facilities

In North Korea, there are several types of detention facilities, including prison camps (kyohwaso), labor training camps (rodongdanryundae), holding centers (jipkyulso), detention centers (guryujang), and political prison camps (kwanliso). Political prison camps (kwanliso) will be examined in 'V. Major Issues, 1. Political Prison Camps' as these camps are different in nature than other detention facilities.

### (a) Prison Camps

Prison camps (kvohwaso) are correctional facilities of the MPS Correctional Education Bureau, which is directly under the State Affairs Commission (hereinafter SAC), and facilities for detaining those who are sentenced to correctional labor by a court.<sup>59</sup> Based on the testimonies of North Korean defectors and satellite images,

<sup>59</sup> The North Korean Criminal Law stipulates that correctional labor punishment is to detain criminals in prison camps (kvohwaso) and have them engage in labor. The punishment can be divided into unlimited-term correctional labor punishment and limited-term correctional labor punishment (Article 30). The current North Korean Criminal Law imposes unlimited-term correctional labor punishment for a total of eight crimes (conspiracy to overturn the State, terrorism, treason against the fatherland, clandestine destruction, treason against the people, illegal cultivation of opium and manufacturing of narcotics, smuggling and trafficking of narcotics, and premeditated murder) (Article 60, 61, 63, 65, 68, 206, 208 and 266). For the most general crimes, limited-term correctional labor punishment is imposed. The term of limited-term correctional labor punishment is from one year or more to fifteen years or less. Even when crimes are merged or prison terms are combined, the total term cannot exceed fifteen years, and one day of detention before rendition of judgment is calculated as one day of limited-term correctional labor punishment (Article 30). During the term of unlimited-term correctional labor punishment and limited-term correctional labor punishment, the rights of citizens are partially suspended (Article 30).

it was identified that there were a total of nineteen prison camps (kyohwaso) that were being operated in North Korea as of 2015, as can be seen in <Figure ∏-1>.60





Defectors who were forcibly repatriated to North Korea are detained mostly in Jeongeori Kyohwaso and Gaechon Kyohwaso after being sentenced to correctional labor punishment for illegal border-crossing<sup>61</sup> under the provision of North Korean Criminal Law. Therefore, many of the testimonies relate to the two prison camps (kyohwaso).

<sup>60</sup>\_Dong-ho Han et al., Prison Camps in North Korea (Seoul: KINU, 2016), p. 16.

<sup>61</sup>\_Article 221 of the North Korean Criminal Law stipulates that people convicted of illegal entry of borders shall be sentenced to one year or less of labor training punishment, and those convicted of serious charges shall be sentenced to five years or less of correctional labor punishment.

### Jeongeori Kyohwaso

Jeongeori *Kyohwaso* is a prison camp under the MPS provincial bureau in North Hamgyeong Province and is located in Musan-ri, Hoeryeong, North Hamgyeong Province (42.2103 degrees north latitude and 129.7536 degrees east longitude).



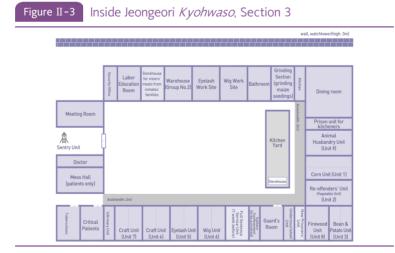


Jeongeori *Kyohwaso* manages convicted prisoners by dividing them into sections numbered 1 to 5. Convicted male prisoners are placed in Sections No. 1, 2, 4, and 5, while convicted female prisoners are assigned to Section No. 3.<sup>62</sup> Each section is classified again into units. Testimonies indicate Section No. 1 has around twelve units, Sections No. 2 and 3 around ten units, and Section No. 4 around four units.<sup>63</sup> Many North Korean defectors

<sup>62</sup>\_NKHR2013000046 2013-03-05 and many other testimonies.

<sup>63</sup> NKHR2012000185 2012-09-11.

who were detained in Jeongeori *Kyohwaso* are female, and based on their testimonies, it was possible to examine Section No. 3 in more detail, since that is the female detention facility. According to the testimonies, there are three prison staff for Section No. 3, including the head of the section, secretary, and doctor, and three female guards.<sup>64</sup> The section is divided into a potato unit, a vegetable unit, a bean unit, a corn unit, a rock collection unit, a wig/eyelash unit, a firewood unit, an animal husbandry unit, a cooking unit, and an undernourished unit.<sup>65</sup> <Figure II-3> shows the inside of the physical structure of Section No. 3 at Jeongeori *Kyohwaso*, which has been reconstructed based on testimonies.<sup>66</sup>



64\_NKHR2014000048 2014-05-13.

<sup>65</sup>\_NKHR2011000248 2011-12-20; NKHR2014000048 2014-05-13.

<sup>66</sup>\_NKHR2014000031 2014-04-15; NKHR2014000040 2014-04-29; NKHR2015000036 2015-02-10.

#### Gaechon Kyohwaso

Gaechon Kyohwaso is one of the prison camps (kyohwaso) under the MPS provincial bureau in South Pyeongan Province and is located in Yaksu-dong, Gaechon, South Pyeongan Province (39.7083 degrees north latitude and 125.9233 degrees east longitude).



Figure II - 4 Satellite View of Gaechon Kyohwaso

Gaechon Kyohwaso is divided into male and female zones. The female zone is again divided into unlimited-term and limited-term zones in which prisoners sentenced to unlimited-term correctional labor punishment and limited-term correctional labor punishment reside respectively.<sup>67</sup> Testimonies indicate that female unlimited-term and limited-term areas are in separate buildings.<sup>68</sup> Given that

<sup>67</sup>\_NKHR2014000175 2013-10-21; NKHR2015000186 2015-12-15.

<sup>68</sup> Above testimonies.

convicted female prisoners sentenced to unlimited-term correctional labor punishment are detained here, Gaechon Kyohwaso seems to be a detention facility for criminals charged with serious crimes. According to testimonies, the female prisoners at Gaechon Kyohwaso are divided into a farming unit, a livestock unit, a knitting unit, a vegetable unit, a fruit unit, and a plowing unit.<sup>69</sup> A North Korean defector testified that the farming, livestock, and fruit units lived in single-story houses, while the knitting unit lived in a two-story building near the guards' buildings. According to the testifier, the knitting unit comprised those who were sentenced to unlimited-term correctional labor punishment and those with a higher risk of escape, including those convicted of illegal border-crossing and human trafficking. 70 According to a North Korean defector who was imprisoned in Gaechon Kyohwaso from 2011 to 2013, re-offenders were placed in the vegetable unit for high-intensity work.71 Testifiers said that convicted male prisoners at Gaechon Kyohwaso worked in brick units and leather units (making shoes, belts, holsters, military boots, and loafers) or mined coal at nearby mines.<sup>72</sup> A man in his 30s who was once detained in Gaechon Kyohwaso in 2015 testified that those with short detention periods, meaning no

<sup>69</sup>\_NKHR2013000156 2013-08-20.

<sup>70</sup>\_NKHR2013000121 2013-06-25; NKHR2016000014 2016-01-26.

<sup>71</sup>\_NKHR2017000130 2017-12-18.

<sup>72</sup>\_NKHR2013000115 2013-06-11; NKHR2013000195 2013-10-29.

possibility of running away, were assigned to mining work.<sup>73</sup>

### (B) Labor Training Camps

Labor training camps (rodongdanryundae) are where those sentenced to labor training punishment (from six months or more to one year or less) by the court or those sentenced with re-educational labor discipline (from five days or more to six months or less) as an administrative punishment by the People's Security Agency, etc. It appears that those sentenced to labor training punishment are detained in labor training camps (rodongdanryundae) under the jurisdiction of the MPS and those sentenced to re-educational labor discipline are detained in labor training camps (rodongdanryundae) at the city, county and district levels under the Labor Mobilization Division of the People's Committee. There are testimonies about labor training camps (rodongdanryundae) in Wonsan, Gangwon Province, and in Jeungsan County, South Pyeongan Province, being under the jurisdiction of the MPS.<sup>74</sup> Considering the testimonies saying that they were sentenced to correctional labor and sent to the correctional labor camp located in the Gaechon Kyohwaso, it can be assumed<sup>75</sup> that there is a correctional labor camp in Gaechon

<sup>73</sup>\_NKHR2018000034 2018-05-07.

<sup>74</sup>\_NKHR2014000065 2014-06-03; NKHR2015000121 2015-09-08.

<sup>75</sup> NKHR2016000026 2016-03-08; NKHR2017000005 2017-04-10; NKHR2018000049 2018-06-04; NKHR2018000080 2018-07-30.

Kyohwaso controlled by the MPS. It has also been reported that the military operates its own internal labor training camps (rodongdanryundae).76

### (C) Holding Centers

A holding center (*jipkyulso*) investigates and detains travelers who go beyond their authorized regions and stay beyond than their authorized duration, homeless children, those whose cases are pending, and repatriated defectors. When holding centers (*jipkyulso*) send notifications to the MPS city/county branch in charge of the residence area of a prisoner, the MPS officers in charge come to the holding centers (jipkyulso) and transport the detainees to his/her residence areas. It has been ascertained that holding centers (jipkyulso) under the jurisdiction of the MSS are located across North Korea, and that there are holding centers (jipkyulso) operated by the MSS in the border region. It has also been found that the holding centers (jipkyulso) operated by the MSS in the border region are for detaining those who attempt to cross the border illegally to defect to China or South Korea. Moreover, it has been identified that many people are detained in a small space. According to a testimony by a North Korean defector  $\bigcirc\bigcirc\bigcirc$ , 30 people live in a space slightly larger than 2 pyeong in Chongjin holding centers (jipkyulso) under the MSS.<sup>77</sup>

<sup>76</sup>\_NKHR2015000119 2015-09-08.

<sup>77</sup>\_NKHR2019000075 2019-08-26.

The testifier was detained in Chongjin holding centers (*jipkyulso*) in 2017.

### (D) Detention Centers

Detention centers (guryujang) are where suspects are detained for investigation before their trial. According to the North Korean Criminal Procedure Law, MSS investigators and preliminary examination officers are in charge of conducting the investigation and preliminary examination of cases related to crimes against the State or the people. In the case of general crimes, MPS investigators and preliminary examination officers are in charge (Articles 46 and 48). Hence, detention centers (guryujang) are divided into MSS detention centers (guryujang) and MPS detention centers (guryujang). The MSS organization is divided into central, provincial, and city/county levels, and there are detention centers (gurvujang) at each level. The MPS is divided into central, provincial, city/county, and dong/li levels, and there are detention centers (guryujang) for each level.

### (2) Treatment in Detention Facilities

According to defector testimonies, there are continuous cases of violence and mistreatment in prison camps (kyohwaso), labor training camps (rodongdanryundae), holding centers (jipkyulso), and detention centers (guryujang) in North Korea, and many prisoners suffer from poor nutrition, sanitation and health care. Cases were reported that many prisoners die from such inhuman treatment. In the following sections, violent and cruel treatment and the nutritional, hygiene, and medical situation will be examined.<sup>78</sup>

#### (A) Prison Camps

Violent and cruel treatment in prison camps (*kyohwaso*) continues to occur. Numerous relevant cases have also been collected in the 2019 survey. A North Korean defector  $\bigcirc\bigcirc\bigcirc$  who was detained in a prison camp (*kyohwaso*) in Hamheung, North Hamgyeong Province in 2016, said that he/she was beaten for three days, which made his/her calves turn black, and the swollen calves were chapped on the third day. However, there are testimonies that violence and severe treatment in prison camps (*kyohwaso*) have substantially decreased. One North Korean defector who had been detained in the Gaechon *Kyohwaso* two times in 2008 and 2015, testified that compared to what he/she experienced in 2008, there was much less violence and severe treatment in 2015. MPS officers tried not to use violence since they were punished and forced to write self-criticism reports when

<sup>78</sup>\_Refer to 'IV. Vulnerable Groups, 1. Women' for sexual assault and forced abortion on women in detention facilities.

<sup>79</sup>\_NKHR2019000043 2019-07-01.

<sup>80</sup> NKHR2018000034 2018-05-07.

using violence against inmates. The testifier said that this measure was intended to abide by the policy of not infringing on the rights of the inmates at prison camps (kyohwaso).

Table II-16 Testimonies on Violence and Mistreatment in Prison Camps

	·
Testimonies	Testifier ID
The testifier who was imprisoned in Jeongeori <i>Kyohwaso</i> in 2014, witnessed frequent beatings. Prisoners were kicked with shoed feet, and soup bowls were thrown into the face of prisoners.	NKHR2018000044 2017-07-03
The testifier, who was imprisoned in Jeongeori <i>Kyohwaso</i> from April 2014 to March 2015, experienced frequent violence whenever he/she could not work well or comply with the rules. The testifier is still suffering from the effects of violence.	NKHR2016000184 2016-12-13
The testifier, who was imprisoned in Gaechon <i>Kyohwaso</i> from August 2014 to July 2015, witnessed other inmates beating another inmate after the instructor ordered them to "educate that one" because he/she did not satisfy the labor quota.	NKHR2016000114 2016-07-12
When detained in Gaechon <i>Kyohwaso</i> in 2016, it was a routine for the inmates to be trampled with shoes and hit with hands or fists. When not following orders or making mistakes, the inmates are punished by being denied access to meals or being kept awake.	NKHR2017000122 2017-11-20
When the testifier was detained in a prison camp ( <i>kyohwaso</i> ) in Hamheung, South Hamgyeong Province in 2016, correctional officers ( <i>gyeho</i> ) beat him/her. The testifier's calves were beaten for three days, and as a result, the flesh turned black and the swollen flesh was chapped on the third day.	NKHR2019000043 2019-07-01

The nutrition, sanitation and health care situation inside prison camps (kyohwaso) still appear dire. The aforementioned testifier who was detained in the Gaecheon Kyohwaso two times in 2008 and 2015 said that although violence and severe treatment were reduced, there was no improvement in nutrition, sanitation and health care situations.<sup>81</sup> Meals were served only with lumps of corn and bean and thus, the inmates were only able to subsist by relying on food brought by their family members during visitation. However, not many inmates had family members visiting them during the detention period. A North Korean defector OOO testified that he/she witnessed two prisoners die from illness while serving their sentences in Hamheung Kyohwaso in 2016. The two prisoners had uterine cancer and spondylitis tuberculosa and died without treatment as the prison camp (kvowhaso) did not provide treatment service.<sup>82</sup> Due to the poor nutritional situation and intense labor, prisoners sometimes suffer from malnutrition or ended up dying.83 Cases have been continuously reported that when inmates were found dead, the prison camps (kvohwaso) handled the disposing of the bodies without notifying the death to his/her family. For example, one testifier said that his/her mother, who was detained in Gaecheon *Kyohwaso*, died from illness in December 2014 and yet the prison camp (kyohwaso) did not hand over the body to the family but rather independently disposed of the body.84

<sup>81</sup>\_Above testimony.

<sup>82</sup>\_NKHR2019000043 2019-07-01.

<sup>83</sup>\_ "North, serious violation of human rights of detainees in prison camps," Radio Free Asia, 18 June 2019.

<sup>84</sup> NKHR2018000073 2018-07-30.

Testimonies on Poor Nutrition, Sanitation, and Health Care in Prison Camps

Testimonies	Testifier ID
The testifier, who was detained in Gaechon <i>Kyohwaso</i> from 2013 to 2014, was given a very small portion of meal when failing to finish the daily assigned work. He/she often had stomach pains after eating a meal made of rotten beans and was so hungry that he/she had to eat mice or insects. Inmates often died of weak health. The prison camp then incinerates the body and does not inform the family of the deceased.	NKHR2017000047 2017-07-03
The testifier, who was imprisoned in Gaechon <i>Kyohwaso</i> from August 2014 to July 2015, said that he/she was given just 450 grams of corn and 30 grams of rice and beans per day to eat. 80% of the prisoners were weak. Only those who suffer TB, weakness and hepatitis, and were close to death were allowed to be hospitalized.	NKHR2016000114 2016-07-12
In December 2014, the testifier's mother died of disease while being detained in Gaechon <i>Kyohwaso</i> . The prison camp did not hand over the body to the family and took care of it by itself.	NKHR2018000073 2018-07-30
The testifier was detained in Jeongeori <i>Kyohwaso</i> from 2015 to August 2016 and saw many cases where inmates died of weak health. The dead bodies were incinerated in <i>Bulmangsan</i> Mountain. The incineration facility was so narrow that they had to fold and crook the dead bodies in order to put in as many as possible.	NKHR2017000047 2017-07-03
In 2016, two prisoners died from illness while serving their sentences in Hamheung <i>Kyohwaso</i> . The two prisoners had uterine cancer and spondylitis tuberculosa and did not receive treatment as the prison camp ( <i>kyowhaso</i> ) did not provide treatment service.	NKHR2019000043 2019-07-01

### (B) Labor Training Camps

Violent and cruel treatment also occurs in labor training camps (rodongdanryundae). In the 2019 survey, relevant cases were collected. A North Korean defector OOO testified that he/she witnessed guards beating other prisoners with clubs in a labor training camp (rodongdanryundae) in Ongjin County, South Hwanghae Province.<sup>85</sup> Meanwhile, there were also testimonies that violent and cruel treatment is decreasing in labor training camps (rodongdanryundae). A North Korean defector who was detained in a labor training camp (rodongdanryundae) in Samiiyeon. Yanggang Province in August 2016 said that the guidelines in the camp included "one should not violate human rights;" "one should not fight with other inmates;" and "one should not steal from or rob others."86 According to the testifier, in the labor training camp (rodongdanryundae), harsh verbal exchanges or beating others may constitute "human rights violation," and during his stay in the camp, he experienced no beating nor did he/she hear any profanity. Another North Korean defector, who was detained in the labor training camp (rodongdanryundae) in Onsong County, North Hamgyeong Province, testified that the MPS officers in the camp did not beat the inmates as Kim Jong Un had set a no violence and beating policy.87

<sup>85</sup>\_NKHR2019000011 2019-04-20.

<sup>86</sup>\_NKHR2018000129 2018-11-19.

<sup>87</sup>\_NKHR2018000074 2018-07-30.

Table II-18 Testimonies on Violence and Mistreatment in Labor Training Camps

Testimonies	Testifier ID
In 2014, when the testifier was detained in the labor training camp ( <i>rodongdanryundae</i> ) in Hoeryeong, North Hamgyeong Province, the inmates were not beaten when they made a mistake, rather, they were instructed to run laps around the track.	NKHR2017000093 2017-10-23
The testifier, who was imprisoned in a labor training camp ( <i>rodongdanryundae</i> ) in Hyesan, Yanggang Province, in March 2014, said that he/she was slapped in the face for not doing a good job in monitoring work and his/her eardrum was damaged.	NKHR2016000108 2016-07-12
In March 2014, the testifier was detained at the labor training camp in Samjiyeon, Yanggang Province, which operated under the purpose of training people with labor, and those who did not work well were punished and beaten every day. Seniors were not exempt from such treatment.	NKHR2016000114 2016-07-12
In October 2014, guards beat prisoners with clubs in a labor training camp ( <i>rodongdannyundae</i> ) in Ongjin County, South Hwanghae Province.	NKHR2019000011 2019-04-20
In 2016, the testifier was sentenced to labor training punishment and sent to the labor training camp ( <i>rodongdanryundae</i> ) within the Gaechon <i>Kyohwaso</i> . There was no violence or severe treatment.	NKHR2018000049 2018-06-04
The testifier was in the labor training camp ( <i>rodongdannyundae</i> ) in Hyesan, Yanggang Province, from November 2016 to May 2017. Officers ( <i>jidowon</i> ) swore at him/her when he/she did not do the work well, but there was no beating.	NKHR2017000095 2017-10-23

There were also testimonies on the poor state of nutrition, sanitation, and health care in labor training camps (*rodongdanryundae*). A North Korean defector who was detained in a labor training camp (*rodongdanryundae*) in Samjiyeon, Yanggang Province, in August 2016 testified that the camp provided three meals a day, but prisoners were only served corn and dried radish soup. The testifier said that it was very difficult to endure the hunger.<sup>88</sup>

88\_NKHR2018000129 2018-11-19.

However, when there were sick inmates, the camp gave medicine or took them to the hospital. Another North Korean defector, who was in a labor training camp (rodongdanryundae) in Onsong County, North Hamgyeong Province, for two months from March 2015, testified that corn rice, clear soybean soup, and salty radish soup were provided as meals.<sup>89</sup> According to the testifier, those repatriated from China were not provided with work outside the camp as they might escape, and were given very little food. Those taken in after committing a crime around the local area near the camp have more food to eat as they can get food from their family members during visitation, and can also work outside the camp.

Testimonies on Poor Nutrition, Sanitation, and Health Care Table II-19 in Labor Training Camps

Testimonies	Testifier ID
In 2014, the testifier was detained in the labor training camp ( <i>rodongdanryundae</i> ) in Hoeryeong, North Hamgyeong Province, where about 50 women stayed in one room, having corn rice and salty soup as their meals.	NKHR2017000093 2017-10-23
In 2016, when the testifier was detained in the labor training camp ( <i>rodongdanryundae</i> ) of Gaechon <i>Kyohwaso</i> in Gaechon, South Pyongan Province, he/she was provided corn and salty soybean soup with lettuce as meals.	NKHR2018000049 2018-06-04
In August 2016, the testifier was detained in the labor training camp ( <i>rodongdanryundae</i> ) in Hoeryeong, North Hamgyeong Province for a month. 50~60 people stayed in one room having 130 grams of corn rice and salty soup as meals. Some ran away because they were too hungry. The situation was better for those whose family members gave corn flour (food made with heated corn) or those who had brought food for themselves.	NKHR2017000086 2017-09-25

89\_NKHR2018000074 2018-07-30.

Testimonies	Testifier ID
From November 2016 to May 2017, when the testifier was detained at a labor training camp ( <i>rodongdanryundae</i> ) in Hyesan, Yanggang Province, corn was provided for meals. If a family brought food to the camp, the meals were better. Not much heating was provided, but as there were many people gathered in a small place, it was not too cold. When a military doctor gave a diagnosis, family members brought medicine.	NKHR2017000095 2017-10-23

#### (C) Holding Centers

Violent and cruel treatment continues to occur at holding centers (jipkyulso). One North Korean defector, who was detained in a holding center (*jipkyulso*) in Hyesan, Yanggang Province in November 2014, testified that he/she was beaten for not being a good worker.90 Another North Korean defector, who was detained in a holding center (jipkyulso) in Sinuiju, North Pyeongan Province, in July 2013, also said that most of the inmates in the holding center (jipkyulso) were beaten for being poor workers.<sup>91</sup> Meanwhile, testimonies that violent and cruel treatment has decreased were collected in the 2019 survey. A North Korean defector OOO who had experienced being imprisoned in an MSS holding center (jipkvulso) in Yanggang Province in 2018 testified that he/she was forced to remain in a fixed posture and even a slight movement such as scratching was not allowed.<sup>92</sup> However, the testifier said that beating has

<sup>90</sup>\_NKHR2018000080 2018-07-30.

<sup>91</sup>\_NKHR2018000023 2018-04-09.

<sup>92</sup> NKHR2019000069 2019-08-26.

decreased compared to the past.

Testimonies on Violence and Mistreatment in Holding Table II-20 Centers

Testimonies	Testifier ID
From March to June 2014, the testifier was detained in a holding center ( <i>jipkyulso</i> ) in Chongjin, North Hamgyeong Province. The testifier was kicked in the rib and beaten on the back of the hand with a metal wire for guns. Sounds of another prisoner getting beaten up with a wooden stick was heard from the room next door. The testifier was threatened, "do you also want to be beaten up like that?"	NKHR2017000093 2017-10-23
The testifier was detained in a holding center ( <i>jipkyulso</i> ) in Hyesan, Yanggang Province, for 15 days in May 2014 and when his/her eyes met the eyes of the correctional officers ( <i>gyeho</i> ), he/she was beaten with stick or shovel or was kicked.	NKHR2018000077 2018-07-30
In November 2014, a testifier was at the holding center ( <i>ijpkyulso</i> ) in Hyesan, Yanggang Province, for 40 days and was forced to remain in a fixed posture, and when one of the prisoners did something wrong, all of those in the room got punished. One of the women who was in the same room could not walk well when she was discharged due to serious injuries to her head and legs caused by continuous beating.	NKHR2016000094 2016-06-14
From 28 February to 20 March 2015, the testifier was detained in a holding center ( <i>jipkyulso</i> ) in Hyesan, Yanggang Province. The testifier was severly beaten by officers during a simple investigation.	NKHR2017000001 2017-04-10
The testifier was detained in the Ungsan holding center ( <i>jipkyulso</i> ) for 20 days in Rajin, North Hamgyeong Province, in September 2015. He/she was beaten for not doing work properly or being disobedient.	NKHR2018000001 2018-03-12
The testifier was imprisoned in an MSS holding center ( <i>jipkyulso</i> ) in Yanggang Province in 2018 and was forced to remain in a fixed posture. Even a slight movement such as scratching was not allowed. However, beating had decreased compared to the past.	NKHR2019000069 2019-08-26

The nutrition, sanitation, and health care situations in holding centers (jipkyulso) were also found to be poor. A North Korean defector, who was in a holding center (jipkyulso) in the Ranam

district of Chongjin, North Hamgyeong Province from May to July 2015, testified that he/she worked for 12 hours a day but all he/she had as a meal was corn rice, salty soup, and salty dried radish greens.93 A North Korean defector OOO who was in a holding center (jipkyulso) in Hyesan, Yanggang Province, for a month in April 2014, testified that corn was provided as a meal and he/she could not stop working even when he/she had fever.94 Another North Korean defector OOO who was imprisoned in a holding center (jipkyulso) in Hyesan in 2014 testified that corn and dried radish leaf soup were served as meals and, as the amount was small, all prisoners felt hungry.95 However, the testifier said that the sanitary situation in the holding centers (jipkyulso) in Hyesan was good, with disinfectant being sprayed.

Testimonies on Poor Nutrition, Sanitation, and Health Table II - 21 Care in Holding Centers

Testimonies	Testifier ID
When the testifier was imprisoned in a holding center ( <i>ijpkyulso</i> ) in Hyesan in 2014, corn and dried radish leaf soup were served as meals, and as the amount was small, all prisoners felt hungry. The sanitary situation in the holding centers ( <i>ijpkyulso</i> ) in Hyesan was good, as disinfectant was sprayed.	NKHR2019000047 2019-07-01
From October 2014 to March 2015, the testifier was detained at a holding center ( <i>jipkyulso</i> ) in Hyesan, Yanggang Province. Corn and cabbage soup were provided, and heating was provided only when detainees' family sent firewood.	NKHR2015000170 2015-12-01

<sup>93</sup>\_NKHR2018000074 2018-07-30.

<sup>94</sup>\_NKHR2018000010 2018-03-12.

<sup>95</sup> NKHR2019000047 2019-07-01.

Testimonies	Testifier ID
From 28 February to 20 March 2015, the testifier was detained in the MSS holding center ( <i>jipkyulso</i> ) in Hyesan, Yanggang Province. Corn kernels and cabbage soup were provided as meal, and family visit with food (food at visit) was allowed.	NKHR2017000001 2017-04-10
A testifier received noodles for a meal when he/she was detained in the Ungsan holding center ( <i>jipkyulso</i> ), in Najin, North Hamgyeong Province, in September 2015 but had to eat it with his/her hands, not chopsticks. He/she picked tree branches to use as chopsticks on his/her way to work.	NKHR2018000001 2018-03-12
From December 2016 to March 2017, the testifier was detained in the Songpyeong <i>Jipkyulso</i> , Chongjin, North Hamgyeong Province. Each day, 200g of corn rice, soup made with dried radish greens, and kimchi were provided as a meal. Sometimes, pureed soybean was served. When the testifier was mobilized to gather firewood, he/she was injured when his/her foot struck a tree, but no treatment was provided.	NKHR2017000099 2017-10-23

#### (D) Detention Centers

Relevant cases have been collected mostly regarding the MSS detention center (guryujang) in Onsong County, North Hamgyeong Province, and the MSS detention center (guryujang) in Hyesan, Yanggang Province. A North Korean defector in his/her 40s who was detained in the Onsong County MSS detention center (guryujang) in North Hamgyeong Province in February 2015 testified that he/she had to routinely maintain a fixed posture from dawn to night.96 If he/she made even the slightest movement, he/she was forced to stand staring at the wall for three hours. Another North Korean defector OOO who was detained in the

<sup>96</sup>\_NKHR2018000074 2018-07-30.

MSS detention center (guryujang) in Onsong County, North Hamgyeong Province, in 2015, testified that he/she was continuously beaten by a correctional officer (gyeho) with a club, which made a severe bump on his/her head that made him/her not be able to lie down properly. The reason for that beating was his/her poor accent and pronunciation of the North Korean language as the testifier lived in a prison in China for a long time. <sup>97</sup> A different North Korean defector  $\bigcirc\bigcirc\bigcirc$  who was arrested for attempting to defect and was detained in the MSS detention center (guryujang) in Hyesan, Yanggang Province from 12 July to 1 August 2017, testified that when he/she was interrogated, detainees who broke the rules, by not maintaining a fixed posture for example, would be hit with a ruler.98 According to the testifier, a detainee who was with him/her in the same room was kicked by the soles of the shoes and his/her head was swollen and bruised from head to eyes. Another North Korean defector who was detained in the detention center (guryujang) in Hyesan, Yanggang Province in 2016 said that maintaining a fixed posture was so hard that he/she would rather be beaten instead.99

Meanwhile, there were many testimonies in the 2019 survey that violent and cruel treatment had decreased as there was a

<sup>97</sup>\_NKHR2019000089 2019-10-19.

<sup>98</sup>\_NKHR2018000089 2018-08-27.

<sup>99</sup> NKHR2018000058 2018-07-02.

directives that there should be no beating in the detention facilities. 100 A North Korean defector OOO who was detained in an MSS detention center (guryujang) in Onsong County, North Hamgyeong Province in 2014 testified that the beatings were not that severe recently as it was said to be a human rights violation, and he/she was punished with 1~2 hours of remaining in a fixed posture. 101 There was also a testimony that security agencies or prosecution agencies were prohibited in principle from torturing and beating. A North Korean defector  $\bigcirc\bigcirc\bigcirc$  who was detained in an MSS detention center (guryujang) in January 2019 testified as such. 102

Testimonies on Violence and Mistreatment at Detention Table II-22 Centers

Testimonies	Testifier ID
In 2014, the testifier was detained in an MSS detention center ( <i>guryujang</i> ) in Onsong County, North Hamgyeong Province, and beating had become less severe as it was known as a human rights violation.	NKHR2019000026 2019-05-18
In 2015, the testifier was detained in an MSS detention center ( <i>guryujang</i> ) in Onsong County, North Hamgyeong Province, and he/she was continuously beaten by a correctional officer ( <i>gyeho</i> ) with a club, which made a severe bump on his/her head that made it difficult for him/her to lie down properly. The reason for the beating was his/her poor accent and pronunciation of the North Korean language as the testifier lived in a prison in China for a long time.	NKHR2019000089 2019-10-19

<sup>100</sup>\_NKHR2019000033 2019-06-03; NKHR2019000069 2019-08-26; NKHR2019000079 2019-09-25.

<sup>101</sup>\_NKHR2019000026 2019-05-18.

<sup>102</sup>\_NKHR2019000106 2019-11-09.

Testimonies	Testifier ID
From March to June 2015, the testifier was detained and investigated in an MSS detention center (guryujang) in Hyesan, Yanggang Province, and was forced to maintain a fixed posture. If the testifier moved just a little, he/she was punished with 5,000 "pumps." Many weak people passed out during such physical punishment. Moreover, beating was frequent, and it was so severe that pus came out of the ear.	NKHR2016000051 2016-04-19
In December 2015, the testifier was forced to remain in a fixed posture at an MSS detention center ( <i>guryujang</i> ) in Hyesan, Yanggang Province. Correctional officers ( <i>gyeho</i> ) installed cameras for surveillance and when the testifier moved even very slightly, the guards had the testifier hold out his/her head or hands and frequently beat him/her with oak clubs. The testifier sometimes fainted when beaten severely.	NKHR2016000078 2016-05-31
In 2016, the testifier was forced to maintain fixed posture in an MSS detention center ( <i>guryujang</i> ) in Hyesan, Yanggang Province. There was a monitoring camera that ran 24 hours, and if the testifier moved just a little, correctional officers ( <i>gyeho</i> ) imposed physical punishment such as handstand. Sometimes they hit the palm 100 to 200 times with a stick. Due to such hardship in the detention center ( <i>guryujang</i> ), the testifier lost 10kg of body weight, and suffered for 6 months.	NKHR2017000001 2017-04-10
In October 2016, the testifier was forced to stay in a fixed posture all day at the detention center in Hyesan, Yanggang Province. They monitored the testifier by setting up cameras and beat him/her when there was a slight change in posture.	NKHR2018000109 2018-10-06
In December 2016, the testifier was forced to stay in a fixed posture all day at the detention center ( <i>guryujang</i> ) in Hoeryeong, North Hamgyeong Province. They beat the testifier if there was a slight change in posture and had him/her do 1,000 squats.	NKHR2017000054 2017-07-31
In January 2019, the testifier was detained in an MSS detention center ( <i>guryujang</i> ) and torture and beatings by security agencies and prosecution agencies were prohibited in principle.	NKHR2019000106 2019-11-09

Testimonies on the poor state of nutrition, sanitation, and health care in detention centers (guryujang) have been continuously collected. The woman in her 40s who was detained in the Onsong County Security Department, North Hamgyeong Province, in February 2015 said that rice porridge, kimchi, and bean sprouts were provided as meals, but as spoons were not included, they had to make a spoon with plastic by themselves. 103 According to the testifier, there was no heating even in the wintertime, and the cold was as severe as to freeze people's feet. There was no leniency on patients either. Another testifier detained in a detention center (gurvujang) Hyesan City MPS in Yanggang Province in May 2017 testified that a meal only included 50 corns that smelled like fungus. 104 A testifier who was beaten severely every day in an MSS detention center (guryujang) in Onsong County in 2017 said that he/she had severe scars on his/her waist and back but was not provided proper treatment and contracted tetanus leading to a high fever and pus. 105

Table II -23 Testimonies on Poor Nutrition, Sanitation, and Health Care at Detention Centers

Testimonies	Testifier ID
From 8 May to 30 October 2015, the testifier was held in a detention center ( <i>guryujang</i> ) in Samjiyeon, Yanggang Province, was given about 100g of slightly salted corn. People got sick because the detention center ( <i>guryujang</i> ) provided corn without washing it, even though corn had sprouts and was eaten by insects. A meal on Sunday could be substituted with a powdered meal delivered by family members, however, one had to bribe the correctional officers ( <i>gyeho</i> ) with a pack of cigarettes in order to be allowed to get the food from family.	NKHR2017000005 2017-04-10

103\_NKHR2018000074 2018-07-30.

104\_NKHR2018000091 2018-08-27.

105\_NKHR2019000075 2019-08-26.

Testimonies	Testifier ID
In December 2015, the testifier was held in an MSS detention center ( <i>guryujang</i> ) in Hyesan, Yanggang Province and was provided with rotten corn with fungus and cabbage soup as a meal. It was very cold during winter because heating was not provided, and it was uncomfortable sleeping as 10 to 15 people slept together in a small room.	NKHR2016000078 2016-05-31
In 2016, the testifier was held in an MSS detention center ( <i>guryujang</i> ) in Hyesan, Yanggang Province, and was provided with corn and cabbage soup as a meal. People had a difficult time because the food was not sufficient.	NKHR2017000125 2017-11-20
In June 2016, the testifier was held in an MSS detention center ( <i>guryujang</i> ) in Hyesan, Yanggang Province, and was provided with just a handful of boiled corn and watery soup. There was a toilet inside the detention center ( <i>guryujang</i> ), but no water supply. Everyday, people had to carry water in a 30 liter bucket, and used the water to flush the toilet and to wash dishes. They consistently suffered from lack of water, and if they used too much water, they were criticized and punished.	NKHR2017000108 2017-11-20
The testifier was detained in the detention center ( <i>guryujang</i> ) of the Hoeryeong City MSS in North Hamgyeong Province from November to December 2016 and was given corn rice that had a fungus smell and salty water or soybean water.	NKHR2017000054 2017-07-31
In 2017, the defector had severe scars on his/her waist and back when detained in an MSS detention center ( <i>guryujang</i> ) in Onsong County but did not receive proper treatment. He/she contracted tetanus leading to a high fever and pus.	NKHR2019000075 2019-08-26
In October 2017, the testifier was detained in the detention center ( <i>guryujang</i> ) of the Gyeongwon County Security Department in North Hamgyeong Province and was given corn rice, salty pepper, and cucumber as a meal.	NKHR2018000098 2018-10-01

## B. Issues Related to Treatment of Unconvicted **Prisoners**

Article 10, paragraph 2 (a) of the ICCPR stipulates that defendants shall, save in exceptional circumstances, be segregated from convicted prisoners and shall be subject to separate treatment appropriate to their status as unconvicted prisoners. This aims to stress the status of unconvicted prisoners who have the right to be presumed innocent as stipulated in Article 14, paragraph 2 of the ICCPR.<sup>106</sup>

The North Korean Constitution and Criminal Procedure Law do not specify that criminal defendants shall be presumed innocent until proven guilty. Neither include provisions on separate confinement of unconvicted prisoners and convicted prisoners, and treatment of unconvicted prisoners. 107 As discussed above, the basic separation of unconvicted and convicted prisoners seems to be in place; the facilities for those sentenced to correctional labor punishment, labor training punishment, or labor training discipline are different from the facilities for criminal suspects or defendants. A more detailed survey seems to be required to better identify whether unconvicted detainees are receiving appropriate treatment distinguished from those of convicted prisoners. However, it appears unlikely, given that some holding centers (jipkyulso) force prisoners into labor (see 'II. The Reality of Civil and Political Rights, 3. Right Not to Be Forced into Labor') and that access to a defense counsel is not effectively guaranteed (see

<sup>106</sup>\_UN Human Rights Committee, General Comment, No. 21 (1992), para. 9.

<sup>107</sup>\_In South Korea, for example, the Administration and Treatment of Correctional Institution Inmates Act stipulates separate confinement of unconvicted prisoners and convicted prisoners and exceptions, and includes detailed provisions on the principles of treating unconvicted prisoners, the prohibition against visits, the wearing of plain clothes, haircuts, interviews with defence counsel, the receiving of correspondence, special rules in investigation, work, edification, etc.

'II. The Reality of Civil and Political Rights, 7. Right to a Fair Trial').

## C. Issues Related to Treatment of Convicted Prisoners

According to Article 10, paragraph 3 of the ICCPR, correctional systems should include activities for the correction and rehabilitation of prisoners. Therefore, convicted prisoners should be provided with correction/rehabilitation programs, work activities, vocational training, etc., in order to promote their ability to re-adapt to life in general society.

First of all, it appears that there are no separate provisions on the treatment of convicted prisoners in the North Korean laws. 108 Provisions related to punishment in North Korean Criminal Law seem to be pursuing the education of convicted prisoners through labor in prison camps (kyohwaso). However, the inhuman labor environment and imposition of excessive labor, as has been testified by many North Korean defectors, do not seem to be promoting the correction and rehabilitation of convicted prisoners. In particular, many testimonies have been collected where prisoners were distressed as the labor intensity was very

<sup>108</sup>\_In South Korea, for example, the Administration and Treatment of Correctional Institution Inmates Act has specific provisions on the principles of treating convicted prisoners, classification review, education and edification programs. work and vocational training, temporary release, etc.

high and they had to face verbal abuse and assault when they failed to meet their labor allotment or were slow in their workflow. A North Korean defector who was detained in Gaechon Kyohwaso from September 2014 to June 2015 said that he/she worked in the eyelash unit and knitting unit for five months respectively. When failing to finish the assigned work, the team leader was punished, so they would keep the inmates from going to bed until the work was finished. 109 Another North Korean defector who was detained in the Gaechon Kyohwaso in June 2015 said that he/she had to work from 8 am to 5 pm with only a 30 minute lunch break. The defector testified that the tasks were very labor intensive. 110 A North Korean defector who was detained in Hamheung Kyohwaso in South Hamgyeong Province in 2016 said that he/she worked making artificial eyelashes in a work unit from 6 am to 10 pm and was beaten by correctional officers (gyeho) when the work was not completed. 111

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Table II - 24	Extreme	Labor ir	n Prison	Camps

Testimonies	Testifier ID
From August 2014 to July 2015, prisoners in Gaechon <i>Kyohwaso</i> were forced to work 14 hours a day because too much work was assigned. If people could not finish their job, they were beaten severly and were not allowed to sleep.	NKHR2016000114 2016-07-12

109\_NKHR2018000081 2018-07-30.

110\_NKHR2018000034 2018-05-07.

111\_NKHR2019000043 2019-07-01.

Testimonies	Testifier ID
The testifier was detained in the Gaechon <i>Kyohwaso</i> from May to August in 2015 and worked for seven hours a day in the vegetation unit.	NKHR2018000080 2018-07-30
The testifier was in Jeongeori <i>Kyohwaso</i> from 2015 to August, 2016 and worked to drag a 3 meters long tree when he/she was very weak. It took two hours to climb up the mountain, and six hours to come down. He/she was beaten when failing to meet the daily labor goal and some died immediately after being crushed by a tree while dragging it to the ground.	NKHR2017000047 2017-07-03
In 2016, the testifier was held in Gaechon <i>Kyohwaso</i> and was mobilized for various works including mining coal, farming, carrying stones, painting limestone, putting up steel bar.	NKHR2017000122 2017-11-20

### D. Issues Related to Treatment of Unconvicted and Convicted Juvenile Prisoners

Article 10, paragraph 2 (b) of the ICCPR stipulates that accused juvenile persons shall be separated from adults and brought as speedily as possible for adjudication. Moreover, the latter part of Article 10, paragraph 3 of the ICCPR states that juvenile offenders shall be segregated from adults and treated appropriately to their age and legal status. While Article 10 does not specify the age of juveniles, the UN Human Rights Committee has stated that all persons under the age of 18 should be treated as juveniles at least in matters relating to criminal justice, as stipulated in Article 6, paragraph 5 of the ICCPR in General Comments. 112

In the current North Korean laws, provisions for unconvicted/

<sup>112</sup>\_UN Human Rights Committee, General Comment, No. 21 (1992), para. 13.

convicted juvenile prisoners are hard to find. There were testimonies where one witnessed children being mobilized for labor in the holding centers (*jipkyulso*).<sup>113</sup> It appears there is a need for a more detailed survey on juvenile convicted and unconvicted prisoners.

#### E. Evaluation

Violence and harsh treatment have long become a routine in all kinds of detention facilities such as prison camps, labor training camps (rodongdanryundae), holding centers (jipkyulso), and detention centers (gurvujang). In particular, the level of violence and harsh treatment imposed in the MSS holding centers (iipkyulso) and detention centers (guryujang) located in border areas is extremely serious. It has been identified that the nutrition, sanitation, and health care situations in detention centers (guryujang) are also still very poor. These constitute a violation of Article 10, paragraph 1 of the ICCPR, which stipulates the humane treatment of people deprived of their freedom. Violence and mistreatment at detention facilities may also constitute violations of Article 7 of the ICCPR (which prohibits torture and inhuman treatment), and deaths at detention facilities may constitute violation of Article 6 of the ICCPR (which stipulates protection of the right to life). The treatment of unconvicted

<sup>113</sup> NKHR2017000130 2017-12-18; NKHR2018000010 2018-03-12.

prisoners seems to be poorly protected except for the fact that unconvicted and convicted prisoners are confined separately. The treatment of convicted prisoners do not seem to be in accordance with the purpose of the correctional system, including imposing excessive labor.

Meanwhile, there were testimonies that stated violent and cruel treatment in detention facilities had decreased compared to the past. Following the findings in the surveys in 2017 and 2018 that violent and cruel treatment had decreased substantially compared to the past in prison camps (kyohwaso) and labor training camps (rodongdanryundae), the 2019 survey found that there were testimonies claiming violent and cruel treatment decreased substantially compared to the past in holding centers (jipkyulso) and detention centers (guryujang). There were also testimonies that torture and beatings are prohibited in principle in security agencies and prosecution agencies. Moreover, it was identified that there was some improvement in the nutrition, sanitation, and health care situations in detention facilities. In the 2019 survey, there was a testimony that the sanitary situation of the holding center (jipkulso) in Hyesan was relatively acceptable. Such changes in actual status are noteworthy and must be continuously monitored to see if there is meaningful change in the human rights situation in detention facilities. Moreover, the international community needs to continuously call for the improvement of human rights in detention facilities in North Korea.

# 6

## Right to Freedom of Movement and Residence

The right to freedom of movement and residence is one of the fundamental rights of people. Exercising one's freedom of movement, including choosing where to live and move, can further ensure other aspects of human rights. In this context, major international human rights standards also underline the legitimacy of protecting freedom of movement. Article 13 of the UDHR stipulates that "everyone has the right to freedom of movement and residence within the borders of each state," while Article 12 of the ICCPR states the right to freedom of residence and movement, as detailed in the following table.

Table II - 25	Article 12 of the ICCPR
Paragraph 1	Everyone lawfully within the territory of a State shall, within that territory, have the right to liberty of movement and freedom to choose his residence.
Paragraph 2	Everyone shall be free to leave any country, including his own.
Paragraph 3	The above-mentioned rights shall not be subject to any restrictions, except those which are provided by law, that are necessary to protect national security, public order, public health or morals or the rights and freedoms of others, and are consistent with the other rights recognized in the present Covenant.
Paragraph 4	No one shall be arbitrarily deprived of the right to enter his own country.

This chapter will examine the situation in North Korea by major issues related to the freedom of movement and residence.

## A. Using Travel Permits to Control People and Restrict Movement

Article 12, paragraph 1 of the ICCPR stipulates the following: "Everyone lawfully within the territory of a State shall, within that territory, have the right to liberty of movement and freedom to choose his residence." "Everyone" includes not only nationals but also foreigners who are temporarily staying in a country. With regard to the freedom of movement and residence, North Korea amended its Constitution in September 1998, adding that "citizens shall have the right to freedom of residence and travel (Article 75)." However, regardless of such changes in the legal system, the North Korean authorities have continued to limit people's movement.

Article 30 of the People's Security Enforcement Law, which is the representative law that regulates the daily lives of the North Korean people, stipulates that "the People's Security Agency shall exercise control over violations of travel regulations and disorderly wandering on the streets." According to Article 194 of the Administrative Penalty Law, people who violate travel regulations or unlawfully enter controlled districts are subject to punishment by the authorities, including warnings, fines, unpaid labor. Under-aged persons without a People's Registration Card cannot receive travel permits for themselves, and must be accompanied by an adult who has a permit. Those traveling for public business can obtain a business travel permit and travel within North Korea. Soldiers and government/enterprise workers can travel for business trips or be dispatched across North Korea if they have a business travel permit issued by the organization they are affiliated with. Patients who have a doctor's certificate can obtain a permit to travel to the provincial capital for treatment or to a place where immediate family members can take care of them.

When people are moving within a province, travel permits are issued by the MPS city/county branch. However, for moving outside a province, permits are issued by Section 2 Office of the People's Committee. 114 While the legal issuance of travel permits

<sup>114</sup> NKHR2017000069 2017-08-28; NKHR2017000092 2017-09-25; NKHR2018000041

is free and takes around five to seven days, many North Koreans pay cigarettes or bribes to obtain them immediately. 115

Moreover, surveys indicate that the color of the lines on the permit differs by region, and the authorities change these colors frequently to prevent counterfeit permits. According to testimonies, it is relatively easier to obtain travel permits except for special districts, including Pyongyang and the border regions. 116 Travelers who obtain a travel permit must report to the People's Unit (inminban) chief of the region after arriving at the travel destination and register on the travel roster, and then obtain a travel pass stamped by the MPS. If a traveler is caught without a permit, the People's Unit (inminban) chief is required to report it to the local MPS officer.

Meanwhile, because authorities impose strict control over the freedom of movement. North Korean residents resort to other means, such as bribery, to exercise their rights. An increasing number of people simply carry their People's Registration Card without a travel permit, when they are traveling within a province.

<sup>2018-06-04;</sup> NKHR2018000058 2018-07-02; NKHR2018000096 2018-08-27; NKHR2018000110 2018-10-06; NKHR2018000114 2018-10-13.

<sup>115</sup> NKHR2017000127 2017-12-18; NKHR2018000050 2018-07-02; NKHR2018000096 2018-08-27; NKHR2019000003 2019-04-08; NKHR2019000009 2019-04-08; NKHR2019000079 2019-09-25 and many other testimonies.

<sup>116</sup>\_NKHR2016000001 2016-01-12; NKHR2016000029 2016-03-08. Of course, "easy" is a relative term. Some testifiers believe that the issuance of the travel permit to be very complicated and demanding as it takes guite a long time to obtain it legally. Therefore, this testimony may be given in relative comparison with the use of bribery.

If they are caught, they are able to get away by paying a bribe. 117 People's mobility appears to have improved as social corruption based on bribery became widespread. However, senior officials of the Korean Workers' Party (hereinafter KWP), unlike the general public, can travel preferentially if they possess party-stamped credentials. 118 Moreover, given that North Korean authorities only turn a blind eye to those who have the financial capacity to offer bribes, this demonstrates that freedom is still granted on a discriminatory basis. Bribery will be examined further in 'V. Major Issues, 2. Corruption.'

Table II - 26	Cases	Related	to	Travel Permits
Table H 20	Cases	riciated	LU	Havel Leithics

Testimonies	Testifier ID
In 2015, travel permits were issued in Kimjongsuk County, Yanggang Province. Although travel permits for the border areas of Pyongyang/Rason were restricted, one could obtain a permit immediately by giving 1~5 packs of cigarettes to MPS city/county branch No. 2. It was usually possible to secure 15~30 days of travel by giving them 2 packs of cigarettes. It was also possible to extend the travel time through bribes.	NKHR2015000142 2015-10-06
In 2015, the testifier travelled from Hyesan, Yanggang Province, to South Hamgyeong Province, without a travel permit. As the testifier knew the train crew, the testifier moved without a ticket and certificate documents but was eventually caught and paid a fine of 10,000 won.	NKHR2016000081 2016-05-30

<sup>117</sup>\_NKHR2016000017 2016-01-26; NKHR2016000033 2016-03-22; NKHR2016000049 2016-04-19; NKHR2016000137 2016-08-23; NKHR2016000098 2016-06-14; NKHR2017000052 2017-07-03; NKHR2018000004 2018-03-12; NKHR2018000064 2018-07-11; NKHR2018000092 2018-08-27; NKHR2019000012 2019-04-20; NKHR2019000062 2019-07-29.

<sup>118</sup>\_NKHR2016000013 2016-01-26.

Testinospies	Tootifier ID
Testimonies	Testifier ID
In 2015, the testifier obtained a travel permit by bribing to go to border region so that he/she can receive money sent by his/her older sister in South Korea.	NKHR2017000063 2017-07-31
The testifier was issued a travel permit on two occasions to go to a hospital in Pyongyang in 2015 and paid cigarette and 8 yuan to show gratitude.	NKHR2018000016 2018-04-09
The testifier's uncle died in 2015 and the testifier was issued a travel permit by paying 20 yuan. It took $1\sim2$ days to get the permit.	NKHR2018000098 2018-08-27
In January 2015, the testifier obtained a travel permit by bribing an officer with a pack of cigarettes, in order to go to Chongjin from Onsong, North Hamgyeong Province.	NKHR2017000092 2017-09-25
In March 2015, the testifier obtained a travel permit to Chongjin, North Hamgyeong Province, after stating the purpose as visiting his/her brother in Hyesan, Yanggang Province. The testifier applied for it at the MPS city/county No. 2, and there was no commission fee as it was obtained through legal procedures, and it took a month.	NKHR2016000171 2016-11-01
In October 2015, the testifier obtained certification documents (unit, business travel order, verification letters) through his/her company to visit relatives in Onsong, North Hamgyeong Province, from Chongjin, North Hamgyeong Province.	NKHR2016000155 2016-09-20
In the spring of 2017, the father of the testifier received a travel permit so that he could receive a health examination at a hospital located in Pyongyang. There were two red diagonal lines on the travel permit. The travel permit stated the name of the applicant, address in Pyongyang, reason for travel and period.	NKHR2019000071 2019-08-26
The testifier obtained a travel permit to attend a wedding of his/her acquaintance living in Pyongyang in April 2017. He/she gave 100 yuan to the person in charge of People's Committee and received a permit in two days. There was a red line drawn diagonally while a permit on other provinces had a blue line.	NKHR2018000058 2018-07-02
In June 2017, the testifier obtained a travel permit by bribing an officer with a pack of cigarettes to go to relative's house in Gimchaek, North Hamgyeong Province from Hyesan Yanggang Province. After arrival, the testifier registered the location of the stay with People's Unit (inminban) chief.	NKHR2017000126 2017-12-18
The testifier received a travel permit from Yanggang Province for his/her bog bilberry business in 2018. It takes 100 yuan to go to Pyongyang and 50 yuan to go to Hamheung.	NKHR2019000026 2019-5-18

Testimonies	Testifier ID
The testifier received a travel permit to participate in the 70th anniversary of the Day of the Foundation of the Republic in 2018. The testifier gave bribes to six people and received the permit on the same day. If the testifier had not given bribes, he/she would not have been able to have the permit processed on the same day.	NKHR2019000021 2019-05-07
The testifier received a travel permit to conduct sales in Pyeongseong from Yanggang Province in November 2018. Although the testifier was not requested to provide a specific amount, the testifier gave a pack of cigarettes for expedited processing and received the permit within 24 hours.	NKHR2019000024 2019-05-18
The level of bribe for issuing a travel permit varies depending on the destination. For general regions, it is 20~50 yuan and 100 yuan for special areas requiring an approval number.	NKHR2018000110 2018-10-06

## B. Restriction of Access to Certain Areas and **Forced Deportation**

Regarding the right to freedom of movement and residence, Article 12, paragraph 3 of the ICCPR stipulates that "The abovementioned rights shall not be subject to any restrictions except those which are provided by law, are necessary to protect national security, public order, public health or morals or the rights and freedoms of others, and are consistent with the other rights recognized in the present Covenant." The UN Human Rights Committee stated in General Comments that domestic law has to clearly indicate the conditions under which the right to freedom of movement and residence may be limited, and that these conditions would not be met, for example, if an individual were prevented from leaving a country merely on the grounds that he/she is the holder of state secrets, or from traveling within the

country because he/she does not have a specific permit.<sup>119</sup> In effect, Article 12, paragraph 3 of the ICCPR indicates that the right to freedom of movement and residence can be restricted only when there are legitimate and reasonable reasons in terms of national policies.

In North Korea, however, the problem is that such provisions are interpreted arbitrarily by the authorities. North Korea has designated certain areas as approval number areas, including the capital Pyongyang, border areas, war-front zones (areas surrounding the Military Demarcation Line) and free trade zones, including Najin and Sonbong, and prohibits access by the general public. In order to travel to this region, one needs to be in possession of a travel permit issued by the County of one's residence. This travel permit needs to be marked with the approval number of the relevant province's Section 2 office of the provincial People's Committee (in North Hamyeong Province, Jagang Province, Yanggang Province, North Pyongan Province, Pyongyang, etc.). The travel permit for approval number areas is clearly differentiated with other certificates since it has many lines with different colors and the MSS password.<sup>120</sup>

Issuing a Pyongyang travel permit is more difficult, requiring a higher amount of bribes and it is said that without paying bribes,

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<sup>119</sup>\_UN Human Rights Committee, General Comment, No. 27 (1999). paras. 12, 16.

<sup>120</sup>\_Dong-ho Han *et al.*, *Freedom of Movement in North Korea* (Seoul: KINU, 2017), pp. 22~25; NKHR2018000058 2018-07-02; NKHR2019000071 2019-08-26.

one cannot even have the permit issued.<sup>121</sup> It is not issued at all when there is a big event scheduled in Pyongyang or when it is politically unstable. One exception is if the purpose of the visit is to receive medical treatment.<sup>122</sup> In the case of Pyongyang, it is impossible to travel only with one's national identification card and bribing MPS officers; one must carry his/her travel permit.<sup>123</sup> The control is stricter in border areas than in Pyongyang,<sup>124</sup> and it is said that having a travel permit issued is more difficult when moving from inland to border areas than moving from border areas to the inland.<sup>125</sup>

Such broad restrictions on public access to many special districts violate the right to freedom of movement and residence stipulated in Article 12, paragraph 1 of the ICCPR. Although North Korean authorities argue that access to certain districts are controlled for national security reasons, this is an unreasonable claim. For example, there is no other country that controls and regulates its own citizens' visits to the capital city.

If the restriction of access to certain areas through a strict travel permit issuing process constitutes a passive infringement of the right to freedom of movement and residence, forced deportation

<sup>121</sup> NKHR2018000058 2018-07-02; NKHR2018000110 2018-10-06.

<sup>122</sup> NKHR2018000016 2018-04-09.

<sup>123</sup>\_NKHR2018000092 2018-08-27.

<sup>124</sup>\_NKHR2018000041 2018-06-04.

<sup>125</sup>\_NKHR2014000127 2014-08-26; NKHR2018000003 2018-03-12.

conducted by the North Korean authorities is a form of active infringement. The UN Human Rights Committee interprets in its General Comments that the right to freedom of residence includes protection from "all forms of forced internal displacement" and "precludes preventing the entry or stay of persons in a defined part of the territory."<sup>126</sup> The forced deportation by the North Korean authorities constitutes a representative example of forced displacement by the State.

North Korean authorities have been using forced deportation as a policy to control political reactionaries, anti-government individuals, and their families. In particular, people with disreputable backgrounds (*songbun*) have been expelled from Pyongyang to remote provinces. To begin, the Kim Jong Un regime has reduced Pyongyang's population and expanded benefits such as food rations to its residents. It has been claimed that ex-convicts and the unemployed have been forcibly deported from the capital in order to strengthen control by effectively expelling people with disreputable backgrounds. Moreover, forced deportation for similar reasons were also witnessed in Samjiyeon, Yanggang Province. Samjiyeon is the birthplace of Kim Jong Un and is also referred to as the 'Holy Land of Revolution' and the 'Second Pyongyang.' As such, former

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<sup>126</sup>\_UN Human Rights Committee, General Comment, No. 27 (1999), para. 7.

<sup>127</sup>\_Dong-ho Han et al., Freedom of Movement in North Korea (Seoul: KINU, 2017), p. 36.

prisoners (ex-convicts) are deported on principle. One testimony claims that because Samjiyeon is in the border region, many of the residents already have experience crossing the border. Therefore, those residents are deported in order to prevent future border crossing attempts. 128

Since Kim Jong Un came to power, there have been reports that residents of border areas have been forcibly deported in the process of tightening control over defection. Among the border regions, it is relatively easier to cross the river in Samjiyeon, Yanggang Province, due to the thick woods growing behind the houses compared to Hyesan or Bocheon County. However, in 2015, around 200 households in Samjiyeon's border areas were forced to move, and in order to ensure effective control over defectors, the existing houses were demolished. 129 While this forced movement policy was used as political retaliation, it was a traditional form of forced deportation. In other words, forced movement to control border regions can be seen as a new form of forced deportation.

On the other hand, it seems that cases of forced deportation of people who committed illegal border-crossings and were caught in the process of defecting from North Korea or forcibly deported from China have decreased. 130 In particular, there was no

<sup>128</sup>\_NKHR2017000122 2017-11-20.

<sup>129</sup>\_NKHR2016000025 2016-03-08.

<sup>130</sup> NKHR2017000011 2017-04-10; NKHR2017000060 2017-07-31; NKHR2018000040

testimony in the 2019 survey concerning cases of deportation as a result of family members' defection from North Korea. This does not necessarily mean punishment for defection has weakened, but rather it means there is a difficulty in deporting all the defectors and their families. In particular, Yanggang Province is near the border and thus has many North Korean defectors. This means non-border regions within the province need to be designated as the destination for deportation. Therefore, a limited area ends up having to house too many of those who have been banished from their homes.<sup>131</sup>

There were testimonies in the 2019 survey about cases where the offender himself/herself was punished and the family members were deported for "anti-socialist" crimes that disturb the socialist order including prostitution, narcotics, and fraud. A North Korean defector  $\bigcirc\bigcirc\bigcirc$  who defected in 2017 testified that he/she witnessed a case where a mother was deported to Goksan, North Hwanghae Province, because of her son's fraud crime in  $2014\sim2015.^{132}$  The testifier also testified that he/she heard of a case where a woman who was running a prostitution business during the same period was executed and her son was deported. 133

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<sup>2018-05-08.</sup> 

<sup>131</sup>\_Dong-ho Han et al., Freedom of Movement in North Korea (Seoul: KINU, 2017), p. 40.

<sup>132</sup>\_NKHR2019000054 2019-07-29.

<sup>133</sup>\_Above testimony.

A North Korean defector OOO who defected in 2016 testified that he/she witnessed a case where the whole family was deported for helping a kidnapper in 2014~2015.134

#### Cases Related to Forced Deportation Table II-27

T. V	T I'E ID
Testimonies	Testifier ID
Around 2014~2015, the testifier witnessed a case where a mother was deported to Goksan, North Hwanghae Province, as her son committed an economic crime (fraud), and the son was imprisoned in a prison camp ( <i>kyohwaso</i> ).	NKHR2019000054 2019-07-29
Around 2014~2015, the testifier heard that a woman running a prostitution business was executed and her son who helped her business was forcibly deported.	NKHR2019000054 2019-07-29
A party worker, who was ordered to be deported in 2015 for divorcing his/her spouse, defected from North Korea.	NKHR2017000033 2017-06-05
In 2015, a testifier witnessed two members from Unhasu Orchestra who were deported to Kwibong-ri, Pungso County, Yanggang Province, from Pyongyang, in 2015.	NKHR2017000097 2017-10-23
In Spring 2015, around 10 households from Rimyongsu-gu, Samjiyeon Yanggang Province, were forcibly deported after they were caught smuggling items from China. They were mostly deported to the Poongseo and Baekam regions.	NKHR2016000063 2016-05-03
In September 2015, the testifier was deported from Bocheon County, Yanggang Province, to Sinchangri, Poongseo County, Yanggang Province, due to a crime committed by his wife. The enforcement agency was the MPS county branch of MPS, and MPS officers came suddenly in the early morning and packed up all the furniture and moved them.	NKHR2016000194 2016-12-27
In October 2016, a senior official of Yanggang Province was forcibly deported to Unhung County, Yanggang Province, for commenting that the performance by an artist group, whom Kim Jong Un complimented, was not very good.	NKHR2017000126 2017-12-18

## C. Restrictions on Entry to Border Areas and Prohibition against the Freedom to Leave

Article 12, paragraph 2 of the ICCPR stipulates "everyone shall be free to leave any country, including his own." Here, "his own" (country) can be interpreted broadly than just one's own country, and "free to leave" means that procedural legitimacy and freedom to leave the country should be guaranteed. The UN Human Rights Committee interprets Article 12, paragraph 2 of the ICCPR that a country has a duty to properly provide required documents for its citizens to leave the country, including a passport. 135

However, North Korean authorities strictly control the issuance of documents required to leave the country to limit the freedom to movement. According to the Immigration Law, North Korean residents can get their passport and other required documents for public or private business (Article 11). There are three types of passports: diplomatic passport, government official passport, and traveler passport. Diplomats are issued diplomatic passports, government officials working at party organizations or espionage are issued government official passports, and residents traveling abroad, for example, to visit relatives are issued traveler passports. Traveler passports are limited to those who are visiting their relatives in China. Their personal documentation should

135\_UN Human Rights Committee, General Comment, No. 27 (1999), para. 9.

provide basic information, such as the name and address of the relatives in China. There were testimonies that there is an internal regulation within the foreign affairs section of the MSS limiting the minimum age for passport issuance to 50.136 However, it appears that this regulation is ill-enforced because there are numerous cases where officials are bribed to bypass such rule. 137 To be issued a passport, an invitation from China is required, and this invitation needs to be validated by a manager of a company/organization, MPS officer, MSS agent, etc. This is followed by final validation by a foreign affairs officer of the MSS city branch and final approval by the vice leader of the MSS, which requires a statement from the applicant promising not to damage the reputation of the DPRK and to come back within the authorized period. In reality, however, passports are issued to people of special ranks, including diplomats, public officials, people working overseas, and students studying overseas, and it is not common for a general resident to receive a passport. However, it seems that it is easy for ethnic Chinese and their families to receive passports to visit China. A North Korean defector \( \cap \) who defected in 2017 testified that his/her spouse was an ethnic Chinese and he/she received a passport as many as four times, as it was relatively easy for families of ethnic Chinese to receive

<sup>136</sup> NKHR2015000043 2015-02-24.

<sup>137</sup> The testifier was 35 years old by the time he/she obtained the passport and had to bribe the MSS officer to get the passport. NKHR2015000070 2015-04-07.

passports. Also, while the official permitted period of stay is two months, it is up to two years for ethnic Chinese. 138

Other than a passport, there is a document called a "border area immigration document." The residents living in the border regions can receive this document when they are going abroad (China) for a short duration to visit their relatives or to carry out small-scale trading. According to Article 13 of the Immigration Law, North Korean residents can be issued a border area immigration document for public affairs or private matters. If the purpose of travel is to visit relatives in China, an invitation is required as is the case with traveler passports. A North Korean defector \(\circ\)\(\circ\) who defected in 2019 said that he/she applied for a border pass in 2015 and received one four years later, and in the process, he/she paid bribes of 15,000 yuan or more. \(^{139}\) In border regions such as Yanggang Province, it is said that the MSS is limiting the issuance of border passes as there are few people returning after going to China with a legally issued border pass. \(^{140}\)

For border trade, a river-crossing pass (*dogangjeung*) is issued and this does not require an invitation from China. These river-crossing passes (*dogangjeung*) are known as a short-term pass that allows crossing of borderline for 24 to 48 hours. One

138\_NKHR2019000054 2019-07-29.

<sup>139</sup>\_NKHR2019000035 2019-06-03.

<sup>140</sup>\_Above testimony.

thing that makes the border area immigration document different from a passport is that it is issued by both the city and province-level MSS, and not the central MSS. In this respect, it appears that this document is easier to obtain than passports.

Those who cross the border illegally without legitimate documents will be imposed a fine, forbidden to leave the country (Article 55 of the Immigration Law), and punished according to the Criminal Law. Article 221 of the North Korean Criminal Law (Charges of Illegal Border-Crossing) states that those who commit the crime of illegal border-crossing are subject to "labor training punishment up to a year." If the crime is serious, they will be subject to "correctional labor punishment of five years or less." Despite the prohibition and punishment, defection continues because there is no legal method to cross the border. Ultimately, North Koreans must resort to bribery to receive the necessary documents needed to cross borders. This ultimately leads to an increase in the price of border crossing, resulting in a situation where North Koreans who go to China end up staying longer in order to earn more money to make up for the payment. Because of this, many of them are left in China as illegal aliens. North Korea has failed to carry out the obligations of a state to provide necessary documents for its residents to enter and exit the country. Such a form of border control can be considered a grave violation of the freedom of movement

### D. Evaluation

The 2019 survey assesses that the right to freedom of movement and residence of the North Korean people is seriously infringed upon by the policies of the North Korean authorities. A case in point is the restrictions on the right to freedom of movement through maintenance of the travel permit system and crackdowns by zone. Forced deportation and restrictions of access by groups of people designated by the State continue to occur. Even though it seems there has been a recent trend where somewhat fewer defectors and their families are being forcibly deported as a punishment for their defection, this does not mean human rights situations are improving, rather it points to the insufficient capacity of North Korean authorities to accommodate deported residents. In fact, a decrease in forced deportation has led to an increase in border control. In this respect, the freedom to movement for North Korean people still appears to be seriously infringed upon. Accessing the border of North Korea is also strictly restricted. Unless it is for a special case, legitimate passports or border passes are infrequently issued, and even when such documents are issued, it seems that bribes are essential as relevant procedures are demanding and entail many restrictions. The international community should make efforts to improve freedom of movement and residence in North Korea not only because it is a basic human right, but also because it would

provide opportunities for North Koreans to change their way of thinking through contact with the outside world.

# Right to a Fair Trial

Article 10 of the UDHR stipulates that "everyone is entitled in full equality to a fair and public hearing by an independent and impartial tribunal, in the determination of their rights and obligations and of any criminal charge against them." Article 14 of the ICCPR also stipulates that State Parties shall guarantee the right to a fair trial through each state's judicial system. The right to a fair trial is a key element in protecting human rights and plays a role as a procedural tool to advocate the rule of law. 141 Article 14, paragraph 1 guarantees the right to equality in trials, the right to a fair trial in all kinds of lawsuits, and the right to public trials. Articles 14, paragraphs 2 to 7 stipulates the minimum rights that should be granted to suspects and defendants in the procedure of criminal trials.

<sup>141</sup>\_UN Human Rights Committee, General Comment, No. 32 (2007), para. 2.

Table II - 28	Article 14 of the ICCPR
Paragraph 1	All persons shall be equal before the courts and tribunals. In the determination of any criminal charge against him, or of his rights and obligations in a suit at law, everyone shall be entitled to a fair and public hearing by a competent, independent and impartial tribunal established by law. (The rest is omitted)
Paragraph 2	Everyone charged with a criminal offence shall have the right to be presumed innocent until proved guilty according to law.
Paragraph 3	In the determination of any criminal charge against him, everyone shall be entitled to the following minimum guarantees, in full equality:  1. To be informed promptly and in detail in a language which he understands of the nature and cause of the charge against him;  2. To have adequate time and facilities for the preparation of his defence and to communicate with counsel of his own choosing;  3. To be tried without undue delay;  4. To be tried in his presence, and to defend himself in person or through legal assistance of his own choosing (The rest is omitted);  5. To examine, or have examined, the witnesses against him and to obtain the attendance and examination of witnesses on his behalf under the same conditions as witnesses against him;  6. To have the free assistance of an interpreter if he cannot understand or speak the language used in court;  7. Not to be compelled to testify against himself or to confess guilt
Paragraph 4	In the case of juvenile persons, the procedure shall be such as will take account of their age and the desirability of promoting their rehabilitation.
Paragraph 5	Everyone convicted of a crime shall have the right to his conviction and sentence being reviewed by a higher tribunal according to law.
Paragraph 6	When a person has by a final decision been convicted of a criminal offence and when subsequently his conviction has been reversed or he has been pardoned on the ground that a new or newly discovered fact shows conclusively that there has been a miscarriage of justice, the person who has suffered punishment as a result of such conviction shall be compensated according to law. (The rest is omitted)
Paragraph 7	No one shall be liable to be tried or punished again for an offence for which he has already been finally convicted or acquitted in accordance with the law and penal procedure of each country.

This chapter will examine the major issues related to the right to a fair trial in North Korea.

### A. Lack of Judicial Independence

Article 14, paragraph 1 of the ICCPR stipulates that all persons have the right to be tried at an "independent and impartial tribunal." North Korea establishes its courts based on its Constitution and the Law on Constitution of Courts. The judicial system in North Korea consists of the Central Court, Provincial (direct-controlled municipality) Court, City (district) and County People's Courts, and Special Courts (Article 159 of the Constitution). Special Courts consist of military courts, railroad courts and military logistics courts (Article 3 of the Law on Constitution of Court, Article 52 of the Criminal Procedure Law). North Korea has a three-level court and double-trial system. Under North Korean regulations, judges are elected. In other words, the head of the Central Court is elected by the Supreme People's Assembly (hereinafter SPA) (Article 91, sub paragraph 12 of the Constitution); Central Court judges by the Presidium of the SPA; and Provincial (directly under central authority) Court judges and People's Court judges by the People's Assembly concerned (Article 4 of the Law on Constitution of Court). Moreover, the Central Court is also held accountable to the SPA, and the Presidium of the SPA when the SPA is in recess (Article 168 of the Constitution). However, according to the principle of Party supremacy and centralism, the KWP exercises de facto control over all institutions and organizations including the SPA,

which is nominally the highest sovereign organization in North Korea. Thus, judicial agencies have a limited function, and are supervised and controlled by their higher authorities, the SPA and the KWP.<sup>142</sup> Although there is a provision stating that "in the process of trials, the courts are independent, and conduct trials in accordance with law" (Article 166 of the Constitution; Article 271 of the Criminal Procedure Law), individual courts are only independent in organizational system, and not in terms of individual judges. Therefore, it cannot be regarded as independence of the iudiciary in its true sense. 143

Meanwhile, North Korea has adopted the People's Jury System. Under the system, laymen, who are not legal experts, form an en banc together with a judge to conduct trials, and fully participate in a trial as decent jury members of the court, as well as exercise equal rights to a judge, and decide a case through majority vote. People's jurors participate in the first instance trials (Article 9 of the Law on Constitution of Courts). Judgments and decisions are adopted by majority vote of the judge and the people's jurors (Article 17 thereof). Like judges, people's jurors are also elected. People's jurors of the Central Court are elected by the Presidium of the SPA, while people's jurors at Provincial Courts (municipality directly under central authority) and People's Courts

<sup>142</sup>\_Kyu-chang Lee and Gwang-jin Chung, The North Korean Criminal Trial System: Characteristics and Actual Practice (Seoul: KINU, 2011), p. 49. (In Korean)

<sup>143</sup>\_ Ibid., pp. 49~53.

are elected by the respective People's Assembly (Article 4 of the Law on Constitution of Courts). In practice, only those who are loyal to the KWP are elected as people's jurors, and the elected people's jurors are naturally directed by the KWP. Against this backdrop, the People's Jury System is a means for the KWP to systematically control the courts. 144

#### **B.** Unfair Trials

Article 14, paragraph 1 of the ICCPR stipulates that all persons are equal before the courts and have the right to a fair trial. However, trials in North Korea are not fair. This is well demonstrated in the perfunctory nature of its trials. Moreover, corruption that exists within the trial process also serves as one of the major factors that undermine the fairness of trials. The issue of corruption will be examined in further detail in 'V. Major Issues, 2. Corruption.' This section will discuss relevant provisions and the reality regarding perfunctory trials.

North Korea has a unique system of preliminary examination held between the stages of investigation and prosecution. The purpose of preliminary examination is to determine the defendant and reveal the entire story of crime completely and accurately (Article 147 of the Criminal Procedure Law). However, contrary

144\_ *lbid.*, p. 54.

to this legal provision, testimonies indicate that sentences are decided prior to trials by the preliminary examination officers, without the participation of a judge and the people's jurors. A North Korean defector who underwent the preliminary examination and trial process in Onsong County, North Hamgyeong Province, from March to July 2010, testified that the MPS county branch's preliminary examination officers normally decides what the sentence will be. When the preliminary examination was almost finished, a prosecutor came from the courthouse and asked whether he/she experienced any violence: if a sanitary environment was provided; if there was anything he/she felt was unfair; or if there was any issue that he/she wanted to raise. However, the defector stated that he/she was unable to raise objections because the correctional officers (gveho) had already intimidated him/her prior to the prosecutor's arrival. 145

The first instance trial proceeds in five phases: court trial, factual inquiry, prosecution and defense, the defendant's final testimony, and pronouncement of judgement (Article 300 of the Criminal Procedure Law). Generally, criminal trials proceed as a mere formality. The North Korean defector, who went through the preliminary examination and trial process in Onsong County, North Hamgyeong Province, from March to July 2010, testified that the trial only took 15 minutes and the testifier was sentenced to five years of fixed-term correctional labor punishment. Although the testifier tried to protest, the correctional officers (gyeho) interrupted. 146 In the interviews, most North Korean defectors who went through trials said that judges, prosecutors, defense counsels and people's jurors attended the trials, but they had passive roles in the actual trials. 147 However, some testimonies provided a different story. A North Korean defector, who was tried for economic offense in Kimjongsuk County, Yanggang Province, in December 2011, commented that the judge was the most active and that the defense counsel and people's jurors were somewhat active, and that the prosecutors were average in their participation during the trial. In the end, the testifier was sentenced to five years of correctional labor punishment in the preliminary examination, but was sentenced to one year of labor training punishment through the trial. 148 A North Korean defector, who received a trial for illegal border-crossing in Hyesan, Yanggang Province, in August 2012, replied that the role of judge was average, but that of the prosecutor, defense counsel, and people's jurors was very active. The testifier said that there was a witness testimony, and in the end, the testifier was sentenced to one-and-a-half years of correctional labor punishment. 149

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<sup>146</sup>\_Above testimony.

<sup>147</sup>\_NKHR2017000005 2017-04-10; NKHR2017000103 2017-10-23.

<sup>148</sup>\_NKHR2016000113 2016-07-12.

<sup>149</sup>\_NKHR2016000189 2016-12-27.

Given the fact that according to the law, those who commit the crime of illegal border-crossing shall be sentenced to a maximum of five years of correctional labor punishment (Article 221 of Criminal law), one can presume that the discussion during the trial process may have affected the final sentence.

Meanwhile, North Korea operates a public trial system, locally organized, which is to raise public awareness and prevent crimes. Heads of institutes, workplaces, and associations can expose and condemn the wrongdoing of criminals (Article 285 of Criminal Procedure Law). Public trials are used as a tool for political propaganda or education for residents. 150 It is not clear which crimes are subject to public trials. Recently, there were many trials on drug trafficking, such as those involving drugs (bingdu) and opium.<sup>151</sup> Public trials on possessing impure video recordings, including watching South Korean movies or dramas, are also witnessed many times. 152 In addition, there are also public trials on illegal border-crossing, 153 homicide, 154 and human trafficking. 155

<sup>150</sup> NKHR2018000099 2018-10-01; NKHR2018000102 2018-10-01; NKHR2019000050 2019-07-20.

<sup>151</sup>\_NKHR2018000009 2018-03-12; NKHR2018000076 2018-07-30; NKHR2018000095 2018-08-27; NKHR2019000021 2019-05-07; NKHR2019000045 2019-07-01; NKHR2019000062 2019-07-29; NKHR2019000097 2019-10-21.

<sup>152</sup>\_NKHR2019000063 2019-07-29; NKHR2019000021 2019-05-07; NKHR2019000064 2019-08-17; NKHR2019000104 2019-11-09; NKHR2019000116 2019-11-30.

<sup>153</sup> NKHR2019000064 2019-08-17; NKHR2019000096 2019-10-21; NKHR2019000110 2019-11-18.

<sup>154</sup>\_NKHR2019000024 2019-05-18.

<sup>155</sup>\_NKHR2019000082 2019-09-25.

A public trial system has the advantage that it can more conveniently organize a trial at the local level. However, North Korea does not use the public trial system purely to hold a trial but also to disclose and condemn the crime, thereby controlling its people by raising awareness of residents and fostering fear. In this regard, public trials are called "public-disclosing gathering" by North Korean people. 156 The public trial system goes against the fair trial principle. Although there have been inconsistent testimonies on whether judges, prosecutors, lawyers, and People's Court judges attend the trial, making it difficult to ascertain the truth, it has been stated that even if they are present, they do not play any particular role. 157 Sometimes the right to life is violated, as people who have committed serious crimes are publicly executed during public trials; During a trial, those with the heaviest crime among all are sometimes shot dead. 158 In addition. the right to life, freedom of body and rights to safety are violated in the process of a public trial. One North Korean defector received a public trial in front of the culture center in Potae district, Samjiyeon, Yanggang Province in 2014 for the crime of illegal border-crossing. The testifier was severely beaten in the MSS detention center for 20 days and went on a public trial

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<sup>156</sup>\_NKHR2019000013 2019-06-07.

<sup>157</sup>\_NKHR2018000014 2018-04-09; NKHR2018000095 2018-08-27.

<sup>158</sup>\_NKHR2018000009 2018-03-12; NKHR2018000095 2018-08-27; NKHR2018000124 2018-10-27.

without preliminary examination with no judges, prosecutors, lawyers, or People's Court judge in attendance. 159 In another example, a North Korean defector OOO testified that a criminal who killed a son of the chief secretary of the Onsong County KWP of North Hamgyeong Province was publicly executed immediately after a public trial in January 2018.<sup>160</sup> More details about public trials are stated in the section on public execution in 'II. The Reality of Civil and Political Rights, 2. Right Not to Be Tortured or Receive Inhuman Treatment'

# C. Operation of Quasi-Judicial Systems

Article 14, paragraph 1 of the ICCPR stipulates that all persons have the right to be tried by "tribunal established by law." However, North Korea operates a quasi-trial system, which is not an official trial system based on the court. Comrade Trials and MSS political criminal trials fall into this category, and many other organizations than trial institutions impose administrative penalties. Such operation of an alternative trial system constitutes a violation of the ICCPR.

### (1) Comrade Trial System

North Korea has an independent and unique form of trial

159\_NKHR2018000081 2018-07-30.

160 NKHR2019000111 2019-11-18.

system called the Comrade Trial System; it is a social institution designed to control the population without having to go through formal trial organizations and proceedings. North Korea is said to have abolished the Crowd Trial System, which was temporarily enforced during the Korean War, and operated the Comrade Trial System by region since around 1972. The legal grounds for the system are found in the Prosecution and Surveillance Law. Article 40, paragraph 3 of the Law stipulates that a prosecutor may declare a comrade trial to rectify a violation of law or inquire into legal accountability when he/she intends to subject criminals to a preliminary examination, refer lawbreakers to the Socialist Law-Abiding Life Guidance Committee or the Comrade Trial Board, or penalize them with labor training or detention.

Subjects of a comrade trial are people who commit economic crimes, cause losses through negligence, or are involved in minor incidents hindering Kim Il Sung's Unitary Ideology, and other relevant offenders. At a comrade trial these people may be subject to unpaid labor of six months or less, while perpetrators of economic improprieties may be subject to an administrative fine equal to ten to twenty times the undue gain in the form of deduction from their salaries; suspension of exercise of administrative rights; demotion; self-criticism; stern warnings; admonitions; and so forth. However, there seem to be no appellate procedures in place. 161 Comrade trials were held on every regular

<sup>161</sup>\_Court Administration Agency, An Overview of North Korea's Juridical System

market day (once every ten days) in Yonsa County, North Hamgyeong Province, and as a result, most (90%) went to labor training camps (rodongdanryundae) and some (10%) went to prison camps (kyohwaso). 162

The Comrade Trial System is primarily carried out in the military. 163 For example, a soldier who was caught after desertion for three years while he/she was serving military duties in a military unit in Cheonnae County, Gangwon Province, was put to a comrade trial in February 2019.<sup>164</sup> A North Korean defector replied regarding comrade trial that their purpose is "criticizing those who did not do military service well."165 Comrade trials in the military are conducted at the battalion level and always with the presence of an immediate superior. The results are predetermined by a higher department, and a comrade trial is enforced to set an example. Most finish with education or criticism but in the worse case, one can be dishonorably discharged. 166 North Korean people think dishonorable discharge is a dishonor and perceive it as a heavy punishment. 167 Those who are

<sup>(</sup>Seoul: Court Administration Agency, 1996), pp. 630~637. (In Korean)

<sup>162</sup> NKHR2016000188 2016-12-27.

<sup>163</sup>\_NKHR2016000029 2016-03-08; NKHR2017000073 2017-08-28; NKHR2018000107 2018-10-01; NKHR2019000012 2019-04-20.

<sup>164</sup> NKHR2019000115 2019-11-30.

<sup>165</sup> NKHR2016000001 2016-01-12.

<sup>166</sup> NKHR2013000154 2013-08-20; NKHR2018000107 2018-10-01; NKHR2019000115 2019-11-30.

<sup>167</sup> NKHR2019000012 2019-04-20.

dishonorably discharged are relocated to mines of farming villages with his/her family members. 168 Punishment is not imposed on-site for comrade trials. Preliminary examination procedures take place after comrade trials, and afterwards punishment based on the Criminal Law is imposed. 169 For instance, those causing social disturbance are stripped of their uniform and sent to a prison camp. 170

### (2) Political Criminal Trials by MSS

Numerous testimonies indicate that North Korea has maintained a policy of clearly differentiating the punishment for political crimes and general crimes. The North Korean Criminal Procedure Law stipulates that the cases related to crimes against the State or the people shall be subject to investigation and preliminary examination conducted by MSS institutions, and the first instance shall be held in a provincial court (or municipality directly under central authority). As such, cases related to crimes against the State or the people are handled differently than the cases of general crime (Article 46, 48 and 51).

However, according to one testimony, trials are conducted by the MSS, which is contrary to the applicable provisions of the

<sup>168</sup>\_NKHR2015000069 2015-04-07.

<sup>169</sup>\_NKHR2015000119 2015-09-08; NKHR2015000131 2015-09-22; NKHR2015000172 2015-12-01.

<sup>170</sup> NKHR2016000001 2016-01-12.

Criminal Procedure Law. A former MSS agent testified that if a preliminary examination process at an MSS provincial bureau reveals that the criminal fact is true, such as through interrogation, it is reported to the MSS prosecutor's office. If the prosecutor's office determines that the suspect has in fact committed the criminal act, he/she is tried at the place where the preliminary examination was held. A prosecutor at the MSS prosecutor's office renders a decision in the name of the Central Court, the trial is held behind closed doors, and a sentence is decided pursuant to the Criminal Law. The MSS also determines whether it would be appropriate to imprison all of the family members, and whether the criminal will be imprisoned for life. However, there exists no literature that provides a basis for such determination. Senior officers hold a Case Council meeting to decide how to handle a case, including the scope and duration of imprisonment. 171 Another North Korean defector, who previously conducted relevant work at the MSS, said that at the end of a preliminary examination, a prosecutor from the MSS prosecutor's office renders the final decision. In sum, political prisoners allegedly do not undergo formal legal proceedings. 172 A North Korean defector, who was forcibly repatriated from China to North Korea in 2010, explained that in the Sinuiju MSS, political offenders are

<sup>171</sup>\_A North Korean defector, 19 April 2005, interviewed in Seoul.

<sup>172</sup>\_A North Korean defector, 10 October 2005, interviewed in Seoul.

distinguished from general offenders through investigation, after repatriation. Those who attempted to go to South Korea, the United States and Japan, and those who received education from a church related institution were categorized as political offenders and sent to political prison camps (*kwanliso*) without any trial process, unlike general offenders who went through trials.<sup>173</sup>

# (3) Imposition of Administrative Penalties by Diverse Institutions

In North Korea, administrative penalties are imposed for violations of law that are not serious enough to be punished by the Criminal Law (Article 13 of the Administrative Penalty Law). Administrative penalties include warnings/stern warnings, unpaid labor, re-educational labor punishment, demotion/dismissal/loss of employment, fines, suspension penalty, compensation penalty, confiscation penalty, suspension of qualification/degradation/deprivation of qualification, etc. (Article 14 of the Administrative Penalty Law). However, in addition to judicial organizations, other institutions, including the Socialist Law-Abiding Life Guidance Committee, the Cabinet, institutions of the prosecutor's office, arbitration institutions, institutions of the MPS, censorship supervision institutions, and qualification-granting institutions, can impose administrative penalties. Enterprises and organizations

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<sup>173</sup>\_NKHR2016000102 2016-06-28.

can also impose administrative penalties (Article 229 of the Administrative Penalty Law). Administrative penalties are also stipulated in the People's Security Enforcement Law and the Prosecution and Surveillance Law. MPS institutions and the Responsible Workers' Association can impose re-educational labor, suspension, degradation and deprivation of qualifications, suspensions, and confiscations for violation of legal orders (Article 57 of the People's Security Enforcement Law).

In North Korea, a variety of administrative penalties, based on Administrative Penalty Law, the People's Security Enforcement Law, and the Prosecution and Surveillance Law, are utilized as a means of exercising strict social control over the North Korean people. Among these administrative penalties, unpaid labor and re-educational labor, and in particular, labor training cannot be simply regarded as a form of administrative discipline. Rather, they exhibit characteristics of punitive action.

## D. Infringement on the Right to Defense

Article 164 of the North Korean Constitution stipulates, "a trial shall be open and an accused person's right to defense be guaranteed." Moreover, the Criminal Procedure Law stipulates, "in handling criminal cases, the right to defense of the defendant or person accused of a crime shall be guaranteed" (Article 58). The Criminal Procedure Law also stipulates, "a person undergoing preliminary examination or person accused of a crime shall be entitled to legal assistance from a defense counsel of his choice" (Article 60). If a person undergoing preliminary examination who has not chosen a defense counsel is prosecuted, the judge shall request the Lawyers' Association to appoint a lawyer (Article 63). The Law for the Protection of Children's Rights also stipulates that children aged 14 or older shall be entitled to assistance from a defense counsel (Article 50).

North Korean defectors testified that defense counsels were mostly present during trials. Some of them also said that defense counsels made statements in their favor. In particular, when the accused came from a good social background (songbun) or bribed the counsels, they tended to play a more active role in defense. A North Korean defector OOO who received a trial in 2015 after being forcibly repatriated to North Korea from China testified that he/she used the defense service of a private attorney in the trial process. The attorney actively defended the defector and sought forgiveness, emphasizing that he/she went to China because he/she was deceived, and the defector received a lower sentence than what the prosecutor proposed.<sup>174</sup> A North Korean defector who was caught crossing the border illegally in July 2016 said that he/she was able to avoid correctional labor punishment because he/she came from a good family background and carried

<sup>174</sup>\_NKHR2019000043 2019-07-01.

out some "business," and that the counsel made an argument stating that the testifier was devoted to the society and community, and provided the judge with a certificate supporting the State as evidence. All these efforts worked in favor of the testifier. 175 However, testimonies indicate that, in general, even when defense counsels attended the trial process, they neither offered assistance nor provided actual defense. A North Korean defector who went through a trial in Samjiyeon, Yanggang Province, in 2015 said that the counsel and prosecutor found a false witness who would lie for them. 176 The testifier said that defense counsels work in favor of the State, not the defendants. In March 2018, two brothers were suspected of murder; the younger brother was caught and sentenced to five years of correction while older brother ran away to China. During the trial, the lawver did not do much to reduce the sentence the defendant was to receive. 177 Perfunctory operation of the right to be supported by a lawyer is also apparent in the case of public trials. That is, despite attending a trial, in most cases, the lawyer will not offer a defense. 178 According to the North Korean Criminal Procedure Law, the duties of defense counsels include "ensuring accurate handling of a criminal case and guaranteeing the rights of a person undergoing

<sup>175</sup>\_NKHR2017000125 2017-11-20.

<sup>176</sup>\_NKHR2017000005 2017-04-10.

<sup>177</sup> NKHR2018000107 2018-10-01.

<sup>178</sup> NKHR2018000014 2018-04-09; NKHR2018000095 2018-08-27; NKHR2019000020 2019-05-07; NKHR2019000097 2019-10-21.

preliminary examination or a person accused of a crime according to law" (Article 59 of the Criminal Procedure Law). The Lawyer's Law also stipulates the rights and duties of defense counsels as "when a lawyer serves as counsel in a criminal case at the request of a person undergoing preliminary examination or a person accused of a crime or as commissioned by a court, he/she shall disclose the facts of the case accurately, help judges conduct an accurate analysis and render a fair decision, and guarantee the rights and interests of the person undergoing preliminary examination or the person accused of a crime" (Article 12). However, North Korean lawyers are mandated to uphold and carry through the policy of the State or the KWP, rather than protect the rights and interests of individuals. In other words, the role of a lawyer has more to do with persuading or inducing the accused to admit their crimes, rather than defending them.

To receive assistance from a defense counsel, the right of access to a defense counsel must be guaranteed. The North Korean Criminal Procedure Law stipulates, "a selected defense counsel may contact and converse with a person undergoing preliminary examination or a person accused of a crime. A preliminary examination officer, judge, and court shall allow the defense counsel and the person undergoing preliminary examination or the person accused of a crime to meet each other if either request" (Article 69). Moreover, the North Korean Lawyer's Law states that a defense counsel is entitled to converse

or correspond with a person undergoing preliminary examination or accused person of a crime (Article 9, subparagraph 1). As such, there appears to be access to defense counsel in some cases, but only in a perfunctory manner. A North Korean defector who was detained in an MPS detention center (guryujang) in South Pyeongan Province from September 2016 to February 2017, said an MPS officer asked him/her to meet a defense counsel during the preliminary examination, but threatened "I will kill you if you do anything foolish."179 This defector met with a defense counsel ten days before the trial, and the counsel asked the testifier if he/she had been beaten or deprived of food in the MPS. When the testifier said these incidents did occur, the defense counsel said that he would reduce the punishment by explaining about the incidents, but asked for a bribe through his/her family. When the testifier said that his/her family did not have money for the bribe, the defense counsel acted in favor of the prosecutor during the actual trial.

# E. Insufficient Guarantee of the Right to Appeal and Perfunctory Operation of the Appeal System

Article 14, paragraph 5 of the ICCPR stipulates that everyone convicted of a crime shall have the right to have the conviction and sentence reviewed by a higher tribunal, according to the law.

179 NKHR2017000096 2017-10-23.

In North Korea, appealing criminal judgments is possible. The North Korean Criminal Procedure Law stipulates that any accused person, lawyer, or claimant for compensation who objects to a judgment or decision by a court of first instance may file an appeal with a higher tribunal (Article 356). However, appeals are carried out in a perfunctory manner, and it is extremely rare for appeals to be accepted. A North Korea defector who went through a trial in Samjiyeon, Yanggang Province, in May 2012, said that he/she had been aware of the appeal procedure, but gave up because there were many cases in which appeals had resulted in disadvantages. Another North Korean defector, who also went through a trial in Samjiyeon, Yanggang Province, in 2014, had heard that appeal is not possible even if the judgement does not seem right.

Some individuals have also testified that they did not appeal, thinking that they would not be able to stand staying in the detention center (*guryujang*) during the appeal process. A North Korean defector, who underwent a trial in Samjiyeon, Yanggang Province, in August 2014, did not appeal the court decision because the process may take another one or two years. He/She also commented that the situation would become more difficult; he/she may end up malnourished because meals are not properly

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<sup>180</sup>\_NKHR2016000014 2016-01-26.

<sup>181</sup>\_NKHR2017000058 2017-07-31.

provided. 182 A North Korean defector, who underwent a trial in Kimjongsuk County, Yanggang Province, in April 2014 gave up an appeal as well because the process would require three to four months of detention and he/she was not sure if he/she would be able to stand it. 183 As a result, the survey assesses that appeals are rarely conducted because North Koreans perceive them to be meaningless. 184

The surveys indicate that no appeals are generally lodged in the case of defection from North Korea. However, it is unclear whether this is because an appeal itself is impossible or because the appeal would lead to no practical benefit. Some have testified that those who illegally cross the border are not allowed to file appeals, 185 while others have testified that they do not ask for an appeal, in order to serve their prison term as quickly as possible, as illegal border-crossing is not an ambiguous matter and therefore is not disputable. 186

## F. Infringement on Foreigners' Right to Trial

As of December 2019, 11 foreigners have been involved in nine cases and underwent criminal trial proceedings in North Korea:

<sup>182</sup>\_NKHR2016000114 2016-07-12.

<sup>183</sup> NKHR2016000104 2016-06-28.

<sup>184</sup>\_NKHR2015000031 2015-02-10; NKHR2016000055 2016-05-03.

<sup>185</sup>\_NKHR2012000184 2012-09-11.

<sup>186</sup> NKHR2014000151 2014-09-23.

U.S. citizens including Euna Lee, Laura Ling, Aijalon Mali Gomes, Kenneth Bae (Korean name: Jun-ho Bae), Matthew Todd Miller, Otto Frederick Warmbier, and Dong-chul Kim; South Korean citizens including Jeong-uk Kim, Guk-gi Kim, and Chun-gil Choi; and Canadian citizen Hyun-soo Lim.

Table II-29 Execution of Trials and Verdicts Involving Foreigners in North Korea (as of 31 December 2019)

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Name	Nationality	Time of Arrest	Time of Trial	Charges	Punishment	Execution
Euna Lee, Laura Ling	USA	17 March 2009	4 June 2009	Hostile acts against the North Korean people, Illegal border-crossing	12 years of correctional labor	Specially pardoned and released after a trial (August 2009)
Aijalon Mahli Gomes	USA	25 January 2010	6 April 2010	Hostile acts against the North Korean people, Illegal border-crossing	8 years of correctional labor, 70 million won fine	Specially pardoned and released after a trial (August 2010)
Kenneth Bae	USA	3 November 2012	30 April 2013	Conspiracy to overturn the State	15 years of correctional labor	Specially pardoned and released while serving in prison (November 2014)
Jeong-uk Kim	S. Korea	7 November 2013	30 May 2014	Conspiracy to overturn the State, Crime of espionage, Instigation of anti-state propaganda, Illegal border-crossing	Unlimited-term correctional labor	Serving in prison
Matthew Todd Miller	USA	April 2014	14 September 2014	Hostile act against North Korea	6 years of correctional labor	Specially pardoned and released while serving in prison (November 2014)

Name	Nationality	Time of Arrest	Time of Trial	Charges	Punishment	Execution
Guk-gi Kim	S. Korea	1 October 2014	Conspiracy to overturn the State,			
Chun-gil Choi		December 2014	23 June 2015	Crime of espionage, Crime of clandestine destruction, Illegal border-crossing	Unlimited-term correctional labor	Serving in prison
Hyun-soo Lim	Canada	2 February 2015	16 December 2015	Conspiracy to overturn the State	Unlimited-term correctional labor	Specially pardoned and released while serving in prison (August 2017)
Otto Frederick Warmbier	USA	22 January 2016	16 March 2016	Conspiracy to overturn the State	15 years of correctional labor	Specially pardoned and released while serving in prison, died after 6 days (June 2017)
Dong-chul Kim	USA	2 October 2015	29 April 2016	Conspiracy to overtum the State, Crime of espionage	10 years of correctional labor	Specially pardoned and released while serving in prison (May 2018)

Trials of foreigners in North Korea have the following characteristics:

First, as a formality, the defendants are informed of their right to receive legal assistance from a defense counsel. However, most foreigners do not seek such legal assistance because they suspect that North Korean defense counsels will not provide any substantive aid. In the case of Euna Lee, North Korean officials did advise her of her right to legal assistance, but she declined the offer because she was convinced that no North Korean defense

counsel would properly defend her. 187 Kenneth Bae also declined legal assistance. 188 As for the others, their choices remain unknown as related information has not been announced. Putting foreigners in a situation where they have to give up appointing a lawyer is a violation of the right to freely appoint a defense counsel, as stated in Article 14, paragraph 3 (b) of the ICCPR and ultimately also violates the overall right to a fair trial.

The second characteristic is the arbitrary limitation of the right of foreigners to consultation with the consul during their detention period. Article 36, paragraph 1 of the Vienna Convention on Consular Relations stipulates that when a national of the sending State is arrested in prison, custody or detention within a consular district, upon the request of the national, the authorities of the receiving country shall inform the consular post without delay of such a fact and any communication addressed to the consular post shall be forwarded by the appropriate authorities. The right to consultation with a consul by a person in the process of being investigated and tried is very important for protecting individual human rights. This right is a right of individuals and also of a State, recognized by customary international law. 189 The International Court of Justice (hereinafter ICJ) has ruled that the

<sup>187</sup>\_Euna Lee, The World is Bigger Now (New York: Broadway Books, 2010), p. 187.

<sup>188</sup>\_ Korean Central News Agency, 9 May 2013.

<sup>189</sup> ICJ. "LaGrand Case(Germany v. U. S. A.)." Judgement of 27 June 2001, paras. 89. 128(3).

Consular Convention has codified existing customary international laws on consular relations. 190

Table II - 30	Right to Consultation with a Consul in the Consular Convention
Article 36, paragraph 1	With a view to facilitating the exercise of consular functions relating to nationals of the sending State:  (a) consular officers shall be free to communicate with nationals of the sending State and to have access to them. Nationals of the sending State shall have the same freedom with respect to communication with and access to consular officers of the sending State;  (b) if he so requests, the competent authorities of the receiving State shall, without delay, inform the consular post of the sending State if, within its consular district, a national of that State is arrested or committed to prison or to custody pending trial or is detained in any other manner. Any communication addressed to the consular post by the person arrested, in prison, custody or detention shall be forwarded by the said authorities without delay. The said authorities shall inform the person concerned without delay of his rights under this subparagraph.

During the investigation process of U.S. tourists Matthew Todd Miller and Jeffrey Edward Fowle, who were detained in North Korea in 2014, North Korea announced that it was complying with the laws of relevant countries regarding the access to consul and treatment. 191 With no consular relations between the U.S. and North Korea, Sweden provided consular responsibilities as a protecting power for the U.S. North Korea granted meetings between the Swedish ambassador and the two female American

<sup>190</sup>\_ "Case Concerning United States Diplomatic and Consular Staff in Tehran (USA v. Iran)," ICJ/Reports 1980 (24 May 1980), p. 24. (para. 45)

<sup>191</sup>\_ Korean Central News Agency, 30 June 2014.

reporters on 30 March, 15 May, 1 June, and 23 June, 2009. Representatives of the Swedish embassy were also present at the trial for Aijalon Mahli Gomes. 192 In the case of Kenneth Bae, it was said that the Swedish embassy was notified of his detention, and that he could meet with the consul. 193 However, while negotiations for his repatriation were carried out during his time in a foreigner prison camp near Pyongyang, there were periods where the exchange of letters with the embassy was restricted. Furthermore, meetings would at times be restricted without notification. 194 Otto Frederick Warmbier, who died in June 2017. met with the staff of the Swedish embassy once on 2 March 2016; however, subsequent meetings were restricted. Canadian pastor Hyun-soo Lim had his first consultation with a Canadian diplomat on 18 December 2015, two days after being sentenced to unlimited-term correctional labor punishment. Since the Kenneth Bae incident, foreigners' right to consultation with a consul has been hampered. 195 Such right to consultation with a consul is not at all guaranteed for South Koreans. Such a limitation on the right to consultation with a consul may violate the right to a fair trial

192\_ Korean Central News Agency, 7 April 2010.

<sup>193</sup> Voice of America. 1 June 2013.

<sup>194</sup>\_Kenneth Bae, 7 November 2016, interviewed in Seoul.

<sup>195</sup>\_ "Treatment on the U.S citizens detained in North Korean got worse... Longest blocking from meeting consul," Voice of America, 8 August 2016; "State Department failed to detect location of U.S citizens detained in North Korea... consul meetin blocked for one year and three months," Voice of America, 3 June 2017.

stated in Article 14 of the ICCPR, which should be guaranteed not only to a nation's own nationals but to foreign nationals as well.

Third, foreigners are limited to a first-instance trial by North Korea's Central Court. It seems that there is a political intention to quickly finalize the process, considering the impact of such cases on the North Korean people. However, concluding a case with a first-instance trial violates foreigners' right to trial because all individuals are entitled to the right to appeal (Article 14, paragraph 5 of the ICCPR).

Meanwhile, for South Koreans detained in North Korea, there seems to be an infringement on the overall right to a fair trial, including the right to receive assistance from defense counsel. On 12 May 2015 the National Human Rights Commission of Korea announced in a statement that North Korea should allow the communication of South Korean citizens detained in North Korea with the outside, including through phone calls and exchanges of letters, and should guarantee the right to receive assistance from defense counsel appointed by the South Korean government. 196 To date, the North Korean authorities have not taken any measures on this issue. On 9 October 2015, international human rights organization Amnesty International pointed out in a statement that the contents of the trials of South Koreans detained

<sup>196</sup>\_National Human Rights Commission of Korea, "Statement by the Chairman of National Human Rights Commission of Korea to protect the human rights of citizens of the Republic of Korea detained in North Korea," 12 May 2015.

in North Korea were not disclosed, and that showing only the scenes of them confessing anti-state crimes, including spy activities and conspiracy to overturn the State, constitute an infringement of the right to a fair trial. 197 As of December 2019, it is believed that six people from the Republic of Korea are detained in North Korea.

#### G. Evaluation

While North Korea stipulates judicial independence, it is denied in practice. Judicial agencies in North Korea have a limited function and are managed and supervised by their higher authorities, the SPA and the KWP. This may violate the right to be tried by an 'independent' tribunal. Considering that trials are held in a perfunctory manner, and that the roles of judge, prosecutor, lawyer, and people's juror are merely passive, it is hard to say that trials are conducted fairly. The current local public trial system is also evaluated as going against the sense of fairness. Moreover, the operation of quasi-judicial systems, including the Comrade Trial System, MSS political criminal trials, and the Socialist Law-Abiding Life Guidance Committee raise the question of the violation of the right to be tried "by a tribunal established by law." The perfunctory operation of the appeals system continues as

<sup>197</sup>\_ Voice of America, 9 October 2015.

well. Meanwhile, in observance of the right of foreigners to have fair trials, North Korea appoints defense counsels for them in a perfunctory manner, and infringes upon the individuals' right to receive legal assistance from a defense counsel chosen on one's own, as stipulated in Article 14, paragraph 3 of the ICCPR. By arbitrarily limiting the right to consultation with consuls, the State also violates the right to a fair trial as stipulated in Article 14 of the ICCPR. Moreover, by not allowing foreigners to appeal their trials, the State also infringes upon the right to appeal as stated in Article 14, paragraph 5. With regard to South Korean people, there is an overall infringement of the right to a fair trial and the right to receive assistance from defense counsel. In the 2019 survey, no testimony has been collected indicating meaningful changes in this regard.

# Right to Privacy

All humans have the right to privacy. Article 12 of the UDHR stipulates the right to privacy as follows: "No one shall be subjected to arbitrary interference with his privacy, family, home or correspondence, nor to attacks upon his honour and reputation. Everyone has the right to the protection of the law against such interference or attacks." Article 17 of the ICCPR also stipulates the right to privacy.

Table II-31	Article 17 of the ICCPR
Paragraph 1	No one shall be subjected to arbitrary or unlawful interference with his privacy, family, home or correspondence, nor to unlawful attacks on his honour and reputation.
Paragraph 2	Everyone has the right to the protection of the law against such interference or attacks.

As such, international standards on human rights state the right to prevent others from interfering with one's own space, the right to not be forced into disclosing information if one does not wish to, and the right to stop one's personal information from being leaked and distributed without permission. The concept of the right to privacy can be understood passively as a legal guarantee to protect one's privacy from being arbitrarily forced to disclose information and to be left in peace and confidence, while it can be understood actively as the legal ability to manage and control one's own personal information.

This chapter will examine the major issues related to the right to privacy in North Korea.

### A. Infringement on Privacy through the General System of Surveillance of the People

With regard to the right to privacy, the ICCPR stipulates that "no one shall be subjected to arbitrary or unlawful interference with his privacy, family, home or correspondence" (Article 17). The overall protection of this right rests on whether there exists a system of surveillance through state organizations and institutions. Infringement on privacy through state organizations and institutions can be as serious an infringement on human rights as physical violence by a state, if not more. Organizational and institutional surveillance by a state constitutes a serious threat to the independence, autonomy, and unique personality of the individual, and constitutes a form of psychological violence that leads to self-censorship.

Article 79 of the North Korean Constitution guarantees the prohibition of violations of privacy; it stipulates that "the inviolability of person and house, and secrecy of correspondence of citizens shall be guaranteed. Without being based on law, one cannot imprison or arrest citizens, nor search residential houses." To this end, an illegal search of a house by law enforcement personnel is stipulated as a criminal offense (Article 241 of Criminal law) and a confiscation and search shall be conducted after the prosecutor's approval (Article 216 of Criminal Procedure Law). In addition, regarding freedom of communication, mail correspondence and electronic communications are guaranteed by law (Article 5 and 11 of the Communications Law), violation of which shall be faced with administrative/criminal punishment (Article 123 and 158 of Administrative Penalties Law). However, contrary to such provisions, there has been extensive surveillance and control by the State over the daily lives of people in an organized and systematic manner. In effect, there is no protection or guarantee of secrecy over one's private life.

A case in point is the "five household surveillance system." In this system, five households are grouped into one unit. Among them, the head of a household most loyal to the Party is designated as the propagandist in charge of the five households. The head has the authority to intervene and control the overall family lives of the rest of the households, including couples' affection issues and problems between parents and their children. This system originated from a statement by Kim Il Sung in July 1958 during his visit to the Democratic Propaganda Office in

Yaksu-li, Changseong County, North Pyeongan Province. He said, "A paid official should assume responsibility over just five households, giving guidance on educational projects or economic tasks, and so as to promote these projects, and if the Party committee gathers them around and assigns tasks as well as manage their performance, things will go well."198 In the 1960s, this system was implemented throughout North Korea, under the name "Red Family Creation Campaign." Since early 1974, it has been implemented as the "People's Unit (inminban) sub-work group system," which expanded the number of households under a unit of joint responsibility from five to ten. The five household surveillance system serves as a path for the State not only to exploit labor but also to interfere with the people's private lives.

The second example is the People's Unit (inminban) system, which first started as a nationwide social cooperation unit of People's Committees in the early stages of land reform in 1946. In North Korea, everyone with a residential registration is automatically registered to the People's Unit (inminban). The People's Unit (inminban) groups 20 to 40 households into one unit and places that unit under the control of a regional People's Committee, which provides guidance for their daily lives and monitors ideologies and visitors to the community. Each People's

<sup>198</sup>\_ "Powerful way of public education-five household surveillance system: Yaksu-li party committee, Changseong County," Rodong Shinmun, 13 September 1967, page 2.

Unit (inminban) has a chief, a chief of heads of households, a chief of sanitation, instigation agents, and a confidential informant (security agent). 199 The People's Unit (*inminban*) handles diverse issues in the residential area under its purview, including child care, labor mobilization, cleaning, maintenance of public order, spreading news on events and accidents, and delivers instructions through a Life Review Session (saenghwalchonghwa).<sup>200</sup>

Article 30 of the Law on City Administration stipulates that "People should voluntarily participate in the People's Unit (inminban) to make family life sound and persons humble, and suitable for the socialist lifestyle." Although this law uses the expression "voluntarily," it de facto legitimizes interference in people's family lives through the People's Unit (inminban) system. The People's Unit (inminban) chief usually visits each household without warning to carry out sanitary inspections, portrait inspections, and inspection of books related to Kim II

<sup>199</sup>\_The People's Unit (inminban) chief is nominated by city/county (district) People's Committees upon recommendation of the residents. In most cases, housewives who are loyal to the Party and do not work serve this position. The People's Unit (inminban) chief is responsible for the surveillance of movement of all the residents of the People's Unit (inminban). Chiefs of heads of households are appointed directly by the Party and they monitor husbands separately. They sometimes hold meetings and lectures for husbands and mobilize them for events, as well as control the People's Unit (inminban) during election events and monitor the husbands' movements after work. The sanitation chief is in charge of the People's Unit (inminban) environment, while instigation agents are responsible for ideology education and take the responsibility of managing the Party sub-work group, composed of Party members of People's Unit (inminban). The separatelyassigned secret informants (safety agents) are surveillance agents dispatched by the MSS or the MPS.

<sup>200</sup> NKHR2015000014 2015-01-27.

Sung's family. This technically constitutes an unlawful house search. Moreover, the People's Unit (inminban) system forces people to publicly criticize misdeeds of each household during numerous meetings, and monitors and controls the ideology and intimate privacy of families. In addition, this system imposes tasks on the people, such as road cleaning, mobilization of labor in farming villages, and military support. The People's Unit (inminban) is a representative system of surveillance and control of people's privacy.

Review Session The third example is the Life system. The Life Review (saenghwalchonghwa) Session (saenghwalchonghwa) is held weekly, monthly, quarterly, and annually within organizations one is involved with, such as the Party or labor organization. During the session meeting, people perform self-criticism and mutually criticize each other regarding their work and their public and private lives.<sup>201</sup> The system of "new Party Life Review Session," re-established by Kim Jong II in 1967, was devised as a means of strictly dominating and controlling people's privacy. In the "Ten Principles for the Establishment of the Unitary Ideology System," declared in 1974, North Korea strengthened its control over the people by stipulating that they should participate actively in these sessions.

<sup>201</sup> Institute for Unification Education. Dictionary of Knowledge on North Korea (Seoul: Institute for Unification Education, 2016), pp. 416~417. (In Korean)

These sessions were compulsory for students in the second grade or higher, regardless of age and gender. <sup>202</sup> Life Review Sessions (*saenghwalchonghwa*) are carried out by criticizing and reflecting on one's own mistakes through self-criticism and the criticism of others. It is a system in which people are encouraged to self-inspect and self-criticize how they have pursued their lives during the past week as part of an organization or the People's Unit (*inminban*), as well as to point out others' mistakes. It is a system that forces people to open up and criticize their own lives. Right after these Life Review Sessions (*saenghwalchonghwa*), people are instructed to write what was criticized on that day based on the "message of Kim Il Sung and Kim Jong Il" in a "Life Review Session notebook." <sup>203</sup> If someone is absent, sometimes he/she has to have a one-on-one "individual session (*gaebyulchonghwa*)" with the Party cell secretary. <sup>204</sup>

Although Life Review Sessions (*saenghwalchonghwa*) have become somewhat of a formality or irregular in operation after the 1990s food crisis, they still work as a strong mechanism to control people's lives. In particular, there are more intense Life Review Sessions (*saenghwalchonghwa*) for those working in military supplies business than for general workers.<sup>205</sup> The right to

202\_*lbid*., p. 415.

<sup>203</sup>\_NKHR2015000102 2015-05-19.

<sup>204</sup>\_NKHR2015000053 2015-03-10.

<sup>205</sup>\_NKHR2019000070 2019-08-26.

privacy of the North Korean people is seriously infringed upon as each Life Review Session (saenghwalchonghwa) forces them to self-inspect, open up, and receive criticism on their private lives for the rest of their lives

Lastly, infringement of privacy occurs through the mobilization of "safety agents." These clandestine "safety agents" operate in all organizations, and monitor colleagues and people of the organization to which they belong. People mostly call them "spies" or "informers." 206 Safety agents are secretly selected from state institutions, factories and enterprises, farms and the People's Unit (inminban). In every organization, one out of 20 to 30 people is a safety agent. People who are secretly selected as safety agents make written or verbal oaths that they will report each and every irregularity in the organization to which they belong. Approximately every fifteen days, they submit policy and trend reports of around half a page of A4 paper to their superiors through a secret contact. For example, they secretly report the trends found in the speech and behaviors of people, such as who said what during the labor mobilization period in farming villages, who gained excessive profits through business, whether the head of section or committee chairman embezzled a subsidy. Through these safety agents, all actions, as well as the overall private lives

<sup>206</sup> Safety agents are said to be divided into informers who make written oaths and those who make verbal oaths. NKHR2015000040 2015-02-24.

of the people, are controlled and placed under scrutiny.

It appears that since the Kim Jong Un regime came to power, monitoring and violation of the people's private lives have increased through the People's Unit (inminban) and Life Review Sessions (saenghwalchonghwa). Similar testimonies have continuously been reported in the 2019 survey. In particular, the monitoring and tapping of residents are carried out relatively more intensely on those whose family members are missing or have defected or those who have family members residing in South Korea.

Table II-32 Cases of Monitoring and Social Control

Testimonies	Testifier ID
The testifier felt insecure as it was possible to be reported if relationships with people get bad, even with close friends, and as there were watchers from the MSS or MPS branch, aside from the People's Unit ( <i>inminban</i> ) chief, the testifier became more careful when such people were present.	NKHR2019000021 2019-05-07
The testifier once received a letter from his/her sister who defected in February 2017, and the letter was already opened and censored when it arrived.	NKHR2019000020 2019-05-07
The testifier joined the Youth League mandatorily and performed self-criticism and mutual criticism in the Life Review Sessions ( <i>saenghwalchonghwa</i> ) every week by cell unit from when the testifier was in 1st grade to advanced middle school.	NKHR2019000060 2019-07-29
The testifier was always monitored as his/her mom was in South Korea. The authority knew that the mom was sending money and people around him/her monitored whom the testifier met and what conversations he/she had, how he/she spent money, and how he/she spent his/her day.	NKHR2019000068 2019-08-26

Testimonies	Testifier ID
Monitoring for social control was continuously conducted. There were spies from the MSS and MPS branch including the Democratic Women's Union Chair (Head of Women's Union Committee) and People's Unit ( <i>inminban</i> ) chief, and Life Review Sessions ( <i>saenghwalchonghwa</i> ) of the Youth League were conducted on a weekly basis.	NKHR2019000084 2019-10-05
When a family member is missing, a watcher follows. Every single movement is watched and the watchers tried to uncover illegal behaviors.	NKHR2019000110 2019-11-18

#### B. Violation of Privacy through Unlawful House **Investigations & Communications Interference**

Article 17, paragraph 1 of the ICCPR stipulates that no one shall be subjected to arbitrary or unlawful interference with his or her privacy, family, home or correspondence. The right to prevent others from entering into one's private space without permission is the most basic aspect of guaranteeing and protecting confidential information regarding one's private life. In particular, unlawful house searches conducted by the State in an organized manner constitute serious infringement on the inviolability of personal residential space. Article 79 of the North Korean Constitution guarantees this inviolability of residential space by stipulating that house searches without legal grounds cannot be conducted.

However, there is a wide gap between legal provisions and reality, as has been confirmed through the testimony of multiple individuals. Unlawful house investigations by judicial and civil police agents of the MPS, the MSS, prosecutor's office, and permanent/non-permanent investigation organizations (geuruppa) have long been common practice.

Many North Korean defectors have testified that unlawful house searches are common. In North Korea, house searches are supposedly only possible when a search warrant is issued by the Director of Prosecutors of the prosecutor's office, and approved by the regional Safety Committee. However, in most cases, the MPS officers, MSS agents, or Group 109 (109 Permanent Committee) members enter into and search a house without a warrant and without prior notice, stating that it is for the purpose of censoring "impure" recordings or smuggled items.<sup>207</sup> Under the Kim Jong Un regime, there are frequent cases where crackdowns are covered up with bribes or providing meals after house searches.

A testifier stated that his/her house was searched without prior notice in 2017, and the search squad demanded money or 20kg of gasoline after finding Chinese films that were not approved. However, the incident was covered up by giving the search squad two puppies.<sup>208</sup> Another testifier's house was also searched by a search squad in October 2018 to inspect whether the testifier possessed stolen agricultural products. After impure recordings

<sup>207</sup> NKHR2019000013 2019-05-07; NKHR2019000044 2019-07-01; NKHR2019000045 2019-07-01; NKHR2019000046 2019-07-01; NKHR2019000052 2019-07-20 and many other testimonies.

<sup>208</sup>\_NKHR2019000052 2019-07-20.

(Indian and Chinese films) were found, the testifier covered up the case by giving them 30,000 North Korean won.<sup>209</sup> A testifier whose house was searched in May 2018 also said that Korean songs on his/her computer were discovered, but he/she sent the Group 109 members away by giving them meals and cigarettes.<sup>210</sup>

Beginning around 2015, there have been consistent cases where people raised objections or protested against illegal house searches. In the 2019 survey, there were, albeit limited, testimonies that showed North Koreans' improved awareness of human rights. When strongly protested against for not showing the warrant or searching for items not on the search items lists, in some cases, the search squad gave up the search and retreated due to the harsh protest.

A testifier said that his/her house was searched by a Group 109 squad in 2017 and 2018, and the testifier protested because the squad did not show a search warrant and the squad members recklessly searched the house although the testifier knew that there was a regulation stipulating that the house owner should first show the items to the squad members even if the items were not on the search lists.<sup>211</sup> Another testifier also said that he/she underwent a sudden house search by six MSS agents in November

<sup>209</sup> NKHR2019000045 2019-07-01.

<sup>210</sup>\_NKHR2019000071 2019-08-26.

<sup>211</sup> NKHR2019000085 2019-10-05.

2018, and the agents requested that the testifier give them a cellphone saying that the testifier was smuggling, but the testifier strongly protested saying that he/she did not have a mobile phone and he/she knew that it was illegal to conduct a house search without the inspection tickets of the MSS agent in charge, the attendance of the People's Unit (inminban) chief, and a search warrant.<sup>212</sup>

Table II -33 Cases of Subjection to Illegal House Search

Testimonies	Testifier ID
MSS conducted a house search to find mobile phones in 2015.	NKHR2019000013 2019-05-07
Since Bocheon County, Yanggang Province, was a hotbed for smuggling, the MPS officer in charge often conducted house searches. When caught, all smuggled items were confiscated.	NKHR2019000052 2019-07-20
In 2017, the testifier underwent a house search without prior notice and was demanded to give money or 20kg of gasoline for possessing unapproved Chinese films and songs of North Korean singers who became a problem, but the testifier gave them 2 puppies instead (value of 50 yuan).	NKHR2019000045 2019-07-01
In 2018, the testifer was caught for possession of impure video recordings through a house search but covered up the incident by giving them 30,000 North Korean won.	NKHR2019000071 2019-08-26
In May 2018, the testifier underwent a house search, and the 109 Permanent Committee entered and checked if there were computers and USB drives and found Korean music, which was music accompaniment in the computer. The testifier sent them away by giving them meals and cigarettes.	NKHR2019000095 2019-10-21
In November 2018, six MSS agents came and carried out a house search, demanding the testifier give them the mobile phones that the testifier used for smuggling, but the testifier firmly denied the charge and gave them 500 yuan and meals. The testifier did not have another house search after then.	NKHR2019000044 2019-07-01
The testiner are not have another floude scaroff after them.	

212\_NKHR2019000095 2019-10-21.

Testifier ID **Testimonies** 

The testifier operated a smuggling brokerage and had a house search without a search warrant after his or her aunt went missina.

NKHR2019000110 2019-11-18

#### C. Evaluation

Although the right to privacy is stated in the Constitution, North Korean people's private lives are rarely respected. Not only has surveillance along the border regions been tightly maintained and reinforced but also general social control carried out at a strict level since Kim Jong Un came to power. Illegal house searches to crack down on fugitives, illegal video recordings, and illegal economic activities are being conducted, and arbitrary communication interference has increased. Moreover, the extensive and organized surveillance and control over the people's private lives by the State has been in place for a long time, demonstrating the seriousness of the situation. Privacy surveillance systems still exist at an institutional level as seen in "five household surveillance system," the "People's Unit (inminban) system," and the system of holding a "Life Review Session (saenghwalchonghwa)." In addition, testimonies from numerous individuals regarding unlawful house searches of residential space show that the inviolability of residential space is seriously infringed upon in the everyday lives of the people. Moreover, with regard to the increase in the number of illegal house searches under the Kim Jong Un regime, many testifiers

perceived that house searches were degenerating into a means of swindling money and valuables by public agency workers. This shows increasing distrust in public power.

9

## Right to Freedom of Thought, Conscience, and Religion

The right to freedom of thought, conscience and religion is related to the liberty of one's inner world and constitutes one of the most basic human rights. The basic rights of citizens in a democracy including free expression of belief and freedom to make decisions based on one's conscience are closely related to the right to freedom of thought, conscience and religion. Article 18 of the UDHR stipulates that "everyone has the right to freedom of thought, conscience and religion" while Article 18 of the ICCPR mentions the right to freedom of thought, conscience, and religion.

Table II-34	Article 18 of the ICCPR
Paragraph 1	Everyone shall have the right to freedom of thought, conscience and religion. This right shall include freedom to have or to adopt a religion or belief of his choice, and freedom, either individually or in community with others and in public or private, to manifest his religion or belief in worship, observance, practice and teaching.
Paragraph 2	No one shall be subject to coercion which would impair his freedom to have or to adopt a religion or belief of his choice.
Paragraph 3	Freedom to manifest one's religion or beliefs may be subject only to such limitations as are prescribed by law and are necessary to protect public safety, order, health, or morals or the fundamental rights and freedoms of others.
Paragraph 4	The States Parties to the present Covenant undertake to have respect for the liberty of parents and, when applicable, legal guardians to ensure the religious and moral education of their children in conformity with their own convictions.

This chapter will now examine the situation regarding the right to freedom of thought, conscience, and religion by major issues.

### A. Maintaining the Unitary Surveong Ruling System and the Ten Principles

Article 18, paragraph 2 of the ICCPR stipulates that "no one shall be subject to coercion which would impair his freedom to have or to adopt a religion or belief of his choice." The UN Human Rights Committee has stated that if a set of beliefs is treated as official ideology in a state, this shall not result in any disadvantage or any discrimination against persons who do not accept the official ideology.213 This guarantees individuals'

<sup>213</sup>\_UN Human Rights Committee, General Comment, No. 22 (1993), para. 10.

inherent right to freedom of thought in a state-individual relationship. This means that while having an official belief is acceptable, it cannot be used as grounds to infringe upon the freedom of thought and conscience of individuals. However, North Korea does not currently allow any ideology other than its official *Juche* Ideology. In addition, since the declaration of the Ten Principles to Safeguard the Party's Unitary Ideology System (hereinafter referred to as "Ten Principles of Unitary Ideology") in 1974, no exceptions are tolerated, further infringing upon individuals' freedom of thought and conscience.

Such a situation is related to maintaining the Unitary Ruling System based on the *Juche* ideology that North Korea advocates. The North Korean Constitution does not include freedom of thought and conscience, and the basic concept of this freedom has not been established in its laws or institutions. The key reason North Korea does not guarantee freedom of thought and conscience is that its cult of personality originated from the Unitary *Suryeong* Ruling System. Any ideology other than that centered around *Suryeong* is regarded as a serious threat to the Unitary *Suryeong* Ruling System. The North Korean Constitution declares that *Juche* ideology is the one and only "basic guarantee" for the operation of the State (Preamble), and that *Juche* ideology is a leading principle for all State activities (Article 3).

The reinforcement and idolization of the Unitary *Suryeong* Ruling System is embodied through the Ten Principles of Unitary

Ideology. Spelled out in this document are the principles that mandate that everyone owes unconditional loyalty to Kim Il Sung and shall follow Kim Il Sung's instructions as absolutes. Since they were first announced in 1974, the Ten Principles of Unitary Ideology have sought to deify "the Great Leader" Kim Il Sung, and have spiritually commanded the behaviors of North Koreans more than any other laws or regulations. In June 2013, North Korea revised the Ten Principles of Unitary Ideology for the first time in the thirty-nine years since it was enacted on 14 April 1974. The name was changed to "Ten Principles to Firmly Establish the Party's Unitary Leadership System" (hereinafter, "the Ten Principles"). In North Korea, the Ten Principles have superiority over the Constitution or the statutes of the KWP, and governs the standards that systematically safeguard Kim Jong Un's unitary dictatorship. The can be considered as guidelines that ideologically govern the North Korean system.<sup>214</sup>

The revised Ten Principles focuses on the unitary leadership of Kim Jong Un, and demands that the entire Party strengthen its "unified ideological resolve and revolutionary unity around the leader" (Principle No. 6) in order to safeguard the unitary leadership system. Kim Jong Un himself gave a speech on safeguarding the unitary leadership system on 19 June 2013, in

214\_Lee Ki-woo, *North Korea's Propaganda and Rodong Sinmun* (Seoul: Paradigm, 2015), p. 80. (In Korean)

front of high- ranking officials of the Party, the military, and the Cabinet. The KWP has published a collection of his speeches in a booklet entitled *On Absolutely Safeguarding the Unitary Leadership System Befitting the Needs for Revolutionary Development*. The revised Ten Principles are particularly noteworthy because they seek to tighten ideological control over the population.

Table II - 35	Ten Principles to Firmly Establish the Party's Unitary
	Leadership System

Principle No. 1	All must struggle whole-heartedly to remake the entire society into "Kim II Sung/Kim Jong II-ism."
Principle No. 2	All must greatly revere Great Leader Kim II Sung and Dear Leader Kim Jong II as eternal Supreme Leader ( <i>Suryeong</i> ) of our Party and people, and as the sun.
Principle No. 3	All must safeguard with their lives and accept as absolute the authority of Great Leader Kim II Sung and Dear Leader Kim Jong II, and the authority of the Party.
Principle No. 4	All must absolutely arm themselves with revolutionary ideology of Great Leader Kim II Sung and Dear Leader Kim Jong II, and the Party's lines and policies, which are the specifics of that ideology.
Principle No. 5	All must absolutely observe the principles unconditionally in pursuing the teachings of Great Leader Kim II Sung and Dear Leader Kim Jong II and the Party's lines and policies.
Principle No. 6	All must strengthen in every possible way the Party's ideological unity and revolutionary cohesion around the Leader.

<sup>215</sup>\_In this booklet, Kim Jong Un emphasizes that the new "the Ten Principles to Firmly Establish the Party's Unitary Leadership System" is newly "enacted," succeeding and further developing the "The Ten Principles to Safeguard the Party's Unitary Ideology System." See, Kim Jong Un, On Absolutely Safeguarding the Party's Unitary Leadership System Befitting the Needs for Party Development (Pyongyang: KWP Publisher, 2013), pp. 5~6. (In Korean)

Principle No. 7	All must learn after Great Leader Kim II Sung and Dear Leader Kim Jong II and maintain refined mental and moral postures and revolutionary working methods, and people's working styles.
Principle No. 8	All must cherish the political life handed down by the Party and Supreme Leader ( <i>Suryeong</i> ), and must repay the Party's trust and consideration with enhanced political self-respect and productive output.
Principle No. 9	All must build strong organizational rules so that the entire Party, the nation, and the military can move together as one under the unitary leadership of the Party.
Principle No. 10	To the end, all must succeed in and complete the great task of the revolution and the great task of Military-first revolution that Great Leader Kim II Sung launched and Dear Leader Kim Jong II implemented.

Since the Ten Principles are open to arbitrary interpretation, North Korean authorities frequently utilize them to penalize those whom they hold political grudges against by labeling them as political or ideological criminals. For example, the Ten Principles made it possible for an entire family to disappear when their second grade elementary school student (nine years old) scribbled on the faces of Kim II Sung and Kim Jong II in his/her textbook, or because an elderly woman used sheets of the Rodong Shinmun, which contained pictures of Kim II Sung and Kim Jong II, as wallpaper. North Korean authorities carry out ideological education, such as using the Ten Principles to force people to sacrifice their lives to save portraits of Kim II Sung and Kim Jong II.

However, in spite of North Korean authorities' emphasis on the Ten Principles, it seems rare that ordinary people, who are not Party members, are actually taught the Ten Principles. It is said that only Party members are taught the Ten Principles, and accordingly, it seems that to most North Koreans, who are not Party members, the Ten Principles do not hold much significance. Even for those who had learned about the Ten Principles as Party members, Life Review Sessions (*saenghwalchonghwa*) and the Ten Principles were gradually weakening as their lives became more difficult in the face of economic hardship. There have been many testimonies which claim that despite the education on the Ten Principles, they do not remember the content,<sup>216</sup> as well as testimonies that there was no special education about the Ten Principles that was newly changed after Kim Jong Un came to power.<sup>217</sup>

However, an intensive education on the Ten Principles is given to North Koreans who are dispatched overseas. A North Korean defector said that he/she memorized each and every line of the Ten Principles before being dispatched to Oman.<sup>218</sup>

Table II-36 Cases Related to the Ten Principles

Testimonies	Testifier ID
The testifier learned the Ten Principles in the military and labor training camps ( <i>rodongdanryundae</i> ) instead of in schools.	NKHR2016000167 2016-11-01
The testifier recited the Ten Principles in the military before joining the Party.	NKHR2016000178 2016-11-29

<sup>216</sup>\_NKHR2019000008 2019-04-08; NKHR2019000012 2019-04-20; NKHR2019000047 2019-07-01; NKHR2019000048 2019-07-01 and many other testimonies.

<sup>217</sup>\_NKHR2019000014 2019-05-07; NKHR2019000016 2019-05-07.

<sup>218</sup> NKHR2018000113 2018-10-13.

Testimonies	Testifier ID
The testifier did not remember the Ten Principles well. The testifier studied it twice a year by organizing a study review session that included asking questions and answering.	NKHR2017000053 2017-07-31
The testifier did learn the Ten Principles at the Democratic Women's Union after the revision, but does not remember them. People did not apply the Ten Principles in real life.	NKHR2017000060 2017-07-31
The testifier referred to the Ten Principles during Life Review Sessions ( <i>saenghwalchonghwa</i> ), and studied them with related materials. People tend to work hard to learn it in the military.	NKHR2017000087 2017-09-25
A book on the Ten Principles was distributed in the workplace but the testifier can barely remember anything.	NKHR2018000056 2018-07-02
The Ten Principles was educated by a question and answer system and although the testifier worked hard to memorize it, he/she does not remember much.	NKHR2018000070 2018—07-14
The testifier has never read the Ten Principles that were changed since Kim Jong Un came to power and only the Party member candidates received education on the Ten Principles before they joined the Party.	NKHR2019000013 2019-05-07
There was no special education on the changed Ten Principles.	NKHR2019000014 2019-05-07
While there was strong education on the Ten Principles in the past, today, even schools do not teach the Principles to the students.	NKHR2019000016 2019-05-07

#### B. De Facto Restriction of Religious Freedom

Article 18, paragraph 1 of the ICCPR stipulates that "everyone shall have the right to freedom of thought, conscience and religion. This right shall include freedom to have or to adopt a religion or belief of his choice, and freedom, either individually or in community with others and in public or private, to manifest his religion or belief in worship, observance, practice and teaching." Article 68 of the North Korean Constitution stipulates that "citizens shall have freedom of religion," and it elaborates that

"this right is guaranteed through allowing the construction of religious buildings and religious rituals, etc." However, it stipulates that "religion cannot be used for involving foreign powers or harming the social order of the country," which is utilized as the grounds for suppressing the freedom of religion. As a matter of fact, it regards all religion-related behaviors as acts of plotting to overthrow the regime.

It is practically impossible for North Korean people to practice religion in their daily lives. Moreover, a substantial number of North Korean defectors testified that they had never heard the word "religion" when they were in North Korea. In particular, among many religions, suppression of Christianity is severe. In some cases, people are regarded as political criminals and sent to detention centers (*guryujang*) or executed simply for possessing the Bible.<sup>219</sup> A North Korean defector \(\circ\)\(\circ\) who defected in 2019 testified that he/she witnessed two people being publicly executed for possession of the Bible in Pyeongseong, South Pyeongan Province, in 2018.<sup>220</sup> A North Korean defector \(\circ\)\(\circ\)\(\circ\) who defected in 2018 testified that people were punished as political criminals even for their personal religious life without attempting to spread Christianity.<sup>221</sup>

<sup>219</sup>\_NKHR2019000054 2019-07-29; NKHR2019000051 2019-07-20; NKHR2019000021 2019-05-07.

<sup>220</sup>\_NKHR2019000024 2019-05-18.

<sup>221</sup>\_NKHR2019000020 2019-05-07.

Religious freedom in North Korea can be evaluated from three different perspectives. First, no religious facilities, including Protestant or Catholic churches, exist in North Korea except in Pyongyang. This reflects the reality of North Korea, where freedom of religion is de facto restricted. As for the family churches that North Korea says exist, it is questionable how freely they are allowed to be operated in the provincial towns. Not one North Korean defector among those testified was aware of a family church.

Such restrictions on religious activities comes from the State's persistent persecution of religion, based on Kim Il Sung's teaching that "religion is the opiate of the people." North Korea views religion as an "imperialistic tool for invasion," which helps the ruling class to exploit the masses. The North Korean Dictionary on Philosophy states, "Religion was historically seized by the ruling class to deceive the masses and was used as a means to exploit and oppress, and it has recently been used by the imperialists as an ideological tool to invade underdeveloped countries." 223

Based on such perception, many religiously active people in

<sup>222</sup>\_"Religion is a reactionary and unscientific world view. Religion is like an opium." See, Korean Workers' Party Publishers, *Selections from Kim II Sung's Writings* (Pyongyang: Korean Workers' Party Publishers, 1972), p. 154. (In Korean)

<sup>223</sup>\_The Academy of Social Science Philosophy Institute, *The Dictionary of Philosophy* (Pyongyang: The Academy of Social Science Press Philosophy Institute, 1985), p. 490. (In Korean)

North Korea are branded as disloyal, and are tortured or executed for their beliefs. Most religious people are categorized as anti-state and counter-revolutionary hostile elements and subjected to persecution, and Christians in particular are purged because the Christian religion is regarded as a tool for imperialist invasion. Moreover, taking advantage of anti-American sentiment that developed during the Korean War, North Korea strengthened its religious persecution and conducted personal background checks to stigmatize and oppose all religious persons and their families as anti-revolutionary elements.

Second, while North Korea continues its religious persecution, it uses Protestant churches, Catholic churches, and temples for political purposes and external propaganda for religious people from abroad and other occasional visitors to these religious facilities. According to testimonies, entry or access to the newly established facilities by local citizens is strictly prohibited, and ordinary citizens generally perceive these religious places as "sightseeing spots for foreigners." In the case of Bongsu Protestant Church in Pyongyang, which was built in September 1988, it is said that only the building guard and his/her family lives there, but when foreign guests come to visit, several hundred citizens aged 40 to 50 years old are carefully selected and gathered to participate in fake church services. Foreign Christians who visited North Korea testified that they witnessed the door of the church being closed on Easter Sunday when they visited

without prior consultation. Many foreign visitors said that church activities seemed to be staged.

Third, all defectors consistently testified that practicing religion on a personal level is harshly persecuted. The fundamental reason for North Korea's difficulty in guaranteeing freedom of religion stems from the belief that religion is a means of foreign encroachment and inflicts harm on North Korea's social disciplines as mentioned in its Constitution. In particular, during the famine of the 1990s, when an increasing number of people moved in search of food, North Korea strictly suppressed Christian missionary activities in the belief that the religion had detrimental impact on the maintenance of the North Korean regime.

The fact that freedom of religion is restricted is also shown by the cases of punishments for people involved in religion and defectors who are forcibly repatriated to North Korea. Increase in control over defection under the Kim Jong Un regime reflects the concern that the regime will grow unstable due to the inflow of external influence. It is also said that the punishment for those who had been in contact with Christians in China or South Koreans is heavier. Testifiers said that they did not get a chance to experience religion in North Korea, however, by the time they escaped North Korea, they came to realize that punishment is severe when caught getting involved in religious activities.<sup>224</sup>

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#### Table II-37 Cases of Infringement on the Freedom of Religion

cases of infiningement of the freedom	
Testimonies	Testifier ID
In 2013, the testifier's colleague's father, who learned about Buddhism from South Korea and believed in it for around ten years, introduced it to the people around him. 70% of the 1,200 workers visited the colleague's place to practice Buddhism, bowing and praying every night. Sometimes he read people's fortunes by physiognomy. The testifier also saw him in possession of books on Buddhism. The colleague's father was sentenced to one year of correctional labor punishment and sent to Wonsan <i>Kyohwaso</i> , but he continued to believe in Buddhism after returning from the prison camp.	NKHR2016000056 2016-05-03
In 2015, a computer file of the Bible was circulated in Kimhyeongjik County, Yanggang Province, and the testifier understood religion as "an organization that follows God."	NKHR2015000091 2015-05-12
In December 2015, Samjiyeon, Yanggang Province, the testifier witnessed a neighbor getting arrested by Provincial MSS after a Bible was found during the house search. The testifier assumed the neighbor was sent to a political prison camp ( <i>kwanliso</i> ) as the testifier did not get any news about the neighbor.	NKHR2017000012 2017-04-10
In 2018, the testifier witnessed the public execution of two people for spreading Christianity in Gilseongpo Port, North Hwanghae Province. The public trials and public executions were conducted together, and the host of the political criminal trials was the MSS.	NKHR2019000054 2019-07-29
In April 2018, a cousin of the testifier was caught by the MSS for receiving Bibles from China and distributing them to people for missionary work and was sent to a political prison camp ( <i>kwanliso</i> ).	NKHR2019000051 2019-07-20
Among the smuggled items, there were also Bibles. If Bibles are found, most people in possession of them were given correctional labor punishment.	NKHR2015000067 2015-04-07
The testifier first learned about religion through the MSS interrogation process. When repatriated to North Korea and undergoing MSS interrogation, there were many cases of arrest related to "Christianity."	NKHR2015000122 2015-09-08

#### C. Heavier Punishment for Superstitious Behavior

While freedom of religion is de facto restricted in North Korea, superstitions are prevalent among the public. According to the Criminal Law of North Korea, superstitious behavior are subject to up to 7 years of correctional labor punishment (Article 256).

However, in reality, North Korean authorities do not consider punishment of superstitious activities to be at the same level as that of religious ones. In general, while punishment is very strict when North Koreans or North Korean defectors are involved with the Bible or Christian missionaries, when they are involved in superstitions, it appears they are mostly punished by labor training punishment of less than a year.<sup>225</sup> Even this punishment can be avoided by offering bribes.<sup>226</sup> However, there were some cases of strong punishment given to people who directly engaged in superstious acts. In the 2019 survey, there were many cases collected about the punishment of people who engaged in superstitious activities (e.g., fortunetellers, shamans, etc.). Based on the testimony that fortunetellers were sentenced to labor punishment after public trials in 2018,<sup>227</sup> the testimony that a woman in her 70s was caught while handing down knowledge of

225\_NKHR2017000040 2017-06-05 and many other testimonies.

227 NKHR2019000033 2019-06-03.

<sup>226</sup>\_NKHR2017000046 2017-07-03; NKHR2017000133 2017-12-18; NKHR2018000107 2018-10-01.

superstitious activities to twenty pupils and shot to death in 2018,<sup>228</sup> and the testimony that a person who practiced *bangto* (a kind of exorcism) was sentenced to labor punishment for life in 2018,<sup>229</sup> it seems that the level of punishment against people involved in acts of superstition is very high.

#### D. Evaluation

The right to freedom of thought, conscience and religion of the North Korean people is seriously violated. In particular, the *Juche* ideology and the Ten Principles have a fundamental limitation, as they are incompatible with the right to freedom of thought, conscience, and religion. This can be seen from North Korea's legal reality, in which the Constitution makes no reference to freedom of thought and conscience. Furthermore these concepts appear somewhat unfamiliar among its people. Although freedom of religion is stipulated as a legal right in the Constitution, there is a lack of freedom of religion as shown from the fact that most North Koreans have never heard the word "religion." In reality, North Korea maintains its policy of restricting the freedom of religion, and imposes strong punishment and control over certain religions, such as Christianity. Meanwhile, acts of superstition including fortunetelling and *gut* (a kind of exorcism) are

228\_NKHR2019000071 2019-08-26.

229\_NKHR2019000071 2019-08-26.

prevalent in North Korea, and the level of punishment against those engaging in acts of superstition seems to be very high.

### Right to Freedom of **Expression**

Freedom of expression is an essential right to the full development of a democratic and free society and the person, and one of the most basic rights.<sup>230</sup> Article 19 of the UDHR stipulates that everyone has the right to freedom of opinion and expression and this right includes freedom to hold opinions without interference and to seek, receive and impart information and ideas through any media and regardless of frontiers. Article 19 of the ICCPR also stipulates that freedom of opinion and expression can be realized in diverse manners.

Table II - 38	Article 19 of the ICCPR
Paragraph 1	Everyone shall have the right to hold opinions without interference.
Paragraph 2	Everyone shall have the right to freedom of expression; this right shall include freedom to seek, receive and impart information and ideas of all kinds, regardless of frontiers, either orally, in writing or in print, in the form of art, or through any other media of his choice.
Paragraph 3	The exercise of the rights provided for in paragraph 2 of this article carries with it special duties and responsibilities. It may therefore be subject to certain restrictions, but these shall only be such as are provided by law and are necessary:  (a) For respect of the rights or reputations of others;  (b) For the protection of national security or of public order (ordre public), or of public health or morals.

This chapter will examine the major issues related to the freedom of expression in North Korea.

# A. Restrictions on Forming and Expressing Political Opinions

Article 19, paragraph 1 of the ICCPR stipulates the right to have opinions without interference. All forms of opinion are protected, including opinions of a political, scientific, historic, moral or religious nature, and any form of effort to coerce the holding or not holding of any opinion is prohibited.<sup>231</sup> In general, the right to hold an opinion is infringed upon when a person is under undue influence while forming an opinion, and when this is carried out by coercion, threat or other similar means.<sup>232</sup>

<sup>231</sup>\_UN Human Rights Committee, General Comment, No. 34 (2011), paras. 9~10.

<sup>232</sup>\_Manfred Nowak, U.N. Covenant on Civil and Political Rights: CCPR Commentary,

Article 67 of the North Korean Constitution guarantees the freedom of the press and publication. In addition, the DPRK Association for Human Rights Studies Report strongly emphasizes that as freedom of the press and of publication is an indispensable element of democracy and an important aspect of political rights, all citizens exercise the right to freely express their opinions and intentions in various manners through diverse media.<sup>233</sup> However, in the North Korean Constitution, Article 10<sup>234</sup> and Articles 63,<sup>235</sup> 81,<sup>236</sup> 85,<sup>237</sup> which stipulate the basic rights and obligations of citizens, emphasize the 'whole,' 'unification of political ideology,' 'revolutionary awakening,' and 'security of the State,' leaves open the possibility for institutional infringement of the rights in Article 19, paragraph 1 of the ICCPR. The People's Unit (inminban) system that monitors and directs the lives of people is deeply rooted in daily life, making it difficult,

<sup>2</sup>nd rev. ed., (Kehl am Rhein: N.P. Engel, 2005), p. 442.

<sup>233</sup>\_DPRK Association for Human Rights Studies, "DPRK Association for Human Rights Studies Report," in Materials by the Center for North Korean Human Rights Studies, KINU (13 September 2014), p. 49. (In Korean)

<sup>234</sup>\_ "The Democratic People's Republic of Korea is based on the political and ideological unification of all people based on the Worker-Peasant Alliance led by the labor class. The State revolutionizes all members and turns them into the labor class, and makes the whole of society a single group that is integrated as comrades."

<sup>235</sup>\_"In the Democratic People's Republic of Korea, the rights and duties of the citizens are based on the collectivism of (one for all, all for one)."

<sup>236</sup>\_ "Citizens shall devotedly advocate the political and ideological unification and solidarity of the people."

<sup>237 &</sup>quot;Citizens shall at all times raise the revolutionary alertness and fight with personal devotion for the security of the State."

and even fearful, to express political opinions even to family members.<sup>238</sup>

In reality, expressions of political opinion that differ from those of the North Korean authorities; negative reference to the family of Kim Il Sung, Kim Jong Il, and Kim Jong Un; and positive reference to South Korea are all prohibited. There is a perception that those who criticizes Supreme Leader (Surveong) or politics will be sent to political prison camps (kwanliso).<sup>239</sup> The fear of political prison camps (kwanliso) makes people cautious about their use of words so that they are not blamed for being a "Language Reactionary (mal bandong)."240 Given the power of control that political prison camps have in North Korean society, it has been found that the freedom of North Korean people to express political opinions is seriously restricted. In contrast, some testimonies indicated that the control over expression of political opinions had relaxed. There was a testimony that the testifier realized that North Korean society was changing as he/she heard a friend criticizing and cursing Ri Sol-ju right before defecting from North Korea in 2015.<sup>241</sup> Moreover, there are testimonies that since Kim Jong Un came to power, the reasons for detention at

<sup>238</sup>\_NKHR2015000123 2015-09-08.

<sup>239</sup>\_NKHR2019000020 2019-05-07.

<sup>240</sup>\_NKHR2019000020 2019-05-07; NKHR2019000104 2019-11-09; NKHR2015000007 2015-01-13 and many other testimonies.

<sup>241</sup>\_NKHR2015000123 2015-09-08.

political prison camps (kwanliso) are shifting from critical comments and Language Reactionary (mal bandong) to matters related to South Korea including making phone calls to South Korea or engaging in the brokerage of defecting from North Korea, with stronger punishment.<sup>242</sup> Political remarks such as criticism of the North Korean regime remain sensitive issues that are not fundamentally tolerated.

#### B. Arbitrary Censorship and Control of the Press and Publication

According to Article 19, paragraph 2 of the ICCPR, press publication and other media that are means of expression should not be subject to interference and disturbance.<sup>243</sup> However, the publications and press in North Korea are utilized to strengthen the ideology and mobilize the population to idolizing Kim II Sung, Kim Jong II, and Kim Jong Un.

All newspapers in North Korea are mouthpieces for the Party and Cabinet. They are official media published by various state-sponsored culture and arts propaganda organizations. They are written and published under administrative guidance of the Newspaper Department of the Publication Division of the Cabinet and simultaneously, are under the supervision of the Newspaper

<sup>242</sup>\_NKHR2019000043 2019-07-01.

<sup>243</sup>\_UN Human Rights Committee, General Comment, No. 34 (2011), para. 13.

Department of the Propaganda and Agitation Division of the KWP.<sup>244</sup> Articles on Kim Il Sung, Kim Jong Il, and Kim Jong Un are published on the front page and the names of Kim Il Sung, Kim Jong II, and Kim Jong Un are printed in bold type. The contents of all articles aim to remind people of the superiority of the North Korean regime, and criticism of the KWP or discussion on basic issues are non-existent. Indeed, any information that enhances people's right to information or nurture critical thinking is strictly prohibited.

Broadcasting in North Korea is also operated under the direction of the Korean Central Broadcasting Committee which is under the Cabinet. Similar to newspapers, broadcasting is used for propaganda and reporting of Party policies and domestic and international situations. The Korean Central Broadcasting Committee is in charge of both broadcasting and regulating as the institution that plans and manages all broadcasting tasks, with the broadcasting content controlled by the Propaganda and Agitation Division of the KWP and the United Front Department.<sup>245</sup>

North Korea's Publication Law stipulates that "citizens shall have the right to freely engage in writing and creative activities" (Article 6). However, it limits the purpose of such activities to "safeguarding and promoting great Socialist projects based on a

<sup>244</sup>\_Institute for Unification Education, 2017 Understanding North Korea (Seoul: Institute for Unification Education, 2016), p. 221. (In Korean)

<sup>245</sup>\_ *lbid.*, p. 226.

revolutionary tradition of publication" (Article 2). In order to operate a publishing business, the publisher must register with the Cabinet or the Publication Guidance Agency (Article 12), and all unregistered printing facilities shall be shut down (Article 49). The Publication Law stipulates that "the Publishing Guidance Agency and related agencies must ensure state secrets are not leaked, that any reactionary ideas, culture and lifestyles are not circulated, and that all publishing facilities should be registered and their use supervised," thereby restricting publication (Article 47). Moreover, by stipulating that "all printed matter that can disclose state secrets or spread reactionary ideas, culture and lifestyles shall be confiscated and its production, publication, supply and transportation shall be suspended" (Article 48), it allows arbitrary censorship and control of publications by the North Korean authorities.

Private citizens or responsible workers at agencies, enterprises and organizations, whose actions have caused serious consequences by violating the Publication Law shall be held responsible in administrative or criminal terms (Article 50). The Administrative Penalty Law mandates the imposition of administrative penalties on those who violate the orders on publishing, printing, and circulation (Article 143). The North Korean Criminal Law also stipulates that up to one year of correctional labor punishment may be imposed on those who violate publication laws (Article 214). Consequently, all writings are ultimately subject to the censorship of the KWP's Propaganda and Agitation Division. If a North Korean citizen were to publish material in violation of censorship standards, authorities can charge him/her with anti-state propaganda and the crime of agitation based on Article 62 of the Criminal Law and impose up to five years of correctional labor punishment or in serious cases, five to ten years of correctional labor punishment. Consequently, it is impossible to publish any criticism of the Supreme Leader or the KWP through press or publication materials.

Meanwhile, in the Third UPR in 2019, North Korea reported that the literary works of the North Korean people had become more diverse and that various events where people could exercise their creative abilities fueled the passion of the people.<sup>246</sup> However, publications that are actually accessible by people have certification stamps as books approved by the State, and all other publications are subject to confiscation and punishment of all involved in crackdowns.<sup>247</sup> Many people, however, borrow and secretly read foreign books from the United States, China and Russia from book rental shops run by individuals,<sup>248</sup> and some testifiers said that with the growing use of mobile phones within North Korea, they saved and read South Korean books on their

246\_National Report Submitted in Accordance with Paragraph 5 of the Annex to Human Rights Council Resolution 15/21, Democratic People's Republic of Korea. UN Doc. A/HRC/WG.6/33/PRK/1 (20 February 2019), para. 32.

<sup>247</sup>\_NKHR2015000141 2015-10-06.

<sup>248</sup>\_NKHR2014000219 2014-12-30 and many other testimonies.

mobile phones in the form of e-book files.<sup>249</sup> It seems that the experience of having contact with external publications is mostly through acquaintances.<sup>250</sup> Numerous testimonies indicated that North Koreans have been deprived of freedom of the press as well as the opportunity to freely choose and read books they want to, due to the strict controls of the political authorities. However, it has also been confirmed that many of them gain access to books from foreign nations, including South Korea, in a wide variety of ways.

Testimonies of Crackdowns on South Korean/Foreign Table II-39 **Publications** 

Testimonies	Testifier ID
When the testifier was living in Hyesan, Yanggang Province, he/she rented foreign books from libraries opened by individuals. The books included U.S. books such as Gone with the Wind, Japanese detective stories, and Chinese and Russian publications. The control was not very strict.	NKHR2014000219 2014-12-30
Many foreign books were read in electronic form on a USB stick in Wonsan, Gangwon Province. The Godfather and the autobiography of Chung Joo-young were popular.	NKHR2015000137 2015-09-22
The testifier experienced South Korean and foreign books and art/music through acquaintances when living in Hyesan, Yanggang Province. The testifier was caught during a crackdown of Anti-socialist groups ( <i>bisageuruppa</i> ) but avoided punishment through bribery.	NKHR2016000048 2016-04-19

<sup>249</sup> NKHR2014000098 2014-07-15; NKHR2014000148 2014-09-23.

<sup>250</sup> NKHR2016000023 2016-01-26; NKHR2016000064 2016-05-03; NKHR2016000186 2016-12-13.

### C. Controlling People's Right to Know by Blocking **External Information**

Article 19, paragraph 2 of the ICCPR stipulates that freedom of expression includes "the freedom to seek, receive and impart information and ideas of all kinds." Therefore as a State Party of the ICCPR, all the residents of North Korea are eligible to seek, receive and impart diverse information and ideas utilizing diverse media. In the Third UPR in 2019, North Korea reported that the rights of citizens to freedom of expression and access to information are protected by relevant laws.<sup>251</sup> Moreover, it reported that it had continued investment in improvement of access to information through IT devices and improvement of the use environment which had made it possible for both adults and children to explore and share a range of information.<sup>252</sup> However, in reality, North Korean people cannot freely access external information and are punished if they are found to have received and/or imparted information and ideas (including video recordings) not authorized by the North Korean authorities.

Under such circumstances, there is only very limited access to external information. TV channels and radio frequencies are fixed to Korea Central Television, which is the public TV and radio

<sup>251</sup>\_UN Doc. A/HRC/WG.6/33/PRK/1 (2019), para. 4.

<sup>252</sup>\_ Ibid., para. 33.

broadcaster, and there are regular inspections of the seals on the dials to ensure they have not been broken.<sup>253</sup> As information that North Korean people can access through TV broadcasts and radio are mostly programs that idolize Kim Il Sung, Kim Jong Il and Kim Jong Un; field guidance; documentary films and negative propaganda on South Korea, it is difficult to obtain outside information. North Korean people communicate with the external world in a limited way through illegal recordings and mobile phones, and even this is not free from authorities' control and surveillance.

# (1) Crackdowns and Punishments Related to Recordings

North Korea strictly bans the distribution of information through images such as illegal recordings. The North Korean Criminal Law punishes those who bring from foreign countries or produce, circulate or possess music, dances, paintings, photographs, books, recordings or electronic media that contain corrupt, sensual or inappropriate content without a permit (Article 183), and those who listen to or collect, keep, or circulate the enemy's broadcasting or leaflets without anti-state purpose (Article 185). The behavior of introducing, using and circulating corrupt culture

<sup>253</sup>\_NKHR2015000123 2015-09-08; NKHR2015000133 2015-09-22; NKHR2015000137 2015-09-22; NKHR2015000145 2015-10-06.

is also punished under the Administrative Penalty Law (Article 152). Offenders are mostly subject to labor training punishment or correctional labor punishment. With regard to introduction of external culture, from the 2013 Criminal Law, it is stipulated in Article 183 (Crime of introducing/distributing corrupt culture) that up to ten years of correctional labor punishment can be imposed. Given that it was up to five years of correctional labor punishment in the relevant provision of the 2012 Criminal Law, it seems that overall control by the North Korean authority on the introduction of external culture has been reinforced since 2013 when Kim Jong Un came to power.

Table II -40	Changes in the Provisions Related to the Introduction/ Distribution of External Culture in North Korean Criminal Law
Criminal Law of 2012	Article 183 (Crime of introducing/distributing corrupt external culture) Those who introduced, made, distributed or illegally kept any painting, photo, book, video and/or electronic media, etc., reflecting corrupt, sensual and/or inappropriate contents shall be subject to up to one year of labor training punishment.  When the above paragraph's behavior is serious, the person shall be subject to up to five years of correctional labor punishment.
Criminal Law of 2013	Article 183 (Crime of introducing/distributing corrupt external culture) Those who introduced, made, distributed or illegally kept any painting, photo, book, video and/or electronic media, etc., reflecting corrupt, sensual and/or inappropriate contents shall be subject to up to one year of labor training punishment. Those who introduced and distributed any painting, photo, book, video and/or electronic media, etc., reflecting corrupt, sensual and/or inappropriate contents several times or kept such material in a large amount shall be subject to up to five years of correctional labor punishment. When the behavior is serious, the person shall be subject to five years or more and ten years or less of correctional labor punishment.

Article 183 (Crime of introducing/distributing corrupt external culture) Those who introduced, made, distributed or illegally kept any painting, photo, book, video and/or electronic media, etc., reflecting corrupt, sensual and/or inappropriate contents shall be subject to up to one year of labor training punishment.

Those who introduced, made, distributed or kept such material several times or in a large amount shall be subject to up to five years of correctional labor punishment. When the behavior is serious, the person shall be subject to five years or more and ten years or less of correctional labor punishment.

North Korean authorities operate a separate organization to crack down on illegal recordings. Initially there were joint inspections by five groups (geuruppa) composed of the MPS, MSS, the prosecutor's office, the KWP and each affiliated administrative organization, but with an increase in the number of people watching illegal recordings, a separate organization, "Group 109 (109 Permanent Committee)" was formed and conducted inspections while in the area.<sup>254</sup>

Testimonies	Testifier ID
In 2015, a friend who was singing a South Korean song on his/her birthday, was reported by a neighbor and was arrested. The friend was sentenced to 6 months of correctional labor penalty.	NKHR2017000076 2017-08-28
In 2015, one of the school alumni was caught for the crime of circulation and could not enter the military and had to return his/her Youth League certificate, which prevented him/her from being a part of society, and was sentenced to 6 months of labor training punishment by an MPS officer, not a judge or prosecutor, at a public disclosing gathering, not a public trial, and was sent to a labor training camp (rodongdanryundae).	NKHR2019000015 2019-05-07

254\_NKHR2017000054 2017-07-31.

Criminal

Law of 2015

Testimonies	Testifier ID
In 2016, the testifier was sentenced to 6 months of labor training penalty while listening to South Korean songs saved on a memory card.	NKHR2018000028 2018-05-08
In 2016, the testifier's elder cousin was caught watching a South Korean drama with his/her friends and were sentenced to two years of correctional labor even though two of the four friends were minors.	NKHR2018000102 2018-10-01
In May 2016, the testifier witnessed a person imprisoned in Jeongeori <i>Kyohwaso</i> after being sentenced to 6 years of correctional labor penalty for listening to South Korean songs and watching impure recordings.	NKHR2017000006 2017-04-10
Eight students were caught while watching South Korean pornography and were relocated in 2017.	NKHR2019000003 2019-04-08
Impure video recordings (Indian films and Chinese films) were found in a house search in October 2018, but the case was covered up with 30,000 North Korean won.	NKHR2019000045 2019-07-01
There was a direction in February 2019 that strengthened the crackdown to prevent watching video recordings even from China and the Soviet Union as well as South Korea. 109 Permanent Committee went to every house as part of the crackdown. The testifier heard that a woman who was living in Hyesan, Yanggang Province, was caught by Group 109 while watching a South Korean video recording due to a information from a neighbor without prior notice, and although the woman handed over 20,000 yuan in bribes, the case was not covered up. The testifier heard that while punishment for Chinese films is relatively weak, cases regarding South Korean dramas were not covered up by bribes and the persons who committed the crimes were sent to prison camps (kyohwaso) or political prison camps (kwanliso).	NKHR2019000076 2019-08-26
The testifier had contact with South Korean broadcasts for the first time at the home of an MSS agent. Through this, the testifier gained new information about Kim Jong II and Kim Jong Un.	NKHR2016000037 2016-03-22
The testifier lent a recording of a Korean film to a friend's mother, and the mother reported this. The testifier avoided punishment by hiding for 4 months, but the friend's mother was sent to labor training camps ( <i>rodongdanryundae</i> ) for three months.	NKHR2016000064 2016-05-03

In general, the crackdown organization is known to be 109 Permanent Committee (Group 109), while those cases related to South Korean recordings (so-called "red") are sent to the MSS and usually sentenced to eight to ten years of correctional labor punishment.<sup>255</sup> It was said that Group 109 has recently carried out continuous crackdowns on video recordings and the punishment has been strengthened. It was said that people cannot avoid a crackdown once caught since the 109 Permanent Committee carries radio detectors which allow them to see the videos watched on their screens. 256

Many defectors consistently testified that discreet viewing of South Korean dramas, movies, and other recordings is becoming widespread. They purchase cheap recording devices from China and secretly sell or pass along recordings. Mostly, North Koreans who experience South Korean recordings respond with both admiration for South Korea and negative perception towards North Korea.<sup>257</sup> In some occasions, envy towards South Korea's economic affluence and freedom in everyday life lead to defection 258

In many cases, North Koreans are sentenced to labor training penalty when caught watching and distribution of South Korean

<sup>255</sup> NKHR2016000069 2016-05-17.

<sup>256</sup>\_NKHR2019000089 2019-10-19.

<sup>257</sup>\_NKHR2015000009 2015-01-13 and many other testimonies.

<sup>258</sup> NKHR2015000090 2015-05-12.

recordings but there were other testimonies that they can be sentenced to correctional labor penalty, which reflects that regulations have become more stringent. A North Korean defector testified that his/her neighbor was sentenced to three years of correctional labor by mass producing and selling South Korean recordings.<sup>259</sup> In particular, those who are caught with pornography are sentenced up to ten years of labor punishment, 260 or sometimes relocated.<sup>261</sup> In addition to this, there was a testimony that a declaration was issued that those watching/circulating South Korean video recordings would be sentenced to death<sup>262</sup> and a testimony that those who were caught would be shot to death.<sup>263</sup> There was also a testimony that the Criminal Law changed in 2018 and those watching Korean dramas or video recordings would be sentenced to five years in prison.<sup>264</sup> However, regardless of the increase in authorities' control, it has been found that there is still widespread avoidance of punishment through bribery. <sup>265</sup> A North Korean defector OOO testified that,

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<sup>259</sup>\_NKHR2018000060 2018-07-02.

<sup>260</sup>\_NKHR2019000016 2019-05-07.

<sup>261</sup>\_NKHR2019000003 2019-04-08.

<sup>262</sup> NKHR2015000099 2015-05-19.

<sup>263</sup>\_NKHR2019000084 2019-10-05.

<sup>264</sup>\_Although it is not possible to see the accurate legal provisions, as the criminal law that is said to have been revised in 2018 has not been obtained, some part that was revised was introduced by the press. "North Korea revised part of the criminal laws on the punishment of human trafficking criminals," *Radio Free Asia*, 26 June 2019.

<sup>265</sup>\_NKHR2017000095 2017-10-23.

when caught with an American film, the punishment varies, including one year of labor punishment, depending on the case, but people could be released with bribes in most cases and the amount of bribes was also generalized.<sup>266</sup> There was also a testimony that the testifier avoided punishment by giving one carton of cigarettes to three squad members when caught with a DVD and memory cards through a surprise inspection by Group 109.267 It was said that charges related to South Korean dramas or films were not resolved through bribes, and when caught while watching them, the person would be sent to a prison camp (kyohwaso) or political prison camp (kwanliso) no matter how much he/she paid.<sup>268</sup> This will be further discussed in 'V. Major Issues, 2. Corruption.'

### (2) Crackdown on and Punishment for Illegal Use of Mobile Phones

Mobile phones are important tools among North Korean people in introducing and delivering external information. After the "Yongchon Railway Station Explosion"<sup>269</sup> in April 2004, North

<sup>266</sup>\_NKHR2019000026 2019-05-18.

<sup>267</sup>\_NKHR2019000093 2019-10-21.

<sup>268</sup> NKHR2019000076 2019-08-26; NKHR2019000089 2019-10-19; NKHR2019000097 2019-10-21

<sup>269</sup>\_The 2004 Yongchon Railway Station Explosion is known as part of an attempt to assassinate Kim Jong II. and mobile phones were used for major plans such as identifying the exact time of Kim's arrival.

Korean authorities suspended mobile communication services. However, through a joint investment by Orascom, an Egyptian communication firm, and North Korea's Ministry of Post and Telecommunications, Koryolink was established and 3G mobile communication services were resumed. With the beginning of Kim Jong Un regime, in addition to Koryolink, another wireless communications service called "Kangsong Net" was introduced. While Koryolink provides service for North Koreans and foreigners, Kangsong Net provides service dedicated to North Korean only.<sup>270</sup> The number of North Koreans subscribed to wireless communications surpassed 0.5 million in 2010, 3 million in 2013, and more than 3.7 million in 2017<sup>271</sup> and seems to have reached 5 million in 2018.<sup>272</sup> While the number of mobile phones supplied in North Korea increased, most of the calls are limited to domestic calls while international calls and the inflow and circulation of external information through mobile phones are strictly controlled.

In North Korean society, the mobile phone is a key means of distributing foreign information while simultaneously linked to direct and indirect acts of defection. Since the beginning of the Kim Jong Un regime, North Korean authorities have been

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<sup>270</sup>\_Park Young-ja et al., Eight Changes in North Korea's Economy and Society under the Kim Jong Un Era (Seoul: KINU, 2018), p.149. (In Korean)

<sup>271</sup>\_ Ibid., p. 149.

<sup>272</sup>\_ "mobile phone service subscribers in North Korea reached 5 milion+...but why no revolution?" *No Cut News*, 31 January 2019.

reinforcing the prohibition against illegal use of mobile phones by introducing a jamming wall and jamming detector in the border areas of North Korea and China. They have also introduced advanced radio detection equipment, further preventing illegal use of mobile phones by North Koreans.<sup>273</sup> Such phenomenon has been observed in the Hyesan region where defection from North Korea frequently takes place. For example, a defector from Hyesan, Yanggang Province, testified that in around November 2014, North Korean authorities introduced German equipment to crack down on mobile phones. Using this equipment, chiefs and agents crack down on mobile phone usage via location tracking and wiretapping.<sup>274</sup> Another North Korean defector testified that as the number of mobile phone users has increased, agents came down from Pyongyang to carry out a long-term crackdown for a year.<sup>275</sup> In addition to "1118 Permanent Committee" or "109 Permanent Committee" that crack down on illegal mobile phones using Chinese base stations, the authorities established a new, dedicated organization under the MSS in order to expand the scope by including legal mobile phones.<sup>276</sup> Moreover, the functions of storing and transmitting video, photos, books, and

<sup>273</sup>\_NKHR2017000067 2017-08-28; NKHR2017000103 2017-10-23; NKHR2017000132 2017-12-18

<sup>274</sup>\_NKHR2015000070 2015-04-07.

<sup>275</sup>\_NKHR2018000135 2018-11-19.

<sup>276</sup>\_Radio Free Asia, 17 February 2015.

music were blocked by changing the mobile phone operating systems.<sup>277</sup> North Korea revised its Criminal Law in 2015 to newly introduce crimes on illegal international communications (Article 222) to strengthen the crackdown and punishment on the use of mobile phones near the border region. With the strengthening of the regulations, bribery no longer works as a means of getting away when caught using a mobile phone; rather, one has to face actual punishment.<sup>278</sup>

There were testimonies that crackdowns on the use of mobile phones are conducted on a regular basis and are getting stricter. The items subject to such crackdowns are contents of short messages, phone calls, and memory. When authorities inspect illegal mobile phones, they first check to see if South Korean phone numbers are on record. Even for mobile phones authorized for use inside North Korea, inspectors check the internal data and changes of the mobile phone operating systems.<sup>279</sup> According to the testimonies, Security Platoons frequently patrol streets and trains to censor mobile phones.<sup>280</sup> It was said that a wiretapping machine made in Germany was introduced in 2018,<sup>281</sup> and it is difficult to talk on the phone for five minutes or more because of

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<sup>277</sup>\_NKHR2015000009 2015-01-13; NKHR2015000010 2015-01-13.

<sup>278</sup>\_NKHR2018000135 2018-11-19.

<sup>279</sup>\_NKHR2015000052 2015-03-10 and many other testimonies.

<sup>280</sup>\_NKHR2018000135 2018-11-19; NKHR2019000043 2019-07-01; NKHR2019000054 2019-07-29.

<sup>281</sup>\_NKHR2019000033 2019-06-03.

wiretapping.<sup>282</sup> Phone calls to South Korea, in particular, are punished as political crimes.<sup>283</sup>

In contrast, there are many testimonies stating that when records of international calls and videos, books and songs from foreign countries are found in internal data, one can avoid punishment through bribery and only lose the mobile phone.<sup>284</sup> There are even testimonies that the goal of the crackdown is not "punishment" but "money." 285 This will be further discussed in 'V. Major Issues, 2. Corruption.'

It was testified that censorship was reinforced in 2019 with a strong crackdown to find out whether the persons registered at the mobile communication office are the actual owners of the mobile phones to prohibit people from criticizing politics or exchanging gossip about the leader.<sup>286</sup> Although North Korean authorities impose strict control over the influx of information made possible by mobile phones, their usage is expected to expand in order to maintain people's livelihood, satisfy their curiosity about the outside world and therefore fulfill their right to know.

<sup>282</sup>\_NKHR2019000022 2019-05-07.

<sup>283</sup> NKHR2019000043 2019-07-01.

<sup>284</sup> NKHR2018000072 2018-07-30; NKHR2018000117 2018-10-22.

<sup>285</sup> NKHR2015000143 2015-10-06.

<sup>286 &</sup>quot;North Korean authority strengthened control over people through a strong crackdown on 'cloned phones'," Radio Free Asia, 4 December 2019.

### Table II-42 Mobile Phone Usage and Related Punishments

Testimonies	Testifier ID
	resulter ID
In 2015, the testifier was caught while having a phone call with his/her daughter and was released by paying 15,000 yuan.	NKHR2019000013 2019-05-07
In April 2015, the testifier was tapped and caught while talking on the phone with his/her sister. He/She was detained in the Hyesan City Security Department for 10 days.	NKHR2018000098 2018-10-01
In fall 2015, testifier was caught owning a Chinese mobile phone used to broker wire transfers. The testifier was interrogated by the MSS for 20 days.	NKHR2018000058 2018-07-02
In 2016, the testifier was detected and caught while talking on the phone with his/her daughter in China and interrogated in the MSS for 20 days.	NKHR2018000058 2018-07-02
In 2016, the testifier was caught by an MPS officer while talking on the phone on the street. Afterwards, the testifier deleted text messages immediately after receiving them as humorous stories that he/she exchanged were considered impure and based on bourgeois ideology.	NKHR2019000071 2019-08-26
In 2017, the testifier's son was arrested by the military for calling a relative in South Korea and was handed over to the MSS. The testifier gave 6,000 yuan to the three people in the MSS to share. The son was released after 13 days and the mobile phone was confiscated.	NKHR2019000033 2019-06-03
In December 2017, the testifier was caught while talking on the phone. Songs stylized with South Korean techniques or rearranged to a South Korean style are also subject to crackdowns, even if the song is North Korean.	NKHR2018000076 2018-07-30
The testifier was caught by 109 Permanent Committee while talking on the phone in the street in 2018. One had to show cell phones unconditionally if caught by 109 Permanent Committee. But if not, people usually showed their cell phones only after asking for ID of MSS agents. South Korean language, loan words and emoticons were subjects of control. The testifier was sentenced to 3 months in labor training camps ( <i>rondongdanryeondae</i> ) but was released on the same day with help of his/her partner who was an MSS agent and by paying money.	NKHR2019000068 2019-08-26
In March 2018, the testifier was caught in the crackdown and the agents found the South Korean song "Three bears". He/she was released after giving 100,000 North Korean won as a bribe.	NKHR2018000117 2018-10-22

Testimonies	Testifier ID
In April 2018, the testifier's mother was detained by the MSS for around 20 days for calling her son/daughter in China and was interrogated about the location of the son/daughter.	NKHR2019000047 2019-07-01
In September 2018, the testifier was arrested in the act while answering a phone call from his/her daughter in South Korea due to wiretapping by four MSS agents who raided the site. After 15 days of investigation in the province holding center ( <i>jipkyulso</i> ), the testifier was able to avoid heavy punishment and was sentenced to 3 months in a labor training camp ( <i>rodongdanryundae</i> ) as the call was only about how the daughter was worried about her parent and asking him/her to take care of the other parent's grave. As the testifier's son paid 1,500 yuan as bribes, the testifier did not actually work at the labor training camp ( <i>rodongdanryundae</i> ).	NKHR2019000069 2019-08-26
As for the crackdown on the illegal use of mobile phones, punishment varied depending on the purpose of the calls. Those who called to find family members overseas including in South Korea were sent to prison camps ( <i>kyohwaso</i> ) and those who called for smuggling were sent to labor training camps ( <i>rodongdanryundae</i> ).	NKHR2016000074 2016-05-17
The Security Platoon conducts crackdowns on mobile phone frequently on street and train with an equipment to detect radio wave.	NKHR2018000135 2018-11-19

### D. Evaluation

The North Korean Constitution stipulates the freedom of expression and argues that it guarantees the right to expression. However, in reality, such rights are extremely restricted. Most of the defectors testified how dangerous showing political opinion in daily lives would be. In addition, the press and publications, which are supposed to be the means of expressing diverse opinions, mostly serve to establish the ideology under the directions and control of the KWP and mobilize the people to idolize Kim Il Sung, Kim Jong Il, and Kim Jong Un, while

arbitrary censorship and control by the authorities of publications continues. Lastly, there have been continued crackdowns on and punishment for recordings and mobile phones, which play an important role in introducing and circulating external information. Due to the revision of the Criminal Law in 2015, the crackdown and punishment on mobile phone use in border areas have been strengthened, evident by the testimonies in the 2019 survey. It seems that such a trend has been intensified in order to prevent a laxity in the minds of North Korean residents and an inflow of external culture caused by the peaceful atmosphere between the two Koreas. In spite of the strong control, censorship, and crackdowns, it has been identified that the people's desire and demand for access to information is very high.

## 11

## Right to Freedom of Assembly and Association

The right to freedom of assembly and association means that individuals are entitled to the freedom to assemble and express their opinions. It is an dispensable part of a democratic society and the exercise of citizen sovereignty. Major international human rights norms also mention the right to freedom of assembly and association. Article 20 of the UDHR stipulates that "everyone has the right to freedom of peaceful assembly and association, and no one may be compelled to belong to an association," and Articles 21 and 22 of the ICCPR refers to freedom of assembly and association as shown in the following table.

Table II-43 Articles 21 and 22 of the ICCPR		
Ar	ticle 21	The right of peaceful assembly shall be recognized. No restrictions may be placed on the exercise of this right other than those imposed in conformity with the law and which are necessary in a democratic society in the interests of national security or public safety, public order (ordre public), the protection of public health or morals or the protection of the rights and freedoms of others.
	Paragraph 1	Everyone shall have the right to freedom of association with others, including the right to form and join trade unions for the protection of his interests.
Article 22	Paragraph 2	No restrictions may be placed on the exercise of this right other than those which are prescribed by law and which are necessary in a democratic society in the interests of national security or public safety, public order (ordre public), the protection of public health or morals or the protection of the rights and freedoms of others. This article shall not prevent the imposition of lawful restrictions on members of the armed forces and of the police in their exercise of this right.
	Paragraph 3	Nothing in this article shall authorize States Parties to the International Labour Organisation Convention of 1948 concerning Freedom of Association and Protection of the Right to Organize to take legislative measures which would prejudice, or to apply the law in such a manner as to prejudice, the guarantees provided for in that Convention.

Opportunities to participate in peaceful assembly and to belong to a labor union or interest groups should be followed by legal and institutional guarantee and substantive protection. Trade unions will be discussed in Part III, Chapter 3 which deals with the right to work. This chapter will examine the right to peaceful assembly and organizational life.

### A. Absence of the Right to Peaceful Assembly

Article 21 of the ICCPR stipulates that "the right of peaceful

assembly shall be recognized. No restrictions may be placed on the exercise of this right other than those imposed in conformity with the law and which are necessary in a democratic society in the interests of national security or public safety, public order (ordre public), the protection of public health or morals or the protection of the rights and freedoms of others." North Korea, by policy, prioritizes national security and patriotism, and therefore, does not recognize any right to peaceful assembly.

Article 209 of the North Korean Criminal Law stipulates that "any person who refuses to comply with instructions of a state agency or agencies and causes collective disturbance" shall be subject to criminal penalties even if an anti-state act was not intended, providing the legal foundation to block the possibility for demonstrations in North Korea. The Administrative Penalty Law also stipulates that in the event someone does not comply with legitimate instructions of a state agency, he/she shall be subject to three months or less of re-educational labor or three months or more of re-educational labor in more serious cases (Article 175). Such provisions represented by "in the event someone does not comply with the (legitimate) instructions of a state agency" emphasize the jurisdiction of the State over individuals. In other words, based on such provisions, it can be interpreted that no right to legitimate assembly or demonstration by individuals against the State is allowed.

As such, North Korean defectors consistently testify that no

assembly is allowed by the North Korean authorities other than government-led meetings, and crowd mobilization is not allowed, and even unthinkable.<sup>287</sup> This is because the recognition itself of the freedom of assembly can be regarded as denying the North Korean regime and its adherence to the Unitary *Suryeong* Ruling System. Under these circumstances, it seems that the very perception of the right to peaceful assembly and freedom of assembly is lacking among North Korean residents.

# B. Absence of the Freedom of Association and Forceful Imposition of Organizational Life

Article 22, paragraph 1 of the ICCPR stipulates regarding the freedom of association, that "everyone shall have the right to freedom of association with others, including the right to form and join trade unions for the protection of his interests." Article 67 of the North Korean Constitution stipulates that "citizens (···) shall have the freedom of assembly/demonstration and association. The State shall guarantee conditions for free activities of democratic parties and social organizations." However, in reality only assemblies and associations needed by the KWP are allowed and assemblies or associations set up by the free will of the general

<sup>287</sup>\_NKHR2015000072 2015-04-07; NKHR2015000112 2015-06-02; NKHR2015000119 2015-09-08; NKHR2016000001 2016-01-12; NKHR2016000047 2016-04-19; NKHR2015000063 2016-05-03.

population are not allowed. As a result, the freedom of assembly does not exist in the mind of North Koreans. In the 2019 survey, North Korean defectors consistently testified that any association gathered on behalf of the opinion or interest of the North Korean residents are not permitted.<sup>288</sup> Some testified that they have never seen an assembly protesting against the government and thought protesting would mean death.<sup>289</sup> There was a testimony that in 2018, people would even take out loans to support the immigration office of the Security Department in the Yanggang Province. But as their river-crossing passes (dogangieung) and passports were delayed, the people became angry and protested in front of the immigration office. This incident led the central MSS to carry out an inspection.<sup>290</sup> There was a recent incident where an entire group of people did not show up to work on the railway. The authorities launched an investigation to identify which person had triggered such rebellious behavior.<sup>291</sup> Even incidental assembly and association not approved by the government is strictly censored and group actions are regulated as group resistance.

<sup>288</sup>\_NKHR2019000008 2019-04-08; NKHR2019000011 2019-04-10; NKHR2019000020 2019-05-07; NKHR2019000055 2019-07-29; NKHR2019000068 2019-08-26; NKHR2019000078 2019-09-25.

<sup>289</sup> NKHR2019000020 2019-05-07.

<sup>290</sup> NKHR2019000091 2019-10-21.

<sup>291</sup>\_"Group absences in mobilization order in Yanggang Province, North Korea," *Radio Free Asia.* 24 June 2019.

While any assembly or association based on the free will of the individuals or groups are not allowed, all the residents are forced to participate in organizational life. All North Korean residents, from the age of six until retirement, are required to join organizations including those in kindergarten, the Korean Children's Union (sonyeondan), those in various educational institutions, the Youth League, the General Federation of Trade Unions, the Agricultural Workers' Union, the Women's Union, and the KWP. With regard to such organizational life, North Korean defectors consistently testified that the activities and duties in these extra-Party organizations restricted and controlled by North Korean authorities are far from the autonomy one can experience in associations voluntarily organized by individuals with common interest.<sup>292</sup> It is hard to interpret the organizational life that North Korean authorities forcibly impose on the people as freedom of association based on the free will of individuals and groups.

292\_NKHR2015000133 2015-09-22 and many other testimonies.

Cases of Infringement on the Freedom of Assembly and Association

Table II-44

Testimonies	Testifier ID
In Hyesan, Yanggang Province, there was a mass assembly on "overthrowing America" and other assemblies in Hyesan, Yanggang Province on every Sunday. Military emergency training was also held every Sunday.	NKHR2015000072 2015-04-07
When absent from public trials or government-led meetings, there was social discipline, including imposing a substantial part of the quota assigned to a People's Unit ( <i>inminban</i> ).	NKHR2015000112 2015-06-02
A person who was absent from important government-led events such as No. 1 Events (events attended by top leaders), would be subject to imprisonment at political prison camps (kwanliso).	NKHR2015000119 2015-09-08
Compulsory assemblies included People's meetings, Life Review Session ( <i>saenghwalchonghwa</i> ) and group assemblies. Group assemblies were convened when events occurred in the relationship between South Korea and North Korea. Persons who were absent from these were suspected of idealogical deviation. Absence from People's meetings and Life Review Session ( <i>saenghwalchonghwa</i> ) did not cause substantial disadvantage.	NKHR2015000133 2015-09-22
Throughout winter from 5 December, there are many group assemblies to prepare for military provocation by South Korea.	NKHR2016000056 2016-05-03
People mandatorily joined the Youth League from the first grade of advanced middle school and they needed to participate in all assemblies. It was mandatory and no one liked it. Most people just shut their mouths and followed the chant.	NKHR2019000053 2019-07-29
When there is a missile launch or test, the Party secretary gathered people saying it was an important broadcast and immediately informed people of the situation. A rally was organized in the city, and even work was closed to guarantee participation in the event. Sometimes, the workers complained to the Party secretary as their work was disrupted and were exempted from the mobilization. However, it was unfavorable for the people if they missed such a rally one or two times.	NKHR2019000060 2019-07-29

Meanwhile, control over the residents through Life Review Sessions (saenghwalchonghwa) and political education has been weakening, reflecting the worsening economic crisis and destabilizing institutional foundation of the North Korean system across various sectors. It appears there are changes in the dynamics of residents' organizational life imposed by North Korean authorities. According to one testimony, as the perception that money can solve any problem becomes widespread, the compulsory nature of government-led meetings and Life Review Sessions (saenghwalchonghwa) are gradually weakening. There were reported cases where a testifier was criticized at a Life Review Session (saenghwalchonghwa) for not attending government-led meetings,<sup>293</sup> and where a testifier received disciplinary action in his/her daily life.<sup>294</sup> Moreover, government-led meetings required a certain number of participants, and those who did not attend were forced to pay a fine.295 As for the compulsory nature of government-led meetings, there were both testimonies that the meetings were seriously compulsory<sup>296</sup> and that they were only slightly compulsory.<sup>297</sup>

Through compiling various testimonies, it is clear that while control over organizational life continues, the severity of this control is being relaxed. However, in spite of this social

<sup>293</sup>\_NKHR2016000081 2016-05-31.

<sup>294</sup> NKHR2016000084 2016-05-31.

<sup>295</sup>\_NKHR2016000059 2016-05-03.

<sup>296</sup>\_NKHR2016000053 2016-04-19.

<sup>297</sup>\_NKHR2016000113 2016-07-12.

relaxation, North Korean authorities still deny the people's right to assembly and association.

#### C. Evaluation

The right to freedom of assembly and association for the North Korean people is still not guaranteed. Based on Articles 21 and 22 of the ICCPR, North Korean people have the right to peaceful assembly and the right to form and join voluntary associations for the improvement of their interests. However, the reality in North Korea is that there exists little such freedom, and people are also forced to participate in assemblies and organizations that are authorized or institutionalized by the authorities. Social control by the Party is reinforced at the State level, while social disintegration is occurring among the people in diverse ways. The case where a group of people who carry out economic activities going to and from China protested against the authorities after administrative delays is notable. However, it is difficult to see the protest as based on the right to freedom of assembly and association. Therefore, due to the long sustained control conducted at the State level, it seems unlikely to enhance the residents' awareness of the right to assembly and association in the short-term.

### 12

## Right to Political **Participation**

The right to political participation is one of the most important civil rights in a democratic political system. Article 21 of the UDHR stipulates that "Everyone has the right to take part in the government of his country, directly or through freely chosen representatives." Article 25 of the ICCPR also states that the principles of direct, universal, equal and secret votes should be adhered to.

### Table II-45 Article 25 of the ICCPR

Every citizen shall have the right and the opportunity, without any of the distinctions mentioned in Article 2 and without unreasonable restrictions:

- (a) To take part in the conduct of public affairs, directly or through freely chosen representatives;
- (b) To vote and to be elected at genuine periodic elections which shall be by universal and equal suffrage and shall be held by secret ballot, guaranteeing the free expression of the will of the electors;
- (c) To have access, on general terms of equality, to public service in his country.

North Korea also recognizes the right of its people to political participation in its laws. In particular, Article 4 of the North Korean Constitution stipulates that "the working people exercise power through their representative organs, the SPA and the local People's Assembly," while Article 6 stipulates that "organs of state power at all levels, from the military People's Assemblies to the SPA, are elected on the principle of universal, equal and direct suffrage by secret ballot." North Korea's Delegates Election Law for All Levels of People's Assembly reaffirms that all elections in principle should be conducted in general, equal, direct and secret vote (Article 2~5). As such, North Korea's Election Law and Constitution stipulate that deputies for the SPA and the People's Assemblies of provinces, cities and counties, which are its legislative institutions, be elected.<sup>298</sup>

However, the North Korean Constitution stipulates that the SPA and local People's Assemblies, which are legislative institutions, should be under the control of the KWP. Article 5 of the North Korean Constitution stipulates that "national organs in North Korea are formed and function on the principle of democratic centralism."299 In addition, Article 11 stipulates that

<sup>298</sup>\_The election of deputies for the SPA is held every five years, while the election of deputies for the People's Assemblies of the provinces (municipalities directly under central authority) / cities (district) / counties is held every four years (Article 8 of the Delegates Election Law for All Levels of the People's Assembly).

<sup>299</sup> The principle of democratic centralism is a unique concept present in North Korean politics. In other words, it has the form of democracy in terms of political system and political process, but in its nature, it seeks to maintain the framework of centralized control or centralism based on the Unitary Surveong Ruling System. The principle of democratic centralism stipulated in the North Korean Constitution ultimately refers to the rule by law that means a one-party dictatorship by the KWP instead of the rule of law meaning governance by law. Under these circumstances,

"all activities are conducted under the leadership of the Korean Workers' Party." As such, within the political reality of North Korea where the sole Party has control over all national institutions, the ability of North Korean people to exercise their right to political participation based on free democracy is inevitably limited.

The status of the right to political participation in North Korea will be examined below. In the 2019 survey, there were diverse testimonies related to the right to political participation in North Korea since there was an election for SPA deputies and the deputies of the local peoples' assembly in March and July of 2019, respectively.

### A. Distortion of the Nature of Democratic Elections

Article 25 (a) of the ICCPR stipulates that all citizens should be guaranteed the ability "to take part in the conduct of public affairs, directly or through freely chosen representatives." This Article, which constitutes the fundamental nature of elections as a democratic procedure, assumes that voters can freely acquire and distribute information about the representatives they elect. Although some testifiers said that it was possible to know the

diverse forms of guidance and directions, such as the KWP Statute and the teachings and messages of the Supreme Leader etc. serve extrajudicial functions in North Korean politics, and are above the Constitution.

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candidates beforehand as the photos and names of the candidates were on the posters at the election sites from a few days before the election dav.300 most North Korean people vote without knowledge of the representatives they are electing.301 Since freedom of expression and freedom of assembly and association are not guaranteed, free access to and dissemination of information about candidates and elections are limited. In other words, there is a lack of freely formed political opinions on candidates and policies, which are crucial aspects of any election process. Therefore, it is difficult to regard such elections as the realization of the right to political participation in its true sense.

In democratic countries, elections are the processes by which people with diverse opinions and demands elect their representatives to deliver their ideas. However, in North Korea, elections are perfunctory procedures to approve personnel appointments that the KWP wants. The purpose is to drive support from the people for the Party's policies and goals. In particular, North Korea has utilized deputy elections as a process to confirm the North Korean people's absolute loyalty toward Kim Jong Un and the continuation of the *Baekdu* blood line, the regime led by

<sup>300</sup>\_NKHR2019000016 2019-05-07; NKHR2019000069 2019-08-26; NKHR2019000072 2019-08-26.

<sup>301</sup>\_NKHR2019000049 2019-07-01 and many other testimonies. It also includes cases where people vote without knowledge about the candidates not only because the North Korean authority is not actively promoting deputy candidates but also because voters do not seek information about candidates due to their indifference to politics.

the KWP. Moreover, it has propagandized the 100% polling rate of party candidates since the establishment of the regime as evidence of the absolute support of the North Korean people. In 2014, the Central Election Committee reported that 100% of voters voted for Kim Jong Un, which is clearly impossible in a free-competition election where multiple candidates are running. North Korean authorities explained the 100% polling rate as follows: "This represents the absolute support for and endless trust in our Dear Comrade Kim Jong Un from all members of the People's Army and our people, who are committed to cherishing him as the sole core of solidarity and leadership and serving him faithfully with single- minded devotion." 302

However, such election results show that elections are not procedures of voters freely selecting their political representatives but are utilized as means to reinforce and justify KWP rule. In other words, in North Korea, participation in elections, which is the representative right to political participation, is not serving its role as the process by which the people deliver their intentions to the government. Without the freedom and opportunity to select diverse candidates and policies, the right to political participation is seriously limited.

<sup>302</sup>\_Korean Central News Agency, 10 March 2014. In the 14th SPA deputy election held on 10 March 2019, Chairman Kim Jong Un unprecedentedly did not run for election, and in the 2nd meeting of the 14th SPA held on 29 August of the same year, "The Chairman of SPA shall not be elected as an SPA deputy" was added to Article 101 of the Constitution.

### B. Violation of the Principle of Secret and Direct **Flection**

Article 25 (b) of the ICCPR stipulates that all citizens have the right to freely express their intention through periodic elections based on the principles of direct, universal, equal and secret ballots. In 2019, there were many testimonies about the SPA deputy election held on 10 March in North Korea. It was confirmed that the administrative power was actively mobilized to encourage people to vote. The election schedule and voting venues were announced through the television and newspaper,<sup>303</sup> and some People's Unit (inminban) chiefs visited every house, informing people of the date of the election and giving guidance for people to get their Nationality Card reissued if it had been lost.304 Deceased people whose deaths have not been registered are sometimes registered as dead during the election period.305

The Nationality Card is used as an important form of identification to prove that the person is a voter and to identify the number of voters. Those who have lost their Nationality Card when an election is approaching can get their Nationality Card reissued relatively easily. With the election date a few days away, the People's Unit (inminban) chief visits every household to

<sup>303</sup> NKHR2019000105 2019-11-09.

<sup>304</sup>\_NKHR2019000072 2019-08-26.

<sup>305</sup> NKHR2019000073 2019-08-26.

collect Nationality Cards and return them on the date of the election, with serial numbers written on the Nationality Cards. These numbers are identical to the numbers written in the register of electors of the National Election Commission.<sup>306</sup>

In North Korea, those who are qualified to vote must vote, and voting is perceived as a duty and not an individual choice.<sup>307</sup> There is fear that those who do not vote can be legally punished or cornered as political criminals (or traitors).<sup>308</sup> When one cannot go to the polling place due to illness or disability, election commission officers come in person with a portable ballot box so that the person can vote,<sup>309</sup> and those who are out of town on a business trip receive mobile ballot cards to be able to vote from the election district he/she is in.<sup>310</sup>

In this regard, North Korea's Election Law also guarantees a secret ballot. Specifically, Article 64 of North Korea's Delegates Election Law for All Levels of the People's Assembly stipulates that "The people are to vote by secret ballot. To vote for a candidate, one simply leaves the ballot unmarked, but to vote against someone, one draws a horizontal line through the candidate's name on the ballot." Moreover, Article 65 of the same

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<sup>306</sup>\_NKHR2019000072 2019-08-26.

<sup>307</sup>\_NKHR2019000047 2019-07-01 and many other testimonies.

<sup>308</sup>\_NKHR2019000037 2019-06-15; NKHR2019000070 2019-08-26; NKHR2019000073 2019-08-26.

<sup>309</sup>\_NKHR2019000037 2019-06-15; NKHR2019000050 2019-07-20.

<sup>310</sup> NKHR2019000073 2019-08-26; NKHR2019000085 2019-10-05.

law stipulates that "When a voter marks his/her ballot 'Yes' or 'No,' no one else shall enter or look into the booth." As such, based on these regulations, secret ballots are also guaranteed in North Korea. However, most testifiers commonly stated that there was no one who would oppose the candidates and that they were fearful of possible punishment if opposing the candidates.

While a secret ballot is guaranteed at some level, there were many cases where the violation of the principle of a secret ballot occured in various steps of the voting process. Voters go to the polling place, show their Nationality Card to the election commission officer, check their names in the election register, and receive their ballots. On the ballot, "seongeopyo (vote)" and the names of the candidates are written. Voters enter a space surrounded by a white screen, bow to the portrait of Suryeong, and put their ballot in the ballot box. 311 As there is only one ballot box and there are serial numbers on the ballots, it is possible to know who did not put place their ballot in the ballot box.<sup>312</sup>

While many testimonies stated that there was no one monitoring within the screened off area, there were some testimonies that there was a monitoring person sitting in the space near the screened off area.313 There were also testimonies that there were

<sup>311</sup>\_NKHR2019000072 2019-08-26; NKHR2019000073 2019-08-26.

<sup>312</sup>\_NKHR2019000071 2019-08-26; NKHR2019000095 2019-10-21.

<sup>313</sup> NKHR2019000068 2019-08-26.

surveillance cameras in some polling places.314

As for the special cases of electoral districts with multiple candidates, the voting was not about choosing one out of the multiple candidates. Instead, it was about voting yes or no for the candidates. In general, there is one candidate in one electoral district and voters cast a yes or no ballot. However, according to a testimony, there were three deputy candidates in an electoral district for the SPA deputy election in March 2019, and the voting entailed putting yes votes in the ballot boxes with the names of the three candidates. 315

As there was no ballot box for 'no' votes and it was not possible to express opposition on the ballot itself, and also because there was a monitoring system, voters were in principle blocked from showing opposition to the candidates.

Moreover, the Delegates Election Law for All Levels of the People's Assembly specifies the freedom of "election campaigns (Article 49)," but it is expressly prohibited to "instigate no votes, abstentions, or sabotage elections" (Article 53). As a result, the stark reality of the right to political participation in North Korea is that voters themselves cannot even imagine casting a negative vote.

In sum, in terms of its institutions, North Korea stipulates

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<sup>314</sup>\_Above testimony; NKHR2019000086 2019-10-05.

<sup>315</sup> NKHR2019000069 2019-08-26.

direct, universal, equal, and secret ballots and most people regularly participate in elections. However, in reality, most North Koreans must vote for the candidates pre-decided by the authorities, and it is practically impossible to vote against the candidates pre-decided by the authorities due to the underlying fear of punishment.

Furthermore, the North Korean people's agency in deciding to participate in the elections is denied. There is a predominant fear that there would be legal/political revenge if one decides not to or refuses to cast a ballot. Moreover, when it is difficult to participate in elections due to business trips or disabilities, the "mobile voting system" is applied. The system provides convenience in voting on one hand, but on the other hand, there is a lack of freedom in that exemption from election participation is not allowed. With consideration to these various circumstances, the principles of secret and free ballots in their true sense are not guaranteed in North Korea.

### C. Limited Application of Electoral Eligibility

Article 25 (c) of the ICCPR includes the right "to have access, on general terms of equality, to public service in his country" as a major part of the right to political participation. In a democratic system, all citizens have the right to access public service. However, in North Korea, such right is limited only to those recommended by the KWP. As a result, the general population does not perceive its eligibility to run for office.

For general residents, deputy candidates are perceived as those "designated by the government." As in the testimony by a North Korean defector that "even party members cannot run for deputy elections unless selected by the Supreme Leader," the right to political participation cannot be said to be fully realized. Article 66 of the Socialist Constitution stipulates that "all people aged 17 or above have the right to vote and to be elected regardless of gender, ethnicity, occupation, time of residency, amount of property and knowledge, party affiliation, political opinion, and religion." However, it can be said that while general North Korean people do have the right to run for election is limited.

316\_NKHR2019000085 2019-10-05.

<sup>317</sup>\_NKHR2019000037 2019-06-15.

### Table II-46 Cases of Infringement on the Right to Political Participation

	0	0	'
	Testimonies		Testifier ID
2019, and the testif person sitting near thought that all his/h	two SPA deputy election ier could only vote yes the screened off a ner movements were bused to the polling the polling the screened of the polling the	s as there was a rea and he/she being watched as	NKHR2019000068 2019-08-26
local people's asser candidate and voter	ated in the election of mbly in 2017 and then s could not indicate y I to do was to put the b	re was only one res or no on the	NKHR2019000070 2019-08-26
have any prior know	ated in the election in a wledge about the cand a surveillance cama ballot.	didate. As there	NKHR2019000086 2019-10-05
	on 10 March 2019, and lot in the ballot box with	-	NKHR2019000052 2019-07-20
	on 10 March 2019, ar and was careful about ere watching.		NKHR2019000064 2019-08-17
separation of a yes about the candidate 2019, and there wa voting booth. When	ballot in the only ballot and no ballot box with in the SPA deputy e as an MPS officer star the number of people in s in the ballot box did re tracked down.	th no knowledge election in March anding beside the on the register and	NKHR2019000071 2019-08-26
March 2019, and sind the ballot, those who	ated in the SPA deput be there were numbers o participated in election checked and it was no the election.	written down on n and those who	NKHR2019000095 2019-10-21
election in the elect	re three candidates oral district, it was no I, it was about expressi into each of the ballo s name.	t about choosing ng yes by putting	NKHR2019000069 2019-08-26

#### D. Evaluation

North Korean people participate in elections based on the Constitution and the Delegates Election Law for All Levels of the People's Assembly. However, the principles of direct, universal, equal and secret ballots, as mentioned in the Constitution and the Delegates Election Law are not fully upheld. Most North Korean people are forcefully mobilized by North Korean authorities without even knowing who the candidate is and almost all voters participate in each election. Furthermore, they vote for the single candidate recommended by the KWP. As a result, the majority of North Korean people do not even realize that there are principles of democratic elections. In a democratic society, citizens have the right to vote and the right to run for elections through free competition. However, in North Korea, elections are utilized as a means to reinforce and legitimize the regime instead of electing representative who will speak for the people in the political process.

In the 2019 survey, there were many testimonies on the SPA deputy elections held on 10 March. Many testimonies stated that while there was no observer within the voting booth, there were MPS officers around the polling place and surveillance cameras were installed, thus creating a coercive atmosphere. It is noteworthy that there were testimonies regarding the active encouragement to vote through official media and the People's Unit (inminban) chiefs and that the testifiers knew the candidates before the election. However, it cannot be said that the freedom of the right to political participation is guaranteed yet since it is still impossible to run as candidates based on individuals' will, autonomy in choosing to participate in elections is not guaranteed, and a liberal environment to express yes or no about the candidates is not guaranteed.

# 13

## Right to Equality

The UDHR stipulates that "All are equal before the law and are entitled without any discrimination to equal protection of the law" (Article 7). The ICESCR and the ICCPR also prohibit "all kinds of discrimination." The right to equality is closely related to the prohibition against discrimination. Non-discrimination, together with 'equality before the law' and 'equal protection of the law without discrimination,' constitutes basic and general principles relating to the protection of human rights.<sup>318</sup>

Table II -47	nternational Human Rights Standards against Discrimination	
Article 7 of the UDHR	All are equal before the law and are entitled without any discrimination to equal protection of the law. All are entitled to equal protection against any discrimination in violation of this Declaration and against any incitement to such discrimination.	
Article 2, paragraph 2 of the ICESCR		

318\_UN Human Rights Committee, General Comment, No. 18 (1989), para. 1.

Article 2, paragraph 1 of the ICCPR	Each State Party to the present Covenant undertakes to respect and to ensure to all individuals within its territory and subject to its jurisdiction the rights recognized in the present Covenant, without distinction of any kind, such as race, colour, sex, language, religion, political or other opinion, national or social origin, property, birth or other status.
Article 26 of the ICCPR	All persons are equal before the law and are entitled without any discrimination to the equal protection of the law. In this respect, the law shall prohibit any discrimination and guarantee to all persons equal and effective protection against discrimination on any ground such as race, colour, sex, language, religion, political or other opinion, national or social origin, property, birth or other status.

The right to equality can be approached from many perspectives, including gender discrimination and discrimination between persons with disabilities and those without. This section covers issues regarding the discrimination based on social classification and the right to be equal before the law. The issues of discrimination against women and persons with disabilities will be explored later (IV. Vulnerable Groups, 1. Women and 3. Persons with Disabilities).

### A. Continued Discrimination Based on Songbun and Class

Article 2 of the ICCPR stipulates that all individuals within the territory of State Parties shall not be discriminated against based on "distinction of any kind, such as race, colour, sex, language, religion, political or other opinion, national or social origin, property, birth or other status." Article 2 of the ICESCR also stipulates that there shall not be "discrimination of any kind as to race, colour, sex, language, religion, political or other opinion, national or social origin, property, birth or other status." As such, international standards on human rights stipulate equal protection by law for everyone without discrimination of any kind, and particularly prohibit discrimination based on arbitrary and customary divisions, such as those based on social origin, birth or class.

Article 65 of the North Korean Constitution that stipulates "Citizens enjoy equal rights in all spheres of State and public activity," clearly guarantees equal rights without discrimination. In reality, however, there are unique internal regulations based on class, according to which all residents are classified by. Following a series of citizen registration programs carried out after Korea's liberation from Japanese colonial rule, North Korea has divided its people into three classes and 56 categories and then separately into 25 types of background (songbun).319 Regulations on background and class aim at "isolating those hostile to the regime

<sup>319</sup> It has been previously known that North Korea had three classes: the core class (core masses), the wavering class (basic masses), and the hostile class (complex masses). The Reference Book for Citizen Registration Projects (Strictly Confidential), published in 1993 by the Press of the Ministry of Social Security (currently called the Ministry of People's Security (MPS)) shows that it uses the three classes of the basic masses, the complex masses, and remnants of the hostile class, which are then subdivided into 56 categories, and separately, 25 backgrounds are also used for classification purposes: Sang-son Kim and Sang-hui Lee, Book for Citizen Registration Projects (Strictly Confidential) (Pyongyang: Ministry of Social Security Press, 1993) (In Korean). Based on this, In-ae Hyun's "A Study of North Korea's Resident Registration System." (M.A. dissertation, Ewha Womans University, 2008) (In Korean) was published.

and securing the majority of the people." North Korea says that it defines and establishes regulations on background and class "accurately by performing extensive and accurate research on individuals regarding: their economic conditions at the time of their birth; the class background of their family and its influence; their social and political activities; the particularities of nation's historical development and class relations; and class policies that the Party has implemented at each phase of the Revolution."320 Background is divided into two types—personal and social—and serves as basic data for determining people's class.<sup>321</sup> There are three classes: the basic masses, the complex masses, and the remnants of the hostile class. Finally, there are 56 categories under these three classes.

<sup>320</sup>\_In-ae Hyun, *Ibid.*, p. 25. (In Korean)

<sup>321</sup>\_One's personal background is determined by the profession that his/her parents had for the longest period of time from his/her time of birth to the point of time when he/she entered a social occupation. If the parents had several jobs for similar periods of time, the child's personal background is defined by what had the most significant impact on the child's development of values. Social background, on the other hand, is determined by the profession that one has had for the longest period of time. Likewise, if he/she had several jobs for similar periods of time, the one that had the most significant impact on his/her values will become the basis of the person's social background.

Table II-48 Classification of North Korea's Residents by Background and Class

	and (	Ulass	
	es and grounds	Categories (56 in total)	
Basic masses		1. Revolutionaries; 2. Families of revolutionaries; 3. Bereaved families of revolutionaries; 4. Honored veterans wounded in service; 5. Honorary veterans wounded in action; 6. <i>Jopgyonja</i> ; 7. National heroes; 8. Persons of Merit; 9. Discharged soldiers; 10. Families of the war dead; 11. Families of civilians who died during the war; 12. Families of patriots who sacrificed for socialism; 13. Others (Members of the core masses, including those who have long worked faithfully and played a leading role, always committed to serving the Party at the revolutionary guard post entrusted to them by the Party; and laborers, farmers, soldiers, and intellectuals with sound class background, family circumstances, and socio-political activities)	
Three Classes	Complex masses	1. Draft dodgers from the KPA; 2. Deserters from the KPA; 3. Repatriated soldiers; 4. Repatriated citizens; 5. Those who cooperated with reactionary groups; 6. Those who served in Japanese organizations before liberation; 7. Liberation soldiers; 8. Discharged construction workers; 9. Defectors to the North; 10. Personnel associated with No. 10 Guerilla Operations Area; 11. Personnel associated with Kumgang School; 12. Former political prisoners; 13. Religious personnel; 14. Families of defectors to South Korea; 15. Families of the executed; 16. Families of arrestees; 17. Families of former political prisoners; 18. Families of non-repatriated POWs; 19. Families of defectors overseas; 20. Families of landowners; 21. Families of rich farmers; 22. Comprador capitalists; 23. Pro-Japanese collaborators; 24. Families of pro-American collaborators; 25. Families of Evil religious personnel; 26. Families of factionalists; 27. Families of those complicit with factionalists; 28. Families of spies; 29. Families of agricultural foremen; 30. Families of entrepreneurs; 31. Families of merchants	
	Remnan ts of the hostile class	1. Landowners 2. Rich farmers; 3. Comprador capitalists; 4. Pro-Japanese collaborators; 5. Pro-American collaborators; 6. Evil religious personnel; 7. Factionalists; 8. Those complicit with factionalists; 9. Spies; 10. Agricultural foremen; 11. Entrepreneurs; 12. Merchants	
Backgrounds (25 in total)		<ol> <li>Revolutionaries; 2. Professional revolutionaries; 3. Laborers;</li> <li>Soldiers; 5. Farmhands; 6. Poor farmers; 7. Farmers; 8.</li> <li>Farm managers; 9. Mid-sized farmers; 10. Better-off mid-sized farmers; 11. Agricultural foremen; 12. Rich farmers; 13. Landowners; 14. Office workers; 15. Students;</li> <li>16. Craftsmen; 17. Foremen; 18. Small- and mid-sized entrepreneurs; 19. Converted businessmen; 20. Entrepreneurs;</li> <li>21. Petit-bourgeois; 22. Small-and mid-sized merchants;</li> <li>23. Merchants; 24. Religious personnel; 25. Those who served in Japanese organizations before liberation</li> </ol>	

Source: In-ae Hyun, "A Study of North Korea's Resident Registration System," pp. 31~35. (In Korean)

North Korean authorities use this class and background classification system to strictly control their people; any movement between classes is also controlled in a very closed manner. One who makes a brilliant achievement, for example, may see his class upgraded from "remnants of the hostile class" to "the complex masses," but it is virtually impossible to climb all the way up to "the basic masses" either from "remnants of the hostile class" or "the complex masses." Those in the "remnants of the hostile class" and "the complex masses" face discriminatory treatment across the entire spectrum of social life, including their occupation, education, residence, medical benefits, and military duties. North Korean authorities define the value of individuals based on their personal backgrounds and family background. The problem is that such discriminatory treatment occurs via comprehensive and systemic survey through Citizen Research (jumin yohae). In this process, discrimination persists in the form of guilt by association on both vertical and horizontal levels.

In North Korea, guilt by association is mostly directed towards those with experience as South Korean civil police agents, families of Korean War POWs, families of returnees from Japan, and those with records of family members escaping to South Korea. First of all, North Korea has treated separated families as part of the complex masses, categorized into "traitors who fled to South Korea" or "families of traitors." They are still denied their basic rights or face various disadvantages, due to the "wrong doings" of their ancestors under Japanese colonial rule or during the Korean War. If one has a family member who served as a South Korean civil police agent during the Korean War<sup>322</sup> or was a Korean War POW, he/she may be exiled to a remote area, or to a coal mine or lumber mill, where he/she will be forced into hard physical labor. One testifier said that his/her family was deported from Gangwon Province where they used to live to Onsong County, North Hamgyeong Province for the reason that his/her grandfather had been a landlord before liberation.<sup>323</sup>

Discrimination based on guilt-by-association is serious when it involves important political incidents in North Korea. One testifier said that his/her father was involved in the *Kapsan* Faction incident in the 1960s, and as a result, he/she was watched for more than 30 years and could not enter university.<sup>324</sup> Another testifier also said that his/her entire family was relocated to Yanggang Province due to involvement in a sectarian incident called the "Kim Chang-bong incident" in the 1960s, and as his/her family was classified as a reactionary element family, the testifier was seriously discriminated against since birth, and although the false charge was cleared in the early 1970s and the relative was reinstated as an honorable soldier of war, the testifier could only

<sup>322</sup>\_NKHR2015000053 2015-03-10.

<sup>323</sup>\_NKHR2014000015 2015-01-27.

<sup>324</sup> NKHR2019000069 2019-08-26.

work as a clerk.325

In addition, there are separate medical facilities used only by cadres of the KWP and those working for powerful agencies including the MSS or MPS or differentiated and special medical services provided to them. 326 As such, discrimination based on background (songbun) and family background still continues until today. In 2019, the UNHRC in the UN General Assembly also expressed serious concerns with continuous reports that discrimination based on the background (songbun) system is infringing on the human rights of the North Korean people.<sup>327</sup>

### B. Discrimination in Social Life by Songbun and Class

According to recent testimonies, since Kim Jong Un came to power, it is assessed that discrimination based on background has been somewhat mitigated with the increasing influence of economic power on social life.328 However, discrimination based on background and class undeniably exists in North Korea. Discrimination is taking place not only in public areas of life including gaining employment as a senior official, becoming a Party member, entering college, being given job assignments, and

<sup>325</sup>\_NKHR2019000074 2019-08-26.

<sup>326</sup>\_NKHR2019000002 2019-04-08 and many other testimonies.

<sup>327</sup>\_UNHRC, "Compilation on the Democratic People's Republic of Korea", Working Group on the Universal Periodic Review Thirty-Third Session, 6-17 (May 2019), p. 3.

<sup>328</sup>\_NKHR2019000009 2019-04-08 and many other testimonies.

receiving medical services but also in private areas including getting married.

First is discrimination in employment as a senior official. One's background and class play a significant role in being able to join political authorities like Party organizations, the MSS, or the MPS and becoming a member of the senior staff.

A testifier said that because his/her father was a person who returned to his home country from abroad, the testifier could not enter Kim II-sung University, could not join agencies under the MSS, could not become a Party worker, and could only become an administrative worker. <sup>329</sup> In contrast, a North Korean defector who had a good family background as his/her grandfather participated as a military officer in the Korean War could work for the Protective Command as there was no one in his/her family who was sent to a prison camp (*kyohwaso*) or went to South Korea. <sup>330</sup> Another testifier said that in the case of his/her spouse's family, his/her spouse and sister-in-law were not selected as executives of the Central Party because they had relatives in China. <sup>331</sup> While family background does not matter much in daily life, it plays an important role when families or relatives join or get promoted in security agencies or the MSS. <sup>332</sup>

<sup>329</sup>\_NKHR2019000011 2019-04-20.

<sup>330</sup>\_NKHR2019000086 2019-10-05.

<sup>331</sup>\_NKHR2019000035 2019-06-03.

<sup>332</sup> NHKR2019000053 2019-07-29.

Second, there were many testimonies that, since the mid/late 2000s, economic power could make it possible to join the Party, enter college, or be selected as a low-level executive despite a lower background (songbun) or class. A testifier said that bribery is the most important factor in entering college, and family background is reviewed up to third cousins in the case of cadres of the KWP, but as the influence of family background has diminished, it is not as important for low-level workers or administrative workers.333 Another testifier said that his/her family background was very low as his/her father-in-law was involved in the Kapsan Faction incident in the 1960s, but he/she could join the Party by paying 350kg in pigs in 1997, which was the time of "the Arduous March." 334 Another testifier said that his/her father who was originally from Pyongyang was forcibly relocated to Yanggang Province due to faults of the grandfather and elder uncle but did not experience difficulties caused by family background in the military, graduation from college, and working as a manager.335

The level of discrimination based on background (songbun) and class varies among central universities including Kim Il-sung University, People's Economy College, and Pyongyang University

<sup>333</sup> NKHR2019000011 2019-04-20.

<sup>334</sup>\_NKHR2019000069 2019-08-26.

<sup>335</sup> NKHR2019000044 2019-07-11.

of Foreign Studies, and general local universities at the provincial/city level. While one can enter general universities, even without a good family background, based on one's merit, one cannot enter central universities without a good family background, even with strong merit.<sup>336</sup> In job assignments as well, the influence of family background is gradually decreasing. As such, discrimination based on family background and one's origin has been somewhat eased, but background (*songbun*) still exerts a significant influence on one's life trajectory in North Korean society.

A North Korean defector  $\bigcirc\bigcirc\bigcirc$  testified that, under the Kim Jong Un regime, one still needs to have a good family background to enter the provincial or city KWP; becoming a teacher also requires a good family background, and those without a good family background would give up joining a good workplace. Another testifier also said that discrimination based on background (*songbun*) or class was common, and the discrimination was found not only in terms of joining the KWP or appointment as an executive but also in terms of dispatch overseas. Under the Kim Jong Un regime, many private companies have been allowed, and those without a good family background can run a

336\_NKHR2014000015 2015-01-27.

<sup>337</sup>\_NKHR2019000016 2019-06-07.

<sup>338</sup> NKHR2019000031 2019-06-03.

company in the trade business.<sup>339</sup> If one of the family members is put into a political prison camp (kwanliso), the rest of the family members are judged as having a bad background and are discriminated when it comes to entering the Party, promotion, or marriage.

Third, background (songbun) also leads to discrimination in private life, including marriage. One testifier said he/she was a child of a returnee, and although that testifier did not feel discrimination based on background (songbun), he/she felt many obstacles when getting married, and the relatives of those within the MSS, in particular, never marry the children of returnees.<sup>340</sup> Another testifier said that people consider money (economic power) as well as background (songbun) or family history in deciding a potential spouse to eliminate obstacles to future development.341 Another testifier also said that, these days, people consider economic power more than background (songbun) in marriage.342

<sup>339</sup> NKHR2019000108 2019-11-18.

<sup>340</sup>\_NKHR2019000021 2019-05-07.

<sup>341</sup>\_NKHR2019000048 2019-07-01.

<sup>342</sup> NKHR2019000011 2019-04-20.

# C. Discriminatory Assignment of Residence Area by *Songbun* and Class

North Korean citizens are assigned residential areas and can be forcibly relocated based on their background and class. In North Korea, those with unfavorable background and class are primarily those formerly from South Korea or those who once belonged to the landlord or capitalist class, as authorities have concerns that they may escape from North Korea because of their admiration for South Korea. For this reason, North Korean authorities limit the areas of residence for these people to prevent escape. For example, people with unfavorable background are not allowed to live in Pyongyang, Nampo, near the coast, or areas bordered by the enemy (*jeonyeonjidae*).

Such discrimination is stricter when it comes to residence in Pyongyang. The city is divided into central Pyongyang and surrounding districts, and the surrounding districts are further divided into protective zones, satellite cities and farming areas (Article 7 of the Law for the Management of Pyongyang). In order for a North Korean to live in Pyongyang, he/she must file for resident registration in accordance with the procedures and methods set by the Cabinet (Article 30). In addition, one has to acquire the permission of relevant authorities in order to move into the central Pyongyang from surrounding districts (Article 31). Even among those who live in Pyongyang, those living in the surrounding districts are discriminated from those living in central

Pyongyang. For example, those living in some areas including Yongsong district are allowed to pass through central Pyongyang but cannot get a job there.<sup>343</sup> Authorities strictly examine the background and class of individuals when granting permission to live in Pyongyang. Even those living in the city are divided into three categories by background and class, according to which they face discriminatory treatment. Groups 1 and 2 constitute about 80~90% of Pyongyang residents who have no problems in terms of background, while Group 3 includes Koreans repatriated from Japan, former South Korean residents, and those who have missing family members, accounting for 10 to 20% of the city's population. Because of the grouping based on background and class, citizens falling in Group 3 cannot participate in various political events held in Pyongyang. For example, when foreign dignitaries visit the capital, only those citizens belonging to Groups 1 and 2 are mobilized as welcoming crowds. Group 3 citizens are constantly marginalized, and are allowed to join only in ordinary mass rallies. Even when attending these mass rallies, they face discrimination as Group 1 and 2 citizens are lined up in the front rows while those in Group 3 are made to stand at the back and are under the surveillance of MSS agents.

The discrimination between residents of Pyongyang and of provinces is also severe. First, there is discrimination with regards to freedom of residence and movement. People living in provinces need to obtain official approval from competent agencies to move to Pyongyang (Article 31 of the Law for the Management of Pyongyang). Second, only citizens of Pyongyang receive Pyongyang citizenship cards, granting them special status that ensures better treatment compared to residents of other regions. This Pyongyang Citizen ID Card is issued only to those above the age of 17 (Article 7 of the Citizen Registration Law). In practice, authorities grant access to Pyongyang in a discriminatory manner to those living in other cities or in the provinces, as the latter must undergo complicated procedures and face a variety of restraints. In contrast, Pyongyang citizens can more freely move to other cities or provinces. Third, it is said that there is also discrimination with regard to food rations, which will be described in Section 1 of Chapter III.

Meanwhile, the movement of people from cities and rural areas has been more strictly controlled since Kim Jong Un came to power. It was previously possible for someone from a rural area to move to a city for a job as a teacher at a university or when joining the military, and a woman from a rural area could move to a city if she married a man from a city. Now, however, when a man from a city marries a woman from a rural area, he needs to relocate to the rural area. 344 Moreover, for provincial people to become Pyongyang

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344\_NKHR2015000052 2015-03-10.

residents, they need go through a complicated processes involving five to six institutions due to their background, and some even end up divorcing their partners due to the disadvantages they must encounter during job assignment.345

## D. Discrimination against Ethnic Chinese and Returnees from Japan

In the past, there was a perception in North Korea that ethnic Chinese and returnees from Japan have superior economic power and enjoy more affluent lives due to their relatives in China and Japan. However, as the Kim Jong Un regime reinforced surveillance on those who defected and returnees from Japan have lost their connections with Japan, discrimination against these groups appears to have intensified.

Testimonies show mixed perceptions in the treatment of ethnic Chinese. While some testify that ethnic Chinese in general have economic power, live wealthy lives, and are generally perceived positively,<sup>346</sup> others testify that there is a bad perception about ethnic Chinese because of the perception that they show off their money.<sup>347</sup> There are conflicting testimonies about social discrimination against ethnic Chinese people. Some testifiers

<sup>345</sup>\_NKHR2016000054 2016-04-19.

<sup>346</sup>\_NKHR2019000011 2019-04-20.

<sup>347</sup> NKHR2019000111 2019-11-18.

stated that they did not experience discrimination in school or daily life as ethnic Chinese, <sup>348</sup> while other testifiers said that they experienced discrimination in joining the KWP, entering college, being selected as executives, seeking marriage, and even in entering the Korean Children's Union (*sonyeondan*). <sup>349</sup>

One North Korean defector's maternal grandmother was Chinese and his/her grandfather was ethnic Chinese, and his/her mother's cousins were all living in China. Due to these connections, he/she was harshly discriminated against after graduation in terms of job and military service.<sup>350</sup> In another testimony, a child with Chinese parents who migrated to North Korea in the 1960s was rejected from becoming a member of the Party.<sup>351</sup> In particular, since Kim Jong Un came to power, discrimination against people with connections to China seems to have intensified. A testifier whose spouse is ethnic Chinese said that while it was possible to enter college in the past, his/her children could not enter college as the current relationship with China is sour.<sup>352</sup> According to another testimony, while those with cousins in China were subject to discrimination under Kim Jong II, the scope has expanded up to the level of second cousin

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<sup>348</sup>\_NKHR2019000011 2019-04-20; NKHR2019000107 2019-11-18; NKHR2019000111 2019-11-18.

<sup>349</sup> NKHR2019000054 2019-07-29.

<sup>350</sup>\_NKHR2015000101 2015-05-19.

<sup>351</sup>\_NKHR2018000017 2018-04-09.

<sup>352</sup>\_NKHR2019000054 2019-07-29.

since Kim Jong Un came to power. Those with connections to China are not allowed to work in border areas and are dispatched away from the front lines.353 This shows the discriminatory perception of the North Korean authority that those with connections to China are likely to defect from North Korea and engage in smuggling, treating them as potential criminals.

Meanwhile, there were also testimonies that discrimination against returnees from Japan or those with connections to Japan is intensifying. In the past, this class was treated very well. A testifier whose father was a returnee from Japan said that after graduating from a university, his father became a middle school teacher and was assigned to a job in Musan County, North Hamgyeong Province. Moreover, he was allowed to join the Party and was treated very well.<sup>354</sup> However, the treatment of returnees from Japan has been recently worsening. A major reason is said to be their weakening financial capability due to their loss of connections to Japan.<sup>355</sup> According to another testimony, children whose fathers are returnees could not become KWP workers or law enforcement personnel, 356 and if one of the family members defects to South Korea, the remaining relatives

<sup>353</sup>\_NKHR2016000146 2016-09-06.

<sup>354</sup>\_NKHR2016000023 2016-01-26.

<sup>355</sup> NKHR2016000061 2016-05-03; NKHR2016000111 2016-07-12; NKHR2017000113

<sup>356</sup> NKHR2019000021 2019-05-07.

sometimes experience disadvantages in joining the KWP, getting recommendations for colleges, and being selected as executives.<sup>357</sup>

According to a testimony, a testifier's father, who was a returnee from Japan, experienced discrimination at work, had his letters to Japan censored, and had to endure hostile attitudes and accusations. One testifier testified that his his/her spouse's family members were returnees from Japan, and while returnees from Japan cannot be promoted to high-ranking Party posts, others are available to them. Similarly, according to a North Korean defector who had a partner whose parents were returnees from Japan, the partner was rejected from joining the Party, despite the fact that he/she had served in the military for eight years. The father of this partner also could not find a job and engaged in vending because he/she was a returnee from Japan, despite the fact that he/she had graduated from Gimchaek Engineering University.

#### E. Evaluation

For North Korea, discrimination by background and class

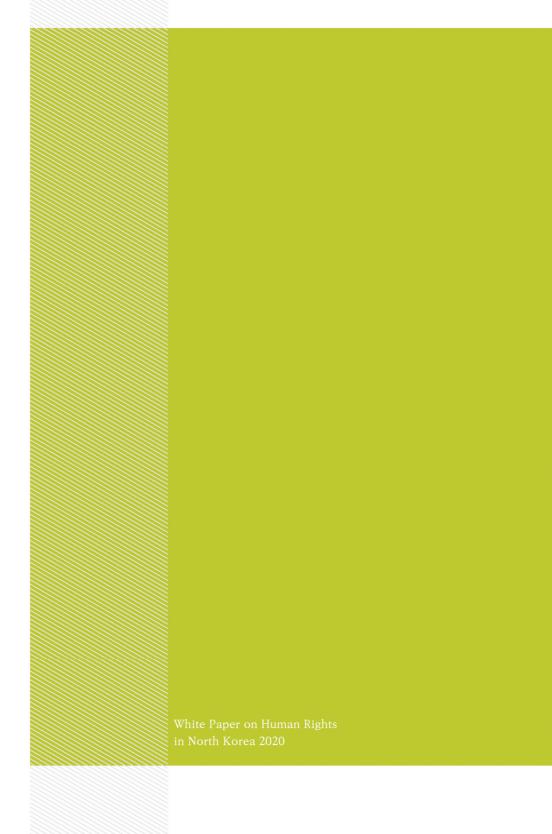
357\_NKHR2019000031 2019-06-03.

358\_NKHR2016000127 2016-08-09.

359\_NKHR2014000212 2014-12-30.

360\_NKHR2014000085 2014-07-01.

continues to function as a key system that creates inequality in diverse aspects of people's lives. Discrimination by background (songbun) and class remains unchanged with regard to joining the KWP; seeking job assignments, employment, and promotion to a senior staff position; entering college and the military; and receiving the assignment of residence area. After Kim Jong Un came to power, discriminatory institutions based on background and class have been partially mitigated but prevalent corruption and a widening gap between the rich and the poor caused by marketization have led to another form of discrimination. Moreover, since Kim Jong Un came to power, there are testimonies that movement between cities and rural areas has become more strictly prohibited, and surveillance and discrimination against ethnic Chinese and returnees has continued. Therefore, it is hard to say that the existing discrimination based on family background and origin has improved; more so new forms of discrimination and inequality based on economic power are overlapping with existing discrimination.





# The Reality of Economic, Social, and Cultural Rights

- 1. Right to Food
- 2. Right to Health
- Right to Work
- 4. Right to Education
- 5. Right to Social Security

1

## Right to Food

The UDHR states that "everyone has the right to a standard of living adequate for the health and well-being of himself and his family, including food, clothing, housing, medical care and necessary social services" (Article 25, paragraph 1). The ICESCR provides a more specific provision regarding the right to food (Article 11, paragraph 2).

Table Ⅲ-1	Article 11 of the ICESCR
Paragraph 2	The States Parties to the present Covenant recognize the fundamental right of everyone to be free from hunger.

Article 7 of the North Korean Food Policy Law states that "It is the consistent policy of the DPRK to be responsible for supplying grain foods," and that "DPRK shall supply regular food to the people." In a legal sense, North Korea appears to actively guarantee the right to food while officially maintaining a food ration system. However, in reality, the right to food is not adequately protected. This chapter will focus on the right to food with focus on the prolonged food shortages and discriminatory distribution.

### A. Prolonged Chronic Food Shortages

The food situation appears to have stabilized since the start of the Kim Jong Un regime. This is the case even when considering the period of the 'Arduous March' which led to mass victims of starvation as well as the 2000s. As an example, according to the DPRK Multiple Indicator Cluster Survey (hereinafter referred to as "MICS survey") conducted by UNICEF, since the beginning of Kim Jong Un regime, nutrition situation of infants and children has rapidly improved compared to the survey results in 2012.

However, the outlook on the food situation in North Korea is not very positive. According to a joint report by the Food and Agriculture Organization of the United Nations (FAO) and World Food Programme (WFP) in May 2019, 40% of the North Korean population, or 10.1 million people, are suffering from a food shortage and the food supply is falling short of demand by 1.36 million tons.<sup>361</sup>

Article 11, paragraph 2 (a) of the ICESCR stipulates that there is a need "to improve methods of production, conservation and distribution of food by developing or reforming agrarian systems in a way to achieve the most efficient development and utilization of natural resources." Accordingly, the State is required to take

<sup>361</sup>\_FAO·WFP, FAO/WFP Joint Rapid Food Security Assessment: Democratic People's Republic of Korea (Rome: FAO·WFP, 2019), p. 11.

active measures to increase food availability in the event of a food shortage.

North Korean authorities have also taken legal and policy measures to expand food production. The "paddy unit responsibility system" introduced after Kim Jong Un took office is a key example. North Korean authorities introduced as a pilot program "paddy unit responsibility system within the team management system" in 2012, the size of the production team (bunjo) was reduced from 10~25 people to 3~5 people per team. Each team was then assigned to a fixed size of land. Since then, the Farm Law was revised annually in 2013, 2014, and 2015 to institutionalize the "paddy unit responsibility" system. The Farm Law revised in 2015 stipulates that "Farms shall accurately execute the "paddy unit responsibility" system and reward and punishment system under the production team (bunjo) management system so that work on land management, agricultural process execution, production plan execution, and procurement plan execution is accurately assigned by team production (bunjo) and farm members and that reviews on such are timely and substantially carried out to ensure the immediate distribution and handling of grain production." (Article 22.2). The paddy unit responsibility system could lead to increased food production since reducing the number of production team member helps to increase productivity.

In the Third UPR in 2019, North Korea emphasized that it

authorized innovative farm management led by farm members and encouraged them to fulfill their responsibilities and roles in production and management by introducing the "paddy unit responsibility" system in 2015. It assessed that based on such measures, grain production had steadily increased every year and made great progress in resolving food issues.<sup>362</sup>

Furthermore, by adopting the paddy unit responsibility system, North Korean authorities are promoting various policies which will encourage production. Once farmers achieve the amount set by the national production plan, and the farmers pay the country the price of seeds, fertilizers, agricultural equipments, among other costs, they are then free to dispose the remaining crops.<sup>363</sup> "The farms have earned the right to manage crops as they wish, when only achieving the national production plan and paying back the nation for the price of land, guaranteed irrigation, agricultural supplies, fertilizers, etc."<sup>364</sup>

An important point is whether these actions taken by North Korean authorities to increase food availability actually leads to an increase in food production. According to testimonies, the paddy unit responsibility system is actually being promoted in many areas.<sup>365</sup> Although some testimonies said that the increase

<sup>362</sup> UN Doc. A/HRC/WG.6/33/PRK/1 (2019), para, 49.

<sup>363</sup>\_ The Choson Sinbo, 7 June 2013.

<sup>364</sup>\_ Ibid., 19 April 2013.

<sup>365</sup>\_NKHR2019000003 2019-04-08 and many other testimonies.

in food production has improved the food situation, 366 there were also many negative testimonies that the food situation had not improved even after the introduction of the system due to diverse factors including the unfair allotment of paddies, insufficient supplies of farming materials, and excessive government procurement amounts.367

According to a testifier, the "paddy unit responsibility" system was executed at the farms but was not very effective because the distribution of paddy units was not fair. The people could not properly carry out farming as agricultural goods, including fertilizers, were not properly supplied or people did not have the money to buy them. The distribution amount returned to farmers after submitting the target amount was very small since the expected harvest target was set at an excessively high level when considering the size of the paddy units.<sup>368</sup> Another testifier also complained that the good land was taken by the People's Unit (inminban) chief or team leaders and barren land was given to general farm members,369 and that the actual fertilizer amount given to individual farm members was small since the executives of the transportation agents embezzle and sell the fertilizer supplied by the government; some farm members would sell the

<sup>366</sup>\_NKHR2019000029 2019-06-03 and many other testimonies.

<sup>367</sup>\_NKHR2019000006 2019-04-08 and many other testimonies.

<sup>368</sup>\_NKHR2019000025 2019-05-18.

<sup>369</sup>\_NKHR2019000023 2019-05-18.

supplied fertilizer when they were in need of money or use the supplied fertilizer for their personal farming.<sup>370</sup>

### B. Food Shortage for Farmers Due to Excessive Government Collection

Currently, the North Korean authority is executing the "paddy unit responsibility" system to encourage grain farming and to motivate farm members to increase production. In a letter sent by Kim Jong Un to participants of the "nationwide agricultural sector team leaders' convention in 2014," it was mentioned that equality-based distribution was demotivating farm members in terms of production. Through the "paddy unit responsibility" system, the North Korean authority attempted to increase production by giving farms autonomy in the production and management of farming and ensuring more distribution to farm members. However, in reality, the food situation at farms has not improved. A testifier said that due to the very low level of production, he/she was distributed only the amount sufficient for around 3~4 months.<sup>371</sup> Another testifier said that as there was little left to be distributed to farm members after the execution of "paddy unit responsibility" system, it had become more difficult to secure access to food in regions with a poor harvest.<sup>372</sup>

<sup>370</sup>\_NKHR2019000057 2019-07-29.

<sup>371</sup>\_NKHR2019000046 2019-07-01.

<sup>372</sup> NKHR2019000072 2019-08-26.

The primary reason lies in the fact that each farm member's share is insufficient due to the overpayment to the state. As mentioned previously, North Korean authorities have granted the right to dispose of the crops freely, once farm members pay back the country for the provided seed, fertilizer, and farm equipment. The remaining crops go to the farm members, and they are free to dispose of their share as they wish. In general, 70% of the harvest goes to the government and 30% goes to the farm members.<sup>373</sup> While there are testimonies that work efficiency improved as the distribution portion to individuals increased due to the "paddy unit responsibility" system,<sup>374</sup> the system is not greatly effective as it is not executed according to the rules at many farms. In particular, the food situation of farm members has not improved because official skimming occurs under various pretexts such as the Rice for the Military (gullyangmi), Patriotic Rice (aegukmi), Rice for the Needy (wonhomi), and Rice for the Troops campaigns, which have not been adjusted downward.

A defector in his/her 20s who escaped North Korea in 2017 testified that although farm members were given incentives, almost nothing was left for them after paying the country the imposed production quota.<sup>375</sup> Another defector testified that they

<sup>373</sup>\_NKHR2019000006 2019-04-08 and many other testimonies.

<sup>374</sup>\_NKHR2019000057 2019-07-29; NKHR20190000077 2019-09-25.

<sup>375</sup> NKHR2017000030 2017-06-05.

were allocated the remaining grain after paying the rent for the farm equipment, fertilizer, and official skimming. This amount was only approximately 10% of the total harvest.<sup>376</sup> A North Korean defector who defected in 2018 testified that although the paddy unit responsibility system was implemented, most of the harvested crops were taken for the purpose of Rice for the Military (*gullyangmi*) and he/she had to buy all the necessary agricultural tools and equipment from his/her own pocket.<sup>377</sup>

A North Korean defector ○○○ testified that even when the production plan was not 100% fulfilled, Rice for the Military is collected unconditionally, and after submitting it, there was little left.<sup>378</sup> Another testifier said that those who used the government's land had to submit 40% as Rice for the Military, and even those who did not use the government's land had to pay Patriotic Rice.<sup>379</sup> A North Korean defector ○○○ testified that he/she paid 15kg of corn in the name of his/her child, and some rich families paid 1~2 tons of corn and received certificates of merit.<sup>380</sup>

In addition to Rice for the Military and Patriotic Rice, farmers deliver food to the government under diverse "support"

<sup>376</sup> NKHR2017000110 2017-11-20.

<sup>377</sup> NKHR2018000084 2018-08-11.

<sup>378</sup>\_NKHR2019000025 2019-05-18.

<sup>379</sup>\_NKHR2019000032 2019-06-03.

<sup>380</sup> NKHR2019000057 2019-07-29.

campaigns. Under the name of support for the military, school, and village, sometimes around 10~20kg of grain per farm member was delivered,381 and rice and firewood were also submitted for the 4.15 Support Project.<sup>382</sup> A North Korean defector submitted 2kg of corn for a support fund for flood damage in Northern the region, 5,000 North Korean won for the Kim Il Sung/Kim Jong Il Fund project, 80kg of corn as Rice for the Military, 2kg of beans as Patriotic Rice, and 2kg of scrap metal per month for 005 (task of submitting scrap metal to produce military supplies), and when there was no scrap metal, he/she paid 1,000 North Korean won in cash. In addition, he/she submitted dog fur (30,000 North Korean won) and rabbit fur (4,000~5,000 North Korean won) every year. 383 Under the Kim Jong Un regime, official skimming to support large-scale construction projects has become more frequent. Heavy official skimming is taking place at workplaces, the People's Unit (inminban), the Women's Union, and schools for construction support for residences in the Wiyeon Construction District and construction support for Samijyeon District. 384

North Korean authorities issue propaganda declaring that official skimming is a voluntary act. As an example, the *Chosun* 

<sup>381</sup>\_NKHR2019000027 2019-06-03.

<sup>382</sup>\_NKHR2019000041 2019-07-01.

<sup>383</sup>\_NKHR2019000045 2019-07-01.

<sup>384</sup>\_NKHR2019000039 2019-07-01 and many other testimonies.

Shinbo reported that "There was a 'Patriotic Rice' campaign triggered by an act of a young man at Samjingang cooperative farm. Everyone reflected on 'the responsibility of the farmer.' The Management Committee or higher levels did not 'request' or 'appeal' to them. Last year, 300 tons of 'patriotic' rice was collected at the farm level. This increased to 350 tons this year." Such government propaganda emphasizes the voluntary nature of official skimming.385

In reality, it appears that the North Korean authority is forcing each household to participate in official skimming. Moreover, when they fail to meet the allocated amount, they are threatened, sanctioned, or encouraged to purchase the grains to fill the quota. In other words, it is confirmed that when 'patriotic' rice is not collected as planned, some families were threatened with a house search,<sup>386</sup> and some were even sanctioned.<sup>387</sup> A North Korean defector testified that offering 'patriotic' rice is voluntary so it was possible to not provide it to the State but as for Rice for the Military (gullyangmi), a vehicle came to the house and took the rice practically by force.388 One defector was encouraged to purchase the rice to pay the military at the end of the year.<sup>389</sup>

<sup>385</sup>\_ "Voluntarily Devoted Rice (aegukmi)" (In Korean), The Choson Sinbo, 27 January

<sup>386</sup>\_NKHR2017000101 2017-10-23; NKHR2017000123 2017-11-20.

<sup>387</sup>\_NKHR2017000092 2017-09-25.

<sup>388</sup>\_NKHR2018000061 2018-07-02.

<sup>389</sup> NKHR2017000073 2017-08-28.

Another testifier said that when failing to provide official skimming portion, one must experience a Life Review Session (saenghwalchonghwa) so strict to feel deep humiliation. Therefore the testifier tried very hard to make a portion to pay as official skimming first no matter how hard it was.<sup>390</sup> After the State's excessive collection of grain, farmers are left with a meager amount. Subsequently, farmers are left disappointed with North Korean authorities, unable to find incentive to work hard, and suffer from deteriorating work motivation.<sup>391</sup>

farm members who suffer from such excessive collection by the State secure food through personal fields or secure cash through selling agricultural products.<sup>392</sup> However, there were many testimonies that due to the re-forestation project recently pursued by the Kim Jong Un regime, the food situation has aggravated as personal land for farming has decreased.<sup>393</sup>

# C. Disparities in Food Rations

North Korea officially maintains a ration system. However, due to the shortage of food, distribution is not done properly. Furthermore, although it is difficult to grasp the specific situation

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390 NKHR2018000005 2018-03-12.
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<sup>391</sup>\_NKHR2017000110 2017-11-20.

<sup>392</sup>\_NKHR2019000003 2019-04-08 and many other testimonies.

<sup>393</sup>\_NKHR2019000019 2019-05-07 and many other testimonies.

owing to the fact that the North Korean government does not reveal specific statistics, numerous testimonies suggest that food rations are provided in a differentiated and discriminatory manner for certain regions or certain occupation groups and that the supply does not meet the actual demand.

Accordingly, the present food situation faced by North Korean residents varies widely. Some can make a living with the rations, some need to make up for the insufficient ration amount by cultivating paddies or through market activities, and some rarely receive the rations that they need to be completely self-sustaining. Furthermore, there are gaps in the ration amount and cycle with some receiving rations for their own selves, some for the whole family, some regularly, and some irregularly. The kinds of food that are rationed also vary greatly, including unglutinous rice, corn, potatoes, and flour. In particular, there were many cases in several workplaces where only one potato ration in a year was received.<sup>394</sup>

#### Table III-2 Recent Collection of Grains

recent concentration of drains	
Testimonies	Testifier ID
The testifier's spouse was working for a jointly operated foreign enterprise of China. The food rations were normal and the testifier received 1 container of cooking oil (5kg) and around 3m <sup>3</sup> of firewood in spring and fall.	NKHR2019000002 2019-04-08
The testifier's younger sister's husband was a military officer of border guards, and rations for the family of four were all received normally, with 16kg of white rice per month (8kg per 15 days) given and 50~60kg of other grains (corn, flour) per other family member.	NKHR2019000003 2019-04-08
The testifier was working for a mineral analysis center of the Provincial Management Bureau of Yanggang Province. He/she received 10kg of rice, 1 bar of soap for facial cleansing, 1 bar of soap for laundry, 1 container (2kg) of soybean oil, and 1 pack of condiments once a month.	NKHR2019000012 2019-04-20
The testifier was working for an enterprise and it had been a long time since the food rations had stopped. The testifier received 300kg of potatoes once in fall, and even doctors received potatoes or similar in fall.	NKHR2019000016 2019-05-07
The testifier was working as a teacher in Yanggang Province. In fall, he/she received 300kg of potato rations. Sometimes rice was given on national holidays, but there were also times when it was not given.	NKHR2019000039 2019-07-01
The testifier was working for Office 39 (foreign currency-earning agency) and did not have to worry about rice thanks to food rations.	NKHR2019000049 2017-07-20
The testifier was working for a power facility repair plant and had not received regular rations. He/she received potato rations once a year in October.	NKHR2019000051 2019-07-20
The testifier's husband was working for a construction enterprise in Musan County and received rations of one bag of potatoes and one bag of corn until 2017, but after he/she transferred to become a manager of a History Center, he/she did not even receive the potato rations.	NKHR2019000057 2019-07-29
The testifier was working for an export company in Hyesan and received 15kg of corn, cooking oil, and fruit on the New Year's holiday.	NKHR2019000058 2019-07-29
The testifier was working as a driver in the export department of a KWP committee in Yanggang Province and was given rations of 20kg of rice and 1kg of corn per month.	NKHR2019000064 2019-08-17
The testifier was working for a military supplies plant, and while 14kg of corn per month was the rule, as wet corn was given, it was only 5~6kg when dried.	NKHR2019000070 2019-08-26

Testimonies	Testifier ID
The testifier was working as a general manager of a dried grass craft enterprise and was supplied with 50kg of rice every month. As there was much extra rice, he/she consumed 20kg and sold the rest to buy clothes, supplemental food, and firewood.	NKHR2019000078 2019-09-25
The testifier was working for the Information and Communications Bureau in Hyesan and received 1 year's worth of food rations once a year. He/she received 30kg of rice, 120kg of corn, potatoes, and starch.	NKHR2019000083 2019-09-25
The testifier was working for the Forest Management Department of Yanggang Province and all he/she received as rations was 30~40kg of corn and 100kg of potatoes.	NKHR2019000105 2019-11-09

## (1) Discriminatory Rations Based on Occupation

North Korea's rations system appears to have largely broken down. In areas where it does function, the benefits seem to be centered mainly on the elite group, prioritizing a specific sub-group within the elite group itself.

First of all, it appears that food is well distributed to the cadres of the Worker's Party, managers, police officers, and security officers rather than enterprise workers or farm members, and the former group is perceived as a select privileged few who can live solely on the rations in North Korea.395 The Worker's Party and legal and administrative agencies have their quota (ppont), according to which a vehicle distributes a load of food directly from a farm.<sup>396</sup> According to an explanation by a testifier, the

<sup>395</sup>\_NKHR2019000083 2019-09-25 and many other testimonies.

<sup>396</sup>\_NKHR2018000006 2018-03-12.

cadres of the KWP receive the most, followed by police officers, prosecutors, security officers, and the MPS city/county branches, and their ration amount is around 3~5 times that for doctors or teachers. They also receive subsidiary food as well as staple food. They also discriminatory that elite groups are mostly receiving white rice only. The case of Samjiyeon, however, the amount of monthly rations for members of the Party as well as workers at security agencies, police offices, and historic or war sites were an amount that could only last 15 days. For members of the Party, rations for their family members were also provided. One defector in his/her 20s who escaped in 2014 testified that his/her father used to work as a manager at the Korean Medicine factory, and he received six month's worth of rations once a year, including the portion for his/her mother and three children.

Unlike those in the secretaries at the Worker's Party and security officers, it appears that doctors, teachers, and researchers, who are generally regarded as professionals, are identified to have very poor rations compared to those working for political agencies although they belong to elite groups. In recent years, even teachers, doctors, and scientists receive nothing more than potato rations, <sup>401</sup> and a testifier whose father was a teacher said

<sup>397</sup>\_NKHR2019000029 2019-06-03.

<sup>398</sup> NKHR2019000041 2019-07-01.

<sup>399</sup>\_NKHR2018000042 2018-06-04.

<sup>400</sup>\_NKHR2017000062 2017-07-31.

<sup>401</sup> NKHR2019000039 2019-07-01.

that he received 1 ton of potatoes per year until 2017. <sup>402</sup> A testifier who worked as feldsher at a hospital said that although potato rations were given in fall, the testifier did not receive it because he/she had to bear the transportation cost and the quality of the potatoes was poor. <sup>403</sup> A testifier whose father was said to be a doctor under the MPS Bureau also said that his/her father received 100kg of corn and 30kg of brown rice as one year's worth of rations but the amount could only feed the family for around three months. <sup>404</sup> According to other testimonies, there were no rations at all for teachers and doctors, <sup>405</sup> and a testifier whose daughter was said to be a nurse also stated that there were no rations for her. <sup>406</sup>

#### (2) Discriminatory Rations Based on Enterprise

Following the breakdown of the food rations system at the national level, institutions such as enterprises have had to find their own means of food provision. Based on the varying capabilities of these enterprises, the gap in the amount that city workers can receive, in other words, their access to food, is widening. Therefore, workers at enterprises with factories that are

<sup>402</sup> NKHR2019000079 2019-09-25.

<sup>403</sup> NKHR2019000029 2019-06-03.

<sup>404</sup>\_NKHR2019000053 2019-07-29.

<sup>405</sup>\_NKHR2019000028 2019-06-03 and many other testimonies.

<sup>406</sup>\_NKHR2019000078 2019-09-25.

well-run or with favorable conditions receive relatively more rations. Conversely, workers at poorly performing factories receive less rations or none at all. Under the Kim Jong Un regime, it seems that the rations status is relatively secure for workers at enterprises related to the earning of foreign currency, exports, and trade. However, due to the recent reinforcement of sanctions on North Korea, there are cases where even enterprises that used to receive normal rations are now experiencing a sudden aggravation of their rations situation. 408

Workers in mines receive relatively favorable rations. North Korea has emphasized coal production in order to overcome the energy crisis. With anthracite and iron ore as North Korea's major export items, the management situation at mines have been relatively better. However, in the case of the mine in Musan, there was a testimony that rations were not provided as the export of iron ore was blocked from 2017 due to sanctions against North Korea.<sup>409</sup>

407 NII

<sup>407</sup>\_NKHR2019000002 2019-04-08 and many other testimonies.

<sup>408</sup>\_NKHR2019000035 2019-06-03 and many other testimonies.

<sup>409</sup> NKHR2019000057 2019-07-29.

Cases of Deteriorating Rations for Enterprises after Sanctions against North Korea

Testimonies	Testifier ID
The father of the testifier was working at Musan mine. In 2012, 50kg of white rice, meat, oil, sugar, and diverse daily necessities including soap were rationed per month; in 2014, only 30kg of rice and corn combined was rationed per month; and in 2015, 18~20kg of half rice and half corn was rationed per month. As such, the quantity and quality has decreased.	NKHR2019000010 2019-04-08
The testifier's spouse worked for the Hyesan branch of Amnokgang Tire, and due to sanctions, the enterprise could not trade lumber and earned money through smuggling to provide food rations to workers. Until 2019, right until before defecting from North Korea, the testifier received 55kg of brown rice every month.	NKHR2019000035 2019-06-03
The testifier was working for the Overseas Construction Enterprise and received 10kg of rice every month, but the rations quality aggravated and he/she received corn or potatoes from 2015 with some rotten ones mixed in.	NKHR2019000050 2019-07-20
The testifier was working for Musan mine and rations were not properly received as iron ore could not be exported to China from 2017 due to sanctions.	NKHR2019000057 2019-07-29
Due to the souring relationship with China, customs closed and oil (fuel) was not imported. As a result, Musan mine was not able to operate and there were no rations for workers from winter 2017 due to sanctions.	NKHR2019000065 2019-08-17

The rations situation was found to be relatively good in the case of workers at enterprises or factories in some special areas. A North Korean defector OOO who worked for a pine nut seed-gathering enterprise in Hyesan until 2018 said that while rations were only received once a year in October, the rations were worth around 1,500 yuan, which was enough for daily living without having to have a separate business.410 Another testifier

410\_NKHR2019000043 2019-07-01.

also said that his/her spouse was working for a state enterprise and 10kg of rice, 2kg of soybean oil, 1 pack of condiments, and 1 bar each of face soap and laundry soap were given every month until September 2018. In addition, the testifier received 250kg of potatoes in the fall.411

Although it was identified that rations to military supplies plants were sufficient until a few years ago, 412 it was recently identified that rations for workers at some military supplies plants had become aggravated. A testifier who worked for a military supplies plant said that while it was a rule to receive 14kg of corn every month, in recent years, wet corn was provided that only amounted to 5~6kg when dried.413

Table III-4	Cases of Satisfactor	Rations for Entern	orises (Factories)

Testimonies	Testifier ID
Testimonies	Testiller ID
The testifier worked for Mt. Kumkang International Tourism Bureau until 2014 and received 8kg of pork, 1 box of frozen seafood, oil, condiments, and salt.	NKHR2019000014 2019-05-07
The testifier worked for a pine nut seed gathering enterprise in Hyesan from 2016 to 2018, and while rations were provided only once a year in October, they were worth around 1,500 yuan and the testifier could maintain his/her sustenance without having to do a side business.	NKHR2019000043 2019-07-01

<sup>411</sup>\_NKHR2019000002 2019-04-08.

<sup>412</sup>\_NKHR2018000083 2018-08-11.

<sup>413</sup>\_NKHR2019000070 2019-08-26.

Testimonies	Testifier ID
The testifier's spouse worked for a state enterprise and received 10kg of rice, 2kg of soy oil, 1 packet of condiments, and facial and laundry soap every month until September 2018. The testifier also received pollack and pork on every national holiday as well as fruit in the fruit seasons and 1 box of 12 cans of beer on major holidays and 250kg of potato in the fall.	NKHR2019000002 2019-04-08
The testifier worked for an export company in Hyesan and received 15kg of corn and oil every month.	NKHR2019000058 2019-08-26
As the testifier worked as a general manager of a dried grass craft enterprise, the testifier received 50kg of rice every month.	NKHR2019000079 2019-09-25

In the case of most workers who worked for general enterprises (factories), there were few rations or only potato rations in the fall or special rations on holidays. A North Korean defector ○○○ whose spouse worked for a telecommunications bureau said that there was 350kg of potato rations once a year in the fall and most enterprises in Yanggang Province received potato rations as such. In addition, they received oil and 1kg of meat on January 1, the Lunar New Year holiday, 2·16, and 4·15.⁴¹⁴ A North Korean defector ○○○ whose father, who was an honored veteran, worked for a post office said that there were rations only for national holidays (Lunar New Year, 2·16, 4·15, and 4·25), and these rations comprised 1 bottle of liquor, 1 bottle of oil, 500g of pork, and 1kg of bean sprouts in 2018.⁴¹⁵ A testifier said that his/her spouse worked for a province-level enterprise in Hoeryeong

<sup>414</sup>\_NKHR2019000079 2019-09-25.

<sup>415</sup> NKHR2019000070 2019-08-26.

but had not received any rations up until 2018 when he/she defected. A North Korean defector \(\circ\) who worked for a forestation management office, a testifier whose father worked for a road facility office, a North Korean defector \(\circ\) who worked for an engineering research center, a North Korean defector \(\circ\) who worked for a power plant, a testifier whose father worked for a paper mill, and a North Korean defector \(\circ\) who worked for a medicinal herb factory all had not received rations.

However, even if enterprises offer relatively good rations, workers are bound to cultivate personal land or trade at the marketplace (*jangmadang*) because the rations are insufficient and irregular in many cases. A testifier whose parents were workers on sideline field (fields that are approved by the State for residents to use for self-farming in order to solve shortage of food) of a military unit said that while 30kg of rice and 70kg of corn were provided as rations, the family had to produce corn, beans, and unglutinous rice by cultivating a small plot of around 2,500 *pyeong* due to the food shortage.<sup>418</sup> In particular, many North Korean residents make up for the lack of food by purchasing from the market. Due to the poor wages given by enterprises, it appears North Korean residents also engage in

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<sup>416</sup>\_NKHR2019000017 2019-05-07.

<sup>417</sup>\_NKHR2019000027 2019-06-03; NKHR2019000042 2019-07-01; NKHR2019000047 2019-07-01; NKHR2019000062 2019-07-29; NKHR2019000059 2019-07-29; NKHR2019000077 2019-09-25.

<sup>418</sup>\_NKHR2019000118 2019-11-30.

additional economic activities in the market, among other places, to earn money needed for purchasing food. 419

### (3) Discriminatory Rations in the Military

North Korea has utilized the military to advocate Military-first (Seongun) politics. One of the ways to do this is by granting priority to the military in receiving rations. As a result, the food situation of those in the military is better than that of the population at large. However, the fact that the military has priority in receiving rations does not necessarily mean that all soldiers receive generous rations. This is because the difference in amount exists by region and rank.

It seems that the rations status of those working for the Border Security Command, Coast Guard, Protective Command, and submarine force and air force pilots is better than that of soldiers in other military units, and military officers seem to receive relatively good rations, unlike general soldiers. A North Korean defector OOO whose brother-in-law was a military officer with the Border Security Command testified that there were normal rations for his family of four, including 16kg of white rice (8kg every 15 days) for the military officer himself and 50~60kg of mixed grains for each of the family members.<sup>420</sup> A testifier whose

<sup>419</sup>\_NKHR2019000004 2019-04-08 and many other testimonies.

<sup>420</sup> NKHR2019000003 2019-04-08.

spouse was said to be a Coast Guard military officer also said that 20kg of food for the officer himself/herself and 34kg for the other family members were provided, which was enough for living. 421

Table III-5 Cases of Sufficient Rations at Military Units

Testimonies	Testifier ID
(Defected in 2017) OO military unit was where the rations were the best, even among military units, with no problems with the food situation. Submarine units and air force pilots received preferential treatment, and the 975 Kim Jong Un protective guard also received good treatment.	NKHR2017000069 2017-08-28
(Defected in 2017) As the testifier's spouse's position was as a Coast Guard military officer, the rations were provided regularly. They included 20kg for his/her spouse, 34kg for the testifier and son, and 3,000 North Korean won in payment.	NKHR2017000087 2017-09-25
In 2017, the testifier's spouse was an army surgeon and 15kg of rice and 17kg of corn including food for the family were provided every month.	NKHR2018000055 2018-07-02
The testifier's brother-in-law was a military officer in the Border Security Command and rations were normal and provided for all four family members. They included 16kg of white rice for the officer himself and 50~60kg of mixed grains (corn and flour) for each of the other family members.	NKHR2019000003 2019-04-08
The testifier's spouse was a military officer, and since the food supply from the military was decent, it was possible to live on it without a separate business. The rations were a combination of mixed grains and white rice.	NKHR2019000041 2019-07-01

However, in most military units, food is not sufficiently supplied, and furthermore, the rations status is worsening. It has been identified that some soldiers engage in deviant behavior to secure food on their own. A testifier said that there were cases until 2014 where soldiers went to private houses and asked

<sup>421</sup>\_NKHR2017000087 2017-09-25.

residents to give food including potatoes or stole livestock, <sup>422</sup> and a North Korean defector  $\bigcirc\bigcirc\bigcirc$  who was a soldier testified that while soldiers were given 600g of rations per person, they sometimes went to private houses and stole food as they were hungry, and low-level soldiers, in particular, who were given little food rations, sometimes stole food or ate grass. <sup>423</sup> According to another testimony, soldiers stole or pillaged not because there were no rations but because they needed money to buy military uniforms or hats. <sup>424</sup>

It seems that the corruption prevailing in the military plays a role in enlisted soldiers not receiving proper rations. A North Korean defector  $\bigcirc\bigcirc\bigcirc$  who worked as a military officer said that the ranks often had their rations amount reduced or ration items changed as executives siphoned off food. For example, if 100kg of rice was supplied, only 50kg of corn was rationed to the ranks. A North Korean defector testified that although soldiers are supposed to receive 750g of rations, he/she only received 300g. It turned out that his/her battalion head had siphoned off the rations to sell for himself. Another testifier also said that it was true that soldiers worked at the farm a lot but military officers

<sup>422</sup> NKHR2019000057 2019-07-29.

<sup>423</sup> NKHR2019000065 2019-08-17.

<sup>424</sup>\_NKHR2019000063 2019-07-29.

<sup>425</sup>\_NKHR2019000049 2019-07-20.

<sup>426</sup> NKHR2018000095 2018-08-27.

took much of the harvest in their pockets. Consequently, what was given to the soldiers was only a small amount.427

#### D. Evaluation

The broad assessment is that North Korea's food situation has slightly improved since the beginning of the Kim Jong Un regime. When viewing the survey results by international organizations, it does in fact appear that North Korean residents' nutritional state has improved compared to the past. However, North Korea still suffers from chronic food shortage. North Korean authorities have been taking reformative measures, although in a limited manner, including the paddy unit responsibility system, but it has not resulted in a production increase. The right to food is still under threat because the paddy units are not fairly allocated and farming goods including fertilizer and agricultural machines are not sufficiently supplied, there is widespread embezzlement by executives in the distribution process, and work motivation is lowered due to excessively high production target-setting and diverse forms of official skimming to the government.

Rations are provided in a differentiated and discriminatory manner based on occupation, class, and region and most people other than those working for special groups including workers at

political agencies, managers, and military officers find it impossible to live on rations alone. Professionals including doctors and teachers as well as clerks are no exception. The rations status of enterprises related to the earning of foreign money, exports, and trade had been relatively decent, but it is also aggravating due to sanctions on North Korea.

This reality is pushing most North Korean residents to carry out personal economic activities, such as trading in markets and cultivating small plots of land to supplement the scarce food. However, as the re-forestation policy of the Kim Jong Un regime has been reinfoced recently, the securing of food by people who farm by razing forest land is becoming more difficult.

As such, diverse factors affect the right to food of the North Korean people and a comprehensive approach is required to resolve the issue when the right is under continuous threat. Improvement of the right to food of the North Korean people through humanitarian support is required, but to secure the transparency of distribution, which is becoming a point of contention, North Korea should actively cooperate with the international community to ensure that the supported goods are distributed properly based on the internationally-recognized delivery standards. Moreover, it is also necessary to improve the agricultural productivity of North Korea through pursuing development cooperation with North Korea in the mid-/long-term. To improve the right to food of the North Korean people, cooperation in the areas of forestry,

environment, and disaster prevention/response should be pursued simultaneously together with agricultural development cooperation. The prolonged sanctions on North Korea may have a negative impact on agricultural productivity both directly and indirectly. For example, if the import of fuel, fertilizer, machinery, and parts required for agricultural production is restricted due to sanctions, the agricultural productivity is bound to be affected. Therefore, the international community needs to explore ways to minimize the negative impact of sanctions on the right to food of the North Korean people along with discussion on denuclearization.

# Right to Health

The UDHR stipulates in Article 25, paragraph 1 that "everyone has the right to a standard of living adequate for the health and well-being of himself and of his family, including food, clothing, housing, medical care, and necessary social services." The ICESCR articulates the right to health more specifically as "the right of everyone to the enjoyment of the highest attainable standard of physical and mental health." In this regard, the Preamble of the WHO Charter also contains a comprehensive stipulation, stating that "enjoyment of the highest attainable standard of health is the fundamental right of everyone regardless of race, religion, political beliefs or economic and social conditions."

Table Ⅲ-6	Article 12 of the ICESCR
Paragraph 1	The States Parties to the present Covenant recognize the right of everyone to the enjoyment of the highest attainable standard of physical and mental health.
Paragraph 2	The steps to be taken by the States Parties to the present Covenant to achieve the full realization of this right shall include those necessary for:  (a) The provision for the reduction of the stillbirth-rate and of infant mortality and for the healthy development of the child;  (b) The improvement of all aspects of environmental and industrial hygiene;  (c) The prevention, treatment and control of epidemic, endemic, occupational and other diseases;  (d) The creation of conditions which would assure to all medical service and medical attention in the event of sickness.

North Korean authorities have long put emphasis on protecting the right to health of North Koreans. For example, as stipulated in its Constitution and the Public Health Law, North Korea maintains a free medical care system and emphasizes preventive medicine. In addition, North Korea has enacted and implemented health-related laws for the promotion of public health that include the Law on Public Sanitation, the Law on Border Sanitation Inspection, the Law on Food Hygiene, the Law on Medical Care, the Medicine Control Law, the Public Health Law, the Law on Prevention of Epidemics, the Law on the Protection of Persons with Disabilities, the Red Cross Act, and the Law on Culture and Sport.

In these ways, it appears that, from an institutional perspective, North Korean authorities actively protect the residents' right to health. However, defector testimonies show that the right to health for North Korean residents are not correctly guaranteed. The following reviews the reality of right to health in North Korea centering on the testimonies of defectors such as imbalance in medical service access, medical practice by private doctors (Sain) and insufficient preventive medicine.

#### A. Imbalance in Access to Medical Services

The State Parties to the ICESCR, including North Korea, are obliged to ensure that residents have accessibility to medical care services. Here, 'accessibility' means that there should be no discrimination in the access to the medical facilities and services, and that medical facilities and services must be affordable and physically accessible to everyone including vulnerable social groups. Since neighborhood-level hospitals are provided in North Korea, 428 physical accessibility seems to be guaranteed to some extent. The following will examine whether North Koreans are ensured with nondiscriminatory and affordable access to medical services.

#### (1) Growing Polarization in Accessibility by Class

North Korea's health care system consists of primary medical facilities in the village/town (dong/li) (clinics), secondary medical facilities (people's hospitals in the city and county areas), and tertiary medical facilities (provincial hospitals, and the central hospital in Pyongyang). However, their level of deterioration caused by North Korea's struggling economy varies by the level of the system. First of all, given that there were many testimonies on clinics, which are the primary healthcare facilities established in villages/towns (dong/li),429 it seems that physical accessibility is secured. However, it was found that, in reality, it is rare for North Korean residents to visit clinics as the equipment is not properly functioning and the quality of medical service is very low.430 A North Korean defector in his/her 30s who used to work as a feldsher (medical doctor's assistant) in a general clinic in Bocheon County testified that there were five doctors and a feldsher but there was no medical equipment for diagnosis and admissions were also impossible. 431 A North Korean defector in his/her 50s who defected in 2017 testified that there was a clinic but it could barely treat patients.<sup>432</sup> Furthermore, although there was a testimony that secondary people's hospitals in the city and county areas and tertiary provincial hospitals are established in

<sup>429</sup> NKHR2019000010 2019-04-08; NKHR2019000017 2019-05-07; NKHR2019000023 2019-05-08; NKHR2019000043 2019-07-01; NKHR2019000055 2019-07-29 and many other testimonies.

<sup>430</sup> NKHR2019000020 2019-05-07; NKHR219000027 2019-06-03; NKHR2019000006 2019-04-08; NKHR2019000017 2019-05-07; NKHR2019000041 2019-07-01; NKHR2019000044 2019-07-01; NKHR2019000066 2019-08-26.

<sup>431</sup>\_NKHR2018000072 2018-07-30.

<sup>432</sup>\_NKHR2018000017 2018-04-09.

every region,<sup>433</sup> it was said that people rarely visit them as the quality of medical service at those hospitals is not adequate and their facilities are outdated.<sup>434</sup>

One of the most serious issues in the medical system of North Korea is that there exists discrimination in terms of medical facilities and service accessibility. A North Korean defector in his/her early 50s who defected in 2017 testified that there are rooms for cadres and special rooms in each medical department in which doctors provided extra care since there is "more to get" from these rooms. 435 In addition, another North Korean defector in his/her early 30s who defected in 2018 testified that there are medical facilities dedicated to cadres of senior officials and doctors and nurses working there are selected based on competency, looks and physical build. 436 There was also a testimony that there are special wards for cadres only in city or province hospitals.<sup>437</sup> In addition, there were many testimonies that a gap exists in the physical accessibility to medical facilities based on social class, including a testimony that there are medical treatment departments that can be used only by cadres of the

<sup>433</sup>\_NKHR2019000044 2019-07-01; NKHR2019000066 2019-08-26; NKHR20190000335 2019-06-03.

<sup>434</sup>\_NKHR2019000051 2019-07-20; NKHR2019000054 2019-07-29.

<sup>435</sup>\_NKHR2018000036 2018-05-08.

<sup>436</sup>\_NKHR2018000107 2018-10-01.

<sup>437</sup>\_NKHR2018000120 2018-10-22.

KWP and their families;<sup>438</sup> a testimony that particularly effective medications are provided to cadres of the KWP at hospitals, even when there are no separate medical treatment departments for them;<sup>439</sup> a testimony that medical service is offered with priority to cadres of the KWP;<sup>440</sup> and a testimony that there are doctors exclusively for cadres of the KWP.<sup>441</sup>

Moreover, there is a bipolarization of the physical/economic accessibility to medical services between Pyongyang and large cities and the more rural provinces. The North Korean authority is focusing on the modernization of medical services only in large cities where special classes live, including through establishing a children's hospital and an oral medicine hospital. As such, in that the North Korean authority is pursuing a policy that intensifies such bipolarization, it can be said that the authority is not properly fulfilling its obligation to realize the right to health of the North Korean people.

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<sup>438</sup> NKHR2019000054 2019-07-29; NKHR2019000083 2019-09-25.

<sup>439</sup> NKHR2019000055 2019-07-29; NKHR2019000076 2019-08-26.

<sup>440</sup>\_NKHR2019000029 2019-06-03.

<sup>441</sup>\_Above testimony.

<sup>442</sup>\_ Yonhap News Agency, 24 September 2013; Yonhap News Agency, 6 October 2012.

7.5545	100011101112
Chongjin Medical University Hospital has rooms and medical offices that are specially assigned for senior officials ( <i>ganbu</i> ). Foreign aid supplies are only in rooms exclusive to the senior officials.	NKHR2017000028 2017-06-05
Namsan Hospital in Pyongyang is exclusive for senior officials. The testifier's friend was rejected from the hospital despite the emergency condition due to his/her low social class.	NKHR2017000031 2017-06-05
Bonghwa Hospital in Pyongyang is only accessible by senior officials, and is equipped with high quality technology such as German apparatus. Within the hospital, each individual is treated differently according to their title.	NKHR2017000033 2017-06-05
There is a separate medical facility for the cadre of Worker's party or high-ranking officials; Namsan Hospital, Bonghwa	NKHB2017000069

Imbalance of Access to Medical Services by Class

A defector in his/her late 40s who defected in 2018 testified that there were a city hospital, hospital 2, and university hospital among which university hospital is for cadres of officials.

The medications in hospitals are mostly from the UN and offered only to the cadres with important positions. The testifier heard that there were separate rooms where executives are hospitalized in Hyesan Medical University Hospital.

Hospital, Pyongyang Red Cross Hospital, Gimmanyu Hospital,

No.1 Hospital, No. 2 Hospital. Children of the high-ranking

officials can also access those facilities.

Testimonies

NKHR2018000089 2018-08-27

NKHR2017000068

2017-08-28

NKHR2019000041 2019-07-01

# (2) Disparity in Medical Service Quality by Wealth

The North Korean Constitution stipulates a free treatment system as "citizens shall have the right to free treatment" (Article 72). The Law on Medical Care also stipulates that "in the DPRK, health care shall be based on a complete and overall free treatment system" (Article 3). The same is true for the Article 9 of the Public Health Law which stipulates, "the State gives all citizens the benefit of free treatment. Citizens including laborers, farmers, and intellectuals have the right to be treated without payment."

Article 10 of the Public Health Law stipulates details of free treatment as seen in the table below

#### Article 10 of the Public Health Law

Free service shall be according to the following:

- 1. Medicine dispensed by medical facilities, including that dispensed to outpatients, shall be given free of charge;
- 2. All services for the treatment of patients, including diagnosis, testing, treatment, surgery, house calls, hospitalization, and meals, shall be given free of charge;
- 3. Convalescent medical services for workers are free of charge, and round-trip travel costs shall be borne by the State or the social cooperative;
- 4. Assistance for mothers in labor shall be given free of charge;
- 5. Preventive medical care, such as medical checkups, health consultations, and vaccinations, shall be given free of charge.

To date, North Korea has officially maintained a free medical care system. However, the free medical care system exists only on a perfunctory level, and it seems that individuals are responsible for the cost of medical services.443 In other words, the cost incurred in the course of medical treatment such as doctor consultation, hospitalization, surgery, and medicine is entirely borne by the individual rather than the country. While basic medicines are sometimes provided by hospitals for free, patients are mostly charged for special medicines needed for surgery. Even though hospitalization itself is free of charge, patients are charged for most of the items and the expenditures ensued. Once hospitalized, patients must bring their own food and bedding and

<sup>443</sup>\_NKHR2017000013 2017-04-10 and many other testimonies.

bear the cost of heating as well. A North Korean defector  $\bigcirc\bigcirc\bigcirc$  who defected in 2018 said that he/she paid 200 yuan to have her daughter hospitalized for an appendectomy in April 2018, and additionally, he/she had to buy not only the gauze and medical gloves to be used in hospital but also prepare the firewood for heating as well.<sup>444</sup>

There was also a testimony that, in reality, the price of treatment from hospitals was fixed. A North Korean defector  $\bigcirc\bigcirc\bigcirc$  who defected in 2019 said that people go to hospitals only when they need an operation and the price for each type of operation is fixed, including 50,000 North Korean won for an appendectomy. Another North Korean defector  $\bigcirc\bigcirc\bigcirc$  who defected in 2019 also testified similarly, saying that he/she had to pay a fixed amount based on the details of treatment including 20 dollars for MRI, 3 dollars for an X-ray, 2 dollars for a medical examination by interview, and 20 dollars for an abdominal ultrasonography. 446

In addition, it has become common practice to provide medical staff with some cash or a meal for their troubles during surgery. This has the dual meaning of a bribe as well as a token of gratitude. Most North Korean defectors showed the tendency to

<sup>444</sup>\_NKHR2018000115 2018-10-22.

<sup>445</sup>\_NKHR2019000019 2019-05-07.

<sup>446</sup> NKHR2019000029 2019-06-03.

perceive the goods (e.g., cigarettes) or cash they offer to receive treatment as an expression of gratitude or etiquette. 447 At the same time, however, it seems that, in reality, they are also bribes, given the testimonies that they could not receive proper treatment unless they gave goods or cash. There were many such testimonies including "would be treated differently when giving nothing to them,"448 "get better (medical) service when paying money and get only basic service otherwise,"449 and "doctors' attitudes get kinder only when you give them cigarettes."450

It seems that the main reason why the free healthcare system is not working properly is due to the reality where doctors are not getting proper wages or rations. A North Korean defector in his/her early 30s who defected in 2018 testified that he/she worked as a feldsher and saw that doctors had to find a way to make their ends meet and that doctors were unable to continue working if their spouse did not have the economic capability to financially support them. 451 It was said that since there were no rations or wages, it had become customary for patients to give them compensation.<sup>452</sup> There were even testimonies that doctors

<sup>447</sup> NKHR2019000076 2019-08-26; NKHR2019000063 2019-07-29; NKHR2019000035 2019-06-03; NKHR2019000047 2019-07-01; NKHR2019000054 2019-07-29 and many other testimonies.

<sup>448</sup> NKHR2019000035 2019-06-03.

<sup>449</sup> NKHR2019000054 2019-07-29.

<sup>450</sup>\_NKHR2019000055 2019-07-29.

<sup>451</sup>\_NKHR2018000072 2018-07-30.

<sup>452</sup> NKHR2019000074 2019-08-26

decided the level of treatment based on the appearance or economic status of the patients.<sup>453</sup>

With the government unable to financially support hospitals, there are some cases where the hospital shifts the cost related to hospital operation to the patients. A North Korean defector was hospitalized for 15 days due to 3rd-degree burns in Hyesan, Yanggang Province. The testifier said that the hospital asked for 200kg of cement (value of 100 yuan) for the operation of the hospital.454

#### Cases of Patients Charged for Medical Services

Testifier ID
NKHR2019000050 2019-07-20
NKHR2019000006 2019-04-08
NKHR2017000026 2017-05-08
NKHR2018000029 2018-05-08
NKHR2018000124 2018-10-27

<sup>453</sup>\_NKHR2019000003 2019-04-08; NKHR2019000050 2019-07-20.

<sup>454</sup>\_NKHR2015000057 2015-03-24.

In the absence of health care systems such as medical insurance, requiring patients to shoulder the cost of medical services inevitably leads to a situation where vulnerable groups' access to health care becomes restricted. In fact, defector testimonies confirm that, in some cases where patients did not have money, their illness worsened or they died as they did not receive medical service. A North Korean woman in her early 40s who defected in 2018 testified that there is discrimination in medical treatment; those with money are treated first while those without money are out of doctors' concerns. 455 A North Korean defector in his/her late 40s who defected in 2018 testified that a woman in her 40s who belonged to the same People's Unit (inminban) as him/her suffered from uterine cancer but died because she could not afford the medical cost of 300 vuan. 456 A defector in his/her early 30s who defected in 2018 testified that one of his/her neighbors was a breast cancer patient, but she just suffered and died as she could not visit the hospital due to her difficult economic status.457

<sup>455</sup>\_NKHR2018000105 2018-10-01.

<sup>456</sup>\_NKHR2018000124 2018-10-27.

<sup>457</sup>\_NKHR2019000006 2019-04-08.

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Testimonies	Testifier ID
A North Korean defector in his/her 20s who defected in 2016 testified that his/her mother was so sick as to cough up blood but rarely got medical treatment in hospital since medical cost was too burdensome. Consequently she died in 2014.	NKHR2018000100 2018-10-01
In the spring of 2016, the testifier saw a homeless person at the hospital with cirrhosis. However, the patient was sent away from the hospital due to lack of money.	NKHR2017000007 2017-04-10
In 2016, the testifier's aunt, who had mastitis, died due to a lack of money for treatment. It was common to find cases where patients did not get treatment properly.	NKHR2019000034 2019-06-03
The testifier's mother died from cancer. Due to economic reasons, she could not get surgery and died while receiving treatment from someone without a doctor's license.	NKHR2016000073 2016-05-17
In the testifier's neighborhood, a patient was diagnosed with tuberculosis but did not receive proper treatment because it was not financially affordable.	NKHR2017000003 2017-04-10

Table III-10 Cases of Patients Left Untreated Due to a Lack of Money

# B. Medical Practice by Private Doctors (Sa in).

State Parties to ICESCR, including North Korea, are obliged to sufficiently offer quality medical facility, supplies and services. More specifically, safe drinking water, sanitation facilities, medical facilities such as hospitals and clinics, specialist-trained medical staffs, basic medicines must be sufficiently available. In addition, medical staff skills, medicine and medical equipments must be of high standard both scientifically and medically. In case it is difficult to satisfy the availability and quality with

<sup>458</sup>\_UN CESCR, General Comment, No. 14 (1999), para. 12 (a).

<sup>459</sup>\_*lbid.*, para. 12 (d).

domestic resources, the State Parties has a duty to make efforts to realize the right to health by seeking help from the international community.460 However, it seems that North Koreans do not receive sufficient medical services from medical facilities both in terms of the quality and quantity.

First of all, the quality of the medical service provided by the medical staff at medical institutions is very low in quality. According to defector testimonies, staff at the medical facilities do not acquire sufficient skills, and most of the medical equipments are either outdated or not well equipped. To site an example, a defector in his/her 50s who had defected from North Korea in 2016 testified about the Wonsan Medical University Hospital. He/She said that even though there are surgical instruments and ultrasonic machines, the facility has no heating and possesses only conventional surgical tools.461 A North Korean defector in his/her mid 20s who defected in 2017 said that he/she was diagnosed with chronic appendicitis and received a surgery in 2014 at the 25 Construction Hospital. Doctors in the 25 Construction Hospital, Gwangsan Hospital, and clinic simply pushed his/her abdomen to diagnose it as chronic appendicitis.<sup>462</sup> For this reason, North Koreans do not trust medical facilities and

<sup>460</sup>\_ *lbid.*, para. 38.

<sup>461</sup>\_NKHR2017000013 2017-04-10.

<sup>462</sup>\_NKHR2018000029 2018-05-08.

staff. Furthermore, there is a growing perception among North Koreans that they will not be properly treated even if they do go to the hospital.<sup>463</sup>

In fact, many cases have been identified where the patients face difficulties due to misdiagnosis or incorrect treatment from the hospital. One defector testified that the person was diagnosed and treated for cholelithiasis by a private physician in May 2016. However, the patient passed out from pain in the following July, and was taken to the Shinpo Municipal Hospital by ambulance. After an X-ray, the doctor misdiagnosed as perforation of the stomach. Only after performing laparotomy, the doctor confirmed it was actually a cholelithiasis and performed gallstone surgery. Even after the surgery, the patient still felt pain, and it was confirmed that a surgical tool was left inside the patient's stomach and the patient could recover only after removing it.464 Another North Korean defector testified that one of his/her neighbors died from a liver illness in November 2017. The neighbor died within one month after using the wrong medication as he/she was initally misdiagnosed as having tuberculosis. 465 A North Korean defector in his/her early 30s who defected in 2018 said that his/her housemate was diagnosed with stomach cancer at the Yanggang

<sup>463</sup>\_NKHR2017000039 2017-06-05; NKHR2017000094 2017-10-23; NKHR2017000102 2017-10-23.

<sup>464</sup>\_NKHR2017000070 2017-08-28.

<sup>465</sup>\_NKHR2019000045 2019-07-01.

Province Hospital. Later, when diagnosed by a private doctor with foreign medical equipment, it was found to be a stomach ulcer. 466

Given the situation. North Koreans prefer to take care of their illness with their own methods rather than visiting the hospital. These methods include visiting private doctors to be diagnosed and purchasing medicine from unofficial pharmacies referred by those private doctors or conducting self-diagnosis and purchasing medicine from the market. Private doctors offer medical services after their retirement. Despite being illegal, it is said that North Koreans prefer private doctors because they believe they possess better skills. 467 A North Korean defector in his/her late 40s who defected in 2018 testified that his/her mother who had worked as a doctor for 40 years changed her profession to a pharmacist. Medicine sales were so good that all the family members relied on her financially. 468 A North Korean defector in his/her mid 20s who defected in 2019 testified that his/her father was running a personal clinic and sometimes cured illnesses that could not be cured even at hospitals as he was very skilled. People in the village would come to his/her father instead of visiting hospitals.<sup>469</sup>

However, risk factors exist for self-diagnosis or diagnosis by

<sup>466</sup>\_NKHR2018000110 2018-10-06.

<sup>467</sup>\_NKHR2019000093 2019-10-21; NKHR2019000083 2019-09-25; NKHR2019000066 2019-08-26.

<sup>468</sup>\_NKHR2018000099 2018-10-01.

<sup>469</sup>\_NKHR2019000051 2019-07-20.

private doctors. One defector testified that the mother of a friend battled tuberculosis with medicine purchased from the market from 2010 until her death in November 2016. Similarly, many patients make their own diagnosis and treat the illness with medicine purchased from the market, even though their medical conditions require isolation. A North Korean defector in his/her mid 40s who defected in 2018 said that he/she personally witnessed his/her younger sister undergo an abortion at a private doctor's house. The operation was carried out without any anesthetic, causing much pain for her sister. He/She was allegedly shocked after knowing that the same surgery instruments were reused on other patients after being boiled.

Moreover, because those with no professional pharmaceutical knowledge sell medicine, it can lead to fatal results for ordinary people. For example, a North Korean defector in his/her late 40s who defected in 2018 testified that there were people in town conducting medical treatment without a medical certificate and in case of light symptoms, people visit them for injection and medicine. In addition, a North Korean defector in his/her early 30s who defected in 2018 said that he/she ran a private hospital but the only official medical education he/she received was six

<sup>470</sup>\_NKHR2017000112 2017-11-20.

<sup>471</sup>\_NKHR2018000074 2018-07-30.

<sup>472</sup>\_NKHR2018000099 2018-10-01.

months at a nursing academy. He/She treated patients by studying alone and asking a manager working for a provincial hospital she knew.<sup>473</sup>

A more concerning factor is that the residents show the tendency of using narcotics such as drugs (bingdu) and opium for treatments based on incorrect medical knowledge. According to a North Korean defector who escaped from Hyesan, Yanggang Province, in August 2016, 70 to 80% of the people use drugs (bingdu) as antibiotics for their disease, and older people carry small amounts of drugs (bingdu) to be used when they become sick.<sup>474</sup> Another testimony indicated that the testifier's parents used drugs (bingdu) as medicine or analgesic when they were treating an illness because they could not afford medical treatment.475 A North Korean defector in his/her late 50s who defected in 2018 said that his/her spouse was suffering from bronchiectasis and used opium like a medicine. 476 The use of narcotics for treating illness based on wrong medical knowledge appears to produce considerable side effects, but North Korean authorities do not seem to take enough action.

<sup>473</sup>\_NKHR2018000101 2018-10-01.

<sup>474</sup>\_NKHR2017000001 2017-04-10.

<sup>475</sup>\_NKHR2017000029 2017-06-05; NKHR2017000056 2017-07-31.

<sup>476</sup>\_NKHR2018000127 2018-11-19.

#### C. Insufficient Preventive Medicine

Article 12, paragraph 2 of the ICESCR stipulates the "prevention, treatment, and control of diseases." North Korea emphasizes preventive medicine. Article 3 of the Public Health Law stipulates that "the basics of Socialist medicine is preventive medicine." North Korea's preventive system is mainly about prevention of diseases and a district doctor system. Article 18 of the Public Health Law emphasizes prevention of diseases as "the State shall take establishment of measures in advance so that the people do not develop diseases as an important duty of the State and take such advance measures for prevention." Moreover, Article 28 of the Public Health Law stipulates regarding the district doctor system "the State shall develop the district doctor system, which is an advanced medical service system under which doctors take charge of a certain district of people and are always in the field to take care of the health conditions of the people in the district for which they are responsible and carry out preventive medicine." Such preventive medicine system is also stipulated in Article 4 and 5 of the Law on Medical Care. Moreover, regarding vaccination, the Law on Prevention of Epidemics specifically stipulates that "the State shall rightly establish an epidemic vaccination system and carry out vaccination in a planned manner" (Article 5). The following will review if such institutional device is properly operated in reality.

Among others, based on the testimonies on the adequacy of vaccination activity in North Korea, the vaccination for children appears to be conducted relatively well.<sup>477</sup> The WHO also reports that the percentage of vaccination conducted on children in North Korea is very high, ranging 96 to 98%.<sup>478</sup>

When an epidemic breaks out, North Korean authorities appear to take measures to prevent the spread of the infectious disease by providing vaccinations by region, encouraging health check-ups, and taking isolation measures. A North Korean defector in his/her late 50s who defected in 2018 said that when there was a typhoid epidemic in Hyesan in April 2018, the authorities vaccinated the residents in the area.<sup>479</sup> Another defector testified that residents were encouraged at the city level in Hoeryeong to receive health checkups when there was a flood in 2016, due to concerns about water pollution. 480 One defector who escaped in 2015 testified that Pyongyang offers vaccinations when epidemics such as SARS or cholera break out.<sup>481</sup> There was a testimony that people in charge of the clinics would visit every house early each morning to give vaccination shots when typhoid was prevalent in

<sup>477</sup> NKHR2018000059 2018-07-02; NKHR2019000014 2019-05-07; NKHR2019000020 2019-05-07; NKHR2019000030 2019-06-03 and many other testimonies.

<sup>478</sup>\_ "WHO Vaccine-preventable Diseases: Monitoring System. 2016 Global Summary," http://apps.who.int/immunization monioring/globalsummary), 2016 (Accessed 25 January 2019).

<sup>479</sup>\_NKHR2018000120 2018-10-22.

<sup>480</sup>\_NKHR2017000024 2017-05-08.

<sup>481</sup> NKHR2017000033 2017-06-15.

However, North Korea still seems to be significantly vulnerable to contagious diseases, including tuberculosis and malaria. In particular, tuberculosis appears to be serious. According to the "World Tuberculosis Report 2018" published by the WHO, the number of tuberculosis patients in 2017 was 131,000 or 513 per 100,000 persons. It is reported 61 out of 100,000 have died of tuberculosis. 483 WHO listed North Korea as one of the states with the most severe tuberculosis status. 484

There were testimonies that the district doctor system conducted mainly for preventative medicine fulfills its function at a certain level.<sup>485</sup> It was confirmed that there are regions where district doctors work to prevent diseases through giving prescriptions, giving vaccinations against epidemics, 486 and holding sessions to explain epidemics to people and check for sick people in the People's Unit (inminban).487 However, in most testimonies, it was said that they do not seem to faithfully conduct their duty to prevent diseases. 488 Some said they have only heard

<sup>482</sup> NKHR2019000059 2019-07-29.

<sup>483</sup> Refer to WHO. "Global Tuberculosis Report 2018." (www.who.int/en).

<sup>484</sup> Ibid.

<sup>485</sup>\_NKHR2019000018 2019-05-07; NKHR2019000010 2019-04-08; NKHR2019000062 2019-07-29.

<sup>486</sup>\_NKHR2018000016 2018-04-09.

<sup>487</sup> NKHR2018000080 2018-07-30; NKHR2019000032 2019-06-03.

<sup>488</sup> NKHR2015000018 2015-01-27; NKHR2015000019 2015-01-27; NKHR2018000084 2018-08-11; NKHR2018000099 2018-10-01; NKHR2019000011 2019-04-20;

of the district doctors and have not met them,<sup>489</sup> while others said the district doctor system was in operation as a matter of form but was not of much use unless one had lots of money.<sup>490</sup> There were several testimonies that they had never even heard of the district doctor system and had never met district doctors.<sup>491</sup>

#### D. Evaluation

Although North Korea stipulates a free medical care system, most of the cost incurred in the process of receiving medical service is shouldered by the patient. Therefore, residents with financial hardships cannot visit the hospital when they are hurt or become sick, and in some cases end up dying without proper treatment. In addition, as access to health care service becomes more polarized, the use of medical facilities is relatively easier for senior officials whereas it is becoming increasingly difficult for the general public. Furthermore, due to the poor quality of health care services, residents no longer trust medical institutions and choose to visit private doctors instead. However, such medical

NKHR2019000019 2019-05-07.

<sup>489</sup>\_NKHR2017000058 2017-07-31; NKHR2017000066 2017-08-28; NKHR2018000018 2018-04-09; NKHR2018000058 2018-07-02.

<sup>490</sup>\_NKHR2017000004 2017-04-10.

<sup>491</sup>\_NKHR2019000009 2019-04-08; NKHR2019000020 2019-05-07; NKHR2019000034 2019-06-03; NKHR2019000038 2019-06-15; NKHR2019000042 2019-07-01; NKHR2019000045 2019-07-01; NKHR2019000046 2019-07-01; NKHR2019000048 2019-07-01 and many other testimonies.

practice by private doctors is vulnerable to emergencies and runs the risk of administering drugs without accurate diagnoses.

Meanwhile, North Korea emphasizes preventive medicine and appears to carry out relevant measures. There was also a testimony that the district doctor system was partially working and played the roles of sanitation training and vaccination when there were infectious diseases. However, it has been identified that the system is not working fully as there were still many testimonies that they had never met district doctors or even heard of the district doctor system.

## Right to Work

Article 23, paragraph 1 of the UDHR stipulates that "everyone has the right to work, the free choice of employment, to just and favorable conditions of work and to be protected against unemployment." Article 24 states, "Everyone has the right to rest and leisure, including reasonable limitation of working hours and periodic holidays with pay." Moreover, the ICESCR also stipulates the right to work, which is defined as the right of everyone to the opportunity to gain his or her livelihood by work which he/she freely chooses or accepts, the working conditions that everyone can enjoy and the right of everyone to form trade unions and join the trade union of his or her choice (Articles 6 to 8).

	ilidividual.
Article 7	The States Parties to the present Covenant recognize the right of everyone to the enjoyment of just and favorable conditions of work which ensure, in particular:  (a) Remuneration which provides all workers, as a minimum, with:  (i) Fair wages and equal remuneration for work of equal value without distinction of any kind, in particular women being guaranteed conditions of work not inferior to those enjoyed by men, with equal pay for equal work;  (ii) A decent living for themselves and their families in accordance with the provisions of the present Covenant;  (b) Safe and healthy working conditions;  (c) Equal opportunity for everyone to be promoted in his employment to an appropriate higher level, subject to no considerations other than those of seniority and competence;  (d) Rest, leisure and reasonable limitation of working hours and periodic holidays with pay, as well as remuneration for public holidays
Article 8 Paragraph 1	The States Parties to the present Covenant undertake to ensure:  (a) The right of everyone to form trade unions and join the trade union of his choice, subject only to the rules of the organization concerned, for the promotion and protection of his economic and social interests. No restrictions may be placed on the exercise of this right other than those prescribed by law and which are necessary in a democratic society in the interests of national security or public order or for the protection of the rights and freedoms of others;  (b) The right of trade unions to establish national federations or confederations and the right of the latter to form or join international trade—union organizations;

Articles 6, 7, and 8 of the ICESCR

safeguard this right.

individual.

Paragraph 1

Paragraph 2

Article 1

The States Parties to the present Covenant recognize the right to work, which includes the right of everyone to the

opportunity to gain his living by work which he freely chooses or accepts, and will take appropriate steps to

The steps to be taken by a State Party to the present Covenant to achieve the full realization of this right shall include technical and vocational guidance and training programmes, policies and techniques to achieve steady

economic, social, and cultural development and full and productive employment under conditions safeguarding fundamental political and economic freedoms to the

	<ul><li>(c) The right of trade unions to function freely subject to no limitations other than those prescribed by law and which are necessary in a democratic society in the interests of national security or public order or for the protection of the rights and freedoms of others;</li><li>(d) The right to strike, provided that it is exercised in conformity with the laws of the particular country.</li></ul>
Paragraph 2	This article shall not prevent the imposition of lawful restrictions on the exercise of these rights by members of the armed forces or of the police or of the administration of the state.
Paragraph 3	Nothing in this article shall authorize States Parties to the International Labour Organisation Convention of 1948 concerning Freedom of Association and Protection of the Right to Organize to take legislative measures which would prejudice, or apply the law in such a manner as would prejudice, the guarantees provided for in that Convention.

By guaranteeing the right to work, it assures the survival of individuals and their families. In general, the right to work is reviewed in terms of freedom to choose jobs, 492 decent work, 493 prohibition of forced labor, 494 prohibition of unfair dismissal, 495

<sup>492</sup>\_UN CESCR, General Comment, No. 18 (2005), para. 6. "the right of every human being to decide freely to accept or choose work. This implies not being forced in any way whatsoever to exercise or engage in employment and the right of access to a system of protection guaranteeing each worker access to employment. It also implies the right not to be unfairly deprived of employment."

<sup>493</sup>\_lbid., para. 7. "Work as specified in Article 6 of the covenant must be decent work. This is work that respects the fundamental rights of the human person as well as the rights of workers in terms of conditions of work safety and remuneration. It also provides an income allowing workers to support themselves and their families as highlighted in Article 7 of the Covenant. These fundamental rights also include respect for the physical and mental integrity of the workers in the exercise of his/her employment."

<sup>494</sup>\_ Ibid., para. 9. "The International Labour Organization defines forced labour as 'all work or service which is exacted from any person under the menace of any penalty and for which the said person has not offered himself voluntarily.' The Committee reaffirms the need for States Parties to abolish, forbid and counter all forms of forced labour as enunciated in Article 4 of the UDHRs. Article 5 of the Slavery Convention and Article 8 of the ICCPR."

<sup>495</sup>\_ Ibid., para. 11. "ILO Convention No. 158 concerning Termination of Employment

and principles of non-discrimination. 496 The right to form and engage in trade unions voluntarily and the right to strike are also important categories in the right to work. 497

North Korea clearly prescribes the individual's "right to work" in its Constitution, Labor Law, and other laws. The North Korean Constitution stipulates the right to work as "Citizens shall have the right to work. All citizens with the ability to engage in labor may select occupations according to their choice and capability and are guaranteed the right to a secure job and labor conditions. Citizens are supposed to work based on their abilities and receive compensation based on the amount and quality of their labor" (Article 70). In addition, the North Korean Labor Law prescribes basic principles, wages, working conditions, protection of workers, social security, and other rules of Socialist labor. North Korea's Labor Protection Law (enacted on 8 July 2010) stipulates various institutional measures to protect worker lives and health in a concrete manner.

However, laws and institutional guarantees are irrelevant of the

defines the lawfulness of dismissal in its Article 4 and in particular imposes the requirement to provide valid grounds for dismissal as well as the right to legal and other redress in the case of unjustified dismissal."

<sup>496</sup>\_lbid., para.12. (b) (i). Under Article 2, paragraph 2 and Article 3, the Covenant prohibits any discrimination in access to and maintenance of employment on the grounds of race, colour, sex, language, religion, political or other opinion, national or social origin, property, birth, physical or mental disability, health status, sexual orientation or civil, political, social or other status, which has the intention or effect of impairing or nullifying exercise of the right to work on a basis of equality.

<sup>497</sup>\_ICESCR, Article 8, para. 1.

realities on the ground. Based on North Korean testimonies, this chapter confirms that the right to work, including the freedom to choose one's job, provision of quality jobs, and freedom to establish and join labor unions, is not guaranteed.

### A. Violation of Freedom to Choose One's Job

The UDHR stipulates that everyone has the right to freely choose his or her job (Article 23). Furthermore, the ICESCR stipulates that everyone can freely choose his or her work (Article 6). Article 5 of the North Korean Labor Law stipulates the freedom to choose jobs as "All workers are free to choose their jobs according to their wishes and talents and are guaranteed stable jobs and working conditions by the State." In reality, however, the freedom to choose jobs in North Korea is extremely restricted.

In North Korea, workers do not choose jobs or workspaces, but rather, the government designates the labor to the workplace. The allocation of manpower is carried out by the Labor Ministry after the State Planning Committee determines the number of people required in its economic sector through manpower planning. In this respect, Article 30 of the North Korean Labor Law stipulates that in assigning workers, various factors must be considered, including age, gender, physical condition, personal wishes, and capability. However, such regulations do not seem to be

considered properly when assigning work.

According to testimonies of North Korean defectors, one's will and abilities are not important factors in job assignment, which is identified to be decided mostly based on family background (songbun),<sup>498</sup> personal connections,<sup>499</sup> and bribery (economic power).<sup>500</sup> Although there were some who responded that one's will<sup>501</sup> or abilities<sup>502</sup> are reflected in job assignment, such cases seem to be limited to cases where the person has a good family background (songbun) or personal connections or when the person is capable of paying bribes. Recently, testimonies indicate that economic power plays a more important role than one's background.<sup>503</sup> However, as job placement is organized so that parent's or grandparent's pass on their jobs to their children,<sup>504</sup> one's background still appears to play an important role. This is especially true when being assigned to special-grade enterprises

<sup>498</sup>\_NKHR201900003 2019-04-08; NKHR2019000005 2019-04-08; NKHR2019000006 2019-04-08; NKHR2019000011 2019-04-20 and many other testimonies.

<sup>499</sup>\_NKHR2019000012 2019-04-20; NKHR2019000028 2019-06-03; NKHR2019000053 2019-07-29 and many other testimonies.

<sup>500</sup>\_NKHR2019000013 2019-05-07; NKHR2019000024 2019-05-18; NKHR2019000045 2019-07-01; NKHR2019000054 2019-07-29 and many other testimonies.

<sup>501</sup>\_NKHR2019000004 2019-04-08; NKHR2019000014 2019-05-07; NKHR2019000015 2019-05-07; NKHR2019000020 2019-05-07.

<sup>502</sup> NKHR2019000026 2019-05-18; NKHR2019000032 2019-06-03.

<sup>503</sup>\_NKHR2018000058 2018-07-02; NKHR2019000013 2019-05-07; NKHR2019000024 2019-05-18; NKHR2019000045 2019-07-01; NKHR2019000054 2019-07-29; NKHR2019000078 2019-09-25.

<sup>504</sup>\_NKHR2018000016 2018-04-09; NKHR2018000067 2018-07-11; NKHR2019000008 2019-04-08; NKHR2019000011 2019-04-20; NKHR2019000045 2019-07-01.

or as an official. 505 A North Korean defector  $\bigcirc\bigcirc\bigcirc$  testified that family background was important with regard to the prosecution office, the MPS city/county branches, the People's committee, and county Parties, and economic power was important for other occupation types.<sup>506</sup> In the 2019 survey, there was a testimony that children of farm members were unconditionally assigned to farms<sup>507</sup> and a testimony that there was a rule that the children of those who were allocated in groups to military supplies plants should continue working for military supplies plants. 508

In North Korea, the most common example of the violation of the individual's right to choose his/her job is that most job appointments are based on group allocation. Group allocation refers to a situation where people are forcibly and unilaterally assigned their jobs in groups to factories, mines, or construction facilities and work places with a labor shortage issue. It is done following the direction of the State, regardless of the people's intention. School graduates and discharged soldiers have been common targets of such group allocation. They are mostly assigned to highly undesirable workplaces such as storm troops (dolgyeokdae), mines, factories and military supplies factories,

<sup>505</sup>\_NKHR2017000019 2017-05-08; NKHR2017000056 2017-07-31; NKHR2017000073 2017-08-28; NKHR2018000008 2018-03-12.

<sup>506</sup> NKHR2019000068 2019-08-26.

<sup>507</sup>\_NKHR2019000046 2019-07-01; NKHR2019000066 2019-08-26.

<sup>508</sup> NKHR2019000070 2019-08-26.

farms, and construction units (geonseoldae). 509 Those assigned to their jobs by group allocation are usually without money or power<sup>510</sup> and wealthy people can be exempt from group allocation.<sup>511</sup> Those with power, including the children of executives, are easily exempted from group allocation.<sup>512</sup> Based on the testimonies stating that bribery or good background is needed to be exempt from group allocation, 513 it seems that group allocation also occurs in a discriminatory manner.

It is also difficult to change jobs based on a worker's own will. Changing jobs is not only restricted but also complicated and takes a long time. <sup>514</sup> A North Korean defector (()() explained that one can change jobs only when one receives the confirmation document that the enterprise he/she wants to move to will accept him/her, writes and submits a resume, and is issued a dispatch document from the Labor Bureau.<sup>515</sup> As such, changing jobs is not impossible but, in reality, it seems to be difficult to do without bribes or personal connections.<sup>516</sup> There was a testimony that

<sup>509</sup>\_NKHR2018000020 2018-04-09; NKHR2018000030 2018-05-07; NKHR2019000003 2019-04-08; NKHR2019000011 2019-04-20; NKHR2019000036 2019-06-03.

<sup>510</sup> NKHR2018000041 2018-06-04; NKHR2019000043 2019-07-01.

<sup>511</sup> NKHR2018000027 2018-04-09; NKHR2019000078 2019-09-25.

<sup>512</sup> NKHR2019000083 2019-09-25.

<sup>513</sup> NKHR2018000110 2018-10-01; NKHR2019000058 2019-07-29; NKHR2019000079 2019-09-25

<sup>514</sup>\_NKHR2019000064 2019-08-17.

<sup>515</sup>\_NKHR2019000037 2019-06-15.

<sup>516</sup> NKHR2019000040 2019-07-01; NKHR2019000051 2019-07-20; NKHR2019000062

changing jobs after group allocation is particularly more difficult and it is possible to request dispatch to a different job with bribes only after a certain period of time passes.<sup>517</sup> There was also a testimony that it is even more difficult to change jobs for farm members.<sup>518</sup> Meanwhile, in the 2019 survey, there was a testimony that although complicated in process, it is possible to change jobs with clear reasons such as when the person has learned new skills or has to move, etc.<sup>519</sup>

Meanwhile, North Koreans must turn up to work at the designated workplace and are punished if absent for a certain amount of period. According to Article 90 of the Administrative Punishment Law, those, who fail to show up to the allocated workplace for more than six months or are absent for more than a month, are subject to up to three months of labor education, depending on the seriousness of the situation. The amount of time sent to labor training camps (*rodongdanryundae*) is identified to be decided based on the period of absence without prior notice. 520 One North Korean defector witnessed a woman absent from work without prior notice in 2015 for one month was sentenced to one

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<sup>2019-07-29.</sup> 

<sup>517</sup>\_NKHR2019000023 2019-05-18; NKHR2019000029 2019-06-03; NKHR2019000051 2019-07-20; NKHR2019000054 2019-07-29.

<sup>518</sup>\_NKHR2019000027 2019-06-03; NKHR2019000045 2019-07-01; NKHR2019000046 2019-07-01.

<sup>519</sup>\_NKHR2019000029 2019-06-03; NKHR2019000036 2019-06-03.

<sup>520</sup>\_NKHR2019000036 2019-06-03; NKHR2019000082 2019-09-25.

month at a labor training camp (*rodongdanryundae*).<sup>521</sup> There was a testimony that the testifier witnessed a person who was absent without prior notice being caught by the MPS city/county branch and sent to a labor training camp (*rodongdanryundae*) for three months in 2016.<sup>522</sup> By imposing disadvantages when not participating in labor activities, the State forces people to work regardless of their intention, which is, in a sense, a violation of human rights. However, as corruption is rampant in North Korea, there are cases where people were able to evade crackdowns by paying money.<sup>523</sup> It has also been reported that many workers negotiate a price in exchange for not turning up to work.<sup>524</sup>

## B. Poor Working Environment and Pay Conditions

The most important condition for "decent work" is that it guarantees "a decent living for (workers) and for their families in safe and healthy working conditions" (Article 7 of the ICESCR). North Korea has declared that "In the Democratic People's Republic of Korea, unemployment has disappeared forever" (Article 5, the Labor Law). North Korea insists that all workers

<sup>521</sup>\_NKHR2017000036 2017-06-05.

<sup>522</sup> NKHR2019000016 2019-05-07.

<sup>523</sup>\_NKHR2018000095 2018-08-27; NKHR2019000038 2019-06-15; NKHR2019000052 2019-07-20.

<sup>524</sup>\_NKHR201800036 2018-05-08; NKHR2019000026 2019-05-18; NKHR2019000039 2019-07-01; NKHR2019000047 2019-07-01; NKHR2019000057 2019-07-29.

are guaranteed stable jobs and safe working conditions by the State, but the reality is quite different.

To begin, the worker and his/her family cannot have a decent way of life. One is unable to earn the necessary amount to maintain their livelihood through the official jobs assigned by the State. Most North Korean residents are in fact working without pay at these official workplaces or farms. If appears that in most cases, even when wages are given, the amount is too small to be of any help in maintaining the family livelihood.<sup>525</sup> In some cases, rations are provided but this too is insufficient to maintain sustenance. A North Korean defector OOO who worked as a teacher (accounting clerk) explained that he/she received 2,400~2,900 North Korean won a month, which was only enough to pay for a bowl of noodles in 2016. 526 A North Korean defector OOO who worked for a postal office testified that the wage was 2,000~3,000 North Korean won per month, and a year's worth of rations was given once a year up until 2017, and rations were not offered from 2018 in Musan as mine activities were suspended due to the economic blockade. 527 Meanwhile, although there were some testimonies in the 2019 survey that testifiers received wages in foreign currency (yuan or dollar) that were barely enough to maintain their livelihood, it is identified that such cases

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<sup>525</sup>\_NKHR2019000013 2019-05-07 and many other testimonies.

<sup>526</sup>\_NKHR2019000046 2019-07-01.

<sup>527</sup>\_NKHR2019000071 2019-08-26.

are exceptional ones of those working for export enterprises or workplaces and in special economic zones.<sup>528</sup>

### Wage Payment in North Korea

Testimonies	Testifier ID
When the testifier was working as a nurse from 2015 to 2017, he/she received a wage of 1,000won around every two months. Originally the monthly wage was 2,450 North Korean won but what came into his/her pocket was around 1,000 North Korean won after deducting everything. The testifier received a monthly ration of 6kg of corn but this amount was only good for 15 days.	NKHR2018000102 2018-10-01
A testifier who worked as an accountant for a middle school in Yanggang Province in 2016 testified that he/she was paid 1,300 North Korean won in monthly wages and received 300kg of potatoes every fall as the rations for teachers.	NKHR2019000044 2019-07-01
The testifier received his/her wage every 15 days in cash, which was enough to buy 500~1,000g of rice.	NKHR2018000042 2018-06-04
The testifier could not make a living with the wage from work and worked on the vegetable garden and a small plot to make up for the shortage.	NKHR2018000065 2018-07-11
In the case of Imsan workplace, rations were 5~10kg of grains of wheat on a monthly basis and 1,500 North Korean won as pay for the cost of living.	NKHR2018000079 2018-07-30
A testifier born in 1997 who worked for the MSS testified that he/she received 1,200 North Korean won as a monthly wage, and the actual amount of money received was almost zero after deducting Party expenses. Rations were not given separately since he/she had three meals a day at her workplace.	NKHR2019000080 2019-09-25
A testifier born in 1995 who worked as a nurse testified that all staff working a hospitals did not receive rations or wages and lived on bribes given by patients in the process of treatment.	NKHR2019000082 2019-09-25
A testifier born in 1994 who worked for the Information and Communications Bureau testified that he/she received 1,000 North Korean won as a formality, which was just enough to pay for candies.	NKHR2019000083 2019-07-29

528 NKHR2019000053 2019-07-29; NKHR2019000043 2019-07-01; NKHR2019000060 2019-07-29; NKHR2019000072 2019-08-26.

It has become quite common for workers to engage in various economic activities related to the market, as official work alone was insufficient to sustain a living. A North Korean defector testified that his/her father received a wage and rations, but it was not enough to the make ends meet. So his/her mother went to the market place (jangmadang) to sell vegetables and made a living. 529 A North Korean defector OOO explained that while people receive wages in return for labor, they make their living through farming and sales, etc., since the wages are not enough to maintain living.<sup>530</sup> A North Korean defector in his/her late 20s who lived in Pyongyang and later defected testified that he/she was registered as an 8.3 laborer in the company and made his/her living by running a table tennis room after renting a part of the company space or by receiving revenue after investing money into a private factory.531 He/She went on to say that there were many people his/her age who paid money not to go to work; by paying 30 dollars, one has to attend Life Review Sessions (saenghwalchonghwa), while by paying 50 dollars, one does not have to do any of the activities. 532

Safe and healthy working conditions are very inadequate. Working conditions are extremely poor and many workers are

<sup>529</sup> NKHR2018000043 2018-06-04.

<sup>530</sup>\_NKHR2019000029 2019-06-03.

<sup>531</sup>\_NKHR2017000031 2017-06-05.

<sup>532</sup>\_Above Testimony.

exposed to danger. One defector commented that the working environment was very poor and that what he/she wears to work becomes the work clothing. Gloves were not given and workers were required to buy everything from his/her pocket.<sup>533</sup> A North Korean defector who worked in a mine also said that there were no safety instructions or safety facilities. There were also no supervisors or managers observing safety related issues.<sup>534</sup> A North Korean defector OOO who participated in a production unit labor that handled gunpowder testified that while he/she received masks and working gloves, there were no separate work clothes. The testifier also explained that there were many cases where people developed illnesses after 2~3 years of working due to the harmful environment. However, the testifier said that he/she received training on work safety rules, etc., every quarter since they were handling explosives.<sup>535</sup>

It is also important to see if the working conditions and break-time stipulated in the law are properly complied with. Article 30 of the North Korean Constitution stipulates, "The daily working hours of the working people shall be eight hours," and in the Socialist Labor Law, it is more specifically stipulated as "The daily working hours of workers is eight hours. The government

<sup>533</sup>\_NKHR2018000036 2018-05-08.

<sup>534</sup>\_NKHR2018000103 2018-10-01.

<sup>535</sup> NKHR2019000070 2019-08-26.

set daily working hours as seven hours or six hours based on the level of difficulties of labor and special conditions. The daily working hours of female workers with three or more children shall be six hours" (Article 16). Moreover, regarding rest for workers, Article 65 of the Socialist Labor Law stipulates that "laborers, office workers and farmers of cooperative farms shall receive 14 days of regular leave and 7 to 21 days of supplementary leave based on job type."

According to the testimonies of North Korean defectors, these regulations are well followed in some areas but not in other areas. Among others, average working hours stipulated in the law have become more or less meaningless to many workplaces. This applies to those factories where lack of electricity and raw materials, deterioration of factory facilities led to significant decrease in factories' operation rates and not much work is left in the workplace.<sup>536</sup> There were testimonies that businesses with high rates of operation provided eight hours of labor a day.<sup>537</sup> A North Korean defector testified that he/she worked for a post office around seven hours a day, 538 and another defector said that when working for a company, he/she worked for eight hours in

<sup>536</sup>\_NKHR2016000103 2016-06-28; NKHR2016000135 2016-08-22.

<sup>537</sup> NKHR2018000036 2018-05-08; NKHR2019000003 2019-04-08; NKHR2019000070 2019-08-26; NKHR2019000082 2019-09-25.

<sup>538</sup> NKHR2018000026 2018-04-09.

total, from 9 am to noon and from 1 pm to 6 pm.539 A North Korean defector OOO who worked as an accountant at a seed-gathering enterprise testified that he/she worked from 8 am to 3 pm. 540

In contrast, some testimonies claimed that they had to work longer hours. A North Korean defector who worked for a factory manufacturing military items, worked for 15~16 hours a day, 541 and another defector who worked at a mine said that there were no specific working hours. Rather, he/she worked from 5 am when he/she woke up to 7 pm at the earliest to 10 pm.<sup>542</sup> Also, in the case of farm members, there were many testimonies that an 8-hour work day was not set, the work scope was not fixed, and there was night work or work before breakfast during the busy farming seasons. 543

Similar to the situation of working hours, workers' rest varied depending on the workplace. Workers at work sites could use the legally guaranteed 15 days of annual leave, 544 while there were also many testimonies that they had never used annual leave even if there were relevant regulations and that the regulations were not

<sup>539</sup> NKHR2018000043 2018-06-04.

<sup>540</sup>\_NKHR2019000043 2019-07-01.

<sup>541</sup> NKHR2018000003 2018-03-12.

<sup>542</sup> NKHR2018000005 2018-03-12.

<sup>543</sup> NKHR2019000008 2019-04-08; NKHR2019000027 2019-06-03; NKHR2019000046 2019-07-01.

<sup>544</sup> NKHR2019000042 2018-06-04; NKHR2019000019 2019-05-07.

executed.<sup>545</sup> There were also testimonies that the 15 days of paid leave were only given to those who had not been absent through October~November. 546 One defector testified that there was no concept of holiday because 15 days of annual leave were provided only after fully working for a year and even when the annual leave was given, it was not for rest but for working at another workplace to make up for financial shortages. 547

Meanwhile, on 30 June 2015, North Korea revised the Socialist Labor Law and Law on the Protection and Promotion of the Rights of Women to expand pre-/post-natal leave for pregnant women from 60 days of pre-natal leave and 90 days of post-natal leave to 60 days of pre-natal leave and 180 days of post-natal leave (Article 66 of the Socialist Labor Law and Article 33 of the Law on the Protection and Promotion of the Rights of Women) and emphasized such measures in the implementation report on the CEDAW and the implementation report on the CRC submitted in April 2016.<sup>548</sup> In this regard, there were testimonies that pre-/post-natal leaves are well followed and pregnant women are exempt from mobilization,<sup>549</sup> or that paid maternity leave or

<sup>545</sup>\_NKHR2019000023 2019-05-18; NKHR2019000045 2019-07-01; NKHR2019000065 2019-08-17.

<sup>546</sup>\_NKHR2019000070 2019-08-26.

<sup>547</sup>\_NKHR2017000135 2017-12-18.

<sup>548</sup>\_UN Doc. CEDAW/C/PRK/2-4 (2016), para. 37; UN Doc. CRC/C/PRK/5 (2016), para. 165.

<sup>549</sup> NKHR2018000115 2018-10-22.

post-natal leave are meaningless as not many women keep their job after marriage. <sup>550</sup> A North Korean defector ○○○ explained that three months of prenatal leave and six months of postnatal leave were offered in the case of pregnant women.<sup>551</sup> However, not enough testimonies have been collected in this regard. It appears there is a need to observe whether pre-/post-natal leave is provided as stipulated in the legal regulations and the effectiveness of this policy.

## C. Restrictions on Forming, Joining, and Withdrawing from Trade Unions

Article 8, paragraph 1 of the ICESCR stipulates that State Parties must ensure the right to form, join, and withdraw from the labor union. However, free labor unions that protect and represent the interests of laborers do not exist in North Korea. The only labor union that exists is the Chosun (North Korea) General Federation of Trade Unions (hereinafter The Trade Union), but its only functions is a "link between the Party and the working class,"552 and does not have the right to collective organization or collective bargain. Following the Ninth Plenum of the Fourth Party Central Committee held in June 1964, "trade union roles of

<sup>550</sup>\_NKHR2018000057 2018-07-02.

<sup>551</sup>\_NKHR2019000008 2019-04-08.

<sup>552</sup>\_Gang-sik Kim, Labor in North Korea (Seoul: Jipmoon, 2003), p. 153. (In Korean)

monitoring and controlling corporate management" were abolished and the interests of the laborers were placed under the complete control of the Party. The Chosun (North Korea) General Federation of Trade Unions is responsible for controlling the work environment, including projects for worker protection, promotion of productivity, and tightening of work rules. In this respect, it is entirely different from trade unions in capitalist societies. Furthermore, in North Korea's general worker-related laws, there are no provisions concerning workers' rights to collective action or collective bargaining.

However, Article 20 of the Business Law for Foreigners stipulates that "workers' alliance organization shall protect the rights and interest of employees and sign a contract with a foreign business regarding the guarantees of working conditions and supervise its implementation," while Foreign Investment Company Labor Act describes that "foreign investment company shall sign a labor contract with the workers' alliance organization of the company." These laws partially mention items regarding the protection of the workers' rights through the workers' alliance group.

In these circumstances, there is no meeting or activity that represents the workers' position at the workplace. This kind of

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553\_ *lbid*., p. 155.

gathering is unimaginable, 554 and forming a labor union or wage bargain is impossible. 555 There were also testimonies that unions were not allowed other than those approved by the government<sup>556</sup> and that people would not even try to establish one as they would be punished for it.<sup>557</sup> There was also a testimony that the testifier had never witnessed people complaining in a group about work life. 558 Therefore, it is hard to find a case where representative of the laborer and manager of the business engage in discussions to improve the working environment. North Korean residents' perception of related rights is also estimated to be low.<sup>559</sup>

#### D Fyaluation

It appears that North Korean authorities do not properly recognize the right to work, as stipulated in international standards on human rights. As indicated in the practice of 'group allocation,' North Korean authorities forcibly assign people to the workplace without guaranteeing the freedom to choose work according to each individual's ability and desire and it is also not easy to guit the allocated job. North Korean authorities also do not

<sup>554</sup>\_NKHR2018000004 2018-03-12 and many other testimonies.

<sup>555</sup> NKHR2018000005 2018-03-12.

<sup>556</sup> NKHR2019000055 2019-07-29.

<sup>557</sup>\_NKHR2019000008 2019-04-08.

<sup>558</sup>\_NKHR2019000068 2019-08-26.

<sup>559</sup>\_NKHR2017000019 2017-05-08 and many other testimonies.

ensure the right to work under favorable working conditions, as well as the right to receive just compensation for labor. In reality, wages are not provided to the laborers and they are forced to carry out separate economic activities to sustain both lives of their own and their families. Moreover, because the freedom to form, join, and withdraw from the labor union is not protected, workers cannot demand improvements in the working environment despite having to endure unreasonable treatment.

# Right to Education

The right to education refers to everyone, not only children. Furthermore, the right to education includes the right to private or public education as well as formal or non-formal education. At the same time, it is also the right to lifelong education for all members of society. Article 26 of the UDHR stipulates that "everyone has the right to education," while Article 13 of the ICESCR, to which North Korea is a State Party, stipulates the right to education as is represented in the following table:

The States Parties to the present Covenant recognize the right of
everyone to education. They agree that education shall be directed
to the full development of the human personality and the sense of
its dignity, and shall strengthen the respect for human rights and

Article 13 of the ICESCR

#### Paragraph 1

e directed e sense of rights and fundamental freedoms. They further agree that education shall enable all persons to participate effectively in a free society, promote understanding, tolerance and friendship among all nations and all racial, ethnic or religious groups, and further the activities of the United Nations for the maintenance of peace.

## Paragraph 2

The States Parties to the present Covenant recognize that, with a view to achieving the full realization of this right:

- (a) Primary education shall be compulsory and available free to all;
- (b) Secondary education in its different forms, including technical and vocational secondary education, shall be made generally

	available and accessible to all by every appropriate means, and in particular by the progressive introduction of free education:  (c) Higher education shall be made equally accessible to all, on the basis of capacity, by every appropriate means, and in particular by the progressive introduction of free education:  (d) Fundamental education shall be encouraged or intensified as far as possible for those persons who have not received or completed the whole period of their primary education:  (e) The development of a system of schools at all levels shall be actively pursued, an adequate fellowship system shall be established, and the material conditions of teaching staff shall be continuously improved.
Paragraph 3	The States Parties to the present Covenant undertake to have respect for the liberty of parents and, when applicable, legal guardians to choose for their children schools, other than those established by the public authorities, which conform to such minimum educational standards as may be laid down or approved by the State and to ensure the religious and moral education of their children in conformity with their own convictions.
Paragraph 4	No part of this article shall be construed so as to interfere with the liberty of individuals and bodies to establish and direct educational institutions, subject always to the observance of the principles set forth in paragraph 1 of this article and to the requirement that the education given in such institutions shall conform to such minimum standards as may be laid down by the State.

Article 73 of the North Korean Constitution stipulates that "Citizens have the right to education. This right is ensured by an advanced educational system and by the educational measures enacted by the State for the benefit of the people." The Constitution also describes matters regarding overall free mandatory education system based on the socialist pedagogy such as law on education, elementary education, and higher education. However, the reality is far different from what is stipulated in these rules. This chapter examines the right to education based on availability, accessibility, acceptability, and adaptability.

#### A. Poor Education Conditions

Availability in education means that there should be normally operating educational institutions and programs offering education of a sufficient quality. In other words, there should be minimum conditions established required for education. However, the education condition in North Korea is in an extremely poor state.

Article 7 of the North Korean Education Law describes the principles of guaranteeing the conditions for educational programs as "the State shall systematically increase investment in education and reinforce support for education by smoothly guaranteeing educational conditions." In addition, Article 12 of the North Korean Education Law regulates implementation of free mandatory education by stipulating "the right and responsibility to receive general secondary education."<sup>560</sup>

Likewise, while the law properly guarantees educational conditions and stipulates that free mandatory education shall be implemented for the general public, and the authority also stipulates the specific execution of such, the actual state of education is extremely dire. Above all, it is identified that there are wide gaps between Pyongyang and the provinces, cities, and rural areas; between the city centers and their outskirts; and between special purpose schools and general schools in terms of

<sup>560</sup>\_This includes one year of kindergarten, five years of elementary school, three years of junior middle school and three years of senior middle school.

facilities and education level. There was a testimony that in the case of schools in rural areas, there were no doors on the toilets, <sup>561</sup> and the wires were removed and telephone poles were cut down as electricity was not connected at all. <sup>562</sup> It was said that most schools do not have a nurse's office, gym, or library and computers, if they are accessible, are either old models or unusable due to the lack of electricity. <sup>563</sup>

In addition, as teachers are unable to receive financial reward for their work, they are forced to find other ways to make their ends meet, which becomes one of the main factors behind the deterioration in education quality. According to the testimonies of North Korean defectors, teachers sometimes mobilize students for farming or offer private lessons after school for supplemental income<sup>564</sup> because their monthly salaries or rations are insufficient to maintain their livelihood, or they often pass the financial buck to the parents of the students they teach.<sup>565</sup>

Under these circumstances, free and mandatory education remains in name only, and it was found that the costs for the goods and expenses required for school life and operation and the

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<sup>561</sup>\_NKHR2019000018 2019-05-07.

<sup>562</sup>\_NKHR2019000045 2019-07-01.

<sup>563</sup>\_NKHR2019000055 2019-07-29; NKHR2019000056 2019-07-29 and many other testimonies.

<sup>564</sup>\_NKHR2019000018 2019-05-07; NKHR2019000056 2019-07-29; NKHR2019000070 2019-08-26; NKHR2019000083 2019-09-25.

<sup>565</sup>\_NKHR2019000055 2019-07-29 and many other testimonies.

costs for school facilities and their maintenance are borne by the parents. According to North Korean defectors, although they did not pay tuition, they still had to pay the cost to make the classroom ready in the summertime, and shoulder the cost of planting trees in the wintertime. They were also required to offer scrap iron, paper, and rabbit fur, and students who could not provide these were required to pay in cash. 566 In addition, there were testimonies that all of the costs for repairing desks, painting walls, purchasing coals and computers as well as the costs for the repair work and reconstruction of the schools were paid by the parents.<sup>567</sup> In this regard, the United Nations Committee on the Rights of the Child recommended North Korea in 2017 to immediately prohibit schools from requesting parents to pay costs or contribute foods or materials, 568 but it remains unclear whether such a recommendation has been implemented by the North Korean authorities. Meanwhile, while some testified that school uniforms and textbooks were provided for free, 569 others testified that uniforms were free but textbooks had to be purchased separately due to a lack of supply.<sup>570</sup> It appears that the situation varies by region.

<sup>566</sup>\_NKHR2019000013 2019-05-07; NKHR2019000014 2019-05-07; NKHR2019000023 2019-05-18 and many other testimonies.

<sup>567</sup>\_NKHR2019000009 2019-04-08; NKHR2019000032 2019-06-03.

<sup>568</sup>\_UN Doc. CRC/C/PRK/CO/5 (2017), para. 46.

<sup>569</sup> NKHR2019000019 2019-05-07; NKHR2019000044 2019-07-01; NKHR2019000070 2019-08-26.

<sup>570</sup> NKHR2019000032 2019-06-03.

## B. Discrimination in Educational Opportunity

Accessibility means all people have access to education institutions and programs, without discrimination, and constitutes an important element of the right to education. Accessibility in education is divided into non-discriminatory physical and economic accessibility.<sup>571</sup> Non-discriminatory accessibility means that there should be no legal or practical discrimination against certain vulnerable groups of people when it comes to access of educational opportunities. Regarding the principle of non-discrimination, North Korean people's access to education is not guaranteed. North Korean authorities do not permit access to normal education or educational programs to children of those regarded as potential reactionaries including political criminals, etc. The twelve years of education provided as compulsory under North Korean law are not permitted inside political prison camps (kwanliso). Although elementary education is offered inside camps, the curriculum is different from outside elementary schools.

<sup>571</sup> UN CESCR, General Comment, No. 13 (1999), para, 6 (b), Accessibility stipulates. educational institutions and programmes have to be accessible to everyone, without discrimination, within the jurisdiction of the State Party. Accessibility has three overlapping dimensions: Non-discrimination - education must be accessible to all, especially the most vulnerable groups, in law and fact, without discrimination on any of the prohibited grounds. Physical accessibility - education has to be within safe physical reach, either by attendance at some reasonably convenient, geographic location (e.g. a neighbourhood school) or via modern technology (e.g. access to a "distance learning" programme); and Economic accessibility - education has to be affordable to all. This dimension of accessibility is subject to the differential wording of Article 13 (2) in relation to primary, secondary and higher education. Primary education shall be available "free to all."

In terms of gender equality in education, it is identified that there is no difference in the rate of boys and girls going to school up to the mandatory education of senior-middle school. However, the rate becomes significantly eschewed in the case of college entrance. Specifically, the rate of female students advancing to higher education is much lower than that of male students. In the 2016 National Performance Report submitted by North Korea to the United Nations Committee on the Elimination of Discrimination against Women (CEDAW), North Korea does not specifically indicate female enrollment rate above highschool. However, figures indicate that 10% of the female population received university education.<sup>572</sup> Through granting access to university education in the field of science and technology and also through the distance education system, North Korea emphasizes that they are increasing the percentage of girls entering and completing their studies.<sup>573</sup> In this regard, the UN Committee on the Rights of the Child suggested that North Korea "promote gender equality in all educational institution."<sup>574</sup> In 2017, CEDAW also suggested that North Korea improve the traditional perceptions

<sup>572</sup>\_Consideration of reports submitted by State Party under article 18 of the Convention, Second, third and fourth periodic reports of States Parties due in 2014. DPR Korea. Committee on the Elimination of Discrimination against Women. UN Doc. CEDAW/C/PRK/2-4 (2016), para.102.

<sup>573</sup>\_List of issues and questions in relation to the combined second to fourth periodic reports of the Democratic People's Republic of Korea, UN Doc. CEDAW/C/PRK/ Q2-4/Add.1 (2017), paras. 54~55.

<sup>574</sup> Concluding observations on the fifth periodic report of the DPR Korea. Committee on the Rights of the Child, UN Doc. CRC/C/PRK/CO/5 (2017), para. 46.

and structural constraints which have been preventing female students from entering schools in such fields as science.<sup>575</sup> Such gender difference in educational accessibility is also related to women's lower participation rates in North Korean society. Meanwhile, North Korea emphasized that the number of women receiving remote education provided by major universities increased in the Third UPR in 2019.<sup>576</sup> However, it is still difficult to regard that the gender gap in access to education is fully resolved, and this situation leads to lower social participation by women in North Korean society.

In terms of physical accessibility, Article 15 of the North Korean Education Law mandates that "the local authorities must take measures to ensure the compulsory education of children who reside in deep mountains or remote islands, as well as children with disabilities." Article 22 of North Korean Elementary Education Law mandates that elementary and middle schools may be operated together, or a branch school may be established depending on the number of students and distance to the school. In the 2017 National Implementation Report submitted to the UN Committee on the Rights of the Child, North Korea emphasized that they are undergoing improvement projects. They stated that

575\_Concluding observations on the combined second to fourth periodic reports of the

<sup>575</sup>\_Concluding observations on the combined second to fourth periodic reports of the DPR Korea, Committee on the Elimination of Discrimination against Women, UN Doc. CEDAW/C/PRK/CO/2-4 (2017), para. 34.

<sup>576</sup>\_UN Doc. A/HRC/WG.6/33/PRK/1 (2019), para. 67.

from 2013 to 2014, they would build new nursery schools, kindergartens, and schools in ten islands located in the West Sea. The newly built institutions would be equipped with the same equipment as urban schools and one village in South Pyeongan Province would be selected as a model village for improving educational conditions. However, outside of these legal regulations, actual accessibility to education by students living in remote areas is assessed to be substantially low due to the general condition of roads, mass transit systems, and the difficulties of distance learning. Furthermore, these students are mostly from the absolute poverty class, and inequality to educational opportunity caused by isolation tends to coincide with poor economic accessibility.

Meanwhile, as mentioned above, having a free and mandatory education system in name only led to a decrease in access to education among those in the vulnerable groups. When failing to pay the fee requested by the school, students are often accused by their teachers and sometimes they end up quitting school as they are unable to endure such a burden after being absent for a long time. <sup>577</sup> A North Korean defector  $\bigcirc\bigcirc$  said that there were 16 students in the class that his/her son was in at an elementary school, and three of them did not come to school due to family

<sup>577</sup>\_NKHR2019000044 2019-07-01; NKHR2019000052 2019-07-20; NKHR2019000057 2019-07-29; NKHR2019000070 2019-08-26.

reasons. 578 Many poor children help with the household economy instead of entering schools,<sup>579</sup> and some teachers or parents sometimes help poor students, but only temporarily.<sup>580</sup> Even if a student leaves school halfway through for financial reasons, the government or teachers do not seem to take proactive measures other than visiting the student a few times.<sup>581</sup>

## C. Emphasis on Socialist Education

Acceptability is an important factor in determining whether the form and nature of education, including educational programs and instructional styles, are acceptable to students who are the receivers of education and its direct beneficiaries.

Article 29 of the CRC states that the goal of education should be well-rounded in terms of acceptability and stresses its importance in children's education. In its fifth report submitted to UNCRC in 2016, North Korean authorities argued that it revised its education program to comply with the goals of child education stipulated in the Convention on the Rights of the Child. However, the reality is far from such an argument. North Korea also

578\_NKHR2019000070 2019-08-26.

<sup>579</sup>\_NKHR2019000018 2019-05-07; NKHR2019000029 2019-06-03; NKHR2019000030 2019-06-03.

<sup>580</sup> NKHR2019000032 2019-06-03; NKHR2019000062 2019-07-29; NKHR2019000068 2019-08-26.

<sup>581</sup> NKHR2019000045 2019-07-01; NKHR2019000055 2019-07-29; NKHR2019000070 2019-08-26 and many other testimonies.

concludes in Article 3 of the Education Law that "raising trust-worthy personalities who possess sound ideological awareness, deep scientific-technological knowledge, and strong physical capability is the basic principle of Socialist pedagogy," and puts emphasis on the education of political thoughts in Article 29.<sup>582</sup> According to the testimonies of North Korean defectors, education on the Kim Il Sung family takes up substantial part of education.<sup>583</sup> Students have to pass subjects such as "Revolution History" to move up a grade. <Table III-13> describes the subjects students are currently required to take in elementary and middle schools, which shows that the subjects on the Kim II Sung/Kim Jong Il/Kim Jong Un family are grouped throughout the entire curriculum. Excessive political ideological education, mobilization for political events and regime propaganda, and mandatory military training are far from the realization of the goals of children's education.

<sup>582</sup>\_Educational institutions shall infuse sound thoughts, ethics, and in-depth knowledge, prioritize political thought education to ensure sound body and rich sentiment, provide science and technology education with depth, and converge them with physical and recreational education.

<sup>583</sup>\_NKHR2018000035 2018-05-07.

Table Ⅲ-14	Subjects in Primary/Secondary Education		
Education level	Subject		
Elementary School	Childhood of Supreme Leader ( <i>Suryeong</i> ) Kim II Sung, Childhood of great leader, Kim Jong II, Childhood of anti-Japan heroine Kim Jong-Suk, Childhood of admirable leader Kim Jong Un, Socialist ethics, North Korean language, English, Mathematics, Nature, IT, PE, Music and dance, and Art.		
Junior Middle School	Revolution activities of Supreme Leader ( <i>Suryeong</i> ) Kim II Sung, Revolution activities of great leader, Kim Jong II, Revolution activities of anti–Japan heroine Kim Jong–Suk, Revolution activities of admirable leader Kim Jong Un, Socialist ethics, Geography, North Korean language, English, Mathematics, Nature, IT, Basic Technic, PE, Music and dance, and Art.		
Senior Middle School	Revolutionary history of Supreme Leader (Suryeong) Kim II Sung, Revolutionary history of great leader, Kim Jong II, Revolutionary history of anti-Japan heroine Kim Jong-Suk, Revolutionary history of admirable leader Kim Jong Un, Current Party policy, Socialist ethics and law, History, Geography, Psychology and logic, Language and literature, Chinese character, English, Mathematics, Physics, Chemistry, Biology, IT, Basic Technology, PE, Military action 101		

Source: Yeongja Park et al., Eight changes in the economy and society under the Kim Jong Un regime (Seoul: KINU, 2018), p. 165. (In Korean)

Meanwhile, Article 32 of the CRC stipulates that children shall be protected from labor that threatens their health, education and development. However, North Korean students are mobilized for diverse labor tasks, including farming and construction under the pretext that it is a part of their educational programs. This clearly goes beyond the meaning of education.<sup>584</sup> Regarding this issue. the UN Committee on the Rights of the Child recommended North Korea in 2017 to ensure that children are not required to

<sup>584</sup> The issue of the lack of acceptability in education shall be examined in more detail in IV. Vulnerable Groups, 2. Children.

perform labor tasks that interfere with their learning process, their rights to rest and leisure and their physical and mental well-being. 585

#### D. Limits in the Learners' Right to Choose

Adaptability in education refers to the degree of guarantee of an adequate educational environment from the perspective of the receivers and the degree of appropriateness of the educational material provided for each developmental stage. It also reflects the degree to which the various ways the social environment is changing and adequately reflected in education. Whether North Korean students receive adequate education that is also appropriate according to such standards varies according to family environment, social status and economic capability. One noteworthy point is that the State is the only authority that decides and controls educational programs in North Korea. Such control of education by the authority is applied uniformly to students from all social classes in North Korea.

In addition to State-run educational facilities, there are other educational facilities run by the workplace, social organizations, and other social facilities. However, the State, particularly the Party decides the system, direction, content and methods of basic education. The operational process and evaluation are also

controlled by the Party. In other words, from school education to social education and education for adults, the State picks the content and develops the educational programs and textbooks. The operation of all educational programs is centrally and uniformly controlled. As a result, there is little left to the discretion of schools and teachers in the operation of educational programs. As there are few elective subjects, all students take the same educational programs, except for specially-talented students at special educational facilities. All educational institutions, from kindergarten to elementary and middle school to college, are set up and run by the State, and no private educational institutions exist in North Korea. It can be said that the opinions of students and parents are not reflected in the schools or the educational programs.

Such rigidity in education caused by the State-led system serves as a constraint that prevents the North Korean educational program and system from fundamentally reflecting the changes and innovations of the time. Although North Korea emphasizes modernization and informatization of education through its official media, such educational goals are bound to face fundamental limitations as long as the educational system is led by the State and the Party.

#### E. Evaluation

North Korea has institutionalized 12 years of compulsory education in 2012 and announced its Education Strategy (2015~2032) in 2014.<sup>586</sup> When analyzed in terms of availability. accessibility, acceptability and adaptability, North Korea's education system was found to be insufficient on all counts. In particular, the ideological education that North Korean authorities continue to emphasize is assessed to constitute a serious infringement on the right to autonomous learning and to choose what to learn. North Korean authorities should make efforts to provide a universal and creative educational environment for students, breaking away from the educational policies that are absolutely focused on "Kim II Sung and Kim Jong II Patriotism." Although North Korea claims to provide a free education system, it exists in name only and the economic burden imposed on students and parents seems to be very large since the distribution of resources for basic school operation as well as the teachers' remuneration is not properly operated at the state level. Due to this burden, students who cannot afford such payment are prevented from going to school. Grave impediments persist in students' education due to their being mobilized for various labor tasks, such as agriculture, construction, and political events.

586\_UN Doc. CRC/C/PRK/CO/5 (2017), para. 45.

Meanwhile, North Korea reported in the Third UPR in 2019 that it was making efforts to improve the educational conditions and environment including the contents, forms, and methods of education, <sup>587</sup> and accepted the recommendation of the member states for the substantial realization of free education and the overall improvement of the right to education. <sup>588</sup> Close attention needs to be paid to the North Korean authorities' measures to improve the right to education.

587\_UN Doc. A/HRC/WG.6/33/PRK/1 (2019), paras. 42~44.

<sup>588</sup>\_UN Doc. A/HRC/42/10 (2019), paras. 126.150~126.153; UN Doc. A/HRC/42/10/Add.1 (2019), para. 9(a).

## Right to Social Security

The UDHR stipulates that everyone, as a member of society, has the right to social security (Article 22). The ICESCR also stipulates that the States Parties shall recognize the right of everyone to social security (Article 9). The right to social security is very important in keeping the human dignity of the people who face situations where it is difficult to realize the rights of the Covenant. 589 Moreover, the right to social security contributes to reducing and mitigating poverty, preventing social exclusion, and promoting social cohesion. 590

The UN Committee on Economic, Social, and Cultural Rights presents health care, sickness, old age, unemployment, employment injury, family and child support, maternity, disability, survivors, and orphans as the nine principal branches of social security.<sup>591</sup> North Korea has laws and regulations related to most of these

<sup>589</sup>\_UN CESCR, General Comment, No. 19 (2007), para. 1.

<sup>590</sup>\_ *lbid.*, para. 3.

<sup>591</sup>\_ Ibid., paras. 12~21.

factors. However, given the gap between North Korea's legal provisions and actual situation, it would be difficult to say that institutional existence guarantees actual social security. In this light, it is necessary to confirm whether these institutions are fulfilling its role. The following will examine whether residents' social security rights are appropriately guaranteed, in terms of four categories of old age, family and children, sickness and disability, and industrial accidents.<sup>592</sup>

Catagon, of Casial	Relevant Laws			
Category of Social Security	Framework Law	Special Laws		
Health Care	Social Security	Law on Public Sanitation, Law on Food Hygiene, Law on Prevention of Epidemics		
Sickness		Public Health Law, Law on Medical Care, Medicine Control Law		
Old Age		Law on the Protection of Elderly Persons		
Unemployment		Social Insurance Law, Socialist Labor		
Employment Injury		Law, Labor Protection Law		
Family and Child Support  Maternity	Law	Law on the Nursing and Upbringing of Children, Law for the Protection of Children's Rights, Law on the Protection and Promotion of the Rights		
Disability		of Women  Law on the Protection of Persons with Disabilities		
Survivors and Orphans		Social Insurance Law		

<sup>592</sup>\_Content regarding orphans is not covered here as it dealt with in IV. Vulnerable Groups, 2. Children.

#### A. Insufficient Pension and Subsidy for Sustenance

North Korean Social Security Law stipulates the obligation to provide a social security pension and subsidies (Articles 17 to 23), and the North Korean Law on the Protection of Elderly Persons stipulates the obligation to provide age pension and subsidies for elderly persons (Article 14). To receive elderly pension and subsidy, one must have completed a labor period or be working, and must be aged 60 or above for men and 55 or above for women (Article 2 of the Law on the Protection of Elderly Persons).

Before the Arduous March of the mid-1990s, elderly pensions may have been sufficient, although the actual amount may not have been much. 593 However, this pension has not been paid out regularly since the Arduous March. While laws stipulate payment, it was actually not paid in many cases.<sup>594</sup> Even when the pension was given, the amount could not possibly have been sufficient for sustenance and thus appears to have been little help for the elderly.<sup>595</sup> A defector in his/her mid 30s who defected in 2017 testified that his/her mother used to receive 600 North Korean won a month. 596 A North Korean defector in his/her late 30s who defected in 2017 testified that his/her father received a pension of 1,600 North Korean won a month but it was delayed; for example,

<sup>593</sup> NKHR2013000065 2013-04-02.

<sup>594</sup>\_NKHR2019000018 2019-05-07; NKHR2019000081 2019-09-25.

<sup>595</sup>\_NKHR2019000002 2019-04-08 and many other testimonies.

<sup>596</sup> NKHR2017000055 2017-07-31.

his January pension would be sent in April, and so on.<sup>597</sup> A defector in his/her late 30s who escaped from North Korea in 2017 testified that his/her parents-in-law received about 700 North Korean won per month.<sup>598</sup> A defector in his/her mid 40s who defected in 2018 said that he/she received 700 North Korean won per month, which was not at all helpful in maintaining his/her living as it was not even enough to buy a block of tofu.<sup>599</sup> A defector in his/her mid 50s who defected in 2019 testified that his/her mother received 700 North Korean won per month until she died,600 and a defector in his/her mid 50s who defected in 2019 testified that around 800~1,500 North Korean won per month was given.601

Testimonies on the Practical Difficulties of Sustaining Life on Elderly Pensions

Testimonies	Testifier ID
A defector in his/her 40s who defected in 2016 while living in Onsong County of North Hamgyeong Province testified that teachers were given the elderly pension monthly ranging from 700~1,000 North Korean won to 1,800 North Korean won.	NKHR2017000006 2017-04-10
A defector in his/her 50s who defected in 2016 while living in Samjiyeon, Yanggang Province testified that the elderly pension was provided monthly but the amount was around 1,000 to 2,000 North Korean won. It was not enough to buy 1kg of rice.	NKHR2017000012 2017-04-10

597\_NKHR2018000040 2018-05-08.

598 NKHR2017000115 2017-11-20.

599\_NKHR2019000016 2019-05-07.

600\_NKHR2019000025 2019-05-18.

601\_NKHR2019000035 2019-06-03.

Testimonies	Testifier ID
A North Korean defector in his/her mid 40s who defected in 2017 testified that those eligible to receive pension received a maximum of 6,000 North Korean won, which was the living cost for one or two days.	NKHR2018000002 2018-03-12
A North Korean defector in his/her mid 50s who defected in 2017 testified that he/she was able to receive 700 North Korean won, which was calculated based on old national standards and did not match the real market price.	NKHR2018000006 2018-03-12
A defector in his/her mid 40s who defected in 2018 testified that he/she received 700 North Korean won per month, which was not even enough to buy a block of tofu.	NKHR2019000016 2019-05-07
A defector in his/her mid 50s who defected in 2019 testified that his mother received 700 North Korean won once a month.	NKHR2019000025 2019-05-18
A defector in his/her mid 50s who defected in 2019 testified that around 800~1,500 North Korean won was given as elderly pensions.	NKHR2019000035 2019-06-03

According to some testimonies, payment amount appears to vary depending on the level of merit. However, because the actual amount of pension is too small, such discrimination does not seem to have practical meaning. For example, a defector in his/her early 30s who used to live in Onsong County, North Hamgyeong Province, before defecting in 2017 testified that his/her mother-in-law was awarded with a medal and a title of meriter, thereby receiving a higher pension than others. Nevertheless, the monthly pension was only 2,700 North Korean won.<sup>602</sup> A defector in his/her mid 50s who defected in 2019 said that he/she received more than other people as he/she received 4,000 North Korean won due to her grade of merit (1st grade of national merit)

602\_NKHR2017000092 2017-09-25.

but that elderly pensions were not very helpful in sustaining life.<sup>603</sup>

On the other hand, some testified that there are cases where the elderly are not provided pensions, but instead distributed land for them to manage, the yields of which are for them to keep. A defector in his/her early 40s who used to live in Hoeryoeng, North Hamgyeong Province, before defecting in 2017, testified that even though his/her mother did not receive the pension, 100~150 *pyeong* of land was granted, and the crop yields were for her to keep. 604 Another defector who used to live in Gyeongwon County, North Hamgyeong Province, before defecting in 2017 also testified that land was provided to the elderly as a part of the pension plan. 605 However, there was no such testimony in the 2018 and 2019 surveys. In this regard, since this is not a general phenomenon, it is assumed that it may represent measures taken at the regional level rather than at the national level.

Since elderly pensions are not a sufficient means of sustenance, the elderly maintain their livelihood by either financially depending on their children or by earning little income through economic activities. Those people who cannot do either appear to enter the nursing homes. A North Korean defector in his/her late

603\_NKHR2019000013 2019-05-07.

604\_NKHR2017000004 2017-04-10.

605 NKHR2017000016 2017-05-08.

40s who defected in 2018 said that his/her mother-in-law was not able to live on her pension and started to sell medicine at the market place and received food sent by her son/daughter. 606 One defector who defected in 2017 testified that the elderly pension plan is only offered in a perfunctory manner, and that old people must work at the paddies until they die, unless they have children who are senior officials. He/She also mentioned that those people who are unable to work end up in nursing homes. 607 A defector in his/her mid 50s who defected in 2019 testified that seniors in North Korea received around 1,000~1,500 North Korean won in elderly pensions but they mostly farmed or received help from their children as the pension was not helpful in sustaining life. 608

#### B. Absence of an Emergency Welfare Support System

The ICESCR stipulates that as much protection and assistance as possible should be provided as long as the family is responsible for the care and education for the children (Article 10, paragraph 1). Government support for families plays a crucial role in realizing these rights. 609 However, it seems that North Korean

<sup>606</sup>\_NKHR2018000055 2018-07-02.

<sup>607</sup>\_NKHR2017000043 2017-07-03.

<sup>608</sup>\_NKHR2019000031 2019-06-03.

<sup>609</sup>\_UN CESCR, General Comment, No. 19 (2007), para. 18.

authorities do not provide adequate support for the families. In particular, there is no emergency welfare support system for families whose primary income earner is unable to make a living for the family due to illness or death. In these situations, residents are vulnerable to economic crises. It appears residents regard such situation as being inevitable.

A defector in his/her 20s who defected in 2015 said that, if a woman who used to make a living through the marketplace (*jangmadang*) activity becomes ill, the woman must sustain her own life because it is regarded as her personal issue.<sup>610</sup> Another defector in his/her mid 30s who defected in 2015 testified that people may ask parents or siblings for financial help in such difficulties but people cannot expect any help from the government.<sup>611</sup> Another North Korean defector in his/her mid 40s who defected in 2017 said that there was no place to ask for help if he/she suddenly contracted a disease or was faced with danger while selling in the market.<sup>612</sup> A North Korean defector in his/her late 30s who defected in 2018 testified that there was no national support for households at financial risk.<sup>613</sup> A defector in his/her early 20s who defected in 2018 testified that he/she had never received any help from the government when his/her

<sup>610</sup>\_NKHR2017000046 2017-07-03.

<sup>611</sup>\_NKHR2017000060 2017-07-31.

<sup>612</sup>\_NKHR2017000063 2017-07-31.

<sup>613</sup>\_NKHR2018000093 2018-08-27.

family's economic situation suddenly worsened.614

Some testified that there was some support but this was not provided in a systematic manner and the scope of support was not sufficient. A defector in his/her late 50s who defected in 2014 testified that people sometimes do ask the People's Unit (*inminban*) for help and then the neighbors collect small portions of rice, but there is no government support available.<sup>615</sup> In addition, a North Korean defector in his/her early 30s who defected in 2015 testified that the State provided the household a financial gift of food or restaurant vouchers so that they could have noodles for free. The People's Unit (*inminban*) sometimes conducted surveys and the head of the People's Unit (*inminban*) reported the result to the town hall to help its management.<sup>616</sup>

# C. Inadequate Support System for People Suffering from Illness or Disability

Article 25 of the UDHR stipulates that everyone has the right to be assured in case of illness or disability. Therefore, cash benefits must be provided for the people who cannot work due to health reasons, and disability benefits should be provided to people suffering from long-term illnesses.<sup>617</sup>

<sup>614</sup> NKHR2019000030 2019-06-03.

<sup>615</sup>\_NKHR2017000052 2017-07-03.

<sup>616</sup>\_NKHR2018000094 2018-08-27.

<sup>617</sup>\_UN CESCR, General Comment, No. 19 (2007), para. 14.

In this regard, Article 72 of the North Korean Constitution stipulates that those who cannot work due to illness or physical disability shall have the right to material assistance and this right shall be guaranteed by the social security system. Article 2 of the Social Security Law also includes those who cannot work due to disease or physical disability as being eligible for social security.

However, the government seems to provide almost no support for residents who are unable to engage in economic activities for a long time due to illness or disability. It was found that there is no separate support even for those registered for social security. A defector in his/her mid 30s who defected in 2016 testified that his/her brother lost his ability to work due to accidental blindness but received no governmental support in sustaining his livelihood.618 A defector in his/her early 20s who defected in 2016 testified that the State does not provide support to those who are unable to work for a long time due to illness.619 A testimony was given by a North Korean defector in his/her early 30s who defected in 2018 that his/her brother-in-law lost his eyesight in 2008 due to a disease and registered to receive welfare service. If not registered, he would be caught due to his unemployment status. Since then, there has been no financial support from the State; rather, the testifier and his/her spouse took care of him. 620

618\_NKHR2017000018 2017-04-10.

<sup>619</sup>\_NKHR2017000038 2017-06-05.

<sup>620</sup> NKHR2018000101 2018-10-01.

There was a similar testimony by a defector in his/her 20s who defected in 2019. Due to polio, his/her father limped since he was a child, and he registered for social security with a diagnosis document from a hospital. However, he did not receive any additional support for living because he was a person with a disability who was also working.621

Some testimonies claim that partial support is provided. A defector in his/her 30s who defected in 2017 testified that if he/she had confirmation from the hospital, the farm would not ask him/her to work. Even so, he/she was provided with half the farm vield. 622 There is either no or merely perfunctory support for living for those who cannot participate in economic activities due to disabilities. Support for living for people with disabilities and honored veterans will be explored in 'IV. Vulnerable Groups, 3. Persons with Disabilities'

#### D. Vulnerable System for the Protection of Injured **Workers**

Article 32 of the ILO Social Security Convention No. 102 stipulates that benefits should be paid if workers lose the ability to work due to "work-related accidents or diseases." North Korea also has provisions in this regard. The Socialist Labor Law

621\_NKHR2019000045 2019-07-01.

622 NKHR2017000092 2017-09-25.

stipulates that temporary subsidies shall be paid for the workers who are temporarily unable to work due to industrial accidents, sickness, or other injuries, and ability benefits shall be paid if the duration exceeds six months. Article 77 stipulates that in the event of a worker's death, survivor benefits shall be paid to the bereaved family.

However, it appears that there is inadequate compensation to those who have lost their labor capacity due to industrial accidents. In some cases, no compensation is given at all. Even in cases where compensation is provided, it is understood that it is not provided in a practical and continuous manner. A defector in his/her late 20s who defected in 2016 said that there were accidents in which people were injured as their hands were jammed in agricultural machines or their feet were cut by machines, but there was no security system such as the payment of temporary subsidies, etc. 623 A North Korean defector in his/her early 20s who defected in 2017 said that his/her father who worked for the railroad construction unit severely hurt his leg around 2014 to 2015 but did not receive any support for living or hospital costs. 624 A defector in his/her 50s who defected in 2019 testified that there was no protection by the government in the case of industrial accidents, and there was only by some support

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<sup>623</sup>\_NKHR2019000046 2019-07-01.

<sup>624</sup> NKHR2018000038 2018-05-08.

pooled together by people in the workplace. 625

It seems that the cost of medical treatment due to industrial disaster is borne by the injured person. Some have testified that injury occuring in mines, 626 or cases of injury as a result of negligence have led to situations where the government would provide benefits.627 However, most defector testimonies support the claim that costs pertaining to treatment are usually shouldered by the worker. A North Korean defector in his/her mid 20s who defected in 2018 testified that there was an accident in June 2018 where a stone fell and hit the head of a person on the ground at an apartment construction site and the injured person was taken to a hospital but he paid the bill out of his own pocket. 628 In addition, a North Korean defector in his/her early 20s who defected in 2017 testified that when five workers fell from the fifth floor of an apartment construction site, they were transported to the hospital she was working at, two of them died, and the others ended up with walking disabilities. From what he/she knew, they paid for their own medical and medicinal expenses. 629

No adequate compensation is given to people who die from industrial accidents. A defector in his/her early 20s who defected

<sup>625</sup> NKHR2019000069 2019-08-26.

<sup>626</sup> NKHR2017000098 2017-10-23.

<sup>627</sup>\_NKHR2017000111 2017-11-20.

<sup>628</sup>\_NKHR2018000130 2018-11-19.

<sup>629</sup> NKHR2018000102 2018-10-01.

in 2017 testified that one of her neighbors who was in his/her early 20s was crushed under the machines of the 618 shock troop brigade in 2011, but only 200kg of corn was paid to the parents. A defector in his/her early 20s who defected in 2017 testified that eight people were killed when a train tunnel collapsed in May 2017. However, no compensation was given. In another testimony, a student fell off a building while working at an apartment construction site and died in 2017, but the authorities did not provide any compensation. A defector in his/her early 20s who defected in 2019 testified that there was someone who fell while doing construction work in 2018, but the person had to bear his/her own medical costs since the government did not support any medical costs, even when one's leg was broken or injured.

In some cases, measures are taken to honor those who died from industrial accidents instead of giving appropriate compensation, but this does not seem to have much contribution to the survival of the bereaved family. A defector in his/her 20s who defected in 2017 testified that a 22-year-old man died from standing against falling rocks while serving in the shock troop brigade, but the man was rewarded with Kim Jong II honorable

<sup>630</sup>\_NKHR2017000018 2017-05-08.

<sup>631</sup>\_NKHR2017000111 2017-11-20.

<sup>632</sup>\_NKHR2018000130 2018-11-19.

<sup>633</sup>\_NKHR2019000045 2019-07-01.

man's award with only a small amount of compensation to his family.634 A defector in his/her early 40s who defected in 2016 testified that when a laborer dies from an industrial accident. nothing is given to the bereaved except for a title about his/her heroic efforts.635

#### E. Evaluation

From a legal perspective, North Korea's social security system is well established. In reality, however, it fails to fulfill its function properly. This is not only because the North Korean authorities lack the will in respecting, protecting, and realizing social security but also because it is facing a poor financial situation. Elderly pensions are provided in only small amounts, being of little help for the elderly in sustaining their lives. In addition, the lack of an emergency welfare system makes households vulnerable to financial crises if the breadwinner has to stop economic activities due to unexpected disease or death. The welfare system established to support residents who are not eligible to do economic activities due to disease or disability is limited, and plays only a nominal role, threatening the very survival of the people in need. Lastly, the situation is the same for employment injuries. Although provisions stipulate that pensions

634\_NKHR2017000111 2017-11-20.

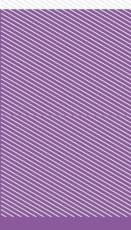
635 NKHR2017000051 2017-07-03.

or subsidies shall be provided, they either are not provided or are provided in such small quantities that they offer no substantive assistance, making their continued sustenance difficult. The results of the 2019 survey were not particularly different. The primary responsibility to improve the living conditions of the North Korean people so that the social security system functions properly lies with the North Korean authority. With regard to this, the recommendation that North Korea should establish the social foundation where all North Korean people can enjoy human rights through the continuous pursuit of sustainable economic and social development<sup>636</sup> was accepted by North Korea in the Third UPR in 2019.637 Considering the financial situation of North Korea, it is unlikely to expect that such issues will be fixed within a short period of time. Therefore, North Korea's vulnerable groups require much attention and support from the international community.

636\_UN Doc. A/HRC/42/10 (2019), para. 126.108.

637\_UN Doc. A/HRC/42/10 (2019), Add.1, para. 9(a).

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### Part IV

### Vulnerable Groups

- 1. Women
- 2. Children
- 3. Persons with Disabilities

The Preamble of the UDHR reaffirms the equal right of men and women along with the human value and dignity. Article 2 stresses that everyone is entitled to all the rights and freedoms set forth in the Declaration, without distinction of any kind, including gender. In addition, Article 25, paragraph 2 stipulates that motherhood and childhood are entitled to special care and assistance. There are also provisions related to the rights of women in the ICCPR and the ICESCR.<sup>638</sup> However, the UDHR. the ICCPR and the ICESCR are limited in their attempt to guarantee the rights of women through realizing the right to

<sup>638</sup>\_ For example, the ICESCR stipulates that "The States Parties to the present Covenant undertake to ensure the equal right of men and women to the enjoyment of all economic, social, and cultural rights set forth in the present Covenant," and Article 7, paragraph 1 on the right to work stipulates the condition of "Fair wages and equal remuneration for work of equal value without distinction of any kind, in particular women being guaranteed conditions of work not inferior to those enjoyed by men, with equal pay for equal work." Article 10 stipulates that "Special protection should be accorded to mothers during a reasonable period before and after childbirth" to protect motherhood. The ICCPR stipulates that "The States Parties to the present Covenant undertake to ensure the equal right of men and women to the enjoyment of all civil and political rights set forth in the present Covenant," and Article 26 on equality before the law stipulates prohibition of discrimination based on gender.

equality between men and women instead of considering the special characteristics of women's rights. CEDAW, which was adopted by the UN General Assembly on 18 December 1979, and took effect on 3 September 1981, is distinguished from previous international documents on women in that it reflects the special characteristics pertaining to women's issues that can occur in private life as well as gender sensitivity, overcoming the limitation of only dealing with the right to equality in the public area.<sup>639</sup>

North Korea ratified the CEDAW on 27 February 2001, according to which the States Parties have to submit an implementation report to the Committee (Article 18). North Korea had postponed its submission of reports after submitting the first report in September 2002 until April 2016 when it submitted a report which combined second, third and fourth periodic reports. The Committee finished its preliminary review in March and full review in November 2017. Based on the review, it announced the Concluding Observations, including major concerns and recommendations.

<sup>639</sup>\_CEDAW is composed of a preface, 6 parts and 30 articles. Part 1 (Articles 1~6) stipulates the duties of States Parties to eliminate discrimination, Part 2 (Articles 7~9) stipulates civil and political rights, Part 3 (Articles 10~14) stipulates economic, social, and cultural rights, Part 4 (Articles 15~16) stipulates legal abilities and the rights on marriage and family life, Part 5 (Articles 17~22) establishes the UN Committee on the Elimination of All Forms of Discrimination against Women (hereinafter the Committee) and the country report system and Part 6 (Articles 23~30) sets forth conditions for the Convention to enter into force and its revision procedures, etc.

In the combined second, third and fourth periodic reports, North Korea self-evaluated that, from 2002 to 2015, "North Korean women, as complete owners of society, enjoyed equal rights to those of men in all areas of politics, economy, society and culture and performed great work for the prosperity of their home country."640 North Korea also stressed that it decided to withdraw from its deferral of Article 2, paragraph (f) and Article 9, paragraph 2 of the CEDAW and gave notification of this to the UN in November 2015.641 North Korea announced that it has legally/institutionally and practically guaranteed gender equality since it enacted the Law on Sex Equality through Decision No. 45 of the Interim People's Committee on 30 July 1946, and that the North Korean Socialist Constitution and Family Law stipulate women's equal rights to political and social participation and in family life. 642 Moreover, North Korea stressed that it had adopted the Law on the Protection and Promotion of the Rights of Women in 2010 to raise the status and roles of women by more strictly guaranteeing women's rights in all areas of social life and has pursued consistent policies to guarantee gender equality as stipulated in Article 2 and is strictly preventing all forms of discrimination.<sup>643</sup> Meanwhile, North Korea also explained that

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<sup>640</sup>\_UN Doc. CEDAW/C/PRK/2-4 (2016), para. 2.

<sup>641</sup>\_ *lbid.*, para. 7.

<sup>642</sup>\_ Ibid., paras. 9~10.

<sup>643</sup>\_ *lbid.*, para. 11.

"all forms of discrimination" stipulated in Article 2 include all direct/indirect discrimination against women and that the meaning of the phrase is identical with the definition of discrimination in the CEDAW. Based on this law, North Korea stressed that it established an education plan to spread the concept and improve awareness of gender equality and carried out diverse measures including holding seminars and workshops.<sup>644</sup> The Concluding Observations published by CEDAW in 2017 positively noted North Korea's acceptance of the 2005 recommendations as well as its adoption of the Law on the Protection and Promotion of the Rights of Women. However, the Concluding Observations also expressed concern that the concept of comprehensive definition of discrimination against women, encompassing direct and indirect discrimination in women's public and private spheres in line with Article 1 of the Convention was absent. The Concluding Observations set forth relevant recommendations. 645

The CEDAW stipulates that State Parties shall take, across all fields, in particular in the political, social, economic and cultural fields, all appropriate measures, including legislation, to ensure the full development and advancement of women for the purpose of guaranteeing them the exercise and enjoyment of human rights and fundamental freedoms on a basis of equality with men

644\_ Ibid., paras. 12~13.

645\_UN Doc. CEDAW/C/PRK/CO/2-4 (2017), paras. 11~12.

(Article 3). In the Law on the Protection and Promotion of the Rights of Women, North Korea stipulates women's social/political rights, rights to education/culture/health care, right to work, right to liberty and property and right to marriage and family and the obligation of the State to protect and guarantee such rights. The same law reconfirms the provisions of existing laws on women's rights and protections that were scattered among the Law on Sex Equality, Socialist Labor Law, Labor Protection Law, Criminal Law, Family Law and Civil Procedure Law. However, given that North Korean society has yet to move beyond a perfunctory rule of law, legislative measures cannot be taken at face value. In this light, this chapter will examine the situation of North Korean women's rights by major issues.

#### A. Discrimination against Women

According to Article 1 of the CEDAW, "discrimination against women" means any distinction, exclusion or restriction made on the basis of sex which has the effect or purpose of impairing or nullifying the recognition, enjoyment or exercise by women, irrespective of their marital status, on the basis of equality between men and women, of human rights and fundamental freedoms in the political, economic, social, cultural, civil or any other field. Article 2 of the CEDAW stipulates the obligation of the States Parties to "condemn discrimination against women in

all its forms, agree to pursue by all appropriate means and without delay a policy of eliminating discrimination against women." Paragraph (f) of the same article, which North Korea withdrew from deferral in November 2015, specifically stipulates that all State Parties should take all appropriate measures to "modify or abolish existing laws, regulations," as well as "customs and practices which constitute discrimination against women," including legislation. Through the Law on the Protection and Promotion of the Rights of Women, North Korea prevents "all forms of discrimination against women." However, the traditional distinction and exclusion based on gender still seem to directly and indirectly limit North Korean women from exercising their basic freedoms under the concept of equality with men.

# (1) Stereotype of Male Superiority and Fixed Gender Roles

State Parties to the CEDAW shall take all appropriate measures to modify the social and cultural patterns of conduct of both men and women, with the goal of achieving the elimination of prejudice, customary discrimination and all other practices which are based on the idea of the inferiority or superiority of either of the sexes or on stereotyped roles of men and women (Article 5). In its first State Party's Report on the CEDAW in 2002, North Korea admitted that "while the fixed division of gender roles has almost been eliminated, customary discrimination, including men

being considered responsible for the sphere outside the home and women being considered responsible for the sphere inside the home or major forms of work regarded as work for men and miscellaneous forms of work regarded as work for women still exist. 646 In the combined second, third and fourth periodic reports published in 2016, North Korea also stated that the custom of male superiority still remains ingrained in society and family even after the Law on the Protection and Promotion of the Rights of Women was adopted and explained that it is because the general population does not know about the national policies and laws and the customary stereotypes and attitudes remain. 647

North Korea emphasized that the Korea Democratic Women's Union (hereinafter the Women's Union) is working with the People's Committee to eliminate fixed gender roles and improve awareness on gender equality and that it has actively responded to the related issues through educational campaigns and the reinforcement of administrative/legal measures, etc.<sup>648</sup> Moreover, North Korea stated that it has pledged to identify cases of prejudice and discrimination against women in all government agencies at the institutional level and actively respond to such issues.<sup>649</sup> However, overshadowing such efforts, the stereotype

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<sup>646</sup>\_UN Doc. CEDAW/C/PRK/1 (2002), para. 101.

<sup>647</sup>\_UN Doc. CEDAW/C/PRK/2-4 (2016), para. 53.

<sup>648</sup>\_ *lbid.*, para. 56.

<sup>649</sup>\_ *lbid.*, para. 58.

of male superiority and fixed gender roles are deeply rooted in the perceptions of North Korean people. The society-wide trends were for women to be in charge of housework and the nurturing of children. There were also expectations for women to embody the qualities of a "Chosun woman." In these ways, it appears gender stereotypes of male superiority persist. 650 According to many testimonies, although the economic activities of women have been expanded, their social positions were still substantially below that of men.651 However, there were some other testimonies that said the idea of male superiority had been on the decline in urban areas. A North Korean defector testified that there is a relatively better perception of gender equality in Pyongyang, but other provinces have severe discrimination.<sup>652</sup> In addition, while the stereotype on gender discrimination still exists, some said that women have become more vocal in the household.653 A North Korean defector testified that as more women became the breadwinners of the family, the husbands showed more consideration and took care of household chores. 654

<sup>650</sup>\_NKHR2018000002 2018-03-12; NKHR2018000003 2018-03-12; NKHR2018000010 2018-03-12; NKHR2018000012 2018-03-12; NKHR2018000017 2018-04-09; NKHR2018000042 2018-06-04; NKHR2018000100 2018-10-01; NKHR2019000017 2019-05-07; NKHR2019000018 2019-05-07; NKHR2019000028 2019-06-03; NKHR2019000047 2019-07-01; NKHR2019000048 2019-07-01; NKHR2019000055 2019-07-29; NKHR2019000056 2019-07-29.

<sup>651</sup>\_NKHR2018000010 2018-03-12; NKHR2018000017 2018-04-09; NKHR2018000021 2018-04-09; 2018000022 2018-04-09; NKHR2018000027 2018-04-09.

<sup>652</sup>\_NKHR2018000044 2018-03-14.

<sup>653</sup>\_NKHR2018000049 2018-06-04; NKHR2018000102 2018-10-01.

<sup>654</sup>\_NKHR2018000058 2018-07-02.

Of note is that the perception on gender roles of the younger generation is gradually becoming differentiated from that of the older generation in North Korea. There were several female defectors in their 20s in the 2019 survey who expressed critical opinions about gender discrimination and the stereotypes of their parents' generation. 655 Moreover, it was possible to infer that the stereotype of predominance of men over women has slightly weakened in North Korea based on the testimony that the recent perception of young North Koreans on gender roles was different from their parents' generation, 656 a testimony that North Korean society had changed to one where men could not ignore women because women were breadwinners,657 and a testimony that the perception on men's predominance over women was changing much among the younger generation.<sup>658</sup> However, it seems that such a transition in perception is taking place mostly among women and men have the tendency to "still demand that women look up to men,"659 or "still think that housework is for women "660

655\_NKHR2019000048 2019-07-01; NKHR2019000055 2019-07-29.

<sup>033</sup>\_14141112010000040 2010 07 0171414111201000

<sup>656</sup>\_NKHR2019000054 2019-07-29.

<sup>657</sup>\_NKHR2019000077 2019-09-25.

<sup>658</sup>\_NKHR2019000083 2019-09-25.

<sup>659</sup>\_NKHR2019000054 2019-07-01.

<sup>660</sup> NKHR2019000083 2019-09-25.

Testimonies on Stereotypes of Male Superiority and Fixed Gender Roles

Table IV-1

Fixed Gender Roles	
Testimonies	Testifier ID
A woman in her 30s who defected in 2015 testified that: 1) men are social beings and they have to work at a company and not sell items in the market; 2) men are like the sky; and 3) women belong to men.	NKHR2017000033 2017-06-05
A woman in her 20s who defected in 2016 testified that the husband comes first in a family.	NKHR2017000009 2017-04-10
A woman in her 50s who defected in 2018 testified that there is a prevalent perception in North Korea that wife shall serve husband to the extent that even when scooping rice in a bowl, the wife should scoop the husband's first.	NKHR2018000032 2018-05-08
A woman in her 40s who defected in 2018 testified that there is a prevalent perception in North Korea that the wife shall be obedient to the husband even when one's husband fails to financially support the family.	NKHR2018000055 2018-07-02
A woman in her 40s who defected in 2018 testified that there is a prevalent perception in North Korea that men need to have a decent job while women need to have a good husband. She also testified that poor parents are more likely to think that daughters can live just fine by learning basic money calculation (without receiving proper education).	NKHR2018000076 2018-07-02
A woman in her 20s who defected in 2018 testified that there is a prevalent perception in North Korea that there is a clear distinction between what men can do and what women can do.	NKHR2018000118 2018-10-01
A woman in her 30s who defected in 2018 testified that "manliness" was for people with a good job and power, and "ladylike" was for those who earn much money and were good homemakers.	NKHR2019000077 2019-09-25
A woman in her 50s who defected in 2019 testified that North Korean women were subject to evaluation based on criterion including decent appearance, nice character, and kind heart.	NKHR2019000066 2019-08-26
A woman in her 20s who defected in 2019 testified that she was continuously trained at home that "women should be clean, innocent, and not talkative."	NKHR2019000068 2019-08-26

# (2) Women's Limited Political Participation and Social Entry

According to CEDAW, State Parties shall take all appropriate measures to eliminate discrimination against women in the political and public life of the country and, in particular, shall ensure these rights to women on equal terms with men (Article 7). The North Korean Socialist Constitution stipulates that the social status and rights of women are equal to those of men (Article 77), and the Law on the Protection and Promotion of the Rights of Women stipulates that "the State shall actively involve women in social and political activities and raise the ratio of women deputies in each level of the People's Assembly" (Article 12). In the combined second, third and fourth periodic reports submitted in 2016, North Korea stressed that North Korean women have equal status with men in political and public life.<sup>661</sup>

However, it seems that North Korean women's social and political activities are in effect, limited. A case in point is the very low political participation. Since the 1970s, North Korea has maintained a level of 15~20% of the deputies of the SPA as women and 20~30% of the deputies of local People's Assemblies are known to be women. Through the combined second, third and fourth periodic reports, North Korea stated that the ratio of women deputies in the 13th Supreme People's Assembly in 2014

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661\_UN Doc. CEDAW/C/PRK/2-4 (2016), paras. 75~83.

was 20.2%, and the ratio in the local People's Assemblies in 2015 was 27%. 662 As for the 14th SPA deputies election held in March 2019, it was reported that 17.6% of the elected candidates were women 663

Given the characteristics of the North Korean regime, it is more appropriate to identify the level of North Korean women's political participation based on the ratio of women in major positions of the KWP than the ratio of women in each level of the People's Assembly. 664 At the 7th Party Convention held in May 2016, 3,467 representatives with the right to vote and 200 representatives with the right to address the convention, who were elected from the Party Representatives of each level, participated, and among them, there were 315 women, accounting for 8.6% of all representatives. Breaking down the 129 members and 106 candidate members of the Party Central Committee based on gender, there are four female members (3.1%) and three candidate

<sup>662</sup>\_lbid., para. 77. The ratio of female lawmakers is one of the representative indicators of the level of women's political participation in each country. The statistics of the Inter-Parliamentary Union (IPU) that compare each country's female lawmaker ratio shows that among the 687 deputies of the 13th Supreme People's Assembly in North Korea, women account for 122 deputies, or 16.3%. This ranks 122nd among the 191 countries surveyed. However, based on the 20.2% that North Korea stated in the combined second, third and fourth periodic reports, it ranks 93rd among the 191 countries. Please refer to Inter-Parliamentary Union (IPU), "Women in National Parliaments, Situation of 1st January 2017," <a href="https://www.ipu.org/wmn-e/arc/classif010616.htm">www.ipu.org/wmn-e/arc/classif010616.htm</a>).

<sup>663</sup>\_ "Choe Ryong-hae selected as SPA Permanent Committee chairman," *Tongil News*, 12 April 2019.

<sup>664</sup>\_Kyung-ok Do *et al.*, *Human Rights Situation of Women and Children in North Korea* (Seoul: KINU, 2016), p. 9.

members (2.8%).<sup>665</sup> In addition, there are 4 permanent members, 13 members, and 12 candidate members in the Political Bureau of Central Committee, the supreme decision making body of Workers' Party of Korea, among whom the only female member is Kim Yo Jong, Kim Jong Un's younger sister.<sup>666</sup> As such, the ratio of women in the Party is low, and the ratio decreases the closer it gets to the core of the power structure.<sup>667</sup> Moreover, there is only a small number of women appointed as members of the Cabinet that have political and administrative responsibility and authority.

North Korea's Law on the Protection and Promotion of the Rights of Women stipulates that with regard to the hiring of female senior officials, their planned nurturing and hiring shall be carried out and discrimination against women in the selection processes of institutions, enterprises and organizations shall be eliminated (Article 15). However, it seems that actual opportunities for North Korean women to improve their social status remains limited. The Report of the Detailed Findings of the Commission

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<sup>665</sup>\_There could be some errors in the statistics as the data to identify the identity of some newly elected members and candidate members are limited. What has been known so far is that Hyon Song-wol was selected as a candidate in the 2nd plenary session of the 7th Party central committee in October 2017 and was promoted to a member in the 4th plenary session in 2019. Choe Son-hui was selected as a member without going through the step of being selected as a candidate in the 4th plenary session in 2019.

<sup>666</sup>\_Ministry of Unification, *North Korea -Directory by Institutions 2019* (Seoul: Ministry of Unification -Political/Military Analysis Division, 2018), p. 9.

<sup>667</sup>\_Kyung-ok Do et al., Human Rights Situation of Women and Children in North Korea, pp. 8~10.

of Inquiry on Human Rights in North Korea published in 2014 points out that only 10% of central government officials are women.668 In its first State Party's Report on the CEDAW, submitted in September 2002, North Korea stated, "We have increased the ratio of female senior staff in the public sector in an effort to improve the social status of women. The ratio of female judges, for example, has reached 10%, while some 15% of employees at the Foreign Ministry are women,"669 and the respective ratios reached 11.9% and 16.5% in the combined second, third and fourth periodic reports submitted in 2016, revealing an increase of only 1~2%.670 In its Concluding Observations published in 2017, CEDAW pointed out that in North Korea, women's participation in political and public sectors are extremely low. In this respect, the report recommended that North Korea adopt measures to set up strategies and goals regarding articles to allocate female participations in major political and public sectors (high-ranking positions, SPA, local People' Assembly, Ministry of Foreign Affairs, higher educational institutes, legislative branch, police, and safety institutes, etc.) to conform with the relevant articles of CEDAW (Article 4, paragraph 1 and Article 25 of General Recommendations). 671

<sup>668</sup>\_UN Doc. A/HRC/25/CRP.1 (2014), para. 314.

<sup>669</sup>\_UN Doc. CEDAW/C/PRK/1 (2002), para. 111.

<sup>670</sup>\_UN Doc. CEDAW/C/PRK/2-4 (2016), paras. 89, 254. 671\_UN Doc. CEDAW/C/PRK/CO/2-4 (2017), paras. 29~30.

It is problematic that opportunities for women's social entry will not expand considerably in the future.<sup>672</sup> To begin, there appears to be a gap between men and women in terms of education opportunities. Theoretically, there should be little difference in the school entrance ratio based on gender for compulsory elementary/middle school education. However, some testified that they were discriminated against in terms of primary and secondary education because they are female. A defector in her 20s who left North Korea in 2018 testified that there was a widespread perception that "it is okay for women to know how to read, nothing more," so she just managed to finish her elementary school.<sup>673</sup> Another North Korean defector in her 40s also said that "my son graduated from middle school but in one class with 30 students, there were only six female students. I don't know why but there always were many more boys than girls."<sup>674</sup>

There seems to be a wide gap in the entrance ratios in higher education, such as universities. In the first State Party's Report on the CEDAW, submitted in 2002, the ratio of women among college students was stated as 34.4%, which means that the college entrance ratio for women was almost half that of men at that time.<sup>675</sup> However, the combined second, third and fourth

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<sup>672</sup>\_Kyung-ok Do et al., Human Rights Situation of Women and Children in North Korea, pp. 9~10.

<sup>673</sup>\_NKHR2018000009 2018-03-12.

<sup>674</sup>\_NKHR2018000057 2018-07-02.

<sup>675</sup>\_UN Doc. CEDAW/C/PRK/1 (2002), para. 132.

periodic reports submitted in 2016 stated that college entrance ratio for women has increased, without presenting specific statistics for elementary/middle school education.<sup>676</sup> In this respect, it seems that higher education opportunities for women have not changed much in the last decade. One North Korean defector in her 20s who defected in 2016, testified that there are many women in technical schools, and more men are entering universities.<sup>677</sup> Another female testifier in her 20s who defected in 2018 testified that "universities are for men and there is a widespread perception that it is no use for women to study."<sup>678</sup>

While the social atmosphere that discriminates against women serves as an obstacle to social entry for North Korean women, North Korean women themselves also sometimes internalize gender-discriminatory perceptions. A substantial number of female defectors who responded to the interview replied that they had never "felt gender discrimination" but showed low perception on gender equality saying that "I think that men should be unconditionally treated well," 680 "the way of thinking that men

<sup>676</sup>\_UN Doc. CEDAW/C/PRK/2-4 (2016), para. 99.

<sup>677</sup>\_NKHR2016000141 2016-08-23.

<sup>678</sup>\_NKHR2018000008 2018-03-12.

<sup>679</sup>\_NKHR2019000014 2019-05-07; NKHR2019000015 2019-05-07; NKHR2019000017 2019-05-07; NKHR2019000018 2019-05-07; NKHR2019000020 2019-05-07; NKHR2019000029 2019-06-03; NKHR2019000030 2019-06-03 and many other testimonies.

<sup>680</sup>\_NKHR2019000018 2019-05-07.

are superior to women is natural,"681 and "I think men are more appropriate as party executives."682

# (3) Family Life Centered around Male Heads of Household

Early on in the regime, North Korean authorities declared that the existing male-centered and authoritarian traditional Confucian family system was not only a hurdle to the Socialist revolution but also oppressed women politically and economically. Furthermore, it regarded the "liberation of women from the colonial and feudalistic yoke of oppression and the guarantee of equal rights with men in all aspects of social activity as crucial tasks in its anti-imperialist, anti-feudal democratic revolution." However, although superficial legal and institutional measures were implemented to provide Socialist-style equality between men and women, traditional patriarchal structures were maintained within families. In particular, as the sole leadership of Kim Il Sung and Kim Jong Il solidified during the 1970s, premodern traditions of family life were emphasized.

The North Korean Family Law stipulates, "In family life, the husband and wife have equal rights" (Article 18). However, in North Korea, only men can be the "head of household."

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<sup>681</sup>\_NKHR2019000020 2019-05-07.

<sup>682</sup> NKHR2019000030 2019-06-03.

Furthermore, it has been found that overall family life is decided and carried out centered around the male "head of household." Traditionally, the husband who is the "head of household" in a North Korean family was regarded as having absolute power in all family matters including issues related to children.

However, as of late, there have been many testimonies that such patriarchal characteristics of North Korean families have weakened and the status of the head of household (husband) is changing. It appears that these changes are because of an increase in women's voices as a result of the increase in their economic activities and cases where the women become the breadwinners of the family rather than as a result of institutional factors such as the enactment of the Law on the Protection and Promotion of the Rights of Women.<sup>683</sup> A North Korean defector in her 50s who defected North Korea in 2016 testified that more women started economic activities while more men lost their economic capability, hence losing their decision-making power in the household. 684 In addition, a North Korean woman in her 20s who defected in 2017 said that even when both husband and wife are working together, it was a common perception that the wife needed to obey what her husband said but more recently, fewer wives would endure such hardships and more would file for

<sup>683</sup>\_Kyung-ok Do et al., Human Rights Situation of Women and Children in North Korea, pp. 15~16; NKHR2018000004 2018-03-12.

<sup>684</sup>\_NKHR2018000032 2018-05-08.

divorce.<sup>685</sup> In addition, it seems to be clear that the economic power of women is creating cracks in the firm patriarchal order in North Korean society based on the testimony that a husband cannot ignore his wife if she earns money<sup>686</sup> and a testimony that husbands treat their wives with more contempt when the wives earn money because of their inferiority complex.<sup>687</sup>

What is interesting is that there is an increasing tendency among North Korean women to refuse to get married and to prefer a common-law marriage by living together. In addition to the burden of having to be responsible for the husband's livelihood, it is very difficult to divorce one's spouse. Thus, a common-law marriage is maintained instead of registering the marriage, and if the relationship sours or circumstances arise, they choose to end the relationship. A North Korean defector \(\circ{1}{2}\) who defected in 2019 said that North Korean women were recently showing a tendency to refuse marriage and the idea "how can I become responsible for a husband as well when my own life is already so hard?" was spreading. There was also a testimony that half of those who get married do not register the marriage.

<sup>685</sup>\_NKHR2018000003 2018-03-12.

<sup>686</sup>\_NKHR2019000041 2019-07-01.

<sup>687</sup>\_NKHR2019000028 2019-06-03.

<sup>688</sup>\_NKHR2019000008 2019-04-08; NKHR2019000033 2019-06-03; NKHR2019000035 2019-06-03; NKHR2019000077 2019-09-25; NKHR2019000067 2019-08-26.

<sup>689</sup>\_NKHR2019000035 2019-06-03.

<sup>690</sup> NKHR2019000077 2019-09-25.

also a testimony that there was a direction by Kim Jong Un to issue birth certificates for all children born out of wedlock in June 2018, as there was a rapid increase of children born out of wedlock as a result of such situations. Of course, it cannot be hastily concluded that this is a general trend across North Korea since many of the North Korean defectors who participated in the interviews were from border regions. However, it is assessed that, at the very least, this is an actual phenomenon taking place in the border regions.

#### (4) Double Burden of Housework and Social Labor

North Korean authorities argue that it has guaranteed the conditions for women's equal social entry through the socialization of housework and the socialization of childcare. However, despite this argument, it seems that the traditional role of women in the family is still emphasized. With the continued economic crisis, policies to socialize housework and childcare have decreased and the division of housework and childcare at home has not taken place, creating excessive burden of labor on North Korean women.

Through its recommendations in the 2005 and 2017 Concluding Observations, the Committee expressed its concern that there was indirect discrimination against women, for example, in how

691 NKHR2019000035 2019-06-03.

women were choosing not to pursue management positions due to pressures of running a household and the subsequent lack of time spent at the workplace.<sup>692</sup> In the 2016 implementation report, North Korea explained that it is taking diverse measures, including seminars and education to overcome fixed gender roles and indirect discrimination against women. North Korea considered women's job assignments and made it mandatory to establish welfare facilities and daycare facilities at work sites as temporary special measures for the improvement of gender equality.<sup>693</sup>

Yet, in reality North Korean women's rights have not improved. Women are still responsible for housework and social labor. In the 2018 and 2019 survey, there were many testimonies about the dominant perception that even if women are involved with economic activities, household chores are the wife's responsibility. 694 However, some testimonies showed that there is a shift in such long-held perception in the minds of the younger generation. 695 A female North Korean defector ○○○ in her 40s

<sup>692</sup>\_UN Doc. CEDAW/C/PRK/1 (2002), paras. 27~28; UN Doc. CEDAW/C/PRK/CO/2-4 (2017). paras. 23~24.

<sup>693</sup>\_UN Doc. CEDAW/C/PRK/2-4 (2016), paras. 45, 47, 55.

<sup>694</sup>\_NKHR2018000012 2018-03-12; NKHR2018000017 2018-04-09; NKHR2018000062 2018-07-02; NKHR2018000073 2018-07-30; NKHR2018000080 2018-07-30; NKHR2019000017 2019-05-07; NKHR2019000028 2019-06-03; NKHR2019000047 2019-07-01; NKHR2019000048 2019-07-01; NKHR2019000055 2019-07-29; NKRH2019000056 2019-07-29; NKHR2019000071 2019-08-26 and many other testimonies.

<sup>695</sup>\_NKHR2018000075 2018-07-30; NKHR2019000068 2019-08-26.

who defected in 2017 testified that "currently, there are more women earning money and men doing housework among young North Koreans." A female North Korean defector  $\bigcirc\bigcirc\bigcirc$  in her 20s who defected in 2019 testified that "young women including myself think that men and women should do the same amount of housework." There have been testimonies that although the stereotype that women should tend to the housework regardless of their economic activity prevails, in actual day-to-day life, husbands help with the housework. A woman in her 30s who defected in 2015 testified that her father was wholly responsible for housework since her mother was selling goods in the marketplace (*jangmadang*).

While economic activities and housework already represent substantial labor burden, North Korean women have little free time to rest. This is because they need to participate in Life Review Sessions (*saenghwalchonghwa*), and labor mobilization campaigns carried out by the Women's Union on a daily basis.<sup>700</sup> One defector in her early 50s, who defected in 2014 testified that she participated in mobilization campaigns for farming villages, railroads and People's Unit (*inminban*) almost every day, working

<sup>696</sup>\_NKHR2019000054 2019-07-29.

<sup>697</sup>\_NKHR2019000068 2019-08-26.

<sup>698</sup> NKHR2018000008 2018-03-12; NKHR2018000032 2018-05-08.

<sup>699</sup> NKHR2019000041 2019-07-01.

<sup>700</sup>\_Kyung-ok Do et al., Human Rights Situation of Women and Children in North Korea, p. 18.

from 8 am to 12 pm and 2 pm to 4 or 5 pm, and she had to pay 3,000 North Korean won if she was absent.<sup>701</sup> A North Korean woman in her 30s who defected in 2018 testified that if she quit her job after getting married, she would have to take a part in the activities of the Women's Union. However, the forced labor as part of the Women's Union was so arduous that she chose not to not leave her original job.<sup>702</sup> Another North Korean woman in her 30s who defected in 2018 said that it used to be common for women to quit their jobs after getting married. However, recently, with increasing labor mobilization for housewives, more women are choosing to stick with their day jobs.<sup>703</sup> There was no compensation for any of the social labor that women were mobilized to do.<sup>704</sup>

## B. Prevalent Violence against Women

### (1) Domestic Violence

Domestic violence is one of the most malicious forms of violence against women.<sup>705</sup> Through its specific recommendations, the Committee recommended that State Parties should take

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701\_NKHR2016000143 2016-08-23.

702 NKHR2018000044 2018-06-04.

703\_NKHR2018000041 2018-06-04.

704\_NKHR2016000148 2016-09-06.

705\_UN CEDAW, General Recommendation, No. 19 (1992), para. 23.

appropriate and effective measures to overcome all forms of gender-based violence, whether by public or private act (a), provide appropriate protective and support services for victims (b) and identify the nature and extent of attitudes, customs and practices that perpetuate violence against women and the forms of violence that result (e).<sup>706</sup>

The Committee expressed concerns in its Concluding Observations both in 2005 and in 2017 that North Korea is not fully aware of the seriousness of family violence and is not taking any protective or preventive measures against such violence. Specifically, it urged North Korea to enact laws on the criminal punishment and civil relief of domestic violence, to establish ways for women victims of violence to request immediate protection and relief and to ensure that assailants are prosecuted and punished.<sup>707</sup> Article 46 of the Law on the Protection and Promotion of the Rights of Women enacted in North Korea in 2010 stipulates that "All forms of domestic violence against women must not take place within the family. The Local People's Committees and institutions, enterprises and other organizations should regularly hold educational programs for people and employees to prevent domestic violence in families in their jurisdiction or district they are in charge of" in order to establish

<sup>706</sup> Ibid., para, 24.

<sup>707</sup>\_UN Doc. CEDAW/C/PRK/CO/1 (2005), paras. 37~38; UN Doc. CEDAW/C/PRK/CO/2-4 (2017). paras. 25~26.

the provisions of measures to prevent domestic violence and of protective measures. However, there was no specific revision of the Criminal Law on this matter and it seems that there has been little change in reality.

Most North Korean defectors testified that although domestic violence has been widely frequent, it is rare for the government to intervene as it is the norm in North Korean society to treat what happens in the household as a problem that needs to be resolved within the household. A North Korean woman in her 30s who defected in 2016 testified that domestic violence would not cause any social issue unless someone was killed. 708 A North Korean woman in her 50s who defected in 2017 testified that she suffered from severe domestic violence ever since she got married in 1989 up until 2016, the year her husband died. No help had been given despite having reported to the police on numerous occasions.<sup>709</sup> One defector in her mid 20s who left North Korea in 2015, testified that even when women talk to the MPS officers in charge, they just say "handle your family problems between yourselves," and that it is hard to expect any legal measures or assistance.<sup>710</sup> Even when officers witness domestic violence, they urge the victim to understand and not to make any fuss since

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<sup>708</sup>\_NKHR2018000041 2018-06-04.

<sup>709</sup>\_NKHR2018000017 2018-04-09.

<sup>710</sup> NKHR2016000154 2016-09-06.

arguments between husband and wife is like trying to cut water with a knife (Korean proverb meaning that it is inconsequential).<sup>711</sup> One defector who left North Korea in 2017, testified that she was a victim of domestic violence but the officer did not do much except for stopping the violence at the scene.<sup>712</sup> Even party organizations simply advise or criticize the husbands.

Victims often give up trying to make a report because they know no measures will be taken. A defector who left North Korea in 2017 testified that although there are frequent cases of domestic violence as a result of hardships in their lives, people do not even consider reporting them.<sup>713</sup> There were many testimonies that even in the case where a woman wants to file for divorce due to harsh domestic violence, divorce is in fact difficult because the process is overly complicated or the costs of trial and bribery are significantly high and the Party guidelines discourage divorce.<sup>714</sup> A North Korean woman in her 20s who defected in 2018 said that she was threatened by her husband who kept saying he would stab her so she demanded a divorce. However, there was no way to get a divorce when her husband refused to do so.<sup>715</sup>

<sup>711</sup>\_NKHR2017000084 2017-09-25.

<sup>712</sup>\_NKHR2017000049 2017-07-03.

<sup>713</sup>\_NKHR2017000084 2017-09-25.

<sup>714</sup>\_NKHR2017000099 2017-10-23; NKHR2017000100 2017-10-23; NKHR2018000040 2018-05-08; NKHR2018000041 2018-06-04.

<sup>715</sup> NKHR2018000049 2018-06-04.

Occasionally, there have been cases where a victim reported domestic violence and her husband was investigated or punished. For example, a North Korean woman in her 40s who defected in 2017 testified that her neighbor's husband was arrested for domestic violence and was detained for two days. However, this case seems to be an exception, and unless there is an extreme situation such as a domestic homicide, measures such as criminal punishment are rarely given. Moreover, North Koreans tend not to think of domestic violence as something that should be reported, believing that reporting domestic violence is a humiliation.<sup>716</sup> It also seems that there is a deep-rooted perception that the cause of domestic violence is the women themselves.<sup>717</sup> The Women's Union that proclaims to enhance women's empowerment is also not very helpful in resolving domestic violence issues.<sup>718</sup> In addition, there are no shelters for victims of domestic violence. As such, it has been found that women exposed to domestic violence are not protected by the government or society.

In the 2019 survey, however, there were some testimonies that as of late, domestic violence was decreasing as the economic power of women and their voice within the family have grown stronger. Since the livelihood of families are mostly possible

<sup>716</sup>\_NKHR2018000012 2018-03-12.

<sup>717</sup>\_Kyung-ok Do et al., Human Rights Situation of Women and Children in North Korea, p. 20.

<sup>718</sup>\_ Ibid., p. 21.

through the economic activities of women, women could end their relationship or divorce instead of enduring domestic violence. For such reasons, men were restraining themselves from using violence. There was also a testimony that while it was difficult to divorce in North Korea, it was possible to divorce for the reason of domestic violence. A female North Korean defector on her 50s who defected in 2018 testified that domestic violence is gradually decreasing as the number of cases of divorce due to the husband's violence increased in the past 3~4 years, and that the 312 Permanent Committee is taking measures to get separated couples divorced so that they can have stable lives. However, there were also a substantial number of testimonies that stated there were frequent cases of domestic violence and the government would not intervene even when the domestic violence was reported as it is regarded as a private matter.

## (2) Gender-Based Exploitation and Violence

According to CEDAW, States Parties shall take all appropriate measures, including legislation, to suppress all forms of traffic in

<sup>719</sup>\_NKHR2019000012 2019-04-20; NKHR2019000030 2019-06-03; NKHR2019000056 2019-07-29; NKHR2019000062 2019-07-29; NKHR2019000063 2019-07-29; NKHR2019000077 2019-09-25.

<sup>720</sup>\_NKHR2019000022 2019-05-07; NKHR2019000036 2019-06-03; NKHR2019000042 2019-07-01; NKHR2019000062 2019-07-29; NKHR2019000077 2019-09-25.

<sup>721</sup> NKHR2019000062 2019-07-29.

<sup>722</sup>\_NKHR2019000001 2019-04-08; NKHR2019000022 2019-05-07; NKHR2019000030 2019-06-03; NKHR2019000042 2019-07-01; NKHR2019000066 2019-08-26.

women and exploitation of prostitution of women (Article 6). Gender-based violence is a form of discrimination that seriously inhibits women's ability to enjoy rights and freedoms on a basis of equality with men.<sup>723</sup> The Law on the Protection and Promotion of the Rights of Women in North Korea stipulates that those who conduct, organize, encourage or force prostitution shall be punished by law (Article 40). In the combined second, third, and fourth periodic reports published in 2016, North Korea emphasized that gender-based exploitation and violence against women are strictly addressed based on related provisions in the Criminal Law (Article 249 of the Criminal Law on the crime of prostitution, Article 279 of the Criminal Law on the crime of rape, and Article 281 of the Criminal Law on the crime of sex with a minor), and the crime of the introduction and distribution of decadent culture (Article 183 of Criminal Law) is heavily punished to prevent any encouragement of sexual exploitation.<sup>724</sup> Moreover, North Korea stated that it guarantees the protection of the identity of the victims of sexual violence and providing compensation for damages based on the Law on Compensation for Damages.<sup>725</sup> In 2017, CEDAW expressed concerns over North Korea's decision to mediate the criminal punishment on sexual assualt crimes. In 2009, the law sentenced two years, two

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<sup>723</sup>\_UN CEDAW, General Recommendation, No. 19 (1992), para. 1.

<sup>724</sup>\_UN Doc. CEDAW/C/PRK/2-4 (2016), paras. 66~70.

<sup>725</sup>\_ *lbid.*, para. 71.

years, and five years of correctional labor for prostitution, forced sexual relations with a subordinate, and sexual relations with a minor, respectively. However, the sentences have now been changed to one year of correctional labor for all three crimes. In the deliberation process of the implementation reports, North Korea answered that one reason to alleviate the punishment on the forced sexual relations with a subordinate was that it was understood as a kind of consensus between the two as the victim (woman) would expect convenience in return for the sexual relations and accept it accordingly. In response, the Committee recommended that the sentence should be equivalent to that of rape (five years of correctional labor).726 For sexual assault between a married couple, there was almost no perception that it would constitute a crime. In addition, North Korea has repeatedly stated that there is no sexual harrassment issue in workplace. Likewise, North Korea does not seem to properly recognize the seriousness of violence against on women, and such a lack of perception has led to the absence of protective and preventive measures for victims.

In fact, it is very difficult to identify the accurate reality of the related situation in North Korea as sexual violence mostly occurs secretly and victims are highly likely to be reluctant to disclose their case. However, in this regard, defector testimonies confirm a

726\_UN Doc. CEDAW/C/PRK/CO/2-4 (2017), para. 38.

number of concerns. First of all, North Korea does not take sexual harassment or sexual assaults seriously. A North Korean woman in her 20s who defected in 2015 routinely experienced sexual harrassment and molestation and people saw her as an easily approachable person just because she smiled at their jokes.<sup>727</sup> A female North Korean defector OOO in her 20s who defected in 2019 testified that she was bullied at her workplace for refusing attempted sexual violence and was ultimately kicked out of the workplace.<sup>728</sup> In addition, even when a woman is raped, it is common for women not to report it due to humiliation and social stigmatization.<sup>729</sup> There are also testimonies that there is a negative social perception of the victims of sexual violence; for example, the victim should be held accountable as it means she did not protect her own body, 730 and that victims do not report the violence for the sake of their future because it may be difficult to get married later if they report their case.<sup>731</sup> Most North Korean defectors testified that there are no follow-up measures to protect victims of sexual violence and they are completely unaware of

<sup>727</sup>\_NKHR2018000033 2018-05-08.

<sup>728</sup> NKHR2019000100 2019-10-21.

<sup>729</sup> NKHR2016000139 2016-08-23; NKHR2016000140 2016-08-23; NKHR2017000050 2017-07-03.

<sup>730</sup>\_NKHR2016000143 2016-08-23; NKHR2016000145 2016-08-23; NKHR2017000026 2017-05-08; NKHR2017000039 2017-06-05; NKHR2018000033 2018-05-08; NKHR2019000082 2019-09-25.

<sup>731</sup> NKHR2016000148 2016-09-06; NKHR2017000046 2017-07-03; NKHR2019000082 2019-09-25.

such measures. In 2017, CEDAW requested detailed statistics on reports filed for sexual violence and how they were managed. However, official statistics have not been presented.

There were occasional cases where suspects have been punished. Another defector who left North Korea in 2016 testified that a perpetrator who raped a minor in Hyesan, Yanggang Province was sentenced to one year of correctional labor. A North Korean who defected in 2018 testified that he/she heard a lecture from the People's Unit (*inminban*) in 2016, where the lecturer said "Keep yourself educated," referring to a story of a man who was sentenced to ten years of correctional labor for sexual assault.

Although physical violence is not involved, it seems that there are many cases where women experience sexual exploitation or are harmed as a result of societal structure. For women who are engaging in unofficial economic activities, those working for powerful institutions demand bribes in return for turning a blind eye to such illegal activities and some demand sexual favors in return. For women who are barely maintaining their livelihood through vending, it would not be easy to reject and resist such demands.<sup>734</sup>

<sup>732</sup> NKHR2017000010 2017-04-10.

<sup>733</sup> NKHR2018000049 2018-06-04.

<sup>734</sup>\_Kyung-ok Do et al., Human Rights Situation of Women and Children in North Korea, p. 25.

Another problem that should be pointed out is the lack of education to prevent sexual violence.<sup>735</sup> When asked if there is education to prevent sexual violence in North Korea, North Korean defectors replied that they have not received such education.<sup>736</sup> One defector testified that although the testifier received much education on socialist gender equality, he/she had never received sex education.<sup>737</sup> As a result, it seems that some North Korean women do not sufficiently perceive the seriousness of the issue although they themselves have experienced or witnessed sexual violence or are exposed to the risks of sexual violence.

## C. Treatment of Repatriated Women

## (1) Punishment of Women Victims of Human **Trafficking**

In general, poverty and unemployment lead to an increase in female trafficking. The Committee expressed concerns over new forms of sexual exploitation, including planned marriages between women from underdeveloped countries and foreign men, in addition to existing forms of human trafficking. The Committee

<sup>735</sup>\_ Ibid.

<sup>736</sup>\_NKHR2016000117 2016-07-26; NKHR2016000118 2016-07-26; NKHR2016000119 2016-07-26; NKHR2017000050 2017-07-03 ; NKHR2019000027 2019-06-03; NKHR2019000041 2019-07-01; NKHR2019000055 2019-07-29; NKHR2019000068 2019-08-26; NKHR2019000077 2019-09-25 and many other testimonies.

<sup>737</sup>\_NKHR2016000134 2016-08-09.

held the view that such practices are contradictory to women's equal enjoyment of rights and to the respect for their rights and dignity and that they expose women to special risks of violence and abuse.<sup>738</sup> The Committee called for the introduction of special measures to eliminate poverty aimed at improving the situation of vulnerable women exposed to exploitation including human trafficking, etc., in its 2005 recommendations. Moreover, it emphasized the necessity of support for women who returned to North Korea after defecting for economic reasons so that they can be reintegrated with their families and society and be protected from all forms of human rights violations.739 In 2014, the UN Commission of Inquiry on Human Rights in the DPRK stressed that the trafficking of women should be immediately and effectively addressed and recommended that the structural reasons for these women becoming vulnerable to such violence be resolved.<sup>740</sup> In 2017, the Committee expressed concern over women who once defected from and were repatriated to North Korea, specifically, that these women were punished for illegal border-crossing (bibeop wolgyeongjoe) and subject to sexual assault, forced abortion, and deprived of a fair trial, and recommended corrective measures.<sup>741</sup>

<sup>738</sup>\_UN CEDAW, General Recommendation, No. 19 (1992). para. 14.

<sup>739</sup>\_UN Doc. CEDAW/C/PRK/CO/1 (2005), para. 42.

<sup>740</sup>\_UN Doc. A/HRC/25/63 (2014), para. 89 (i).

<sup>741</sup>\_UN Doc. CEDAW/C/PRK/CO/2-4 (2017). para. 46.

North Korea strictly limits the freedom of entering/exiting its borders and stipulates in Article 221 of the Criminal Law that anyone who illegally crosses border shall be sentenced to correctional labor (one year up to five years depending on seriousness of the crime). As a result, North Korean women are sometimes put in situations where they have no choice but to use organized human trafficking to cross the border.742 In the 2016 implementation report, North Korea emphasized that human trafficking is strictly punished and did not provide an answer regarding the measures it has taken to resolve the structural reasons behind women's vulnerability to trafficking or authorities' role in protecting victims. However, North Korea emphasized in the report that those who returned after illegally crossing the border receive educational measures instead of legal punishment and that the People's Committee in charge takes measures to ensure their reintegration with their families and society.<sup>743</sup> In addition, during the Committee's deliberation process in 2017, North Korea responded that there are no social and structural factors inducing 'human trafficking' within North Korea. Rather, it argued that Kim Ryenhee, who defected from North Korea and entered South Korea in 2011, and 12 females who worked at restaurants and defected from North Korea in 2016

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742\_NKHR2015000043 2015-02-24; NKHR2015000072 2015-04-07.

743\_UN Doc. CEDAW/C/PRK/2-4 (2016), para. 74.

were victims of human trafficking who were kidnapped for political reasons.<sup>744</sup>

However, it has been found that, in reality, women victims of trafficking are subject to punishment.<sup>745</sup> It appears this is because North Korean authorities regard them as "criminals" who have committed the crime of illegal border-crossing and not as victims of trafficking based on the grounds<sup>746</sup> that the women who are subjects of trafficking knew beforehand that they would be subjects of trafficking. In the 2000s, consistent with what North Korean authorities have claimed, there were testimonies that women who voluntarily returned after going to China through traffickers received education sessions that they commuted to for a week after being questioned on their reasons for border-crossing instead of legal punishment. In some cases, they were released or treated with "group discipline" after they turned themselves in. 747 However, according to most testimonies, the current situation has changed and those who have committed illegal border-crossing are punished without exception.<sup>748</sup> Even in cases where victims are not sentenced to legal punishment, the harsh treatment they

<sup>744</sup>\_UN Doc. CEDAW/C/PRK/Q/2-4/Add.1 (2017), para. 43.

<sup>745</sup> NKHR2016000117 2016-07-26.

<sup>746</sup>\_NKHR2017000014 2017-04-10; NKHR2017000058 2017-07-31; NKHR2017000094 2017-10-23; NKHR2017000100 2017-10-23; NKHR2018000020 2018-04-09; NKHR2018000021 2018-04-09; NKHR2018000025 2018-04-09; NKHR2019000042 2019-07-01.

<sup>747</sup>\_NHKR2016000131 2016-08-09; NKHR2016000129 2016-08-09; NKHR2016000143 2016-08-23.

<sup>748</sup>\_NKHR2016000143 2016-08-23; NKHR2016000134 2016-08-09.

receive during the investigation process means they are treated similarly to actual criminals.749

In general, the weight of punishment is decided based on the length of the defectors' stay in China.750 According to testimonies by female defectors, the level of punishment of forcibly repatriated women has become more severe after Kim Jong Un came to power. A North Korean defector in her 50s who defected in 2019 said that victims of human trafficking used to be sent to labor training camps (rodongdanryundae) but recently it was changed to prison camps (kyohwaso) with sentences ranging 5~10 years.<sup>751</sup> A North Korean defector in her 30s who defected in 2015 testified that the punishment of those who were victims of human trafficking and forcibly repatriated varies with the nature of the offense, and those who engaged in prostitution in China or those who were caught while heading to South Korea were sent to political prison camps (kwanliso).<sup>752</sup>

## (2) Human Rights Violations in the Process of Forced Repatriation and the Investigation Process

One of the most serious human rights violations against women conducted by North Korean authorities is the practice of forced

<sup>749</sup> NKHR2016000148 2016-09-06; HKHR2017000124 2017-11-20.

<sup>750</sup>\_NKHR2016000131 2016-08-09; NKHR2016000133 2016-08-09.

<sup>751</sup>\_NKHR2019000076 2019-08-26.

<sup>752</sup>\_NKHR2019000041 2019-07-01.

abortions during the forced repatriation process as well as inhuman treatment in the investigation process. Such forced sterilizations and abortions have a malicious impact on women's physical and mental health and infringe upon the women's right to decide the number and age difference among their children.<sup>753</sup> Following international criticism of cases where North Korean women impregnated in China were forcibly repatriated and forced to undergo abortion or cases where newborns were neglected to the point of death, some regions in North Korea have authorized the birth of these babies, who were to be given to their Chinese fathers. However, there are testimonies in which testifiers witnessed or heard that women who stayed in China and were forcibly repatriated to North Korea while pregnant with the baby of a Chinese man had to go through a forced abortion in the repatriation process.<sup>754</sup>

Table IV-2 Cases of Human Rights Violations of Forcibly Repatriated Pregnant Women

Testimonies	Testifier ID
In October 2016, at the holding center ( <i>jipkyulso</i> ) in the Songpyeong area of Chongjin, North Hamgyeong Province, a pregnant woman was injected with a drug for abortion.	NKHR2017000099 2017-10-23
In November 2016, at an MSS detention center ( <i>guryujang</i> ) in Hyesan, Yanggang Province, a 4-month pregnant woman was investigated and taken to hospital to get curettage ( <i>sopa susul</i> ).	NKHR2017000128 2017-12-18

<sup>753</sup>\_UN CEDAW, General Recommendation, No. 19 (1992), para. 22.

<sup>754</sup>\_NKHR2017000047 2017-07-03: NKHR2017000099 2017-10-23; NKHR2017000128 2017-12-18; NKHR2017000058 2017-07-31; NKHR2017000104 2017-10-23; NKHR2017000130 2017-12-18.

Cases of sexual violence at detention facilities have also been identified. One defector testified that in July 2016, when she was in a holding center (jipkyulso), was sexually assaulted by a correctional officer (gveho) who said that "this does not constitute as sexual assault because you are dead here and a dead person cannot say she is assaulted." She commented that she wanted to die after hearing this. 755

Another serious problem is the so-called "uterus examination," which is not only humiliating but also very unsanitary. The examination is conducted during the body search process to find money, secret letters or secret documents. A substantial number of female North Korean defectors who have experienced forcible repatriation testified that they received such an examination at the MSS detention centers (gurvujang) and MSS holding centers (iipkvulso) etc.<sup>756</sup> In most cases, it was found that the body searches of women were conducted by women. In other testimonies, the woman who carried out the examination was not a military officer or doctor, but was someone responsible for filing documents.<sup>757</sup> In another testimony, while the body search was done by a female, a male officer was watching it and insulted the woman saying "you and your ugly body served the

<sup>755</sup>\_NKHR2017000045 2017-07-03.

<sup>756</sup> NKHR2017000025 2017-05-08; NKHR2017000045 2017-07-03; NKHR2017000104 2017-10-23; NKHR2018000023 2018-04-09; NKHR2018000024 2018-04-09; NKHR2019000041 2019-07-01; NKHR2019000075 2019-08-26.

<sup>757</sup>\_NKHR2017000104 2017-10-23.

Chinese."<sup>758</sup> In these ways, the pretext of finding money brought in from China is used to justify not only uterus examinations but also forcing women to repeatedly sit and stand or force women to eat and then defecate.<sup>759</sup>

## D. Poor Women's Health and Maternal Health Care

According to CEDAW, State Parties shall take all appropriate measures to eliminate discrimination against women in the field of health care in order to ensure, on a basis of equality of men and women, access to health care services, including those related to family planning (Article 12, paragraph 1). State Parties shall ensure women appropriate services in connection with pregnancy, confinement and the post-natal period, granting free services where necessary, as well as adequate nutrition during pregnancy and lactation (Article 12, paragraph 2).

In the combined second, third, and fourth periodic reports published in 2016, North Korea emphasized that diverse measures were taken to protect female health and maternal health through legislative measures including in the Public Health Law, the Law on the Nursing and Upbringing of Children, the Law on the Protection of Persons with Disabilities, the Law on the Protection

758\_NKHR2017000130 2017-12-18.

759 NKHR2016000131 2016-08-09; NKHR2016000149 2016-09-06.

of the Elderly, the Law on Medical Care, and the Law on the Administration of Medical Supplies.<sup>760</sup> Moreover, North Korea established its Mid-Term Strategy for Healthcare Development (2016~2020) in the Third UPR National Report in 2019 and emphasized that it successfully completed the Health Education Strategy for RH (2014~2018), Action Plan for Newborn Health (2015~2016), and Strategy and Action Plan to Control Child and Maternal Malnutrition (2014~2018).<sup>761</sup> Moreover, it introduced the specific measures taken including the increase of maternal leave to 240 days, the modernization of all maternity clinics, and the establishment of a department related to breast cancer.<sup>762</sup> However, it is not clear whether such institutional measures actually led to the improvement of the right to health of women. This chapter will examine the details of the actual situation by classifying the issue into the categories of women's health and maternal health.

### (1) Women's Health

It is already well known that many North Korean women suffer from poor health conditions including malnutrition and anemia due to the food crisis that has continued since 1990s, excessive

760\_UN Doc. CEDAW/C/PRK/2-4 (2016), para. 143.

761\_UN Doc. A/HRC/WG.6/33/PRK/1 (2019), para 36.

762\_ Ibid., paras. 155, 161.

labor to support the livelihood of their families and the psychological burden based on their increasing responsibility to support their families. It is of concern that the recent survey indicates the continuity of such dire conditions.

In September 2012, the Central Bureau of Statistics of North Korea conducted a nutrition investigation on the North Korean population with technological support from the UNICEF, WFP and WHO.<sup>763</sup> As part of the investigation, an analysis of 7,649 women of childbearing age from 15~49 with children aged 0~59 months was carried out.<sup>764</sup> which measured plasma hemoglobin concentration and mid-upper arm circumference among other indicators. The overall health condition of the women was found to be poor. The results showed that 31.8% of women in their 20s, 30.2% of those in their 30s and 38.7% of those in their 40s were found to have anemia, showing that the prevalence rate of anemia was significantly high among all childbearing age groups. Moreover, 25.2% of women in their 20s, 21.4% of women in their 30s and 21.8% of women in their 40s were found to be malnourished, showing that the nutritional status of North Korean women was significantly poor.

<sup>763</sup>\_Kyung-ok Do et al., Human Rights Situation of Women and Children in North Korea, pp. 35~37. As for survey results, See, UNICEF et al., "DPRK Final Report of the National Nutrition Survey 2012," Soh-yoon Yun et al., "Status of Maternal Nutrition in South and North Korea," Korean Journal of Community Nutrition, Vol. 23, No. 3 (2016). (In Korean)

<sup>764</sup>\_96.3% of them are women aged 20~39. Moreover, there was one woman aged 15~19 and teenagers are not mentioned in the following discussion.

#### (2) Maternal Health Care

One of the most important indicators related to maternal health care is the maternal mortality rate. North Korea announced in the Third UPR in 2019 that the maternal mortality rate decreased from 62.7 per 100,000 newborns in 2014 to 53.2 in 2017.<sup>765</sup> However, the "Trends in Maternal Mortality 2000 to 2017" report announced by WHO in 2019 shows that the maternal mortality rate in North Korea in 2017 was 89 per 100,000 newborns, which shows a significant gap with what North Korea announced.<sup>766</sup>

North Korea guarantees various institutionalized benefits for pregnant women for maternal health care. For example, the State pays for the delivery of the child, (Article 10 of the Public Health Law), provides various benefits to encourage childbirth (Article 11 of the Public Health Law), guarantees 60 days of prenatal leave and 180 days of postnatal leave (Article 33 of Women's Right Protection Law, Article 66 of the Socialist Labor Law), and ensures responsible medical institutes to provide safe and effective treatment (Article 51 of the Law for the Protection of Women's Rights).

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<sup>765</sup>\_UN Doc. A/HRC/WG.6/33/PRK/1 (2019), para. 70.

<sup>766</sup>\_WHO, Trends in Maternal Mortality 2000 to 2017: Estimates by WHO, UNICEF, UNFPA, World Bank Group, and the United Nations Population Division (Geneva: World Health Organization, 2019).

<sup>767</sup>\_Refer to Geumsoon Lee et al., Right to Health in North Korea (Seoul: KINU, 2018), pp. 34~37. (In Korean)

According to the MICS survey conducted by UNICEF on North Korean women who experienced childbirth from 2015 to 2017, 99.5% of the respondents said that they had at least one time of medical checkup and 93.7% said they had more than four checkups. 99.5% responded that they gave birth with the help of skilled medical professionals (doctor, feldsher, nurse, midwife).

Looking at various institutional measures and statistics from international organizations, it seems North Korea maintains a somewhat satisfactory maternity health status but the results of the in-depth interview say a different story. A representative example is that most of the women who gave birth testified that they gave money or other items to their responsible doctor. This is in contrast with the Public Health Law which stipulates that the State will pay the cost of delivering a baby. In addition, not an insignificant number of testimonies claimed that when pregnant, they did not receive any prenatal tests.

Recently, it appears that most women come to the hospital or maternity hospital to deliver their baby. However, it seems that there are still many cases where women in the rural areas give

<sup>768</sup>\_NKHR2015000015 2015-01-27; NKHR2017000009 2017-04-10; NKHR2018000091 2018-08-27; NKHR2018000093 2018-08-27 NKHR2019000033 2019-06-03; NKHR2019000035 2019-06-03; NKHR2019000082 2019-09-25; NKHR2019000086 2019-10-05 and many other testimonies.

<sup>769</sup>\_NKHR2017000112 2017-11-20; NKHR2018000038 2018-05-08; NKHR2018000117 2018-10-22; NKHR2019000001 2019-04-08; NKHR2019000007 2019-04-08; NKHR2019000041 2019-07-01 and many other testimonies.

birth at home.<sup>770</sup> In these cases, women often receive the help of unqualified midwives.<sup>771</sup> However, the lack of transportation in emergency situations mean that a high rate of home deliveries are a factor that increases maternal mortality rate as a result of excessive bleeding, infections, etc. Meanwhile, there have been more testimonies that birth by cesarian section has been increasing.<sup>772</sup> According to the 2017 MICS survey, among the women who gave birth from 2015 to 2017, 12.9% of mothers gave birth by cesarian section. There is a perception in North Korea that cesarian sections are a safer means of delivery than natural birth, and because of the high cost, it is also considered as a symbol of wealth.<sup>773</sup>

There have been many testimonies that pre and postnatal leave is relatively well respected,<sup>774</sup> but because North Korean women tend to quit their jobs and become housewives after marriage, it can be said that pre and postnatal leave is not that meaningful.

770\_NKHR2015000093 2015-05-12; NKHR2018000038 2018-05-08; NKHR2019000033 2019-06-03; NKHR2019000034 2019-06-03.

<sup>771</sup>\_NKHR2016000148 2016-09-06.

<sup>772</sup>\_NKHR2018000040 2018-05-08; NKHR2018000049 2018-06-04; NKHR2018000062 2018-07-02; NKHR2018000091 2018-08-27.

<sup>773</sup>\_NKHR2018000049 2018-06-04.

<sup>774</sup>\_NKHR201700001 2017-04-10; NKHR2017000009 2017-04-10; NKHR2017000014 2018-04-10; NKHR2017000075 2017-08-28; NKHR2017000100 2017-10-23; NKHR2018000016 2018-04-09; NKHR2018000081 2018-07-30.

#### E. Evaluation

As stated in the Preamble of CEDAW, discrimination against women violates the principles of equality of rights and respect for human dignity. Discrimination against women not only becomes an obstacle to women's participation in the political, social, economic and cultural life of a country based on equal conditions to those of men but also limits the prosperity of the society and its families and the complete development of women's potential. North Korea argued that it was resolving the concept of discrimination as defined in CEDAW in its Law on the Protection and Promotion of the Rights of Women and prohibiting all forms of direct/indirect discrimination. However, in reality, it has been found that North Korean women are still experiencing direct/indirect discrimination due to fixed gender roles, limited social entry, family life centered around male heads of household and the double burden of housework and social labor after marketization

In the 2019 survey, however, there were relevant testimonies including one that stated women's voices had become stronger within the family due to the improvement of their economic power and one that stated gender equality values are gradually spreading mostly among the younger generation. Moreover, there was a testimony that domestic violence was decreasing as there were many cases where men were relying on the economic power

of women. However, this is an unintended result of the collapse of the rationing system and the emergence of the marketplace (jangmadang) instead of structural improvement through measures taken by the government. In many cases, it seems that women are suffering from mental and physical stress as they are burdened with excessive labor to maintain the livelihood of their families while also having to be fully responsible for housework.

# Children

Children need special protection and care, including appropriate legal protection due to their physical/mental immaturity. The UDHR stipulates the protection of motherhood and childhood (Article 25, paragraph 2) and the right to education (Article 26) but does not specifically mention the rights of children. The ICCPR and the ICESCR contain provisions that can be related to the rights of children. However, neither of these two covenants explicitly recognize children as the subject of rights. The CRC, which was unanimously adopted at the UN on 20 November 1989 and took effect on 2 September 1990, is distinct from previous international documents related to children in that it fully recognizes children, who had long remained as the targets of protection, as the subject of rights. The CRC is composed of a

<sup>775</sup>\_For example, the ICCPR stipulates that "every child shall have, without any discrimination as to race, colour, sex, language, religion, national or social origin, property or birth, the right to such measures of protection as are required by his status as a minor, on the part of his family, society and the State" (Article 24, paragraph 1). The ICESCR stipulates that "special measures of protection and assistance should be taken on behalf of all children and young persons without any discrimination for reasons of parentage or other conditions" (Article 10, paragraph 3).

preamble, three parts, and 54 articles. Part 1 (Articles 1~41) stipulates the rights of children and the duties of State Parties. Part 2 (Articles 42~45) establishes the Committee on the Rights of the Child (hereinafter the Committee) and country report system. And Part 3 (Articles 46~54) sets forth the signature, ratification, accession, and amendment procedures, etc. The rights set forth in the CRC are largely composed of the right to survival, protection, development, and participation.

Table IV-3	Four Major Rights in the CRC
Right to Survival	Rights of the child required for basic life: right to enjoy adequate living standards; right to live in a safe residence; and right to sufficient nutrition and basic medical services, etc.
Right to Protection	Right of the child to be protected from harmful environment including all forms of abuse and negligence, discrimination, violence, torture, conscription, unjust criminal punishment, and excessive labor and substance and sexual abuse, etc.
Right to Development	Rights of the child to realize his or her maximum potential including the rights to receive education, enjoy leisure, engage in cultural life and acquire information, and enjoy freedom of thought, conscience and religion, etc.
Right to Participation	Rights of the child to actively participate in the activities of a country or local community including the freedom of expression and the right to join organizations or participate in peaceful assemblies, etc.

North Korea ratified the CRC on 21 September 1990, and a month later, the Convention took effect in North Korea on 21 October 1990. Moreover, North Korea ratified the Optional Protocol to the CRC on the Sale of Children, Child Prostitution, and Child Pornography on 10 November 2014, which took effect in North Korea on 10 December 2014. States Parties are required to submit a CRC implementation report to the Committee (Article 44). North Korea submitted its first report in February 1996, its second report in May 2003, its integrated third and fourth reports in December 2007, and its fifth report in April 2016. The Committee completed its preliminary review of North Korea's fifth report in February 2017 and announced its Concluding Observations, which included major concerns and recommendations, in its main review session in September 2017.

In the fifth report, North Korea self-evaluated that "children in the DPRK fully enjoyed their rights and their welfare was promoted at a higher level under the policy of love for children of the supreme leader Kim Jong Un."776 Moreover, the report emphasized that North Korea took several measures, including the enactment of the Law on the Protection and Promotion of the Rights of Children (2010), the Law on General Secondary Education (2011), the promulgation of the Ordinance on the Enforcement of Universal 12-year Compulsory Education (2012), and the establishment of the Korean Association for Supporting the Children (2013), etc.<sup>777</sup> In addition, children's age was stipulated as "up to the age of 16" in the Law on the Protection and Promotion of the Rights of Children, which is different from the definition of children in the CRC. This difference is because North Korean children generally finish their education at the age of 16 or 17 under the existing eleven years of the compulsory

776\_UN Doc. CRC/C/PRK/5 (2016), para. 7.

777\_ *lbid.*, paras. 8~10, 17, 21, 25.

education system. However, the definition of children will be changed to match that of the CRC, since children are now expected to finish their education at the age of 17 or 18 under the new 12-year compulsory education system.<sup>778</sup> This can be evaluated as a positive measure that considers the recommendations presented in the Concluding Observations set forth by the Committee in 2009. However, provisions in the North Korean Constitution and other laws that do not conform to the CRC should also be revised accordingly. For example, the North Korean Constitution and the Socialist Labor Law stipulate that the minimum age for labor is 16 years old and the Family Law stipulates the minimum age for women to enter into marriage is 17 years of age.<sup>779</sup>

According to the CRC, State Parties are obligated to undertake all appropriate legislative, administrative, and other measures for the implementation of the rights recognized in the present Convention (Article 4). North Korea properly reflects most of the rights in the CRC in its Law on the Protection and Promotion of the Rights of Children. Relevant provisions on civil rights and freedoms, including the freedom of thought conscience, and religion, and the freedom of assembly and association, can be found in the Constitution and other laws. In 2017, the Committee evaluated the adoption of the National Plan of Action for the

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<sup>778</sup>\_ Ibid., paras. 27~28.

<sup>779</sup>\_Kyung-ok Do et al., Human Rights Situation of Women and Children in North Korea, p. 36.

Well-Being of Children (2011~2020) in its Concluding Observations, and recommended going beyond education and a health-related national plan, suggesting that comprehensive planning also include a resolution to end violence against children, child exploitation, and child poverty.<sup>780</sup> The following sections will examine issues concerning the status of North Korean children's rights.

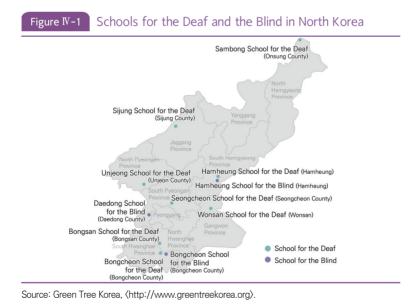
#### A. Status of Children's Health Care/Welfare

# (1) Shortage of Educational Facilities for Disabled Children

Article 23 of the CRC stipulates that mentally or physically disabled children should enjoy a full and decent life, in conditions which ensure dignity, promote self-reliance and facilitate children's active participation in the community. In the Law on the Protection of Persons with Disabilities, North Korea stipulates matters related to the restorative treatment, education, cultural life, and labor of disabled people, and the Law on the Protection and Promotion of the Rights of Children also has provisions on the protection of disabled children (Article 30). In particular, Article 30, paragraph 2 of the Law on the Protection and Promotion of the Rights of Children stipulates that "educational

supervision institutions and health care supervision institutions and local People's Committees shall immediately operate schools for the blind/deaf and guarantee the conditions required for the education, treatment and life of disabled children."

In its fifth report, North Korea emphasized that it has established special classes for disabled students in general schools and special schools for students with visual/hearing disabilities.<sup>781</sup> It appears there are eight schools for the deaf and three for the blind. In addition, in March 2012, North Korean authorities established the Chosun Rehabilitation Center for Children with Disabilities, in Pyongyang, to promote their rehabilitation.



781\_UN Doc. CRC/C/PRK/5 (2016), para. 137.

It is difficult to accurately identify the ratio of children with visual and hearing disabilities among the overall population of North Korean children. However, the provision of special education to disabled children is evaluated as poor, given that there are only 11 special schools for children with visual and hearing disabilities in North Korea and in particular, that there is no such school in Yanggang Province. 782 According to a defector who had lived in Wonsan, Gangwon Province, and defected in 2016, there was a school for the deaf in Changchon-dong in Wonsan. 783 Another defector who had lived in Hamheung, South Hamgyeong Province, and defected in 2016, commented that there was a school for the deaf in Duksan, Hamheung, and that families without money could not afford to pay for the school since students had to bear the financial burden of all school-related costs.<sup>784</sup> However, North Korea stated in its fifth report that it had carried out a project from 2013 to 2015 to improve the living environments at special schools for the disabled.<sup>785</sup> In this regard, it appears there is a need to evaluate

<sup>782</sup>\_North Korean defectors, who resided in Kimjongsuk County, Yanggang Province, responded that they have not heard of the existence of special schools for children with disabilities in the region. NKHR2017000023 2017–05–08; NKHR 2017000049 2017–07–03; NKHR2017000056 2017–07–31; It seemed that there was no special school or special class for students with disabilities in Hyesan Yanggang province. NKHR2017000060 2017–07–31; NKHR2017000025 2017–05–08. North Korean defectors, who resided in Baegam County, Yanggang, responded that they too have not heard of the existence of special class or school for children with disabilities. NKHR2017000062 2017–07–31.

<sup>783</sup>\_NKHR2017000007 2017-04-10.

<sup>784</sup>\_NKHR2017000049 2017-07-03.

<sup>785</sup>\_UN Doc. CRC/C/PRK/5 (2016), para. 140.

whether these improvements have been made and to what degree. Meanwhile, there has been no verification as to whether special classes for disabled students are provided in general schools, as North Korea has claimed. In 2017, the Committee reviewed whether the nine-vear educational course for the disabled is equivalent to the standard 12-year compulsory education system. After its review, the Committee recommended that children with disabilities not be separately educated but receive a comprehensive, integrated education from general schools.<sup>786</sup> North Korea introduced in its Third UPR in 2019 that its schools for the blind and schools for the deaf revised their curricula to match the 12-year compulsory education system and combined vocational training and that it opened a rehabilitation center for children with disabilities and a vocational training center for the disabled.<sup>787</sup> However, the 2019 survey did not collect any testimony about the operation of special classes for the disabled in general schools or the rehabilitation or vocational training of children with disabilities.

#### (2) Poor Health Care and Nutrition

Article 24 of the CRC stipulates that children have the right to enjoyment of the highest attainable standard of health and to facilities for the treatment of illnesses and rehabilitation of health.

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786\_UN Doc. CRC/C/PRK/CO/5 (2017), para. 36.

787\_UN Doc. A/HRC/WG.6/33/PRK/1 (2019), para. 76.

In its Public Health Law, North Korea stipulates matters related to the free treatment system and health protection based on preventive medicine. Moreover, the Law on the Protection and Promotion of the Rights of Children has provisions on children's right to receive free treatment, medical services for children, children's hospitals, nursing facilities for children, and nutritional supplements and nutritious foods (Articles 33~37). The Law on the Nursing and Upbringing of Children stipulates that systematic medical services be provided to children in daycare centers and kindergartens and that children's wards be established in daycare centers (Articles 25 and 26).

In its fifth report, North Korea explained that hospitals and clinics are available in all regions and that there are designated medical personnel in charge of vaccinations.<sup>788</sup> Moreover, the report stated that several medicine factories have produced hundreds of medicines and vaccines, thus sufficiently meeting basic demand for medicines, and that North Korea has made efforts to close the gap between regions on children-related health care services. 789 According to surveys conducted thus far, North Korea's vaccination levels appear to have been improving (Please refer to III. The Reality of Economic, Social, and Cultural Rights, 2. Right to Health). However, there are still many cases where

<sup>788</sup>\_ Ibid., para. 152.

<sup>789</sup>\_ Ibid., paras. 154, 157.

children with diseases cannot receive proper treatment due to the shortage of hospitals and medicines, and the situation was identified as particularly serious in rural areas compared to cities.<sup>790</sup>

In its fifth report, North Korea also emphasized that it established the Strategy and Action Plan to Control Child and Maternal Malnutrition (2014~2018) in response to recommendations made by the Committee in 2009. It also stressed that the mortality rate for infants under the age of one and children under the age of five has dramatically decreased due to the public health care policies and efforts by medical providers.<sup>791</sup> In fact, the malnutrition rate and the infant/child mortality rate have been declining in North Korea. According to the UNICEF MICS survey in 2017, among infants younger than five, 19.1% are under chronic malnutrition, a significant decrease from 62.3% and 32.4% in 2009.<sup>792</sup> Although such improvement in infant nutrition is due to the combined measures of North Korean authorities, expansion of the market and private economy, and support from the international community, it is also related to the global trend of a decrease in the rate of infants with chronic malnutrition.793

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<sup>790</sup>\_NKHR2016000131 2016-08-09; NKHR2016000132 2016-08-09.

<sup>791</sup>\_UN Doc. CRC/C/PRK/5 (2016), paras. 53, 149.

<sup>792</sup>\_Jea Hwan, Hong et al., Livelihood on North Koea and Cooperation Plan (Seoul: KINU, 2016), pp. 64~65. (In Korean)

<sup>793</sup>\_*lbid.*, pp. 70~71.

Although the situation is not at its worst, it has been found that a significant number of North Korean children still suffer from malnutrition. The Joint Rapid Food Security Assessment based on the WFP and FAO visit to North Korea in 2019 reported that 15~25% of children from 6 months old to less than 5 years old were undernourished.<sup>794</sup> Based on this report, in April 2019, WFP recommenced the production of fortified biscuits for children at daycare centers, which was suspended temporarily in 2018.<sup>795</sup> Despite improvements in national rates of chronic malnutrition, there were a clear gap between rural and urban areas, with some provinces having above average stunting rates, some as high as 32%.<sup>796</sup> What is more serious is that 20% of infants from 6 months to less than 2 years old are experiencing stunting, and around 140,000, or 3\%, of children under 5 are experiencing acute malnutrition due to chronic food instability. Among them, 30,000 are under a high risk of death.<sup>797</sup>

<sup>794</sup>\_WFP/FAO, "Democratic People's Republic Of Korea (DPRK)-FAO/WFP Joint Rapid Food Security Assessment," 2019, p. 42, \https://www.wfp.org/publications/democratic-peoples-republic-korea-dprk-faowfp-joint-rapid-food-security-asses sment\.

<sup>795</sup>\_WFP, "DPR Korea Country Brief," 2019, (https://reliefweb.int/report/democratic-peoples-republic-korea/wfp-dpr-korea-country-brief-may-2019).

<sup>796</sup>\_WFP, "DPR Korea Country Brief," 2019, (https://reliefweb.int/report/democratic-peoples-republic-korea/wfp-dpr-korea-country-brief-june-2019).

<sup>797</sup>\_UNDP et al., "DPR Korea Needs and Priorities 2019," p. 26, \https://dprkorea.un.org/en/10164-dpr-korea-needs-and-priorities-2019\rangle.

#### (3) Collapse of the State Child Care System

Article 27 of the CRC stipulates that every child has the right to a standard of living adequate to the child's physical, mental, spiritual, moral, and social development. The Law on the Nursing and Upbringing of Children specifies matters related to child care based on state and social support and child care education institutions. Moreover, the Law on the Protection and Promotion of the Rights of Children (Article 31) has provisions on the care of children without care-givers.

North Korea stated in its fifth report that all children at nurseries and kindergartens are provided with food in accordance with Article 15 of the Law on the Nursing and Upbringing of Children, which states that "all children shall be supplied with food from birth in the DPRK." This report also specified that, despite food shortages, pregnant women and children under the age of four are supplied with food on a monthly basis.<sup>798</sup> However, contrary to North Korea's claim, North Korean defectors have testified that the free food service at daycare centers and kindergartens ended in 2000.<sup>799</sup> It was testified that when rice supported by the UN was distributed to daycare centers in around 2014~2015, children did not bring lunch boxes to

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<sup>798</sup>\_UN Doc. CRC/C/PRK/5 (2016), para. 178.

<sup>799</sup>\_NKHR2016000121 2016-07-26; NKHR2016000133 2016-08-09; NKHR2016000143 2016-08-23; NKHR2016000145 2016-08-23; NKHR2016000151 2016-09-06; NKHR2016000154 2016-09-06.

daycare centers.800 A North Korean defector testified that when sending a child to a work-site daycare center, parents were responsible not only for meals but also for diapers and even the teachers' salaries. 801 One North Korean defector who sent his/her child to a kindergarten in Hyesan, Yanggang Province, from 2016 to 2017 testified that in addition to a daily packed lunch, running fees had to be paid under various pretexts.802 These testimonies show that, in reality, North Korean authorities only provide the space, and that parents shoulder all the costs for the operation of daycare centers and kindergartens.

An interesting observation is that as state-run kindergartens lack in quality, some individuals have begun to open private daycare centers, which do not appear to have authorization from the authorities. One North Korean defector who escaped in 2018 testified that people with a little bit of money send their children to private kindergartens. An acquaintance sent her daughter to a private kindergarten and for 5 yuan a day, the child was provided with lunch and was well looked after.803

<sup>800</sup> NKHR2019000030 2019-06-03.

<sup>801</sup>\_NKHR2016000153 2016-09-06.

<sup>802</sup>\_NKHR2018000049 2018-06-04.

<sup>803</sup> NKHR2018000130 2018-11-19.

## B. Violation of Children's Right to Education

Article 28 of the CRC stipulates that children have the right to education. Article 29 requires that each child's education be directed toward the following goals: (a) The development of the child's personality, talents, and mental and physical abilities to his or her fullest potential; (b) The advancement of respect for human rights and fundamental freedoms, and for the principles enshrined in the Charter of the United Nations; (c) The advancement of respect for the child's parents, his or her own cultural identity, language and values, the national values of the country in which the child is living and the country from which he/she may originate, and for civilizations different from his or her own; (d) The preparation of the child for responsible life in a free society, in the spirit of understanding, peace, tolerance, equality of the sexes, and friendship, in relations with all people, including all ethnic, national, and religious groups and persons of indigenous origin; and (e) The development of respect for the natural environment. Moreover, Article 31 of the CRC stipulates that children have the right to rest and leisure, to engage in play and recreational activities, and to participate freely in cultural life and the arts. North Korea has enacted and executed the Education Law, the Law on General Secondary Education, the Law on the Nursing and Upbringing of Children, and has educational provisions on the right to receive free compulsory education, the

right to develop hope and talents, and the right to have rest and cultural life in its Law on the Protection and Promotion of the Rights of Children (Articles 22~28).

### (1) Excessive Political Ideology Education

In its fifth report, North Korea mentioned that it carries out 12 years of compulsory education and that its educational program has been revised to conform to Article 29 of the CRC, which specifies the goals of child education.<sup>804</sup> However, when viewing North Korea's education curriculum, it appears to lean heavily towards political ideology education, and focuses on idolizing the present Supreme Leader and his family. The education time alloted for subjects related to Kim II Sung, Kim Jong II and Kim Jong Un by grade is presented in <Table IV-4>.

Table IV-4 Education Time of Subjects Related to Kim II Sung, Kim Jong II, and Kim Jong Un

Category	,	Kim II Sung	Kim Jong II	Kim Jong Un
Junior secondary	1st grade	68 hours		34 hours
school	2nd grade	68 hours	68 hours	34 hours
(Junior high school)	3rd grade		68 hours	34 hours
Senior secondary	1st grade	104 hours		27 hours
school	2nd grade	56 hours	56 hours	27 hours
(High school)	3rd grade		92 hours	27 hours

804\_UN Doc. CRC/C/PRK/5 (2016), para. 209.

Subjects on Kim Jong Un were introduced into the revised education curriculum in 2013. Although their share is relatively less compared to subjects related to Kim Il Sung and Kim Jong Il, they still account for a substantial proportion of the curriculum. He greatness of the Party and Supreme Leader (*Suryeong*), 2) the principles of *Juche* ideology, 3) party policies, 4) the revolutionary tradition, and 5) revolutionary and communist education, they all converge on recognizing the greatness of Supreme Leader (*Suryeong*). Political ideology education is considered to be an important part of school education and it appears students accept it without question. He

Meanwhile, political ideology education is carried out not only in the general education system, but also in compulsory organizational life, including through the Korean Children's Union (sonyeondan) and Kim II Sung Socialist Youth League. Children aged 7~13 join the Korean Children's Union (sonyeondan) and learn and practice Unitary Ideology through education on ideology and organizational activities. Youths aged 14~30 join the Kim II Sung Socialist Youth League and engage in organizational life. The Kim II-Sung Socialist Youth League changed its name to 'Kimilsungist-Kimjongilist Youth League' at

<sup>805</sup>\_Jeong-ah Cho et al., Educational Policies, Educational Programs and Textbooks under the Kim Jong Un Regime (Seoul: KINU, 2015), p. 69. (In Korean)

<sup>806</sup>\_ Ibid., pp. 95~96.

<sup>807</sup>\_NKHR2017000115 2017-11-20.

the 9th Youth League convention in August 2016. Although we surveyed the North Korean defectors who entered South Korea in 2019 about the changes in the nature of the activities of the Youth League after the change of its name, no relevant testimony was collected. There were many testimonies that stated the time of joining the league was decided more by the economic status of their parents than the qualifications of the students themselves.<sup>808</sup> The perception of regarding earlier entry into the Youth League as a greater honor is decreasing.

# (2) Mobilization for Political Events and Regime Propaganda

In its fifth report, North Korea stated that it took diverse measures related to the education and leisure of children in response to the relevant provisions in the CRC and the recommendations of the Committee. However, the right to receive education and the right to enjoy leisure are seriously infringed upon in North Korea, as students are still mobilized for various political events or regime propaganda. A North Korean defector said that "As students are mobilized to political events, it surely has negative impact on their learning at school." 810

<sup>808</sup>\_NKHR2019000021 2019-05-07; NKHR 2019000055 2019-07-29; NKHR2019000070 2019-08-26.

<sup>809</sup>\_UN Doc. CRC/C/PRK/5 (2016), paras. 181~226.

<sup>810</sup>\_NKHR2018000121 2018-10-22.

Table IV-5 Cases of Mobilization for Political Events and Regime Propaganda

Testimonies	Testifier ID
Students were mobilized under the name of "choir team" (gachangdae) during the election seasons. In most cases, from one month before the election, students sang songs in lines about election, holding paper sunflowers they made at lunch time and after school hours before they went back home.	NKHR2016000121 2016-07-26
Students were mobilized for the preparations for the birthdays of Kim Jong II, Kim II Sung and Kim Jong Un. Every afternoon for one month, students would go around the neighborhood creating a jubilant atmosphere.	NKHR2016000133 2016-08-09
Students were mobilized for farewell events for those joining the military.	NKHR2016000152 2016-09-06
Students holding flowers were mobilized for campaigning at an election of SPA deputies.	NKHR2017000063 2017-07-31
For every national holiday including Kim Jong II and Kim II Sung's birthdays, students were to present baskets of flowers. Students from different schools would gather in the square in Hyesan and take part in a solidarity gathering (gyeolyimoim).	NKHR2017000078 2017-08-28
Welcoming projects were proceeded with flowers put in front Kim II Sung portraits ( <i>taeyangsang</i> ). Students were mobilized for projects welcoming the military. They were also mobilized when Kim Jong II passed away.	NKHR2017000086 2017-09-25
Students were mobilized for Kim II Sung, Kim Jong II, and Kim Jong Suk's birthdays and were required to sing.	NKHR2018000103 2018-10-01
When mobilized for political events, students are required to take part 100%. If not, they are treated as reactionaries.	NKHR2018000104 2018-10-01
When students did group exercises like <i>Arirang</i> at an event hosted by the Party, they mostly practiced for 6 months or more and those who were 3rd grade in middle school or older were mobilized. Therefore, the testifier thought that it was natural that there was a gap in learning with children from rich families who were not selected through paying money.	NKHR2019000023 2019-05-18
The testifier was mobilized for report convention on national holidays, including the birthdays of Kim II Sung and Kim Jong II, where he/she clapped and sang songs for Supreme Leader ( <i>Suryeong</i> ) and the national anthem.	NKHR2019000045 2019-07-01

It had been found that North Korean students suffer from physical burden and disruption in learning that takes place during long hours of practice for mobilization for political events and regime propaganda, etc. A teenage North Korean defector testified that he/she had to practice group gymnastics, even on weekends, from February onwards, to prepare for the 15 April "Day of Sun" every year, in cold weather, and sometimes late into the night.811 Another North Korean defector said that some students fainted after practicing three to four hours in the mid-summer heatwave. 812 There was a testimony that the testifier could not learn properly as he/she was mobilized to practice for the group exercise of Arirang for 6 months or more and there was a learning gap with children from rich families who were not selected through paying money.813 There was also a testimony that half of the class was excused from the political events by paying money and only the other half was mobilized.814 Although there remains the perception that such mobilizations are a duty and that students need to accept it even if it is hard, 815 in most cases, the absence of those with good economic conditions from political events through paying bribes is condoned.

<sup>811</sup>\_NKHR2016000123 2016-07-26.

<sup>812</sup>\_NKHR2016000151 2016-09-06.

<sup>813</sup> NKHR2019000023 2019-05-18.

<sup>814</sup> NKHR2019000068 2019-08-26

<sup>815</sup>\_NKHR2016000143 2016-08-23; NKHR2016000152 2016-09-06. NKHR2018000103 2018-10-01; NKHR2018000104 2018-10-01; NKHR2019000023 2019-05-18; NKHR2019000053 2019-07-29.

#### (3) Compulsory Military Training

Despite the continuous concerns expressed by the Committee regarding compulsory military training, North Korea still maintains the military subject, "preliminary military activities," in its senior secondary school (high school) program. In its fifth report, North Korea avoided directly mentioning this issue, stating only that the educational goal of senior secondary school "includes promoting sound body and mind instilled in students so as to make them fully prepared for military service, social life, and campus life."816 For one week, students in the second grade of advanced senior secondary school (high school) receive military training at a camping training facility of the Red Youth Protective Guard. Third graders develop preliminary abilities for military activities through one week of outdoor billeting. According to defector testimonies, training on shooting, gun disassembling and assembling, crawling, and forming ranks, and education on military norms, are provided during the military training.817

#### C. Labor Mobilization

Article 32 of the CRC stipulates that children have the right to

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816\_UN Doc. CRC/C/PRK/5 (2016), para. 198.

817\_NKHR2016000118 2016-07-26; NKHR2016000121 2016-07-26; NKHR2016000123 2016-07-26.

be protected from labor that threatens their health, education, and development. North Korea prohibits child labor in Constitution, Socialist Labor Law, and Law on the Protection and Promotion of the Rights of Children. However, as mentioned above, none of those laws conform to the CRC, as the current Law on the Protection and Promotion of the Rights of Children defines the age of children as "up to 16 years old," and the Constitution and Socialist Labor Law also stipulate the age available for labor as 16 years old.

In its fifth report, North Korea repeated its stance of previous reports, that child labor was eradicated a long time ago.818 Moreover, North Korea stated that it included on-site exercises in its educational curriculum to combine theory and practice and have children visit farms and factories.819 In fact, the North Korean curriculum shows that the junior secondary school (junior high school) program includes tree-planting, and the senior secondary school (high school) program includes tree-planting and production labor.

However, it has also been found that students are frequently mobilized during after-school or school hours aside from the officially established curriculum. Students are mobilized for after-school labor for six or seven days every ten days in spring,

818\_UN Doc. CRC/C/PRK/5 (2016), para. 240.

819\_ Ibid., para. 203.

summer, and fall. At times, students are said to be mobilized for weeding and rice-planting in spring, and for potato-digging and corn harvesting for about a month in fall, while skipping classes. 820 Moreover, students are mobilized for construction work, such as transporting sand and pebbles and logging.821 One North Korean defector testified that such work was physically very demanding, as quotas are assigned to them when they are mobilized to supplement the labor shortage. 822 There was also a testimony that the testifier was cursed at and scolded when the work was not done appropriately.823 Another North Korean defector testified that while he/she was not hungry during the fall labor mobilization, when it was possible to pick and eat potatoes or fruit, the testifier was very exhausted and hungry during the spring mobilization.824 A North Korean defector testified that he/she did not attend school for one month during the bog bilberry mobilization and one month during the potato mobilization for earning foreign currency when he/she was in 4th grade in middle

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<sup>820</sup>\_NKHR2017000002 2017-04-10; NKHR2017000008 2017-04-10; NKHR2017000029 2017-06-05; NKHR201800008 2018-03-12; NKHR2018000041 2018-06-04; NKHR2018000121 2018-10-22; NKHR2019000023 2019-05-18; NKHR2019000045 2019-07-01.

<sup>821</sup>\_NKHR2016000133 2016-08-09; NKHR2018000103 2018-10-01; NKHR2018000123 2018-10-22; NKHR2019000020 2019-05-07; NKHR2019000058 2019-07-29; NKHR2019000083 2019-09-25.

<sup>822</sup>\_NKHR2016000131 2016-08-09.

<sup>823</sup>\_NKHR2019000052 2019-07-20.

<sup>824</sup> NKHR2016000139 2016-08-23.

school.825 It is said that there are students who do not attend school to avoid mobilization.826 However, one testimony claimed that except for those who are sick, a student who missed work had to pay money to the school.<sup>827</sup> In addition, parents pay money or goods (such as gasoline) to the school to exempt their children from forced labor mobilization.828 One defector testified that students stopped being mobilized at the order of Kim Jong Un starting in the fall of 2016.829

## D. Children in Need of Special Protective Measures

### (1) Treatment of Forcibly Repatriated Children

Article 37 of the CRC stipulates that no child shall be subjected to torture or other cruel, inhuman, or degrading treatment or punishment, unlawful imprisonment, or deprivation of liberty. Article 39 stipulates that State Parties shall take all appropriate measures to promote the recovery and social reintegration of a child victim of torture, abuse, exploitation, etc. North Korea has relevant provisions in its Criminal Law and Criminal Procedure

<sup>825</sup>\_NKHR2019000055 2019-07-29.

<sup>826</sup>\_NKHR2016000150 2016-09-06.

<sup>827</sup>\_NKHR2016000152 2016-09-06; NKHR2017000065 2017-07-31; NKHR 2019000065 2019-08-17; NKHR 2019000082 2019-09-25.

<sup>828</sup>\_NKHR2018000041 2018-06-04.

<sup>829</sup> NKHR2017000006 2017-04-10.

Law. The Law on the Protection and Promotion of the Rights of Children stipulates the basic requirement of protection for children's rights in the judiciary, prohibition of the imposition of criminal responsibility and the death penalty on children, application of social educational measures, and respect for the dignity of children in handling cases (Articles 47~49 and 51).

In its fifth report, North Korea stated that "returnees who illegally crossed the border were subject to educational measures." In other words, the principle is to improve compliance with the law by making returnees aware of the relevant provisions in the Law on the Protection and Promotion of the Rights of Children, Immigration Law, and Criminal Law after investigating their motivation and goals for illegal border-crossing. North Korea also explained in its report that such education will ensure the adaptation of such children to school life through special care and that the People's Committee is in charge of continuous contact with their parents to resolve any issues that parents may face in raising such children. 832

However, during in-depth interviews with defectors, some testified that children repatriated from China receive mistreatment, including verbal abuse, violence, and torture during the interrogation

<sup>830</sup>\_UN Doc. CRC/C/PRK/5 (2016), para. 239.

<sup>831</sup>\_ Ibid.

<sup>832</sup> *Ibid.* 

process, and that they suffer beatings, hard labor, and hunger during their detention.833 A North Korean defector testified that an elder sister and her nephew were caught while defecting in 2016 and were forcibly repatriated. The nephew was beaten in front of the elder sister to make her confess.834

Meanwhile, there were also testimonies which stated that repatriated children were released after only receiving educational measures.<sup>835</sup> Another North Korean defector testified that minors were sent to 927 Permanent Committee, as they could not be detained in detention centers (gurvuiang) with adults.<sup>836</sup> As there has been mixed testimony on this issue, it is necessary to continuously examine how cases of forcibly repatriated children are handled. Additional analysis is also required on the specific contents and methods of these educational measures.

## (2) "Kotjebi"

Article 20 of the CRC stipulates that "a child temporarily or permanently deprived of his or her family environment, or in whose own best interests cannot be allowed to remain in that environment, shall be entitled to special protection and assistance

<sup>833</sup> NKHR2016000121 2016-07-26.

<sup>834</sup> NKHR2018000109 2018-10-06.

<sup>835</sup>\_NKHR2016000112 2016-07-26; NKHR2016000123 2016-07-26.

<sup>836</sup> NKHR2016000143 2016-08-23.

provided by the State." North Korea stipulates in the Law on the Protection and Promotion of the Rights of Children that "children who cannot be cared for by their parents or guardians shall be raised, at a cost paid for by the State, at child-caring institutions (yugawon), orphanages (aeyugwon), and other institutions." (Article 31).

In this regard, it is necessary to examine wandering child beggars known as "kotjebi." In its fifth report, North Korea did not explicitly mention *kotjebi*. It only explained that children who are deprived of their family environment due to natural disaster or the death or divorce of their parents are protected and that a suitable living environment and learning environment are provided for them.<sup>837</sup> Likewise, in the Third UPR in 2019, North Korea pointed out the government's measures for the care and education of children without parents and children living in remote rural areas and disaster-stricken areas as a noteworthy achievement.838 It is not clearly identified how many kotiebi are accepted into orphanages (aevugwon) and middle academies for orphans and receive care and education. North Korean authorities are known to send kotjebi who are caught to accommodation facilities such as "relief centers," "lodging centers," "boys' education centers," "lodging centers for drifters," or "protection

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837\_UN Doc. CRC/C/PRK/5 (2016), para. 109.

838\_UN Doc. A/HRC/WG.6/33/PRK/1 (2019), para. 65.

centers" to protect and manage them. It was stated that adult *kotjebi* join the "*kotjebi* Permanent Committee" and send orphans and *kotjebi* to orphanages (*aeyugwon*) or protection facilities. However, most North Korean defectors testified that *kotjebi* are often found in front of stations or marketplaces (*jangmadang*) in border regions or provinces. However, there were many testimonies that the number of *kotjebi* has decreased recently as Kim Jong Un ordered to build more boarding middle schools and orphanages (*aeyugwon*). A North Korean defector testified that the number of *kotjebi* decreased not because the government increased relevant facilities but because there was a stronger perception that individuals should find their own ways to survive. 840

However, testimonies continuously reveal that even if *kotjebi* have access to accommodations, they eventually run away because of the poor facilities and environments, harsh discipline, and meals that are both lacking in amount and poor in quality.<sup>841</sup> North Korean defectors who resided in Hyesan, Yanggang Province, testified that there is a *kotjebi* accommodation facility called "*yuhakwon*" in Seonghu-dong, Hyesan. It is said that the

<sup>839</sup>\_NKHR2019000031 2019-06-03; NKHR2019000056 2019-07-29; NKHR2019000063 2019-07-29; NKHR2019000076 2019-08-26; NKHR2019000102 2019-11-09.

<sup>840</sup>\_NKHR2019000102 2019-11-09.

<sup>841</sup>\_NKHR2016000143 2016-08-23; NKHR2016000157 2016-09-20; NKHR2018000041 2018-06-04; NKHR2018000093 2018-08-27; NKHR2018000128 2018-11-19; NKHR2019000047 2019-07-01; NKHR2019000048 2019-07-01; NKHR2019000052 2019-07-20; NKHR2019000064 2019-08-17.

discipline there is very harsh and that only a small portion of meals are provided. R42 It is also known that there have been cases of violence and sexual abuse by staff at *kotjebi* accommodation facilities in North Korea. A North Korean defector who was accommodated at a "lodging center for drifters" testified that he was beaten with a club, for no reason, immediately after entering the facility, and was kicked for talking back. Another North Korean defector also testified that there were cases where *kotjebi* ran away because of violence and sexual abuse by staff at *kotjebi* accommodation facilities. While not all *kotjebi* are orphans, it appears that the increase in orphanage facilities has meant that some *kotjebi* have been housed in facilities for the orphans.

In the deliberation process of the CRC implementation report, North Korea reported in 2017 that under Kim Jong Un's instructions, 40 facilities nationwide, including child-caring institutions, orphanages, elementary academies (*hagwon*), and middle academies, have been modernized from 2014 to 2017 and that all those institutions had been equipped with the state-of-theart learning tools and equipment. In addition, North Korea highlighted that those facilities for orphans have been primarily given nutritious food and living supplies in accordance with the

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<sup>842</sup> NKHR2016000139 2016-08-23; NKHR2016000140 2015-08-23.

<sup>843</sup>\_NKHR2016000132 2016-08-09.

<sup>844</sup>\_NKHR2016000139 2016-08-23.

<sup>845</sup>\_NKHR2017000050 2017-07-03.

standard.

North Korea's claim has been backed by a number of North Korean defectors. According to North Korean defectors who defected in 2017, it was identified that many such facilities were constructed in 2016~2017. It was said that the construction of a child-caring institution and orphanage was completed in the Ranam zone in Chongjin in 2016<sup>847</sup> and that following Kim Jong Un's orders in 2016, elementary and middle academies, child-caring institutions, and orphanages were constructed in Hamheung. In addition, there was a testimony that members of the Women's Union were mobilized for the construction of middle academies, orphanages, and nursing homes in Hyesan, Yanggang Province in 2017. Meanwhile, there were testimonies that the People's Unit (*inminban*), the Women's Union, and enterprises paid money for the construction of the facilities.

There was a substantial number of testimonies that the quality of food and clothing provided to them had greatly improved<sup>851</sup>

<sup>846</sup>\_NKHR2017000049 2017-07-03; NKHR2017000088 2017-09-25.

<sup>847</sup> NKHR2017000028 2017-06-05.

<sup>848</sup>\_NKHR2018000004 2018-03-12.

<sup>849</sup> NKHR2018000058 2018-07-02; NKHR2019000022 2019-05-07.

<sup>850</sup> NKHR2019000054 2019-07-29; NKHR2019000111 2019-11-18.

<sup>851</sup>\_NKHR2018000005 2018-03-12; NKHR2018000041 2018-06-04; NKHR2018000058 2018-07-02; NKHR2018000105 2018-10-01; NKHR20180000128 2018-11-19; NKHR2019000029 2019-06-03; NKHR2019000055 2019-07-29; NKHR2019000064 2019-08-17.

and the food and medicines supplied by the international community are first provided to orphanages (*aeyugwon*). <sup>852</sup> A North Korean who defected in 2017 testified that due to the financial support from the businesses investing in Rajin, those staying in the orphanage or child caring institutions have better environment than those with their parents. <sup>853</sup> A North Korean who defected in 2018 also said that as good clothing and food are provided to orphans, some even said "it's better to be an orphan." <sup>854</sup> A North Korean defector OOO who defected in 2019 testified that the facility of the newly constructed middle academy that he/she visited in 2018 was clean and the schoolyard was also made of materials that would not injure children even if they fell down. <sup>855</sup>

Considering the testimonies of North Korean defectors in a comprehensive manner, the Kim Jong Un regime appears to be expanding its projects to support orphans or *kotjebi* to make it one of the biggest achievement of the regime. A North Korean testified that when Pyongyang middle academy was opened in 2016, it was publicized on TV greatly with propaganda "(*Suryeongnim*) felt heartbroken when hearing the stories of

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852 NKHR2019000097 2019-10-21; NKHR2019000102 2019-11-09.

<sup>853</sup>\_NKHR2017000088 2017-09-25.

<sup>854</sup>\_NKHR2018000058 2018-07-02.

<sup>855</sup>\_NKHR2019000053 2019-07-29.

orphans," and "Thank you, father."856 There was a testimony that the testifier felt that care for the socially underprivileged was increasing after Kim Jong Un came to power as the testifier watched news about support for aevugwon or nursing homes on TV.857

#### E. Evaluation

In its fifth report submitted to the Committee in April 2016, North Korea stated that it carried out diverse measures to realize the rights of the child from 2008 to 2015. However, the country report submitted by North Korea for the Third UPR in 2019 did not include institutional measures or execution plans to resolve the issues of violence against children, the exploitation of children, and children living in poverty. The 2019 survey found that the human rights situation of North Korean children still remains poor. The overall level of the health care/welfare of children is low, and the gap between Pyongyang and the provinces, and between the cities and rural areas, also appears to be substantial. Moreover, there has been little improvement of North Korea's educational policies, such as excessive education on politics, which do not conform with the goals of child

856\_NKHR2018000058 2018-07-03.

857 NKHR2019000014 2019-05-07.

education, despite the continuous concerns and recommendations of the Committee regarding its educational policies. The mobilization of children for manpower and political events still appears to continue in order to supplement the labor shortage, going beyond the goals of education.

However, there have been some positive changes, including declining child malnutrition and infant/child mortality. North Korea's efforts to modernize facilities for orphans and to distribute goods primarily for them are evaluated positively. Attention and cooperation from the international community is essential in order for such measures to continue in the future.

# Persons with Disabilities

The Convention on the Rights of Persons with Disabilities (CRPD) specifically stipulates the rights of persons with disabilities, declaring that "States Parties undertake to ensure and promote the full realization of all human rights and fundamental freedoms for all persons with disabilities without discrimination of any kind on the basis of disability" (Article 4). On 3 July 2013, North Korea became a signatory to this Convention. By ratifying the Convention on 6 December 2016, North Korea became a State Party to the CRPD. In December 2018, North Korea submitted its initial implementation report to the United Nations Committee on the Rights of Persons with Disabilities.

# A. Policies on and Population of Persons with **Disabilities**

#### (1) Policies on Persons with Disabilities

Taking into consideration criticism and concerns of the international community regarding discrimination against persons with disabilities, North Korea adopted the Law on the Protection of Persons with Disabilities in June 2003. This law was enacted "to provide favorable living conditions and environment for persons with disabilities by firmly establishing systems and order in terms of treatment, rehabilitation, education, work and cultural life" (Article 1). Article 2 defines persons with disabilities as "citizens whose normal life is hampered for an extended period of time due to the loss or restriction of physical and mental functions." It also states, "the State shall respect the personal dignity of all persons with disabilities, and guarantee them social and political rights and freedoms, as well as benefits, that are equal to those granted to healthy citizens." The Law on the Protection of Persons with Disabilities also stipulates details concerning Rehabilitation (Chapter 2), Education (Chapter 3), Cultural Life (Chapter 4), and Work (Chapter 5). Provisions to protect persons with disabilities also exist in the Social Insurance Law, the Socialist Labor Law, and the Social Security Law.

The activities of the Korean Federation for the Protection of the Disabled (hereinafter KFPD) promote the rights of the disabled. The KFPD carries out important tasks such as conducting surveys on the status of persons with disabilities, improving their health and living conditions, and developing action programs to enhance social awareness of and interest in persons with disabilities. It has branch committees at the county, city, and province levels.<sup>858</sup> The

858\_CRC, "Consideration of Reports Submitted by States Parties under Article 44 of the

KFPD considers itself to be an NGO even though the Ministry of Public Health pays staff salaries and maintenance costs.<sup>859</sup> The KFPD has also established the Deaf Association of Korea, the Korean Rehabilitation Center for Children with Disabilities, the Blind Association of Korea, the Korean Company Supporting the Disabled, the Korean Association for Sports of the Disabled, and the Korean Art Association of the Disabled.860 In addition, the KFPD established its first mission in Beijing in July 2008, and its Shenyang mission in 2015. Pun-hui Ri, the former secretary general of the Korean Association for Sports of the Disabled, is said to be serving as the first representative.861 According to the initial implementation report by North Korea, North Korean authorities established and implemented Action Plans for 2008-2010, 2013-2015, and 2016. At present, the Strategic Plan for 2018-2020 is underway.862

(2) Size of the Population of Persons with Disabilities North Korea has stipulated in the Law on the Protection of

Convention: The Combined Third and Fourth Periodic Reports of States Parties Due in 2007: Democratic People's Republic of Korea," UN Doc. CRC/C/PRK/4 (2008), para. 134.

<sup>859</sup>\_Katharina Zellweger, "persons with disabilities in a Changing North Korea. Stanford University," Shorenstein APARC Working Paper (2014).

<sup>860</sup>\_ Rodong Shinmun, 30 September 2012; Korean Central News Agency, 16 December

<sup>861</sup>\_ Voice of America, 13 May 2015.

<sup>862</sup>\_UN Doc. CRPD/C/PRK/1 (2018), para. 30.

Persons with Disabilities, that "the State shall regularly investigate the reality for disabled people, accurately evaluate the levels of disability and establish the right standards" (Article 5). It has not been identified how many times North Korean authorities have conducted a systematic investigation of the overall reality for persons with disabilities in accordance with this provision.

According to the survey conducted by the Korean Association for Supporting the Disabled (KASD) in 1999, there were 763,237 persons with disabilities in North Korea, accounting for 3.41% of the entire North Korean population. According to these findings, there were 296,518 persons with physical disabilities, accounting for the largest portion of the total disabled population at 38.8%, followed by 168,141 persons with hearing impairments, 165,088 with visual impairments, 68,997 persons with severe disabilities, and 37,780 persons with mental disabilities. Around 1.75% of the population of Pyongyang was found to be disabled. Persons with disabilities more often lived in cities (64%) than in rural areas (35.4%).863

North Korea added disability-related survey items to its 2008 Population Census, such as the severity of disabilities, age, sex, and population distribution of the disabled by region (rural area and city). North Korea's 2009 Population Census suggests that North Korea's population includes 519,573 people with visual

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863\_ Yonhap News Agency, 9 April 2006; Yonhap News Agency, 23 November 2006.

difficulty (2.4%), 374,452 with hearing difficulty (1.73%), 537,496 with mobile difficulty (2.48%), and 334,852 with cognitive difficulty (1.54%). What is noteworthy in the survey result is that the percentage of women (62%) far outnumbered that of men (32%).864

In November 2011, the Korean Federation for Protection of Persons with Disabilities (KFPD) and the Central Bureau of Statistics conducted a second sample survey of persons with disabilities on 2,400 households in three provinces (South Pyongan Province, South Hwanghae Province, and Gangwon Province).865 Based on the results of that survey, North Korea claimed, in its fifth report on the implementation of the Convention on the Rights of the Child, that the percentage of children with disabilities who are under the age of 15 is 0.9%. 866 Mun-chol Kim, Deputy Chairman of the KFPD's Central Committee, led the North Korean sports delegation to the 14th Paralympics, held in London (30 August~10 September 2012), and partially disclosed the findings of the survey. The number of persons with disabilities in five major categories — sight, hearing, physical movement (limbs), mental abilities (including intelligence), or multiple disabilities - equaled 5.8% of the

864\_UN Doc. A/HRC/37/56/Add.1 (2018), para. 10.

865\_Rodong Shinmun, 30 September 2012. 866\_UN Doc. CRC/C/PRK/5 (2016), para. 135. population.<sup>867</sup> According to the 2014 sample survey of persons with disabilities conducted in four provinces (South Hamgyeong Province, Gangwon Province, North Pyeongan Province, and South Pyeongan Province), there are roughly 1.5 million persons with disabilities, which account for 6.2% of the entire population. Among those persons with disabilities, women (55.1%) outnumbered men (44.9%).<sup>868</sup> A relief group for North Korea, known as 'Together-Hamheung,' which has its headquarters in Germany, held its 7th Gathering of Deaf Persons in Pyongyang for five days starting 7 August 2015. According to this group, around 350,000 deaf persons were said to be registered.<sup>869</sup>

In December 2018, North Korea submitted an initial report to the CRPD, which reflects latest information on the scope and characteristics of the persons with disabilities in North Korea. 870 According to the report, in 2016, persons with disabilities account for 5.5% of total population in North Korea. It was higher in female population (5.9%) than male population (5.1%), the reason for this difference appears to be due to the higher rate of disability among women over 60 years old. In terms of the types of

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<sup>867</sup>\_ Yonhap News Agency, 10 September 2012. North Korea also disclosed that 5.8% of its population were persons with disabilities in its report submitted to the 2nd UPR in 2014. National Report submitted in accordance with paragraph 5 of the annex to Human Rights Council Resolution 16/21.

<sup>868</sup>\_ UN Doc. A/HRC/37/56/Add.1 (2018), para. 10.

<sup>869</sup>\_ Voice of America, 13 August 2015.

<sup>870</sup>\_UN Doc. CRPD/C/PRK/1 (2018), Annex.

disability, physical disability accounts for 2.5% followed by hearing disability (1.2%), language disability (0.4%), mental illness (0.4%), and intellectual disability (0.3%). The proportion of persons with disabilities among children was 1.8%; 2.2% for boys while 1.4% was for girls.

Table IV-6	Persons with Disabilities by Sex and Age (as of 2016) (Unit: %)			
Age	Man	Woman	Total	
0~4	0.4	0.2	0.3	
5~6	0.6	0.4	0.5	
7~16	1.2	0.8	1.0	
17~59	5.4	4.2	4.8	
60~	13.3	19.1	16.9	
Total	5.1	5.9	5.5	

Source: UN Doc. CRPD/C/PRK/1.

#### B. Reality of the Rights of Persons with Disabilities

#### (1) Training and Rehabilitation

Regarding training and rehabilitation, the CRPD stipulates that "States Parties shall take effective and appropriate measures, including through peer support, to enable persons with disabilities to attain and maintain maximum independence, full physical, mental, social and vocational ability, and full inclusion and participation in all aspects of life" (Article 26, paragraph 1). North Korea also stipulates, in its Law on the Protection of Persons with Disabilities, that "the State shall organize professional or comprehensive rehabilitation institutions for rehabilitation of persons with disabilities," (Article 11) and that "health guidance institutions and institutions in charge and enterprises should guarantee production of assistance devices including alignment apparatus, motor tricycles, glasses and hearing aids, etc., in a planned manner" (Article 14).

Based on the Law on the Protection of Persons with Disabilities, North Korea has taken measures of its own towards the training and rehabilitation of persons with disabilities. To begin, with a focus on children with mental disabilities and disabilities related to their limbs, the Korean Rehabilitation Center for Children with Disabilities was established in Pyongyang, in March 2013, on a mission to conduct projects leading to the early detection and rehabilitation of disabilities. 871 On 6 December 2013, the Munsu Functional Recovery Center was founded in Pyongyang, offering comprehensive services for functional rehabilitation of persons with disabilities. The Munsu Center is known to have served as North Korea's hub for functional recovery at physical therapy departments for the treatment of the functionally impaired in provincial, city, and county hospitals. 872 Moreover, North Korea has constructed the

<sup>871</sup>\_Rodong Shinmun, 30 September 2012; Korean Central News Agency, 29 March 2013; Kyu-chang Lee et al., Improving Human Rights Conditions of North Korea's Vulnerable People through Humanitarian Assistance, p. 69. (In Korean)

<sup>872</sup>\_Chosun Shinbo, 17 December 2013; Korean Central News Agency, 16 December 2014

Dongdaewon Gymnasium for the Disabled in Dongdaewon District, Pyongyang, for athletes with disabilities.<sup>873</sup>

In North Korea, there are reportedly many alignment apparatus manufacturing outlets, such as the Hamheung Alignment Apparatus Factory, Songrim Alignment Apparatus Factory, and Pyongyang Honored Veterans' Alignment Apparatus Repair Factory. The Hamheung factory is known to use polypropylene resin materials to manufacture various alignment apparatus, and the employees of each of these factories provide mobile on-site repair services.<sup>874</sup> However, it is said to be difficult for ordinary persons with disabilities to purchase items such as prosthetic legs and hands, etc., as they are expensive.875

According to the initial report by North Korea for UN CRPD, North Korea has implemented a series of "Action Plans" to carry out projects to train and rehabilitate persons with disabilities by modernizing correction equipment and surgery tools, promoting job training, and establishing remote education systems for children with disabilities.<sup>876</sup> However, most testimonies collected during the interviews showed that in reality there is almost no

<sup>873</sup>\_ Tongil News, 27 February 2016.

<sup>874</sup>\_Chosun Shinbo, 23 May 2013; Kyu-chang Lee et al., Improving Human Rights Conditions of North Korea's Vulnerable People through Humanitarian Assistance. p. 71. (In Korean); Yonhap News Agency, 3 December 2014.

<sup>875</sup> NKHR2013000057 2013-03-19; NKHR2013000070 2013-04-02; NKHR2015000131 2015-09-22.

<sup>876</sup>\_UN Doc. CRPD/C/PRK/1 (2018), para. 30.

training or rehabilitation programs given to those with disabilities.<sup>877</sup>

#### (2) Adequate Living Standards and Employment

The CRPD stipulates that "States Parties recognize the right of persons with disabilities to an adequate standard of living for themselves and their families, including adequate food, clothing and housing, and to the continuous improvement of living conditions, and shall take appropriate steps to safeguard and promote the realization of this right without discrimination on the basis of disability" (Article 28, paragraph 1).

North Korea also has policies regarding care for persons with disabilities, such as operating factories where they can work, so that persons with disability can maintain an appropriate standard of living. There are two classes of persons with disabilities in North Korea: "honored veterans," who receive preferential treatment, and "general persons with." There appears to be separate factories for these two classes.<sup>878</sup> Factories for persons with disabilities are also operated in the form of "light workplaces." Those suffering from nanocormia and polio engage in work that is not labor intensive, such as carving seals and

<sup>877</sup>\_NKHR2018000017 2018-04-09; NKHR2018000018 2018-04-09; NKHR2018000101 2018-10-01; NKHR2018000007 2018-03-12; NKHR2018000121 2018-10-22; NKHR2018000114 2018-10-13.

<sup>878</sup>\_Daily NK, 28 August 2012; Kyu-chang Lee et al., Improving Human Rights Conditions of North Korea's Vulnerable People through Humanitarian Assistance. pp. 71~72. (In Korean)

repairing clocks, bicycles, shoes, and television sets at local convenience service centers, while those with visual impairments earn money by playing the guitar.<sup>879</sup> North Korea is also known to have provided job opportunities to persons with disabilities. For example, in 2007, North Korea opened the Botonggang Convenience Complex, equipped with a sewing shop, clock repair shop, hair salon, and beauty salon.880

According to the initial report North Korea submitted to UN CRPD at the end of 2018, as of 2016 about 58.4% of North Koreans with disabilities aged between 17 to 59 have jobs for economic activities (61.6% for males and 54.7% for females).881 Currently there are more than 60 factories statewide which are dedicated for visually impaired people to work.882

Testimonies	Testifier ID
There is an honored veterans factory in Hyesan, Yanggang Province. It used to produce a variety of plates but now it does not produce anything.	NKHR2015000043 2015-02-24
There is a communications machinery factory operated by honored veterans in Gilju County, North Hamgyeong Province, and the factory also provides rations. However, the rations are not in normal amounts, but equal to roughly up to 6 months' worth to the maximum per year.	NKHR2015000053 2015-03-10

<sup>879</sup>\_NKHR2012000026 2012-02-21; NKHR2015000036 2015-02-10; NKHR2017000007 2017-04-10.

<sup>880</sup>\_Katharina Zellweger, "persons with disabilities in a Changing North Korea," p. 21.

<sup>881</sup>\_UN Doc. CRPD/C/PRK/1 (2018), Table 5.

<sup>882</sup>\_UN Doc. CRPD/C/PRK/1 (2018), para. 169.

Testimonies	Testifier ID	
There are honored veterans factories in Yeonbong 1-dong, Yeondu-dong, Songbong-dong and Wiyeon-dong in Hyesan, Yanggang Province. Currently they are not in normal operation due to issues with electricity and raw materials.	NKHR2015000130 2015-09-22	
There is a blind honored veterans factory in Hoeryeong, North Hamgyeong Province.	NKHR2016000099 2016-06-14	
There was an honored veterans factory in Gapsan County, Yanggang Province, which had been in and out of operation.	NKHR2017000046 2017-07-03	
There was an honored veterans factory in Gapsan County, Yanggang Province.	NKHR2017000050 2017-07-03	
There was an honored veterans factory in Namsan district, Musan County, North Hamgyeong Province.	NKHR2018000029 2018-05-08	
There was a honored veterans factory in Yeonbong town, Hyesan, Yanggang Province.	NKHR2018000029 2018-05-08	

#### Table IV-8 Factory Operation for General Persons with Disabilities

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Testimonies	Testifier ID
While there was a light workplace for persons with disabilities in Rimyongsugu, Samjiyeon, in 2014, it was not in operation.	NKHR2016000030 2016-03-08
There are factories for visually-impaired persons in Suseong district, Chongjin, North Hamgyeong Province.	NKHR2013000036 2013-02-19
There is a light-labor workplace for persons with disabilities in Seoncheon-dong, Hoeryeong, North Hamgyeong Province. Now it is not in normal operation.	NKHR2013000095 2013-05-14 NKHR2015000131 2015-03-22
Although there was a factory for persons with disabilities in Musan County, North Hamgyeong Province, the factory is currently not maintained due to a lack of equipment.	NKHR2013000116 2013-06-11
There are light workplaces for persons with hearing impairments and persons with physical disabilities.	NKHR2013000186 2013-09-17
There are factories for people with hearing, visual and physical disabilities in Samsu County, Yanggang Province.	NKHR2016000083 2016-05-31
There is a middle-sized factory for persons with disabilities in Chukjenog-dong, Pyongyang.	NKHR2016000170 2016-11-01
There were two light workplaces in Wonsan, South Hamgyong Province. However, no persons with disabilities actually work there. Those places were only used by merchants for sales purposes.	NKHR2017000007 2017-04-10
There was a factory for the blind in Susung-dong, Chongjin, North Hamgyeong Province. The factory was not big.	NKHR2018000016 2018-10-01

North Korean authorities provide some support for persons with disabilities to be able to sustain their lives. A woman in her late 20s who defected in 2014 said that her mother was a person with a disability, with a limp in one of her legs, and she received a ration of 300g per day every month from the dong office.883 There was also a testimony that a testifier witnessed a person with a physical disability who lived in his/her neighborhood receiving rice as well as occasional goods from the government.884 However, it appears that North Koreans with disabilities do not benefit from any care or protection from the government, and in most cases, live with the help of their families or by begging on the street. A North Korean who defected in 2018 testified that his/her brother-in-law was a visually impaired person but did not receive any support from the State and was taken care of by family members. One defector who left North Korea in 2017 testified that there are many persons with disabilities and honored veterans in the marketplace (jangmadang) who cannot make ends meet, and that sometimes they either pressure people into buying goods or behave violently.885

The disability benefits or financial support from the government are mostly for honored veterans. One defector testified that his/her

<sup>883</sup> NKHR2018000096 2018-08-27.

<sup>884</sup>\_NKHR2018000094 2018-08-27.

<sup>885</sup> NKHR2017000028 2017-06-05.

father, an honored veteran, was given potato rations from the State during potato harvest season, the amount of which differed every year. Another defector spoke of having witnessed an honored veteran, who became blind during military service, being given preferential treatment, such as an apartment, telephone, and rations. Another North Korean defector from South Hamgyeong Province testified that there are some cases in which schools ask students to give cash or supporting materials, including gloves or clothes, for honored veterans. A North Korean defector from Hyesan, Yanggang Province testified to having witnessed that the Hyesan ration center only provided rations to honored veterans, excluding general people (*inmin*), in 2015.

However, the support for honorable military servicemen does not seem to be provided in a stable manner. It was found that the scale of support was not significant nor was it provided consistently per year, and also varied by region. A North Korean defector from North Hamgyeong Province whose father was an honored veteran testified that the goods or subsidies actually provided were at an extremely perfunctory level.<sup>890</sup> A North

<sup>886</sup>\_NKHR2017000018 2017-04-10.

<sup>887</sup>\_NKHR2017000046 2017-07-03.

<sup>888</sup>\_NKHR2016000135 2016-08-23.

<sup>889</sup>\_NKHR2016000041 2016-04-05.

<sup>890</sup> NKHR2016000046 2016-04-19.

Korean who defected in 2018 testified that the son of his/her aunt became an honorable military serviceman after his eye was injured while serving in the army but received no support from the State. 891 A defector in his/her 30s who defected in 2016 testified that his/her father was an honored veteran and received rations of corn or potatoes under the honored veteran rations. Potato rations were given once a year in the potato harvest season, which were sometimes 50kg and other times 100kg, with some years with no rations.<sup>892</sup> A North Korean defector in his/her 20s who defected in 2019 testified that he/she was forcibly discharged as his/her back was injured while cutting wood when he/she was performing military duties and there was no protection at all other than 50 North Korean won per month in assistance.893

There were also testimonies that honored veterans did not receive benefits or that they did not register as honored veterans as there were more disadvantages than advantages after registering. A North Korean defector in his/her mid 30s who defected in 2018 said that his/her cousin's eye was injured and he became an honored veteran, but there was no support from the government.894 A North Korean defector in his/her early 30s who defected in 2018 said that his/her spouse was injured during

<sup>891</sup> NKHR2018000058 2018-07-02.

<sup>892</sup> NKHR2017000018 2017-04-10.

<sup>893</sup>\_NKHR2019000065 2019-08-17.

<sup>894</sup> NKHR2018000058 2018-07-02.

military duties but did not register as an honored veteran and did not receive benefits. This was because his/her mother-in-law kept him/her from registering because, once registered as an honored veteran, his/her spouse would have to work for an official workplace, which would disrupt private economic activities in the marketplace (*jangmadang*).<sup>895</sup> A North Korean defector in his/her early 50s who defected in 2019 said that his/her son was discharged as he lost his sight while performing military service but did not register himself as an honored veteran as there was no special preferential treatment.<sup>896</sup>

It seems that there are more support and benefits offered to honored veterans who are injured while working in dangerous areas. A North Korean defector in his/her 20s who defected in 2019 said that his/her father was a professional soldier and was injured resulting in a disability while working at a place that deals with harmful materials and was discharged as a special honored veteran. The testifier said that special honored veterans receive exemptions from the school for their children, special rations on national holidays, and treatment at hospitals for a certain period of time. This person also testified that his/her father received holiday rations four times a year and 40 days of treatment at a provincial hospital once a year.<sup>897</sup>

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895\_NKHR2018000072 2018-07-30.

896\_NKHR2019000074 2019-08-26.

897\_NKHR2019000071 2019-08-26.

North Korean Law on the Protection of Persons with Disabilities, stipulates that "educational guidance institutions and the institutions in charge can organize and operate training institutions and vocational schools for masseuses, computer typists, painters and engineers in consideration of academic background, age, and level of disability of persons with disabilities" (Article 22). In accordance with such provisions, North Korea implements policies to support the employment of persons with disabilities. On 2 May 2012 the Chosun Technical and Vocational Skills Class for the Disabled, a specialized class providing vocational training to persons with disabilities, opened in Pyongyang, to help persons with disabilities to participate more actively in social life. This is a one-year course designed for persons with physical disabilities, including the hearing-impaired and those without limbs. 898 Moreover, a British relief organization for North Korea, DULA International, entered into an agreement with the KFPD to establish a design school for persons with disabilities in Pyongyang on 2 May 2016.<sup>899</sup>

<sup>898</sup>\_Korean Central News Agency, 2 May 2012; Chosun Shinbo, 9 May 2012; Chosun Shinbo, 23 May 2013; Ablenews, 9 August 2013; Kyu-chang Lee et al., Improving Human Rights Conditions of North Korea's Vulnerable People through Humanitarian Assistance, p. 70. (In Korean)

<sup>899</sup> Voice of America. 29 December 2015.

# C. Efforts to Engage Persons with Disabilities at Home and in Local Communities

#### (1) Violation of the Right to Independent Life and Participation in Local Communities

The CRPD stipulates that "Persons with disabilities have the opportunity to choose their place of residence and where and with whom they live on an equal basis with others and are not obliged to live in a particular living arrangement" (Article 19 (a)). The CRPD also stresses the integration of persons with disabilities into local communities, as "Persons with disabilities have access to a range of in-home, residential and other community support services, including personal assistance necessary to support living and inclusion in the community, and to prevent isolation or segregation from the community" (Article 19 (b)).

A representative case of the violation of this freedom of residence and integration into local communities stipulated in the CRPD is the segregation for persons with nanocormia. A substantial number of North Korean defectors also testified that there are segregated areas for persons with nanocormia. One such area is Yeonha-li, Kimhyongjik County (formerly Huchang County). 900 However, in addition to such testimonies, other

<sup>900</sup>\_ NKHR2015000074 2015-04-07; NKHR2015000106 2015-05-19; NKHR2016000083 2016-05-31.

testimonies have claimed to witness people with nanocormia living in non-segregated areas with other residents. One North Korean defector from Rason, North Hamgyeong Province, testified to having witnessed a person with nanocormia and a person with spinal lesions in downtown Rason in 2016.901 Given such testimonies, it seems that although there are some segregated areas for persons with nanocormia, not all persons with nanocormia are segregated. Therefore, it is necessary to continue to identify, through testimonies, the accurate situation regarding the segregation of persons with nanocormia.

Table IV-9 Testimonies on the Segregation of Persons with Nanocormia

Testimonies	Testifier ID
There was segregated accommodation and forced sterilization of persons with nanocormia.	NKHR2014000004 2014-02-18
The testifier heard that persons with nanocormia are segregated in residences and controlled so that they cannot have children.	NKHR2014000055 2014-05-20
The testifier witnessed persons with nanocormia in Yeon-dong, Kimhyongjik County, Yanggang Province. Persons with nanocormia could not live in general residential areas but lived separately in groups in remote mountain villages.	NKHR2014000076 2014-06-17
It is said that persons with disabilities were forced not to have children and live mainly in Huchang so that they do not spread to other areas.	NKHR2014000137 2014-09-12
North Korean defector in his/her 20s, who defected in 2015, witnessed a segregated area for persons with nanocormia in Huchang on his/her way back from the sideline field ( <i>bueopji</i> ) along with her uncle.	NKHR2017000131 2017-12-18

901\_NKHR2016000186 2016-12-13.

Table IV-10 Testimonies that Persons with Nanocormia Are Not Segregated

Testimonies	Testifier ID
From the childhood up until the testifier defected from North Korea in 2015, there was a person with nanocormia living in Saneop-dong, Hoeryeong, North Hamgyeong Province.	NKHR2015000141 2015-10-06
North Korean defector in his/her 20s, who defected in 2017, heard about the existence of segregated areas in Huchang but witnessed a person with nanocormia in Hyesan. He/She thought it was a new change to allow those with nanocormia to live in non-segregated areas if they had relatives in those areas.	NKHR2017000022 2017-05-08
The testifier witnessed many persons with nanocormia selling CD-Rs, etc. in a marketplace ( <i>jangmadang</i> ) in Chongjin, North Hamgyeong Province.	NKHR2014000010 2014-03-04
The testifier witnessed many persons with nanocormia.	NKHR2014000027 2014-04-01
There was a person with nanocormia in the same People's Unit ( <i>inminban</i> ) as the testifier and he had a wife and children. There are many persons with nanocormia living in Hyesan, Yanggang Province.	NKHR2014000075 2014-06-17
The testifier witnessed a person with nanocormia (male) living in the same neighborhood as his/her aunt in Bocheon County, Yanggang Province.	NKHR2014000131 2014-08-26

Another instance of violating persons with disabilities' freedom to choose their place of residence is the restriction of where they can live. According to North Korean defectors, North Korean authorities restrict persons with disabilities from living in Pyongyang, which is often frequented by foreigners, due to its status as a special district, as well as Nampo, Gaeseong, and Chongjin. With exceptions granted to people with special skills, authorities control the residence of persons with disabilities under the pretext that they may leave an unpleasant impression on

visiting foreigners.902 One North Korean defector testified that he/she had a conversation when watching South Korean dramas that "It seems there are many persons with disabilities in South Korea, but why are there no persons with disabilities in Pyongyang?"903

#### (2) Violation of Respect for Home and the Family

The CRPD stipulates that "The right of all persons with disabilities who are of marriageable age to marry and to found a family on the basis of free and full consent of the intending spouses is recognized" (Article 23, paragraph 1 (a)). Moreover, it specifies, within respect for home and the family, that "the rights of persons with disabilities to decide freely and responsibly on the number and spacing of their children...are recognized" (Article 23, paragraph 1 (b)).

Inhuman discrimination and the most obvious human rights violations that infringe upon family rights is the sterilization of pregnant persons with nanocormia. A North Korean defector testified that in 2014, persons with nanocormia were forced to be sterilized in Onsong County, North Hamgyeong Province. 904 However, following continuous protest and complaints, it appears

<sup>902</sup>\_NKHR2014000078 2014-07-01.

<sup>903</sup>\_NKHR2015000176 2015-12-15.

<sup>904</sup> NKHR2015000171 2015-12-01.

that there are more cases of women with nanocormia giving birth. Defector testimonies on cases of forced sterilization on persons with disabilities is on the decrease. A North Korean defector testified that compulsory sterilization was not conducted on persons with nanocormia without the approval of their guardian. 905

#### (3) Mobility

Regarding the mobility of individuals, the CRPD stipulates that "States Parties shall take effective measures to ensure personal mobility with the greatest possible independence for persons with disabilities" (Article 20). After signing the CRPD, North Korea established an "Assistance Fund for Persons with Disabilities" on 21 November 2013 and revised the Law on the Protection of Persons with Disabilities in a way that reflected the accessibility stipulated in Article 9 of the CRPD, updating provisions with an emphasis on making buildings and facilities accessible to persons with disabilities. <sup>906</sup>

As part of its remodeling plan, North Korea created restrooms for persons with disabilities at the Sunan International Airport. Other than such special facilities, North Korea has failed to take measures that guarantee the mobility of persons with disabilities due to its economic hardship facing the nation. A Special

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905\_NKHR2019000084 2019-10-05.

906\_ Chosun Shinbo, 6 December 2013; Yonhap News Agency, 6 December 2013.

Rapporteur on the rights of persons with disabilities visited North Korea in May 2017 and confirmed that persons with disabilities find it hard to access even new public buildings, such as the Science and Technology Center, the Pyongyang International Airport (arrivals hall), and Pyongyang Elementary Academy. 907 In addition, persons with disabilities seem to have difficulties in their daily lives due to the restriction of accessibility to residential facilities and public transportation facilities. North Korea reported to the Special Rapporteur on the rights of persons with disabilities that since May 2017, it has provided a free taxi service in a bid to improve accessibility for persons with disabilities, at the guidance of the State Construction Committee operated under the Ministry of State Construction Control. 908 The Special Rapporteur, however, found that North Korea does not meet the most recent standards for accessibility and welcomed North Korea's request for technical cooperation regarding the relevant international standards. 909

In its first UN CRPD report, North Korean authorities admitted that persons with disabilities in North Korea have little accessibility to public facilities and planned to adopt and expand the advanced technology needed to grant them an independent but

<sup>907</sup>\_UN Doc. A/HRC/37/56/Add.1 (2018), para. 45.

<sup>908</sup>\_ Ibid., para. 46.

<sup>909</sup> Ibid.

socially integrated life.<sup>910</sup> In this regard, North Korea adopted "barrier-free architectural design standards" in 2019 under its construction law.<sup>911</sup> This can be positively evaluated as part of the measures to improve accessibility for people with disabilities in North Korea.

#### D. Raising Awareness on Persons with Disabilities

The CRPD stipulates that the State Parties shall "promote positive perceptions and greater social awareness towards persons with disabilities" (Article 8, paragraph 2, (a), ii). North Korea is also engaged in activities to improve public awareness about persons with disabilities, based on the Law on the Protection of Persons with Disabilities. First, North Korean authorities have designated June 18 as the "Day of Persons with Disabilities," since 2011, in accordance with Article 49 of the Law on the Protection of Persons with Disabilities, and host celebratory events. Also, since 2010, North Korea has held "Joint Celebrations on the Occasion of the International Day of Persons with Disabilities" every year, hosted by the Central Committee of the Federation, to celebrate the International Day of persons with

910\_UN Doc. CRPD/C/PRK/1 (2018), para. 76.

911\_ Korean Central News Agency, 18 December 2019.

912\_ Chosun Shinbo, 24 June 2014.

disabilities in Pyongyang on 3 December. 913 North Korea has also carried out projects to raise awareness on persons with disabilities through joint sports events. These efforts are observed in North Korea's Committee on Physical Training Guidance (November 2012), among others. Recently, table tennis matches between persons with and without disabilities have been held on a regular basis with increasing participants.914

The Special Rapporteur on the rights of persons with disabilities pointed out, in a report after visiting North Korea, that there is a stigma against having a disability and that there is discrimination toward persons with disabilities in North Korea. Specifically, there is a perception that they are in need of support and that they are not capable of making contributions to society. 915 The Special Rapporteur also found that improper language citing persons with disabilities is used in North Korean laws and recommended that this language be revised. 916 In

<sup>913</sup>\_Kyu-chang Lee et al., Improving Human Rights Conditions of North Korea's Vulnerable People through Humanitarian Assistance, p. 72. (In Korean); Chosun Shinbo, 7 December 2013.

<sup>914</sup>\_ Ibid, p. 72.

<sup>915</sup>\_UN Human Rights Council, Report of the Special Rapporteur on the Rights of Persons with Disabilities on her visit to the Democratic People's Republic of Korea, paras. 39~44.

<sup>916</sup>\_lbid., paras. 26~28. Article 172 and 229 (the mute, the deaf) of the North Korean Criminal Procedure Law and Article 49 (not-able person) of Civil Procedure Law, Article 78 (the disabled) of Socialist Labor Law, Article 13 (those who lost ability to work) of the Public Health Law, Article 49 (partially-able person, not-able person) of Civil Procedure Law. Article 66 (insane person) of the North Korean Socialist Constitution.

addition, the Special Rapporteur found it to be problematic that those with cognitive, psychological, and social disorders have restricted legal rights, including the right to vote, and the right to designate their guardians. The Special Rapporteur, therefore, urged the judiciary to conduct a comprehensive review so as to comply with Article 4 of the CRPD.<sup>917</sup> In general, North Korea does not allow persons with disabilities to receive education or service in separate facilities. This prevents persons with disabilities from having access to facilities or services that are equal to those used by persons without disabilities.<sup>918</sup>

Recently, there have been efforts by the North Korean authorities to use mass media to alter public perception of persons with disabilities. A North Korean who defected in 2016 testified that he/she saw a TV commercial which showed the State's consideration for persons with disabilities. Another North Korean defector also testified that since 2017 there has been an increasing number of news related to persons with disabilities, such as performance troupe consisting of persons with disabilities and schools for persons with disabilities. P20 In 2019, measures taken by North Korean authorities to improve the human rights of people with disabilities over the previous year were actively

<sup>917</sup> Ibid.

<sup>918</sup>\_ Ibid., para. 42.

<sup>919</sup>\_NKHR2018000056 2018-07-02.

<sup>920</sup>\_NKHR2018000117 2018-10-22.

promoted through the state media outlet Korean Central News Agency.<sup>921</sup> Although this can be interpreted as a political effort to propagate the State's achievements, it is also a positive change when considering prior efforts by the State made to avoid the topic of persons with disabilities.

#### E. Cooperation with the International Community

North Korea has pursued cooperation with South Korea and the international community to support persons with disabilities. In case of inter-Korean cooperation regarding persons with disabilities, as part of the inter-Korean exchange and assistance project for persons with disabilities, an inter-Korean seminar on scientific rehabilitation of persons with disabilities was held for the first time at the Yanggakdo Hotel in Pyongyang on 19 December 2006. The seminar included a discussion of research results on rehabilitative treatment and special education which was attended by a delegation from Daegu University (South Korea) and a delegation from Chosun Red Cross Hospital. 922 In addition, in May 2007, the Botonggang Convenience Complex was built and opened on Red Avenue, Botonggang District, Pyongyang, with the support of South Korea's Lighthouse Foundation. It is the first self-reliant rehabilitation center for

<sup>921</sup>\_Korean Central News Agency, 18 December 2019.

<sup>922</sup>\_ Yonhap News Agency, 22 December 2006.

persons with disabilities, and is jointly operated with the KFPD. 923

Second, cooperation with international NGOs is also taking place. Green Tree International is seeking to build the Daedonggang Rehabilitation Center for the Disabled in Pyongyang, which will offer medical support and training on rehabilitative skills for persons with disabilities, as well as educational programs for athletes and artists with disabilities. 924 Humanity & Inclusion also teaches therapeutic skills to physical therapists at the Pyongyang-based Munsu Functional Recovery Center, the Korean Rehabilitation Center for Children with Disabilities, and elsewhere.925 In April 2016, a private relief group called, Together-Hamheung, headquartered in Germany, opened the first kindergarten for children with hearing disabilities in North Korea in Moranbong District, Pyongyang, with support from the Catholic organization and donors. The kindergarten has around 10 classrooms and can accommodate around 40 children. 926 In 2019, an American relief organization called IGNIS Community established the "Pyongyang Spine and Rehabilitation Center (PYSRC)" in Pyongyang Medical College. This research center plans to train North Korean healthcare providers on the treatment of children with behavioral development disabilities including

<sup>923</sup>\_OOO, KINU Advisory meeting, 29 June 2012. Name is not disclosed upon request.

<sup>924</sup>\_See, Green Tree International, (www.greentreekorea.org).

<sup>925</sup>\_See, Humanity & Inclusion, (www.handicap-international.org).

<sup>926</sup>\_ Nocut News, 1 July 2016.

cerebral palsy and autism.927

Third, exchange projects on persons with disabilities are also carried out with the international community. The Central Committee of the KFPD entered into a memorandum of understanding (MOU) with the World Federation of the Deafblind (hereinafter WFDB) on 9 February 2011, through which the Blind Association of Korea (launched in March 2014) and the Deaf Association of Korea (launched in December 2013) are working on projects to join the World Federation.<sup>928</sup> On 7 November 2014, through the offices of the Finnish Association of the Deaf. six North Korean delegates, including three persons with hearing impairment, went to Finland and paid a courtesy visit to Ms. Sirpa Paatero, Minister of Foreign Trade and Development. 929 In addition, a concert for students with disabilities was held in the U.K. and France from 20 February to 2 March 2015.930 18 persons with hearing impairment from Japan, Singapore, and the Netherlands also visited North Korea from 8 to 12 August 2014. 931 An official from the International Paralympic Committee, headquartered in Germany, visited Pyongyang from 13 to 16 May 2016, and explained the "disability grade system" rules, and

<sup>927</sup>\_ Voice of America, 1 January 2020.

<sup>928</sup> Chosun Shinbo, 24 June 2014.

<sup>929</sup> Voice of America, 8 November 2014.

<sup>930</sup> Chosun Shinbo, 27 August 2014; MK News, 17 September 2014; The Asia Economy Daily, 6 February 2015.

<sup>931</sup>\_Chosun Shinbo, 27 August 2014.

categorization methods adopted by the Paralympic Games to 21 athletes, including 13 North Korean table tennis players and eight swimmers. 932

Fourth, persons with disabilities have participated in international sport events. North Korea established the Korean Association for Sports of the Disabled in 2010, and the National Paralympic Committee in September 2011, and officially joined the International Paralympic Committee held in Athens from 22 to 24 November 2013. It has also taken part in a wide range of international competitions for persons with disabilities, including the 2012 London Summer Paralympics, the Asia Youth Para Games held in Kuala Lumpur, Malaysia in October 2013, the Asia Para Games held in Incheon in October 2014, the Rio Paralympic Games in September 2016, and the PyeongChang 2018 Paralympic Winter Games. In December 2016, the North Korean deaf football team, composed of athletes with hearing and linguistic disabilities, visited Australia for the second time, after their first visit in December 2014, to compete in a friendly match with the Australian team. 933

#### F. Evaluation

North Korea has defined persons with disabilities as targets for

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932\_ Voice of America, 7 June 2016.

933\_ Voice of America, 19 November 2016.

special protection, and has responded to the issue of disabilities with forward-looking policies. Although North Korea is making some efforts to improve awareness of persons with disabilities, there still seems to remain a deep-rooted negative perception in North Korean society towards persons with disabilities. In addition, providing education and services to persons with disabilities in separate facilities has, in effect, served as a form of social discrimination. Honored veterans are found to receive partial benefits, but those with disabilities, whose disabilities were caused by industrial accidents or other accidents, rather than by military service, do not seem to be given adequate levels of protection and supporting measures. Moreover, suspicion over practices that violate the right to integration in local communities, and the right to family, has yet to be resolved. Such practices include the segregation and sterilization of those with nanocormia. Moreover, while efforts toward rehabilitation are also being made through honored veterans' factories and factories for general persons with disabilities, these are assessed as ineffective due to the economic hardship facing North Korea. While authorities emphasize the need to care for persons with disabilities, it seems that they do not invest significant resources toward this need. Meanwhile, North Korea took several measures in 2019 to improve the human rights of persons with disabilities, including through adopting barrier-free architectural design

standards to improve accessibility for persons with disabilities. 934 Moreover, the recommendations of the UN member states to improve the rights of persons with disabilities<sup>935</sup> were accepted by North Korea in the Third UPR in 2019. 936 Improvement of the human rights of people with disabilities in North Korea requires North Korea's compliance with its domestic laws related to persons with disabilities and the Convention on the Rights of Persons with Disabilities and its continuous exchanges and cooperation with the international community. What is encouraging is that North Korea is showing an open attitude to cooperation with the international community. In its First report on the Convention on the Rights of Persons with Disabilities, North Korea emphasized that it had made many efforts to improve the human rights of persons with disabilities through cooperation with the international community.937 It also stressed that such efforts were intended to fully meet the human rights standards of the international community.

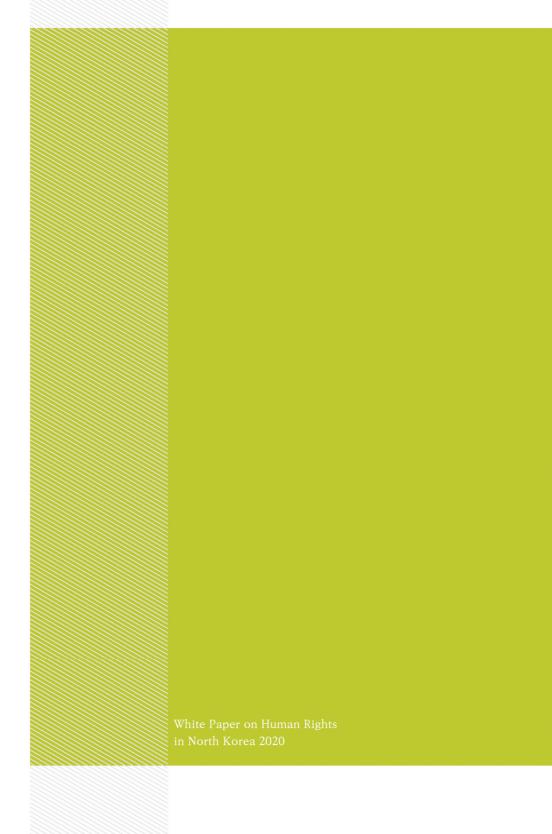
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<sup>934</sup>\_Korean Central News Agency, 18 December 2019.

<sup>935</sup>\_UN Doc. A/HRC/42/10 (2019), para. 126.193~126.199.

<sup>936</sup>\_UN Doc. A/HRC/42/10/Add.1 (2019), para. 9(a).

<sup>937</sup>\_UN Doc. CRPD/C/PRK/1 (2018), paras. 199~204.





### Part V

#### Major Issues

- 1. Political Prison Camps
- 2. Corruption
- Overseas Defectors
- 4 Overseas Workers
- Separated Families, Abductees and Korean War POWs

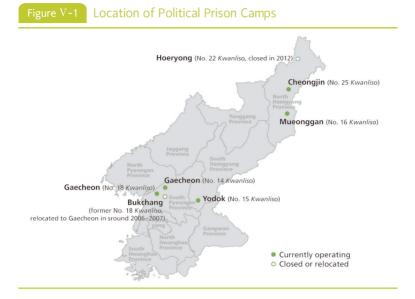
## Political Prison Camps

#### A. Overview of Political Prison Camps

Although North Korea denies their existence, there are political prison camps, called kwanliso, in North Korea. Given that these prison camps are not official detention facilities and operate through arbitrary practices, they inherently contain violations of human rights. Five such camps have been identified: No. 14 Kwanliso in Gaechon, No. 15 Kwanliso in Yodok, No. 16 Kwanliso in Myeonggan, No. 18 Kwanliso in Gaechon, and No. 25 Kwanliso in Chongjin.938 No. 14 Kwanliso in Gaechon is located in Dongchanggol, Jamsang-li, Chang-dong, Gaechon, South Pyeongan Province. No. 15 Kwanliso in Yodok is located

<sup>938</sup>\_Although previously, six political prison camps (kwanliso) had been in operation, No. 22 Kwanliso, located in Naksaeng-li, Haengyoung-li, and Namseok-li in Hoeryeong, North Hamgyeong Province, closed around 2012, according to defector testimonies. In the 2015 survey, several individuals also testified about the closedown of No. 22 Kwanliso in Hoeryeong. NKHR2015000023 2015-01-27; NKHR2015000025 2015-01-27; NKHR2015000026 2015-01-27; NKHR2015000031 2015-02-10; NKHR2015000129 2015-09-22; NKHR2015000135 2015-09-22; NKHR2015000163 2015-12-01.

in the 5 lis of Daesuk-li, Ipseok-li, Gueup-li, Yongpyeong-li, and Pyeongjeon-li, in vast areas accounting for one-third of Yodok County. No. 16 Kwanliso in Myeonggan is located in Jungpyeong- dong, Gari-dong, and Buhwa-li in Myeonggan County, North Hamgyeong Province. While known by the old name of Myeonggan, it is also called Hwaseong *Kwanliso*. No. 18 Kwanliso, in Gaechon, is located in Dongrim-li, Gacheon, South Pyeongan Province. It has been found that sometime between 2006 to 2007, the former No. 18 Kwanliso in Bukchang, located in Sepo-dong, Sampo-dong, and Sinheung-li, was dramatically downsized and moved to Dongrim-li, Gaechon, South Pyeongan Province. No. 25 Kwanliso in Chongjin is located in Suseong-dong, Songpyeong district, North Hamgyeong Province. While No. 25 Kwanliso in Chongjin is also called 'Suseong Kvohwaso,' it is actually a political prison camp (kwanliso) accommodating political criminals. There have also been testimonies that senior officials, including heads of provincial party MPS and chairmen of provincial People's Committees, have been seen in No. 25 Kwanliso in Chongjin. 939



Political prison camps (kwanliso) in North Korea can be categorized based on their operation type, zone division, whether the prisoners return to society, whether family members accompany prisoners, and the management entity, as can be seen in as in <Table V-1>.940

<sup>940</sup>\_Refer to Keum-soon Lee et al., Political Prison Camps in North Korea, pp. 11~16 (Seoul: KINU, 2013). (In Korean)

Table V-1	Management and Operation of Political Prison Camps				
	No. 14 <i>Kwanliso</i> in Gaechon	No. 15 <i>Kwanliso</i> in Yodok	No. 16 <i>Kwanliso</i> In Myeonggan	No. 18 Kwanliso in Gaechon (former Bukchang Kwanliso)	No. 25 <i>Kwanliso</i> in Chongjin
Type	Town	Town	Town	Town	Detention facility
Division of zones	Total control zone	Revolutionary zone	Total	Immigrant (No division of zones)	Prison camp ( <i>kyohwaso</i> )
		Total control control zone	control zone		
Possibility of release into society	Impossible	Impossible, possible	Impossible	Impossible, possible	Impossible, possible
Accompanying family	Accompanying families	Criminals only/ accompanying families	Accompanying families	Criminals only/ accompanying families	Criminals only
Management entity	MSS	MSS	MSS	MPS	MSS

#### B. Imprisonment of Political Criminals

While there is no internationally established definition of "political crime," such crimes are, in general, classified into 1) "absolute political crime," such as crimes committed against the state, high treason, and espionage; and 2) "relative political crime," in which acts of general crime, including murder, arson, and theft, are combined with absolute political criminal acts.<sup>941</sup> Surveys carried out to date suggest that North Korean authorities imprison people in political prison camps (kwanliso) mostly for

941\_*lbid.*, p. 9.

engaging in absolute political crimes, such as criticizing the North Korean regime or insulting the Supreme Leader (Suryeong), attempting escape to South Korea, contacting South Koreans or making favorable comments about South Korea, and providing important documents or information to South Korea or foreign countries. While specific testimonies on the specific sizes or realities of political prison camps (kwanliso) have not been collected, it can be confirmed that political prison camps (kwanliso) exist and that they are used as a control mechanism over the North Korean people. Testimonies that confirm that the general public have actual fear of political prison camps (kwanliso) have been continuously collected. 942 A North Korean defector OOO testified that he/she was always careful with his/her words in order not to be cornered for Language Reactionary (mal bandong) as he/she new that political prison camps (kwanliso) existed. 943 A North Korean defector OOO testified that an uncle of a friend was sent to a political prison camp (kwanliso) for speaking out his disagreement with the Party and the leader in around 2015~2016. According to the testimony, the man was arrested together with his son and he and his wife were forcibly divorced.944

942\_NKHR2019000020 2019-05-07.

<sup>943</sup>\_NKHR2019000104 2019-11-09.

<sup>944</sup> NKHR2019000086 2019-10-05.

In the 2019 survey, testimonies stated that those who were caught receiving money from South Korea or making phone calls to South Korea were sent to political prison camps (kwanliso). 945 A North Korean defector OOO testified that a 33-year-old woman in Yanggang Province was caught receiving and delivering money from South Korea, and her husband, who was on initial service at OO general bureau had to discharged as his wife was sent to a political prison camp (kwanliso). 946

It has been identified that those who commit human trafficking are generally sent to prison camps (kyohwaso) but those who commit organizational human trafficking or trafficking crimes related to South Korea are sent to political prison camps (kwanliso). A North Korean defector testified that a woman who was in the same Women's Union with her was arrested while trafficking 70 people in 2015 and was sent to a political prison camp (kwanliso).947 A North Korean defector OOO testified that he/she heard that an alumnus who lived in a border region was caught by the MSS and sent to a political prison camp (kwanliso) around 2015~2016 for handing people over to China while operating as a trafficker. 948 A North Korean defector OOO testified that a People's Unit (inminban) chief in Kimjongsuk

<sup>945</sup> NKHR2019000019 2019-05-07.

<sup>946</sup>\_NKHR2019000031 2019-06-03.

<sup>947</sup>\_NKHR2018000101 2018-10-01.

<sup>948</sup> NKHR2019000046 2019-07-01.

County was detained in a political prison camp (kwanliso) for human trafficking around 2016 because he received money from South Korea in the process of human trafficking. 949

Cases have been continously collected where people were detained in political prison camps (kwanliso) after being caught while attempting to go to South Korea. A North Korean defector OOO testified that a family of 11 people who lived in Musan County, North Hamgyeong Province, were caught at an airfield in China after defecting from North Korea and were detained in a political prison camp (kwanliso) in 2015 and that the testifier learned this information as an MSS agent gathered people and announced such details. However, the testifier said that he/she heard that a minor child (presumed to be 8 years old at that time) was sent to an accommodation that raises orphans in Musan County. 950 A North Korean defector \(\cap \cap \cap \) testified that he/she witnessed a family of five people who were caught while attempting to go to South Korea and were sent to a political prison camp (kwanliso) when the testifier was in an MSS county detention center (guryujang) in Onsong in 2017.951

Testimonies have been continuously collected whereby brokers helping North Korean residents defect to South Korea are sent to

949\_NKHR2019000019 2019-05-07.

<sup>950</sup>\_NKHR2019000033 2019-06-03.

political prison camps (kwanliso). One North Korean defector testified that an uncle, who worked as a broker aiding North Korean defectors, was sent to a political prison camp in 2015. 952 A North Korean woman in her 50s who defected in 2017 testified that her sister, who was a broker for defectors, went missing after being arrested by the MSS in 2016. It has been assumed that she was sent to a political prison camp.953 Another North Korean defector testified that his/her neighbor was a broker for defectors and was caught while handing over the children who remained in North Korea to a Chinese broker after being requested by their parents who had already defected to South Korea. After being caught, the broker was sent to a political prison camp. 954 A North Korean defector \( \cap \cap \) testified that he/she heard that among the seven people who attempted to defect through Daehongdan County in 2018, the woman who was their guide was sent to a political prison camp (kwanliso) and the others were released after paying 10,000 yuan.<sup>955</sup>

Testimonies where people have been imprisoned in political prison camps (kwanliso) after being caught providing documents or information to South Korea or other countries are frequently documented. A North Korean testified that his/her in-law was

<sup>952</sup> NKHR2017000099 2017-10-23.

<sup>953</sup>\_NKHR2018000028 2018-05-08.

<sup>954</sup>\_NKHR2018000057 2018-07-02.

<sup>955</sup> NKHR2019000074 2019-08-26.

caught receiving a watch in exchange for information regarding North Korea to China. This was considered as a form of espionage and he/she was sent to a political prison camp in 2015. There were other cases of detaining people for taking part in religious practices. For example, a North Korean defector testified that his/her neighbor was sent to a political prison camp because a Bible was found in his/her house in December 2015. A North Korean defector cestified that he/she heard in April 2018 that there was a relative who was caught by the MSS and sent to a political prison camp (*kwanliso*) for receiving a Bible from China and distributing it to people in North Korea as well as carrying out missionary work.

Meanwhile, it appears that punishment for political criminals extends beyond the individual perpetrator to his/her family, and sometimes even to his/her relatives. It is said that generally, guilt by association applies to immediate family members. One defector testified to having heard that when a woman and her mother were caught in the process of defecting to South Korea, the woman, her mother, as well as her grandmother were sent to a political prison camp. 959 One testimony claimed that when a spouse is accused as a political criminal, one may avoid being sent

<sup>956</sup>\_NKHR2018000105 2018-10-01.

<sup>957</sup>\_NKHR2017000012 2017-04-10.

<sup>958</sup>\_NKHR2019000051 2019-07-20.

<sup>959</sup>\_NKHR2017000038 2017-06-05.

to a political prison camp by divorcing the accused spouse.<sup>960</sup> Several people have testified that instances of an entire family being imprisoned in a political prison camp have decreased since North Korea has found it hard to house so many prisoners due to an increasing number of North Koreans defecting to South Korea. This change in policy contrasts with the past, when family members were often sent to prison camps after a member of their family defected.<sup>961</sup> In addition, several people testified that even when whole families were imprisoned through guilt by association, a child was released from the political prison camp and that when one family who attempted to defect to South Korea became imprisoned in the political prison camp (kwanliso), children were exempted from imprisonment.962 One North Korean defector testified to having heard that an entire family had been caught in their attempt to defect to South Korea. They were forcibly repatriated, and were sent to a political prison camp, and that two minors (ages 14 and 7) were released from the camp. 963 In the 2019 survey, there was a case where a family was sent to a political prison camp (kwanliso) as they attempted to defect to South Korea in 2015, but the children, who were minors, were exempted. 964

<sup>960</sup> NKHR2013000154 2013-08-20.

<sup>961</sup> NKHR2015000028 2015-02-10; NKHR2017000067 2017-08-28.

<sup>962</sup> NKHR2015000015 2015-01-27; NKHR2015000030 2015-02-10.

<sup>963</sup>\_NKHR2016000171 2016-11-01.

<sup>964</sup> NKHR2019000033 2019-06-03.

It appears suspects do not receive due legal process when they are arrested and taken to political prison camps (kwanliso). One North Korean defector testified that imprisonment in political prison camps (kwanliso) is decided exclusively by the MSS without trials.965 Because political criminal suspects are imprisoned at political prison camps (kwanliso) in such ways, it is not easy for the general public to know what has happened to their family members, and whether they have been imprisoned in political prison camps (kwanliso).

#### C. Overview of Prison Life

#### (1) Extrajudicial, Summary, or Arbitrary Execution

According to defector testimonies collected to date, executions are carried out by MSS agents, who do so without following any legal procedures. Reasons for execution include violation of rules or disobedience to orders inside political prison camps (kwanliso). Defectors have testified that such executions are mostly carried out in public, but sometimes carried out in secret.

#### (2) Forced Labor

It appears prisoners in political prison camps (kwanliso) are

965\_NKHR2016000171 2016-11-01.

forced into hard labor. The type of labor differs by political prison camp, but it has been testified that at the former No. 18 Kwanliso in Bukchang, prisoners were mostly put to work at a coal mine, as impossible due to the area's geological farming was characteristics. 966 Work units at No. 15 Kwanliso in Yodok were forced to take part in industial, agricultural, and other types of work. 967 As labor at coal mines is done according to "production" plans," if one fails to fulfill the daily workload quota by the end of the normal work day, he/she must continue working until the quota is met. 968 Prisoners are mobilized for labor even on weekends, without rest. Hye-suk Kim, a woman who was imprisoned at the former No. 18 Kwanliso in Bukchang, testified that she had to work on her rest days to work in the houses of MSS agents in charge of coal mines, or to work for MPS officers, plowing fields, planting potatoes, and weeding, or carrying coal to the warehouse.969 There have also been cases in which forced labor resulted in death. One North Korean defector testified that he/she worked in the former No. 18 Kwanliso in Bukchang and around ten people died each year at that camp.<sup>970</sup>

<sup>966</sup>\_North Korean defector OOO, 14 September 2012, interviewed in Seoul; North Korean defector OOO, 12 October 2012, interviewed in Seoul.

<sup>967</sup>\_North Korean defector OOO, 27 September 2012, interviewed in Seoul.

<sup>968</sup>\_North Korean defector OOO, 14 September 2012, interviewed in Seoul.

<sup>969</sup> Hve-suk Kim, A Prison Camp Created in Tears (Seoul: Sidaeieongshin, 2011), p. 38. (In Korean)

<sup>970</sup> NKHR2013000126 2013-07-09.

#### (3) Inhuman Treatment

Violence and mistreatment appear to be widespread in political prison camps (kwanliso). A North Korean defector testified that in the former No. 18 Kwanliso in Bukchang, the mine vice-captain who was in charge of the coal mine shift kicked him/her and struck him/her with a bat when he/she failed to come up with the required amount of coal. In addition, the MPS officer in charge of the coal mine also beat him/her.971

Prisoners also appear to suffer from poor nutrition, poor sanitation, and lack of health care in the political prison camps (kwanliso). Hye-suk Kim, while imprisoned at the former No. 18 Kwanliso in Bukchang, testified that her seven family members were given only 8kg of Annam rice per month. 972 Since there was no way to get more rice, they had no choice but to go out and climb hills and mountains to pick wild greens to fill their hungry stomachs, and, even when off duty, all families had to collect edible greens from the mountain and store them for food. 973 A North Korean defector testified that in the former No. 18 Kwanliso in Bukchang, his/her father died from chronic sickness and malnutrition, and his/her two younger siblings died from

<sup>971</sup>\_Above testimony.

<sup>972</sup>\_Hye-suk Kim, A Prison Camp Created in Tears, pp. 73~76. (In Korean)

<sup>973</sup>\_*lbid.*, pp. 73~76.

malnutrition and sickness, respectively.974 Moreover, when the testifier's leg was injured, medical staff took only an X-ray of his/her injured leg, and told him/her that his/her leg should be amputated at Yongjong Hospital in the former No. 18 Kwanliso in Bukchang in April 2004. No other medical treatment was offered. 975

#### (4) Restrictions on Family Life

According to defector testimonies collected to date, one cannot live with one's parents, siblings, or even spouse in the total control zones. Moreover, in revolutionary zones, marriage and childbirth are generally prohibited. One testimony claimed that husbands and wives were assigned to opposite work shifts to prevent them from having sex.

#### D Fyaluation

The reasons for detention in political prison camps (*kwanliso*) collected in the 2019 survey include doing work connected with South Korea, which is called "jeokseon"; receiving or delivering money sent from South Korea; attempting to go to South Korea; human trafficking; and distributing the Bible. In particular, cases have been continuously collected where detention at political

974\_NKHR2013000126 2013-07-09.

975\_Above testimony.

prison camps (kwanliso) were linked to attempts to defect to South Korea, and that news of imprisonment are rarely delivered to the residents. There was also a testimony that those who engaged in human trafficking were also sent to political prison camps (kwanliso) instead of prison camps (kyohwaso) when the trafficking was related to South Korea.

There was no specific testimony by North Korean defectors on the process of detention in political prison camps (kwanliso). However, that political criminal suspects are imprisoned after arrest without due legal process, and that their families are left in the dark regarding their whereabouts or whether they are alive or dead, constitutes an infringement on the right not to be tortured or to receive inhuman treatment (Article 7 of the ICCPR), the right to liberty and security of person (Article 9 of the ICCPR), and the right to a fair trial (Article 14 of the ICCPR).

Although no testimonies on the actual lives of prisoners in political prison camps (kwanliso) were collected, if it is assumed that the situation has not significantly changed, it is assessed that the diverse rights and freedoms of the prisoners recognized by ICCPR are violated. More specifically, summary execution of prisoners and death caused by inhuman treatment constitute infringement of the right to life (Article 6 of the ICCPR), while serious exploitation of prisoners for labor constitutes an infringement of the right not to be forced into labor (Article 8 of the ICCPR). The violence, mistreatment, and poor nutrition,

sanitation, and health care that prisoners receive constitute violations of the right to humane treatment in detention (Article 10 of the ICCPR), and, in serious cases, may also constitute infringement of the right not to be tortured or to receive inhuman treatment (Article 7 of the ICCPR). In addition, restrictions on family life at political prison camps (kwanliso) also infringe on the right to form a family and be protected by society and the State (Article 23 of the ICCPR).

### Corruption

#### A. Overview of Corruption in North Korean Society

Corruption in North Korean society is so prevalent that it is a part of every day life, without distinction between central, provincial, and lower levels. Since the economic crisis of the 1990s, the provision of rations to the general population has technically been suspended, and the planned economy has been operating in a distorted way. Against this backdrop, North Korean people have sought ways to survive through the markets, and the unlawful and anti-socialist practice of abnormally pursuing private interest has spread rapidly in North Korea. 976

North Korea punishes bribery through its Criminal Law and Administrative Penalty Law. North Korea has increased the punishment for crimes concerning large bribes from three years to five years in prison when its Criminal Law was revised in 2015.

<sup>976</sup> Soo-am Kim et al.. The Correlation between Corruption and Human Rights in North Korea, p. 3. (In Korean)

North Korea also added a provision on additional punishment for serious cases.

Table V-2	Changes in North Korean Criminal Law on Crimes of Bribery
2012 Criminal Law	Article 230 (Crime of Bribery) Those who received large amounts of bribes shall receive one year or less of labor training punishment. Those who received especially large amounts of bribes shall receive three years or less of correctional labor punishment.
2015 Criminal Law	Article 230 (Crime of Bribery) Those who received large amounts of bribes shall receive one year or less of labor training punishment. Those who received especially large amounts of bribes shall receive five years or less of correctional labor punishment. In serious cases, five years or more and ten years or less of correctional labor punishment is applied.

Article 163 of the Administrative Penalty Law states that those who receive or offer bribes, or who engage in brokerage of bribes, may be subject to admonitions, stern warnings, three months or less of unpaid labor and re-educational labor, and, in serious cases, three months or more of unpaid labor, re-educational labor, or demotion, dismissal, or loss of employment. However, in spite of such legal restrictions, acts of corruption, including bribery, have become even more prevalent in North Korean society. Also, in the 2019 survey, many people testified about bribery in the investigation or preliminary examination process and in detention facilities, including prison camps (*kyohwaso*), crackdown processes, and trials, which shows that there is serious corruption within North Korean judicial institutions and bureaucratic society. Bribery is also a general practice in the daily lives of North

Koreans, for example, when moving one's residence, engaging in commercial activities, being issued documents, using medical facilities, receiving job assignments, entering schools, and seeking overseas assignments.

#### B. Corruption in Resident Control and Punishment **Process**

#### (1) Corruption in Crackdowns

North Korean authorities' control over the residents has been consistent, although the degree has varied depending on the time period. This control most often takes place in economic and market activities, and is mostly aimed at preventing the relaxation of social order due to marketization. With stronger control and punishment regarding anti-socialist activities, defined by North Korean authorities, the practice of offering bribes to avoid punishment is widespread across the general society. In the 2019 survey, many people testified about cases in which those who were caught owning and illegally using unregistered mobile phones, carrying and watching foreign video recordings, trading in the marketplace (jangmadang), and taking and smuggling narcotics were able to avoid punishment or receive only light punishment by paying bribes.

#### Table V-3 Cases of Bribery in Crackdowns

Cases of Bribery III Crackdowns	
Testimonies	Testifier ID
The testifier often watched YouTube and Korean videos by secretly purchasing a smartphone when he/she was dispatched overseas in 2014 and was not punished as the testifier paid money to MSS agents in charge in advance but frequently witnessed his/her colleagues getting caught. Once caught, one should pay 100~200 dollars in bribes.	NKHR2019000088 2019-10-19
The testifier watched video broadcast programs and video recordings from South Korea and foreign countries once or twice a day from 2015 to 2018. The testifier paid around 10,000~20,000 North Korean won and a little more in bribes for Korean films when caught watching them. The testifier's father used a Samsung mobile phone until 2018, and although he was censored for using the mobile phone together with USB drives, it was resolved by paying bribes when caught.	NKHR2019000020 2019-05-07
The testifier was subject to a house search in 2017 and caught for owning an unapproved Chinese film and a song by North Korean singer Yu-seong Kim. The agents asked the testifier to pay money or 20kg of gasoline to avoid punishment, but the testifier offered two puppies instead as he/she did not have money.	NKHR2019000052 2019-07-20
The testifier borrowed a Chinese mobile phone and went up a mountain to call his/her son in South Korea in April 2018, but he/she was caught and avoided punishment by paying 2,000 North Korean won to MSS agents.	NKHR2019000074 2019-08-26
The testifier was having a party with guests on the birthday of his/her father in May 2018 when Group 109 came and inspected his/her computer and USB drives. The testifier was caught because the accompaniment music on the computer was a South Korean song, but the testifier sent the agents away by treating them to meals and paying 2 packs of cigarettes and much money.	NKHR2019000071 2019-08-26
The testifier was subject to a house search in October 2018 and was caught for possessing impure video recordings (Indian films and Chinese films) and paid 30,000 North Korean won in bribes.	NKHR2019000045 2019-07-01
While it was possible to sell goods from the age of 36, which is the age requirement for selling at marketplaces ( <i>jangmadang</i> ), the testifier paid bribes to the managers of the market as he/she was not old enough yet, and sold at the market, only avoiding times when inspections were carried out.	NKHR2019000012 2019-04-20
When caught selling medicines in the market, sometimes all the medicines would be confiscated, but people would pay medicines as bribes to the medical supplies management office and patrol parties.	NKHR2019000021 2019-05-07

Testimonies	Testifier ID
The testifier watched American films mostly through an EVD (Enhanced Versatile Disc) player and USB drives. Although when caught one would be sentenced to one year in a prison camp ( <i>kyohwaso</i> ), they were mostly released when paying bribes.	NKHR2019000026 2019-05-18
The testifier was selling clothes on the side road of the marketplace ( <i>jangmadang</i> ). Chinese goods were confiscated based on their form and jeans, short clothes, and South Korean clothes were all confiscated. Sometimes, they enforce people to use only North Korean money. When caught, MPS officer would confiscate all the goods but return them when paying bribes (cigarettes, liquor, and cash).	NKHR2019000047 2019-07-01
The testifier was selling goods on the street beside the marketplace ( <i>jangmadang</i> ). One of the sellers on the street was selected as a chief who would gather and pay 1,000 North Korean won to agents every day.	NKHR2019000059 2019-07-29
The testifier was cultivating opium through individual farming in Yanggang Province and even when there were crackdowns, he/she was not punished as it was all resolved through bribes.	NKHR2019000074 2019-08-26
While it rarely happened to older and well-mannered people, young students were often cracked down on for mobile phones. When illegal items such as South Korean songs were found, people would be exempted by paying 100~200 yuan, and if they could not, they would be sent to labor training camps (rodongdanryundae).	NKHR2019000086 2019-10-05
The testifier was caught with South Korean songs and films on his/her mobile phone. He/she was released after paying 3,000 yuan.	NKHR2019000110 2019-11-18
The testifier was selling running clothes and there was a serious crackdown. When caught, the testifier would avoid punishment by paying appropriate bribes, which were around 8,000~10,000 North Korean won when expensive and around 5,000 North Korean won worth of cigarettes when cheap.	NKHR2019000111 2019-11-18

Crackdowns on mobile phones are mostly carried out by members of the anti-socialist group (bisageuruppa), and they check whether people carry or use unregistered mobile phones such as Chinese mobile phones or have illegal contents in their mobile phones. Those who are caught usually receive labor training punishment, but the level of punishment and amount of bribes that are paid sometimes varies by the source and content of the mobile phone calls. A North Korean defector  $\bigcirc\bigcirc\bigcirc$  who was dispatched overseas in 2014 said that he/she often watched YouTube and South Korean video recordings by secretly buying a smartphone, and the testifier was not caught as he/she paid bribes to the MSS agent in charge in advance. However, his/her colleagues were caught frequently and paid 100~200 dollars in bribes. 977 Another North Korean defector OOO said that he/she borrowed a Chinese mobile phone from a neighbor in April 2018 and went up a mountain to try to call his/her son in South Korea but was caught by an MSS agent who followed him/her based on a report. The testifier avoided punishment by paying 2,000 North Korean won to the MSS agent.<sup>978</sup> It was said that young students carrying mobile phones were often searched and major crackdown items included South Korean songs on the mobile phone. When caught, the person would be sent to a labor training camp (rodongdanryundae) and could be released by paying 100~200 yuan in bribes. 979 A North Korean defector ○○○ who defected in July 2019 said that he/she was caught for having South Korean songs and films on his/her mobile phone and was released after paying 3,000 yuan. 980

<sup>977</sup> NKHR2019000088 2019-10-19.

<sup>978</sup>\_NKHR2019000074 2019-08-26.

<sup>979</sup>\_NKHR2019000086 2019-10-05.

<sup>980</sup> NKHR2019000110 2019-11-18.

Broadcast materials and recordings are handled by a specialized crackdown institution (the anti-socialist group/109 Permanent Committee), which is composed of one MSS agent, one League of Socialist Working Youth agent, and one MPS agent. A North Korean defector (()() who said he/she watched broadcast programs and video recordings from South Korea and foreign countries once or twice a month from 2015 to 2018 stated that one had to pay 10,000~20,000 North Korean won in bribes when caught with Chinese films but more when caught with South Korean films. 981 A North Korean defector OOO who was subject to a house search without prior notice in 2017 said that he/she was caught for having unapproved Chinese films and songs by controversial North Korean singer Yu-seong Kim and that the agents first asked for money or 20kg of gasoline to avoid punishment. However, the testifier paid with two puppies instead as he/she did not have money. 982 A North Korean defector OOO said that when he/she was having a birthday party for his/her father in May 2018, Group 109 suddenly entered and inspected their computers and USB drives. The testifier was caught because the accompaniment music on the computer was a South Korean song. The testifier sent them away by treating them to meals and giving them two packs of cigarettes and money. 983 However, not

<sup>981</sup>\_NKHR2019000020 2019-05-07.

<sup>982</sup>\_NKHR2019000052 2019-07-20.

<sup>983</sup> NKHR2019000071 2019-08-26.

all crackdowns can be covered up with bribes. There were testimonies that watching South Korean dramas is considered a serious crime and that even bribes rarely work as the severity of the crime means those who receive the bribe could get into trouble <sup>984</sup>

#### (2) Corruption in the Investigation Process

Many cases of corruption have been observed which involve bribes being paid to avoid punishment or reduce a prison term during the investigation process (investigation and preliminary examination stage) after a case is set. In some cases, the preliminary examination officers reduce the applicable punishment in return for a bribe during the preliminary examination process before trials. For the same violation, preliminary examination officers sometimes fabricate details to reduce the penalty. For example, this can include details about defectors, such as the frequency and purpose of border-crossings, and the suspect's activities in China, so that the suspect can receive the minimum penalty. A North Korean defecter testified that he/she was caught while talking to his/her daughter in China on the mobile phone and was released after paying 3,000 yuan to the preliminary examination officer and receiving education punishment. The testifier said that he/she would have received correctional labor

984\_NKHR2018000091 2018-08-27.

punishment, if he/she had not given the bribe. 985 One testifier stated that preliminary examination officers tend to prefer offenders who appear to have money because it means they are more likely to receive bribes. 986 A North Korean defector OOO said that his/her younger son was caught by the MSS and forcibly repatriated to North Korea in 2014 when he/she went to China to earn money and was inspected by the MSS. He/She was released by paying 200 yuan in bribes and was declared not guilty in later trials. 987 A North Korean defector OOO who was inspected in an MPS city/county branch for watching Korean dramas in January 2019 was hospitalized for three months at No. 49 provincial hospital in North Hamgyeong Province (meaning mental hospital) by paying bribes to avoid 10 years of correctional punishment and ran away and came to South Korea. 988

There have also been cases where people avoided punishment and were released or were given a reduced punishment by offering a bribe when detained at MSS detention centers

<sup>985</sup> NKHR2018000058 2018-07-02.

<sup>986</sup>\_A North Korean defector testified that he/she was caught in 2017 in Yanggang Province while attempting to defect and it was supposed to be another officer who conducted preliminary examination but the officer in inspection department did not give the document to anybody and directly conducted the preliminary examination by himself. The interviewee testified it was because he/she had money and the officer expected to get some bribes during the preliminary examination. The interviewee said that those officers made their living by getting the bribes from those arrested and anybody in higher ranking kept the ones who seemed to be able to pay good bribes. NKHR2018000091 2018-08-27.

<sup>987</sup>\_NKHR2019000074 2019-08-26.

<sup>988</sup> NKHR2019000084 2019-10-05.

(guryujang). A North Korean defector said that his/her spouse was a remittance broker and in 2016, the spouse was arrested and detained at the MSS detention center (guryujang) in Hyesan, Yanggang Province. He/She was released after 15 days by paying 15,000 yuan. 989 Another North Korean defector testified that he/she was caught while trying to defect in 2016 and was detained in the detention center (gurvujang). He/she was released after paying a bribe of 15,000 yuan. 990 A North Korean defector OOO who has experienced being inspected by the 109 Permanent Committee under the MPS city/county branch for distributing South Korean video recordings in 2017 said that he/she was detained at the MPS city/county branch detention center (guryujang) for ten days and was released by paying 8,000 yuan with three other people who were arrested together.<sup>991</sup> There is another example in which the father of a North Korean defector was detained at a detention center (guryujang) in Musan County, North Hamgyeong Province in late October 2014, for using a mobile phone. He was released after 25 days because his brother in South Korea sent three million Korean won, and the testifier as well as his/her sister in China sent 6,000 yuan and 4,000 yuan, respectively. The testifier said that the crackdown and punishment seemed to have become more strict, given that getting his/her

<sup>989</sup>\_NKHR2018000099 2018-10-01.

<sup>990</sup>\_NKHR2018000056 2018-07-02.

<sup>991</sup>\_NKHR2019000117 2019-11-30.

father out of trouble cost a significant amount of money, even though the mother understood such business well and maintained good relations with the MSS agents.<sup>992</sup>

Even at detention centers (*guryujang*) where visitors are not allowed in principle, visits are permitted when bribes of cigarettes and cash, etc., are offered. One North Korean testified that visits were not allowed during inspections, but were possible when bribes of 100 yuan were paid per visit or when 200 yuan were paid per week for a visit every other day.<sup>993</sup> As such, even sending food to family members in detention centers (*guryujang*) during the preliminary examination period requires family members to bribe the correctional officers (*gyeho*), the preliminary examination MPS officers, or at least someone who knows the MPS officers well.

#### (3) Corruption in Trials

Representative cases of corruption during the trial stage involve bribing judges to give favorable judgments and bail or probation. People frequently have received reduced punishments by paying bribes to the chief judge, or to other judges and prosecutors in the trial process. One defector testified that his/her mother was subject to a trial in 2014 after being caught using a mobile phone

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992\_NKHR2015000046 2015-02-24.

993 NKHR2015000149 2015-10-20.

and that, in the process of investigation, preliminary examination, and trials, she was exempted from the sentence by paying 100 yuan to the chief judge, giving items valued at around 400 yuan to other judges, and offering 500 yuan to the prosecutors as bribes. However, her accomplice, who did not pay bribes, was sent to a prison camp.<sup>994</sup> One testifier, who was tried for human trafficking in 2015, testified that his/her sentence was reduced from the expected two years of correctional labor punishment to one year of labor training punishment by bribing the judge. Even this sentence was shortened when the testifier bribed officials again and the testifier was released on bail for reasons of illness.995 One testifier was accused of being involved in South Korea after he/she lent a mobile phone and was caught in 2014. He/she testified to having been sentenced to six months of labor training punishment by bribing 1,000 yuan to then chief judge of the Yanggang Province. 996 A North Korean defector  $\bigcirc\bigcirc\bigcirc$  who said that his/her father was sent to a labor training camp (rodongdanryeondae) several times for smuggling said that he was sent there under charges of illegal border-crossing or charges of damaging state property by paying bribes, although he should have been sent to a prison camp (kyohwaso) under his original charges.997

<sup>994</sup> NKHR2016000078 2016-05-31.

<sup>995</sup>\_NKHR2017000126 2017-12-18.

<sup>996</sup>\_NKHR2017000058 2017-07-31.

<sup>997</sup> NKHR2019000052 2019-07-20.

The practice of bribery in North Korean society actually burdens most residents. It is said that bribes, albeit small ones, should be paid to all the related people, instead of just one person. Meanwhile, there are other cases in which people gather money for bribery through other illegal means. One testimony stated that the brother-in-law of a North Korean defector was sentenced to three years of correctional labor punishment in his first trial, as he had been caught engaging in the business of making phone calls to South Korea, but his sentence was reduced to one year and six months after he paid bribes in the final trial. Meanwhile, the sister of the testifier engaged in human trafficking (sending people to China in cooperation with the Border Security Command) to gather money for the bribes.

#### (4) Corruption in the Execution of Sentences

There have also been cases in which, after one is sentenced, he/she serves a reduced penalty in return for a bribe, for example, being sent to labor training camps (*rodongdanryundae*) instead of prison camps (*kyohwaso*), or released on bail for illness. A North Korean defector  $\bigcirc\bigcirc\bigcirc$  said that his/her partner was caught by the city MSS and repatriated to North Korea after he/she was caught attempting to defect. He/She was sentenced to six months in a

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<sup>998</sup>\_NKHR2015000043 2015-02-24.

<sup>999</sup> NKHR2015000164 2015-12-01.

labor training camp (rodongdanryundae) but was released after around 15 days in 2017. The early release was possible because his/her mother in China paid bribes. 1000 A North Korean defector OOO who was forcibly repatriated after being caught defecting within six hours was sentenced to three months of labor training discipline in 2016 but was released in one month by paying 500 vuan and cigarettes as bribes. 1001 Another testifier said that when he/she was detained at an MSS detention center (guryujang) in 2015 for four to five months, the testifier was sentenced to one year of labor training punishment but was exempted after offering a bribe. However, this testifier added that although he/she was exempted from the sentence, he/she had had to stay at home from 2015 to 2017. 1002 A North Korean defector testified that his/her mother was caught while defecting from North Korea in 2014 and sentenced to two years of correctional labor. However, she was able to get sick bail by paying a total of 1,000 yuan as a bribe to the hospital, preliminary examination officer, and court. 1003

Even without necessarily offering bribes, those with personal connections with powerful people can have influence on the execution of punishment. A North Korean defector  $\bigcirc\bigcirc\bigcirc$  who was sentenced to three months in a labor training camp

<sup>1000</sup> NKHR2019000110 2019-11-18.

<sup>1001</sup>\_NKHR2019000096 2019-10-21.

<sup>1002</sup>\_NKHR2017000135 2017-12-18.

<sup>1003</sup> NKHR2018000134 2018-11-19.

(rodongdanryeondae) after he/she was caught by 109 Permanent Committee during a random check while watching a Hong Kong film at his/her own place in 2017 was released without being sent to a labor training camp (rodongdanryeondae) because the head of the labor training camp (rodongdanryeondae) was one of his/her friends. 1004 Another North Korean defector OOO was also sentenced to three months in a labor training camp (rodongdanryeondae) as he/she was caught by 109 Permanent Committee while watching a South Korean film on a mobile phone in 2018 was not sent to a labor training camp (rodongdanryeondae) and was released as his/her partner was an MSS agent. 1005

With the increasing pace of marketization, practices of bribery have also expanded, along with an increased control by authorities of market activities and economic life. In such cases, one's sentence may be reduced by offering a bribe in the process of the execution of sentences. One testifier from Hoeryong, North Hamgyeong Province testified that his/her mother was imprisoned at a labor training camp (*rodongdanryundae*), both in 2015 and 2016, on charges of operating a diner at her house, and that her sentence was reduced through bribery of 400 and 100 yuan, respectively. 1006

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1004\_NKHR2019000087 2019-10-05.

1005\_NKHR2019000068 2019-08-26.

1006\_NKHR2017000080 2017-09-25.

The basic rights of convicted prisoners, including the right to access to family, also seem to depend on bribery. Another defector who was imprisoned in the Jeongeori Kyohwaso from 2013 to 2015, testified that visitors are not allowed at such camps if one does not have money. However, one would be allowed to visit for a long time, and the convicted prisoner could eat the food brought by the visitor, only when money or goods asked for by agents at the prison camps (kyohwaso), including the MPS officers, has been offered. 1007 A North Korean defector testified that he/she made a visit to his/her friend's younger brother who was detained in the Gaechon Kyohwaso in 2016 and gave a pack of cigarettes to the officer as a bribe. 1008 A North Korean defector who was detained in the Gaechon Kyohwaso from 2012 to 2015 said that the officer required the family to bring items that the prison camp needed (paint, battery, medicine, scissors, etc.). If they were not provided, the officer would confiscate all the food that was brought for the visitation. 1009

<sup>1007</sup>\_NKHR2017000080 2017-09-22.

<sup>1008</sup>\_NKHR2018000010 2018-03-12.

<sup>1009</sup> NKHR2018000050 2018-06-04.

## C. Corruption Related to the Issuance of Travel Permits

North Korea maintains a travel permit system that controls people's movement. People need to apply for travel permits at enterprises, and they can receive travel permits only when they receive inspection tickets from both MSS agent and MPS officers. 1010 It seems that bribery is the general practice when one wishes to expedite the issuance of a travel permit in North Korea. In particular, while it is difficult to obtain a travel permit to go to Pyongyang, or the border regions, one can obtain a permit for "districts requiring permit numbers" through offering a substantial cash bribe. 1011 A North Korean defector OCO received a travel permit by bribing 30,000~50,000 North Korean won so that his/her father could receive a health examination at a hospital in Pyongyang in spring 2017. 1012

There were testimonies that even the amount of bribe was already set depending on their destination. A North Korean defector who visited Gosong, Gangwon Province testified that he/she paid 100 yuan to the responsible person in the Section 2 Office of the People's Committee. He/she testified that the bribe amount for general regions are 20 to 50 yuan, and regions with an

1010\_NKHR2016000056 2016-05-03.

1011\_NKHR2015000017 2015-01-27.

1012 NKHR2019000071 2019-08-26.

authorization number (Pyongyang, border regions, and military-related areas) are 100 yuan.<sup>1013</sup> A North Korean defector  $\bigcirc\bigcirc\bigcirc$  who lived in Yanggang Province also testified that he/she received a travel permit by paying 100 yuan to go to Pyongyang to sell bog bilberry in 2018 and that one needed to pay 50 yuan to go to Hamheung.<sup>1014</sup> It seems that more bribes are needed to receive a fast-track travel permit. A testifier said that he/she paid bribes to six people because he/she needed to receive a travel permit on the same day to participate in the event for the 70th anniversary Day of the Foundation of the Republic.<sup>1015</sup>

Bribes are also needed to receive travel permits to go overseas as well as regions within North Korea. A North Korean defector  $\bigcirc\bigcirc\bigcirc$  testified that people give bribes to the Section 2 Office of the MPS city/county branch and that two packs of cigarettes were required to travel to Pyongyang or the Rason district in 2015. According to this testifier, an additional bribe to the Section 2 Office of the MPS city/county branch in the travel destination would buy an extension of the permitted period of travel. However, it is not always possible to receive travel permit through bribes. There is also a case in which a travel permit was not issued even though a bribe was paid. One North Korean defector, who

<sup>1013</sup> NKHR2018000110 2018-10-06

<sup>1014</sup>\_NKHR2019000026 2019-05-18.

<sup>1015</sup>\_NKHR2019000021 2019-05-07.

<sup>1016</sup> NKHR2015000142 2015-10-06.

said that he/she had a record of being caught river-crossing with his/her spouse in 2015, testified that his/her application was denied when he/she requested a travel permit to visit his/her parents. 1017

### D. Corruption Related to House Sales and Registration

Article 43, paragraph 5 of the Housing Law of North Korea prohibits the "act of selling and buying or illegally renting or engaging in the brokerage of houses owned by the State." However, despite such legal regulations, housing transactions among individuals have been actively carried out under the trend of marketization for a long time. North Korean people pay bribes to relevant institution agents, or to those involved in crackdowns, to avoid crackdowns related to movement or residence or to expedite the process of issuance even when there is a legitimate reason. Another defector testified that while house sales are illegal, because houses belong to the State, he/she received a permit to use the house after paying 4,000 yuan to the housing agent of the city management division of the city's People's Committee. 1018 One testifier who sold a house in Hyesan, Yanggang Province, in 2015 said that he/she had given 100 yuan

1017\_NKHR2016000164 2016-11-01.

1018 NKHR2015000140 2015-10-06.

to agents in charge of housing management, but that this is not compulsory. Meanwhile, bribery is also frequent in the process of moving-in notifications after the transaction has taken place. One North Korean defector who sold a house in 2015 testified that one can move to the border region by giving 2,000~3,000 yuan to the head of the MPS city/county branch. A testifier who moved his/her residence from Bocheon County to Hyewan said that he/she could easily move residences by paying bribes to an executive of a relevant department although his/her movement was restricted since the testifier was a farm member. 1021

# E. Corruption Related to Job Assignments and Overseas Dispatch

Bribery relating to job assignment is very common in North Korean society. Cases related to job assignments accounted for the largest share among the cases in the 2019 survey involving corruption. Several people testified that they paid bribes in order to be assigned to or move to their preferred jobs. North Korean defector \(\circ\)\(\circ\) who served in the military from 2009 to 2012 after graduating middle school was forcibly discharged as

<sup>1019</sup> NKHR2017000011 2017-04-10.

<sup>1020</sup>\_NKHR2015000142 2015-10-06.

<sup>1021</sup>\_NKHR2019000094 2019-10-21.

<sup>1022</sup>\_NKHR2019000011 2019-04-20 and many other testimonies.

the news of his/her mother's defection was discovered. After that, he/she paid bribes to be dispatched to a shoe factory in Hyesan but rarely went to work and instead earned money doing auto repair work to pay off the factory for not reporting his/her absence. After working for the factory for around three years, the testifier received a recommendation letter for college from the factory through bribes and entered Hyesan Medical College. Another testifier said that he/she was assigned to the storm troops (dolgyeokdae) and rested at home by paying an average of 30 yuan per month to a bookkeeper of a construction enterprise. A North Korean defector OOO also said that he/she paid 2~3 packs of cigarettes and 50~60 yuan to a labor agent or labor department to avoid being group allocated to the storm troops (dolgyeokdae) or factories for support programs.

Meanwhile, there were also testimonies that it was difficult to influence job assignments or transfers even with bribes. A North Korean defector \( \bigcirc \bigcirc \( \bigcirc \) who said that he/she was also assigned to a fruit tree farm as his/her father worked for a fruit tree farm stated that he/she tried to be assigned to a different workplace through bribes since the work at a fruit tree farm was very hard, but the bribery attempt was not successful. The testifier said that it

1023\_NKHR2019000102 2019-11-09.

1024\_NKHR2019000039 2019-07-01.

1025 NKHR2019000058 2019-07-29.

was hard even to transfer to a different unit within the same farm. 1026 According to another testifier, people are mostly sent to mines or reclaimed land in rural areas, and once sent through group allocation, one can never move to a different workplace and cannot pay bribes to avoid group allocation. 1027 Sometimes, people are even sent to a labor training camp (rodongdanryeondae) when they are group-allocated but do not go to work or are absent without leave. 1028 Meanwhile, bribes are required to avoid punishment for the charge of being jobless even when one has not been assigned a job. A testifier said that he/she did not receive a job assignment at an appropriate time as he/she did not have an affiliated organization or the proper documents since the testifier did not go to school regularly, although he/she graduated from middle school in 2018. If one was caught jobless, he/she would be punished, but the testifier avoided punishment by giving cigarettes or bribes (600 yuan per year) to an MPS officer. 1029

In many cases, workers who are dispatched overseas are granted such opportunities through bribing North Korean authorities. Most overseas workers are known to receive low wages and to hand over a substantial part of those wages to North Korean authorities as part of a planned quota or as loyalty money.

<sup>1026</sup> NKHR2019000045 2019-07-01.

<sup>1027</sup>\_NKHR2019000051 2019-07-20.

<sup>1028</sup>\_NKHR2019000055 2019-07-29.

<sup>1029</sup> NKHR2019000052 2019-07-20.

Nonetheless, North Koreans wish to be dispatched overseas because they can receive higher wages there than they would receive while assigned to jobs in North Korea, and can accumulate a certain level of wealth through private contracts. The actual amount paid in bribes in the selection process is substantial. It is said that the amount required to be dispatched to Russia is the highest, as North Koreans preferred Russia to hotter regions such as the Middle East (Refer to 'V. Major Issues, 4. Overseas Workers' for relevant details).

Table V-4 Cases of Bribery in Job Placement and Ov	verseas Dispatch
Testimonies	Testifier ID
The testifier was dispatched to Pyongyang thermal power generation plant after graduating college in 1991 but went to a rest home as life was very hard and he/she contracted tuberculosis. After spending around 4 months at the rest home, the testifier left and received 3 months of social security. Since then, the testifier worked for an overseas construction enterprise from 2004 and was dispatched overseas after 2 years.	NKHR2019000088 2019-10-19
The testifier's brother-in-law was dispatched overseas for logging in the Russian Far East in 2004 and it was possible to go there only by paying bribes.	NKHR2019000012 2019-04-20
After graduating middle school, the testifier served in the military from 2009 to 2012 but was forcibly discharged after his/her mother's defection was discovered, and the testifier was dispatched to a shoe factory in Hyesan by paying bribes to the labor department. The testifier rarely went to work and instead earned money through auto repair work to pay off the factory for not reporting his absence and entered Hyesan Medical College by receiving a recommendation letter for the college from the factory after around 3 years of work.	NKHR2019000102 2019-11-09
In 2018, the graduates of Hyesan Medical College were group-allocated to a hospital in Samjiyeon and it was not possible to request dispatch to a different workplace once group-allocated, so they had to work for a certain period of time (around 5 years). To avoid group allocation, one needed to present reasons as well as bribes.	NKHR2019000029 2019-06-03

Testimonies	Testifier ID
Although children whose fathers are farm members become farm members as well, the testifier could go to a different workplace by paying bribes to the labor department. Money is more important than family background.	NKHR2019000011 2019-04-20
The testifier was working for an overseas construction enterprise and he/she paid bribes to a senior level manager to be dispatched overseas and worked in Mongolia.	NKHR2019000023 2019-05-18
One's will or abilities are not reflected in job assignment, and when one has a preferred workplace, one needs to pay bribes to senior level people. People are group-allocated to farms and ranches, and sometimes give bribes to avoid group allocation.	NKHR2019000036 2019-06-03
Those who are aged 18 or older should go to a workplace, but as the testifier was not going to a workplace, he/she was caught as a jobless person and was inspected by the MPS city/county branch. The testifier was released by paying around 200,000 North Korean won worth of bribes.	NKHR2019000038 2019-06-15
The testifier was job-assigned to the storm troops ( <i>dolgyeokdae</i> ) and stayed home while paying an average of 30 yuan per month to a bookkeeper of a construction enterprise. Bribes are required to quit working for a workplace that one is assigned to and also to move to a different workplace.	NKHR2019000039 2019-07-01
Through group allocation, people are mostly assigned to the storm troops ( <i>dolgyeokdae</i> ), plants for the support program, and the military, and people mostly give 2~3 packs of cigarettes and 50~60 yuan as bribes to labor agents or the labor department to avoid group allocation.	NKHR2019000058 2019-07-29
After graduating from middle school, the testifier prepared a document to avoid assignment as life would be hard when going to the assigned workplace and changed documents by giving bribes (food for a month) to the labor ministry. The testifier was able to go to the workplace he/she wanted. To move to a different workplace, one needs to go to the original workplace for at least a year.	NKHR2019000083 2019-09-25
The testifier was assigned as a caregiver at a tuberculosis ward of the 3rd Preventive Center in Myeongcheong County, but did not go to work by paying bribes. The testifier paid 1 million North Korean won per year. While 1 million North Korean won is a significant amount of money in North Korea, if one does not go to work by paying 1 million North Korean won, one can have freedom and earn more throuh their private activities. Therefore, the testifier worked as an 8.3 laborer.	NKHR2019000084 2019-10-05
The testifier was sent to a machine engineering school through group allocation and was assigned to a power generation machine factory after graduation, but as the testifier did not want the job, he/she did not go to work by paying bribes for the relevant documents.	NKHR2019000095 2019-10-21

#### F. Evaluation

As was the case in previous years, the 2019 survey also showed that corruption, including bribery, was found to be prevalent in North Korean society. The majority of North Korean defectors say that many challenges can be resolved through the use of money in North Korean society. Although family background and one's origin is still an important factor in determining whether one can be accepted for employment as a senior official or accepted as a student in one of the central colleges, it is noteworthy that, according to many testimonies from North Korean defectors, what was previously decided by background, including whether one can become a Party member, enter a general college or become an administrative worker, can now be handled through economic power even without a good background. North Korean residents use the term "business" to describe bribery. This indicates that they consider the practice of bribery to be commonplace and barely perceive the use of bribes to handle pending issues as being negative. In this situation where bribery has become a new social norm that is required to avoid crackdowns or be pardoned from criminal offenses and has also become a part of daily life, such as being issued a travel permit or trading houses, seeking a job assignment, and changing jobs, North Korean residents have become desensitized to the nature of corruption. In fact, many North Korean defectors who

participated in the interviews perceived paying bribes to doctors or teachers as a matter of "formality." Such predominance of corruption in daily life is fundamentally based on social inequality together with the absence of the rule of law. It is even more difficult to have proper awareness of universal human rights in a situation where it is widely held that anything can be done through bribes. Therefore, the human rights of the North Korean people can be improved only when fairness is secured in terms of both opportunity and distribution together with the establishment of the rule of law to eradicate corruption.

# Overseas Defectors

# A. Size of the Overseas Defector Population and **Background**

The ICCPR stipulates that "everyone shall be free to leave any country, including his own" (Article 12, Paragraph 2). Although a large number of North Koreans who fled the country are believed to be residing illegally in other countries, such as China and Russia, it is impossible to collect accurate data on the exact number and details of individual conditions, due to defectors' insecure status, which prevents them from openly asking for help. Many North Koreans use the Duman River region as a defection route, because it is easier to cross there than at other geographic points. However, there are a variety of other ways to flee, such as escaping the workplace when legitimately assigned to jobs abroad, or defecting to a third country after overstaying an authorized visit to relatives.

# (1) Tightening Control to Block Defection and Decrease in the Number of Defectors Living in China

Since the late 2000s, the number of defectors living in China has dropped dramatically. It appears the reasons include more stringent border defense and control, continued forced repatriation, fewer new defectors due to the increasing cost of defection, increases in the number of legal visitors in China with an increase in the issuance of border passes, improved economic conditions in North Korea including the reinvigoration of marketplaces, and increased resettlements of North Koreans in South Korea or other third countries. Since 2009, the MSS has reviewed emergency measures in place to prevent defection. Since then, it has strengthened surveillance and identification of ideological trends in families and relatives of defectors, ideological education, inspection of travel permits and bed-checks in border regions, and inspection of Border Guard Commands. Moreover, North Korean authorities have increased the severity of punishment of residents using mobile phones in the border regions. In 2015, North Korea revised its Criminal Law and established the category "crimes on illegal international communications" (Article 222), stating that "those who are engaged in illegal international communications shall be subject to up to one year of labor training punishment," and "those whose crimes are considered to be heavier shall be subject to up to five years of correctional labor punishment." This is the same sentence as that for existing "crimes of illegal border-crossing"

(Article 221), which suggests that illegal international phone calls are considered to be as heavy a crime as illegal border-crossing.

In particular, in the case of the Hyesan region, which is used as the main defection route, authorities have implemented various measures to prevent defections. Electronic barrages have been installed and activities to detect phones have been strengthened. As a result, it became very difficult for brokers to contact potential defectors. Moreover, a barbed wire fence was installed along 12km of the border region in Hyesan. Although the fence was only connected with horizontal wires as of June 2015, vertical wires are expected to be installed in the future. 1030 Two storey posts have also been installed. 1031 There was also a testimony that surveillance cameras started to be installed from 2016. 1032 A North Korean defector who defected in 2017 stated that he/she used to live right across from Amrok River and was unable to the obtain water from the river except for a limited designated time due to the barbed wires installed around 2017.1033 A North Korean defector (100) who defected in 2019 testified that surveillance on defections was being intensified and that there were soldiers on stakeout every 50m along the borders. 1034

The substantial decrease in the number of defection seems to be

<sup>1030</sup> NKHR2015000130 2015-09-22.

<sup>1031</sup> NKHR2015000136 2015-09-22.

<sup>1032</sup>\_NKHR2019000012 2019-04-20.

<sup>1033</sup>\_NKHR2018000109 2018-10-06.

<sup>1034</sup> NKHR2019000103 2019-11-09.

attributed to the announcement of a severe warning, that three generations of that family would be wiped out (punished) if any family member defected, or defectors would be executed on-site. Testimonies stated that, since Kim Jong Un took power, real ammunition had been provided to guards with an order that border-crossers may be shot to death. 1035 Many testifiers have stated that guns were actually used during defection attempts. In contrast, others have testified that guns are only used as threats to prevent defection, and that the guards cannot actually shoot people. 1036

Table V-5	Cases of	the Use of	Guns	during	Defection

Testimonies	Testifier ID
Three men, assigned to a recovery task in the aftermath of flood in Onsong County, North Hamgyeong Province, decided on impulse to defect to China upon watching its border before their eyes from across Duman River but were shot to death.	NKHR2018000107 2018-10-01
One Chinese resident, while fishing on the Chinese side of Amrok River, was shot in the leg by a North Korean border security guard who thought he was a North Korean defector by mistake. Fortunately, the man was able to survive since he was shot in his leg. The border security guard responsible for this shooting was transferred to another unit.	NKHR2018000057 2018-07-02
At the end of 2017, there was an incident where one out of three people who were defecting was shot in his/her thigh. When discovered by soldiers while crossing the river, soldiers first ask people to stop, but if they disregard the order and run, soldiers will fire with live ammunition.	NKHR2019000024 2019-05-18
The guards opened fire on three people who were attempting to defect through human trafficking in winter 2017~early 2018. Two people who got scared were arrested on site and the remaining person ran and crossed the river.	NKHR2019000019 2019-05-07

1035\_NKHR2016000028 2016-03-08.

1036\_NKHR2015000122 2015-09-08.

As the risk of getting caught in the process of defection increased, the number of people trying to enter China to earn money dropped significantly. However, there was also a testimony that the excessively harsh instruction to crack down on defections by Kim Jong Un actually backfired and increased the number of defections. 1037

### (2) Defector Attempts to Migrate Globally

In addition to China, defectors appear to attempt to move to Russia, other CIS countries, Mongolia and Southeast Asia. With the support of private organizations and volunteer activists, defectors have been seeking asylum and safe havens around the world, in countries including Thailand, Japan, Canada, Australia, the United States, EU member states, and Israel. According to the UNHCR, as of the end of 2018, there are 802 North Korean defectors around the globe with refugee status. 1038

Table V-6	Numb	per of O	verseas	Defect	tors wit	h Refug	gee Stat	:us
Year	2011	2012	2013	2014	2015	2016	2017	2018
Number (persons)	1,052	1,110	1,166	1,282	1,103	1,422	1,175	802

Source: Compiled based on the annual Global Trends of the UNHCR.

<sup>1037</sup> NKHR2016000165 2016-11-01.

<sup>1038</sup> UNHCR, "Global Trends: Forced Displacement in 2018, Annex Table 2," \( \sqrt{www.} \) unher.org>.

Since 2004, the number of North Korean defectors illegally entering Thailand in hopes of going to South Korea or the United States has risen continuously. As a result, there have been many cases of group arrests of North Koreans illegally entering Thailand. Furthermore, as the period at detention facilities of immigration offices grows longer, some refugees have begun to stage hunger strikes demanding a speedy process, which has substantially reduced the time for entry procedures. At one point, there had been a rapid increase in North Koreans who had applied for political asylum (refugee status) to EU member states. However, many of these individuals were found to be Chinese, including people of Korean-Chinese descent disguised as North Korean defectors, or North Korean defectors who had already settled in South Korea. For that reason, the procedure for the review and recognition of refugee status has become stricter. Although as many as 512 North Korean defectors had been recognized as refugees from 2007 to 2008 in the United Kingdom (U.K.), not a single North Korean defector earned refugee status in the U.K. in 2016. 1039 For a North Korean citizen to cross the border and apply for political asylum within the EU or another Western country, a large amount of money is needed. With very few exceptions, it seems very difficult for any North Korean

<sup>1039</sup> Dong-ho Han et al., An Analysis on Policy Environment for North Korean Human Rights (Seoul: KINU, 2017), p. 216. (In Korean)

defector to file for asylum in a Western country. South Korea has revised its Enforcement Decree to the Act on the Protection and Settlement Support of Residents Escaping from the North in 2009. The revision allows the South Korean government to suspend or terminate protection and settlement support for any North Korean who has obtained South Korean nationality but applies for political asylum in a third country afterwards by concealing their newly acquired South Korean nationality.

# B. Reality of Defectors Staying Overseas

The residential status of North Koreans in China appears to have changed significantly, reflecting the prolonging history of defection. Initially, most North Koreans quickly returned to North Korea after receiving help from their relatives in China, who tried their best to protect them. However, as the food crisis worsened in North Korea, even those without relatives in China began to cross the border in a blind attempt to survive.

As the food shortage continued for a sustained period of time, more North Korean women went to China to earn money, and the number of them who did not return to North Korea but instead settled in China began to increase. Not only those women who were single, but also those who were married with children, ended up living with Chinese men in order to continue their hidden life in China. In such cases, although some women voluntarily

engaged in cohabitation after being introduced to Chinese men, 1040 most were sold without them even knowing and were forced into marriage. 1041 Since most North Korean women were traded in the form of merchandise, they were usually under the constant watchful eyes of the families and neighbors of their husbands. 1042 One North Korean defector who was trafficked into China in 2015. testified that she could not set a foot outside the house without her husband, since her Chinese husband always locked her in whenever he went outside. 1043

There have been some cases in which some defectors were able to obtain resident permits (hukou) after prolonged stays in China. 1044 Also, some had children with their Chinese husbands, 1045 some of whom also received resident permits. 1046 However, in many cases, female defectors are exposed to the threat of forced repatriation because of their unstable status, 1047 which becomes a

<sup>1040</sup>\_NKHR2019000010 2019-04-08; NKHR2019000044 2019-07-01; NKHR2019000046 2019-07-01; NKHR2019000048 2019-07-01; NKHR2019000099 2019-10-21; NKHR2019000042 2019-07-01.

<sup>1041</sup> NKHR2017000025 2017-05-08; NKHR2018000004 2018-03-12; NKHR2019000061 2019-07-29 and many other testimonies.

<sup>1042</sup> NKHR2019000100 2019-10-21; NKHR2019000061 2019-07-29.

<sup>1043</sup> NKHR2017000094 2017-10-23.

<sup>1044</sup> NKHR2017000046 2017-07-03.

<sup>1045</sup> NKHR2018000020 2018-04-09; NKHR2019000044 2019-07-01; NKHR2019000046 2019-07-01; NKHR2019000048 2019-07-01; NKHR2019000058 2019-07-29 and many other testimonies.

<sup>1046</sup> NKHR2017000014 2017-04-10; NKHR2018000021 2018-04-09; NKHR2019000044 2019-07-01; NKHR2019000048 2019-07-01; NKHR2019000099 2019-10-21 and many other testimonies.

<sup>1047</sup> NKHR2019000099 2019-10-21; NKHR2019000067 2019-08-26.

reason why they have no choice but to remain forcibly married to Chinese men. A woman in her 30s  $\bigcirc\bigcirc\bigcirc$  who defected in 2017 and became a victim of human trafficking in China said that although she was not considering marriage when she first crossed the river, she had no choice but to get married as the broker convinced her that she needed protection of a Chinese man due to her unstable status. 1048 Moreover, there was also a testimony that the testifier had to follow the broker's directions as the testifier had no acquaintances and no one to get help from in China. 1049

With more North Koreans staying in China for extended periods of time, their way of life has also changed. Unlike the initial years of living in China, North Koreans are living more in the homes of Chinese people, including Han Chinese, than in the homes of relatives or Korean-Chinese. As they adapt to living in China, some people have developed their own ways of living, learning the Chinese language, becoming employed, and getting involved in market activities. <sup>1050</sup> Also, although only very rarely, some female North Korean defectors have entered South Korea with South Korean men with whom they resided in China. Some North Korean women in China go to South Korea through brokers after they find out about settlement support grants offered by the South Korean government through the Korean-Chinese men they

<sup>1048</sup>\_NKHR2019000067 2019-08-26.

<sup>1049</sup>\_NKHR2019000061 2019-07-29.

<sup>1050</sup> NKHR2017000064 2017-07-31.

live with. In such cases, the men typically travel to South Korea first to find jobs, learn about the support grants, and later advise their North Korean partners to come to South Korea. There are also cases of North Korean defectors who obtained Chinese passports with forged resident permits, came to Jeju Island (South Korea), where no visa is required, and declared their identity as North Korean defectors. However, it seems that a substantial number of female defectors continue to live in Han Chinese farming villages in China, as there is little information on South Korea.

# C. Punishment of Defectors

# (1) Punishment Provisions

The North Korean Criminal Law divides charges for defection into two crimes: illegal border-crossing and treason against the fatherland. It prescribes one year or less of labor training punishment and five years or less of correctional labor punishment for illegal border-crossing (Article 221). It also stipulates that "citizens that commit treason against the fatherland, including those who flee to other countries, surrender or defect or turn over state secrets, shall be subject to five years or more of correctional labor punishment. Those who flee, surrender or defect to other countries, betray the fatherland or commit the treasonous action of handing over secrets of the fatherland are

subject to five years or less of correctional labor training, and in serious cases, to unlimited-term correctional labor punishment or the death penalty and confiscation of the entire property" (Article 63). In addition, any North Korean citizen violating North Korean Immigration Law is subject to fines and an administrative penalty banning him/her from overseas travel, and, in serious cases, a criminal penalty may be imposed (Article 55).

In addition to the North Korean Criminal Law, the terms of punishment for defection are dictated by the People's Security Enforcement Law and the Administrative Penalty Law. Article 30 of the People's Security Enforcement Law stipulates that the People's Security Agency shall check for and handle violations of the travel order and the order regarding street wandering behavior. Article 57 of the law states that violators may be directly fined by security agents. These provisions are not intended to punish defections directly, but are utilized to prevent people from moving towards the border regions. Article 185 of the Administrative Penalty Law stipulates that anyone violating an order of border exit/entry shall receive a warning, a serious warning, be subject to confiscation, or be subject to three months or less of unpaid labor or re-educational labor. Article 194 prescribes that those who violated travel orders and engaged in illegal exit/entry of restricted areas shall also receive a warning, a serious warning, fines, or three months or less of unpaid labor or re-educational labor, while in serious cases, they shall be subject

to three months or more of unpaid labor, labor education, demotion, dismissal, and discharge.

# (2) Reality of Punishment

### (A) Investigation and Transfer

North Koreans caught in China are deported to periphery military units along the border, then to the MSS of the repatriation area for background investigation and personal identity checks. They are then sent back to the authorities in their area of residence. Depending on the case, deportees are sent to their respective regional institution (MPS) via the labor training camp (rodongdanryundae) in the repatriated area, or to provincial holding centers (*jipkyulso*). In other cases, they are sent directly to their respective regional institution. The punishment procedure after repatriation differs depending on the initial detention facility to which deportees are sent. If the initial detention facility is at or near areas of detainee's residence, the level of punishment is determined more quickly. However, if the detainee's hometown is far away from the MSS in the border region, the period of detention is extended, because the MPS officers from the detainee's hometown have to travel to the border area detention facility in person to sign the detainee out. Other factors that affect the extended detention include the risk of escape during transfer, securing of the means of transfer, and lack of means to contact the

#### families

Those who are repatriated from China are investigated at a first-level detention facility for punishment. The MSS detention centers (*guryujang*) in repatriation areas are mostly in Onsong, Musan County, and Hoeryeong, North Hamgyeong Province, Sinuiju, North Pyeongan Province, and Hyesan, Yanggang Province. Here, they must be strip searched, have their belonging checked and undergo a sanitary inspection (for AIDS) before they are detained. Although men and women are known to be detained separately, there are cases in which they are detained together, depending on the number of people being repatriated.

Article 37 of the Law on the Protection and Promotion of the Rights of Women prohibits any inspection of female bodies. However, it has been revealed that inspectors thoroughly examine forcibly repatriated female defectors by forcing them to squat and stand up repeatedly, conducting strip searches, and checking their uteri. Such examination is intended to expose money earned in China that can then be confiscated. One North Korean testified that she went through such uterus examination conducted by a female solider during her time of detention at the MSS detention centers (*guryujang*) in OO city in 2016, and said that it was very

1051\_NKHR2017000014 2017-04-10; NKHR2017000045 2017-07-03; NKHR2017000046 2017-07-03; NKHR2017000119 2017-11-20; NKHR2018000081 2018-07-30; NKHR2019000041 2019-07-01; NKHR2019000075 2019-08-26.

painful and extremely shameful. 1052 Moreover, sometimes defectors were repeatedly made to undergo strip searches everytime their cases were transferred to another agency. One North Korean defector testified that after being caught by the border security guard during defection in 2017, he/she went through a total of six strip searches by platoon, company, battalion, MSS military bureau, MSS city branch, and MPS city branch. 1053

There seems to be a significant number of cases in which forcibly repatriated defectors offer bribes to reduce the terms of their sentence or be exempt from penalties during the process of interrogation. 1054 A North Korean defector who was caught in an attempt to defect in 2016, testified that he/she was released, subject only to educational measures, after bribing the MSS intelligence chief of OO City, OO Province, with 5,000 yuan. 1055 Cases have also been found in which the defector bribed "law enforcement personnels" to forge the contents of their interrogation documents and therefore avoid punishment. Another North Korean defector who was forcibly repatriated from China

<sup>1052</sup>\_NKHR2017000045 2017-07-03.

<sup>1053</sup>\_NKHR2018000091 2018-08-27.

<sup>1054</sup> NKHR2017000057 2017-07-31; NKHR2017000058 2017-07-31; NKHR2017000098 2017-10-23; NKHR2017000103 2017-10-23; NKHR2017000121 2017-11-20; NKHR2017000128 2017-12-18; NKHR2019000019 2019-04-08; NKHR2019000105 2019-11-09; NKHR2019000074 2019-08-26.

<sup>1055</sup> NKHR2017000057 2017-07-31.

in 2015 testified that by bribing prosecutors, judges, and lawyers, he/she was able to forge the length of time she stayed in China. By reducing her time in China, he/she was able to reduce the sentence. 1056 Another North Korean defector testified that he/she was arrested during a defection attempt in 2017 but was able to receive a relatively light sentence of one month at a labor training camp (rodongdanryundae) thanks to a bribery of 3,000 yuan to a preliminary examination officer. 1057 As such, it seems there is a widespread practice of avoiding punishment via bribery, even among those who are caught in their attempts to defect. One testifier noted that those without money are often unable to evade punishment. 1058

Recently, there has been a significant increase in the amount of bribery required for the exemption of punishment for defection. One North Korean defector said that his/her spouse, a former money transfer broker, was arrested and detained in the MSS city detention center (gurvujang) in Hoerveong, North Hamgveong Province, in 2016 and was able to be released in 15 days by offering a bribe of 15,000 yuan. 1059 Another North Korean defector testified that he/she was caught in an attempt to defect in 2016 and was sent to a detention center (guryujang) but was

<sup>1056</sup>\_NKHR2017000005 2017-04-10.

<sup>1057</sup>\_NKHR2018000091 2018-08-27.

<sup>1058</sup>\_NKHR2017000026 2017-05-08.

<sup>1059</sup>\_NKHR2018000099 2018-10-01.

released by paying 15,000 yuan as a bribe. 1060 One North Korean defector testified that his/her sister-in-law's husband was caught during a defection attempt and that he/she offered a bribe of 10 million Korean won, which was sent by his/her sister-in-law, who had already settled in South Korea. 1061 In other testimonies, the testifiers were released by paying 30,000~40,000 yuan<sup>1062</sup> and 60,000 yuan. 1063

The MSS offices located in border areas verify personal information, home address, time and frequency of river-crossing, activities after the river-crossing (whether there was contact with South Koreans or Christians, any travel to South Korea, connections involving human trafficking, and possession or watching of pornography or South Korean videos). After these MSS interrogations, the deportees are sent either to the MPS detention center (guryujang) or to the provincial holding centers (jipkyulso) in the border areas. Under North Korean criminal procedures, there should be a preliminary examination in which prosecutors establish the facts of any crime and indict or exonerate the suspect. During the preliminary examination, prosecutors ask those repatriated to describe in detail the purpose of their border-crossing and activities in China. During this phase,

<sup>1060</sup> NKHR2018000056 2018-07-02.

<sup>1061</sup> NKHR2018000105 2018-10-01.

<sup>1062</sup>\_NKHR2018000109 2018-10-06.

<sup>1063</sup> NKHR2019000009 2019-04-08.

investigators use beatings, abusive language, and threats to induce people to report on the activities of other repatriated defectors during their stay in China.

### (B) Imposition of Punishment

With stronger punishment for defectors following the start of the Kim Jong Un regime, <sup>1064</sup> it has been found that they are sentenced more to correctional labor punishment than to labor training discipline or receive labor training punishment. <sup>1065</sup> According to testimonies, until 2013, those who were repatriated to North Korea for the first time were sentenced to around six months in labor training camps (*rodongdanryundae*), while those repatriated for the second time were sentenced to correctional labor punishment. However, testifiers also indicate that since 2014, all defectors are sentenced to correctional labor punishment, regardless of the number of times they have defected. <sup>1066</sup> The term of correctional labor punishment is three to five years, varying according to the number of defections and the length of the defector's stay in China. <sup>1067</sup> In particular, testifiers noted that those whose period of illegal border-crossing is over three months

1064\_NKHR2017000001 2017-04-10; NKHR2017000002 2017-04-10; NKHR2017000067 2017-08-28.

<sup>1065</sup> NKHR2012000151 2012-07-24.

<sup>1066</sup>\_NKHR2015000084 2015-04-21; NKHR2015000092 2015-05-12.

<sup>1067</sup>\_NKHR2015000023 2015-01-27; NKHR2015000035 2015-02-10; NKHR2015000080 2015-04-21.

are subject to aggravated punishment, since those cases are classified as "heavy crime," according to Paragraph 2 of crimes of illegal border exit/entry. 1068 However, it is said that for cases of simple defection, one could offer a bribe to have one's sentence reduced to labor training punishment. 1069 Most people sentenced correctional labor punishment for charges of illegal border-crossing are imprisoned at Jeongeori Kyohwaso in North Hamgyeong Province and Gaechon Kyohwaso in South Pyeongan Province. 1070 It has been found that around 70% of convicted prisoners at Jeongeori Kyohwaso are imprisoned for charges of illegal border-crossing. 1071 Many testifiers said that those who attempt to escape to South Korea are punished as political criminals, 1072 and there was even a testifier who said that defectors who were caught heading to South Korea would be unconditionally shot to death. 1073 A North Korean defector in his/her 50s who defected in 2019 said that MSS agents came to every People's Unit (inminban) meeting from around fall 2018 and said "defectors are traitors and they can be sentenced to

<sup>1068</sup>\_NKHR2017000005 2017-04-10.

<sup>1069</sup> NKHR2015000031 2015-02-10.

<sup>1070</sup>\_Dong-ho Han et al., Prison Camps in North Korea, pp. 10~12. (In Korean)

<sup>1071</sup>\_ *lbid.*, p. 14.

<sup>1072</sup> NKHR2015000031 2015-02-10; NKHR2017000007 2017-04-10; NKHR2017000039 2017-06-05; NKHR2017000111 2017-11-20; NKHR2017000112 2017-11-29; NKHR2017000130 2017-12-18; NKHR2019000048 2019-07-01; NKHR2019000101 2019-10-21.

<sup>1073</sup>\_NKHR2019000007 2019-04-08.

#### death "1074

The overall punishment of forcibly repatriated defectors has been recently reinforced. In the case of defection to China, while in the past, defectors had been educated for a period that matched their period of stay in China, the punishment has recently been increased so that the defectors are sentenced to prison terms that are twice as long as their length of time in China. 1075 A defector in his/her 20s who defected in 2019 testified that punishment for defecting had strengthened from around 2015, and while those charged with defecting to China were previously sentenced to serving time in labor training camps (rodongdanryeondae), they are now all sentenced to two or three years of correctional labor discipline. 1076 A defector in his/her 20s who defected in 2018 said that he/she was forcibly repatriated after defecting to and staying in China for three months in 2015 and was sentenced to one year of correctional labor discipline, but these days, people who defect even only for a day are sentenced to one year. 1077

During the Kim Jong II era, there were cases in which defectors received only educational measures instead of criminal punishment if they voluntarily returned after crossing the river. However, even voluntary returnees receive harsh punishment

1074 NKHR2019000019 2019-05-07.

1075\_NKHR2016000072 2016-05-17.

1076\_NKHR2019000039 2019-07-01.

1077\_NKHR2019000043 2019-07-01.

under the Kim Jong Un era. 1078 A testifier who defected from North Korea twice, in 2007 and 2014, said that he/she was not punished based on voluntary return in 2007 under Kim Jong Il. In 2014, however, the investigation was carried out in a harsh manner and the testifier was not forgiven despite his/her voluntary return. 1079 In the case of forcible repatriation when the defector was clearly headed to South Korea and did not pay bribes, the defector was sent to a political prison camp (kwanliso), which is the heaviest punishment.

Table V-7	Cases of Punishment for Defectors
Table v - /	I Cases of Punishment for Defectors

Testimonies	Testifier ID
The testifer's son-in-law was caught in his attempt to defect in Yanggang Province in September 2015 and was sent to the MSS provincial bureau. The testifier was later told that he was sent to Soosung <i>Kyohwaso</i> (political prison camp) in Chongjin.	NKHR2018000123 2018-10-22
The testifier heard that a 33-year-old man who was forcibly repatriated was sentenced to two years of correctional labor discipline in 2016. This person had to have an operation as he ate needles to kill himself at a detention center (guryujang).	NKHR2019000093 2019-10-21
The testifier's son defected but was caught within 6 hours and forcibly repatriated in 2016. He was sentenced to three months of labor training discipline but was released after one month through bribes.	NKHR2019000096 2019-10-21

1078\_NKHR2016000131 2016-06-09.

1079\_NKHR2016000148 2016-09-06.

#### (C) Punishment of Defectors' Families

Since Kim Jong Un came to power, the surveillance and punishment of defectors have been tightened, 1080 along with the surveillance and punishment of defectors' families. It seems that there are also cases in which the entire family is either relocated or sent to a political prison camp. 1081 A North Korean defector OOO who defected in 2016 testified that a father and daughter who lived in his/her neighborhood were forcibly repatriated from China in 2015 and all the remaining family members were forcibly relocated to Unheung County in Yanggang Province. 1082

Many testifiers, however, have stated that the number of actual punishment or expelling of defector's families has dropped recently due to the overwhelming number of defectors. 1083 Another North Korean defector testified that he/she had been under MSS monitoring after his/her spouse defected in 2015, but was not subject to actual punishment or sanctions. 1084 Another defector testified that families of defectors are rarely punished

<sup>1080</sup>\_NKHR2019000008 2019-04-08; NKHR2019000038 2019-06-15; NKHR2019000031 2019-06-03; NKHR2019000092 2019-10-21.

<sup>1081</sup>\_NKHR2017000038 2017-06-05; NKHR2017000039 2017-06-05; NKHR2017000072 2017-08-28; NKHR2019000046 2019-07-01.

<sup>1082</sup> NKHR2019000046 2019-07-01.

<sup>1083</sup> NKHR2017000077 2017-08-28; NKHR2017000085 2017-09-25; NKHR2017000092 2017-09-25; NKHR2019000012 2019-04-20; NKHR2019000074 2019-08-26; NKHR2019000078 2019-09-25; NKHR2019000087 2019-10-05.

<sup>1084</sup> NKHR2017000092 2017-09-25.

since there is a defector in one out of every two households. 1085 Particularly in the case of border regions, it was said that it is practically impossible to punish or relocate all defector's family members as there are too many cases where one of more family members have defected. A North Korean defector  $\bigcirc\bigcirc\bigcirc$  testified that since 90% of the people in Hyesan, Yanggang Province, have defectors in their family and, if nieces/nephews are counted as family, all people have defector relatives, it is impossible to forcibly relocate or punish them. 1086

However, testimonies on the surveillance and control of defectors' family members have continuously been collected. One North Korean defector said that his/her son was taken and interrogated by the MSS for five days on charges of his older sister's defection in 2016. 1087 Another North Korean defector testified that he/she was frequently taken and interrogated by the MSS regarding the whereabout of his/her spouse ever since he/she defected in 2016 and that they called him/her two to three times a day to check whether he/she was home. 1088 Given the multifaceted manner in which surveillance and sanctions are enforced on the families of defectors, there has been an increasing number of cases in which someone who initially did not think of defection

<sup>1085</sup> Above testimony.

<sup>1086</sup> NKHR2019000078 2019-06-10.

<sup>1087</sup>\_NKHR2018000089 2018-08-27.

<sup>1088</sup> NKHR2018000101 2018-10-01.

eventually decided to defect out of frustration after continuous persecutions. 1089 One North Korean defector testified that he/she did not intend to defect at first, but decided to do so in 2016, since he/she was subject to constant surveillance after the defection of the mother and was interrogated by the authorities whenever the testifier was not at home thereafter. 1090

Moreover, there were many testimonies that although there was no direct punishment there were many social restrictions, such as not being able to enter the workplace or school that one wants or having difficulties in promotion. 1091 A defector in his/her 50s who defected in 2019 testified that his/her older brother was a renowned judge but had difficulty being promoted above the general judge level as his/her daughter had defected. 1092 A defector in his/her 40s who defected in 2019 said that his/her older brother was expected to get promoted to become a Party secretary but was not promoted as it was found that there was a defector in his family in 2016.<sup>1093</sup> In other words, while judicial punishment is being eased, there are indirect restrictions on the family members of defectors including social discrimination.

<sup>1089</sup>\_NKHR2017000001 2017-04-10; NKHR2017000054 2017-07-31.

<sup>1090</sup>\_NKHR2017000054 2017-07-31.

<sup>1091</sup> NKHR2019000012 2019-04-20; NKHR2019000015 2019-05-07; NKHR2019000016 2019-05-07; NKHR2019000103 2019-11-09; NKHR2019000106 2019-11-09; NKHR2019000108 2019-11-18; NKHR2019000110 2019-11-18.

<sup>1092</sup>\_NKHR2019000108 2019-11-18.

<sup>1093</sup> NKHR2019000009 2019-04-08.

Testimonies	Testifier ID
In 2015, a father and daughter defected to China and were forcibly repatriated. All the remaining family members were forcibly relocated to Unheung County, Yanggang Province.	NKHR2019000046 2019-07-01
The testifier was caught during a check on a travel permit in 2016 on his/her way to see a grandmother who lived in Hoeryeong, North Hamgyeong Province. Interrogators discovered that the testifier's mother had defected, and the testifier was detained for a month on a charge of attempting to defect.	NKHR2017000054 2017-07-31

Table V-8 Cases of Punishment for Defector Families

# D. Human Trafficking

International laws and national laws prohibit human trafficking, stressing its inhuman nature. A substantial number of human rights organizations engage in monitoring human trafficking, and have launched international promotional campaigns to root it out. According to the UN Protocol to Prevent, Suppress, and Punish Trafficking in Persons, Especially Women and Children, Supplementing the Convention on Transnational Organized Crime in 2000 (hereinafter, the Protocol on Human Trafficking), human trafficking means "the recruitment, transportation, transfer, harboring or receipt of persons, by means of the threat or use of force or other forms of coercion, of abduction, of fraud, of deception, of the abuse of power or of a position of vulnerability or of the giving or receiving of payments or benefits to achieve the consent of a person having control over another person, for the purpose of exploitation." In the provision, the meaning of exploitation is very important, and includes "the exploitation of prostitution of others or other forms of sexual exploitation, forced

labor and services, slavery or practices similar to slavery and removal of organs." The main difference between human trafficking and human smuggling is that traffickers continue to exploit people on an ongoing basis after the illegal border-crossing has concluded 1094

# (1) Organized Human Trafficking

As the number of illegal border-crossing rapidly increased, organized rings of human traffickers began to appear, earning profit by trafficking North Korean defectors. There were many incidents in which these ring members sought to capture North Koreans around train stations or marketplaces in China for sale. There are many stages to this form of human trafficking and involves many people. There were people who lured women from North Korea and people who received women on the Chinese side of the border. There were brokers who hid the women at certain places and led the exchange of these women. In this process, the cost of such transaction increases at every stage. As organized human trafficking began to occur, the practice of selling North Koreans spread to inner areas of China's three northeastern provinces, which are far away from the border. In most cases, North Korean women become subjects of human trafficking, but

1094 Norma Kang Muico, "An Absence of Choice: The Sexual Exploitation of North

Korean Women in China," (Anti-Slavery International, 2005), p. 3.

North Korean men have also been traded to remote areas of China where there was a dire need for labor

With increasing attention on cases of human rights violation caused by human trafficking in China, Chinese authorities have launched a massive roundup campaign targeting human trafficking rings. Subsequently, the number of organized human trafficking cases has been significantly reduced. However, as North Koreans stay in China for longer periods of time, some illegal North Korean residents become involved in the trafficking of fellow North Koreans. For example, there seem to be cases in which some North Korean women living with a Korean-Chinese or a Chinese man engaged in human trafficking make money by handing over North Korean women to Chinese men or to an entertainment establishment. The brokers, who include people of Korean-Chinese descent, trade the female defectors to Chinese men, telling each women that she can contact the broker again if she does not like the man or has difficulties living with him. If the female defector contacts the broker, she is moved to another area, through which the broker reaps the profit. A woman in her 20s who defected in 2015 testified that she was a victim of human trafficking as many as four times in China, and whenever she ran away from the man she was forced to marry, she would ask for help from the "chosun woman (a woman from North Korea)" from Chongjin who was a broker, and then the broker would

#### connect her to a new man. 1095

Human trafficking is illegal in China, and, if detected, those involved are fined. Since those involved in human trafficking pocket money in the process of transferring women, they become the subject of vigilance when others around them learn of their human trafficking activities. It has been reported that the border patrol battalions conduct intensive investigations on North Korean defectors arrested in China, focusing on human trafficking and narcotics trade.

### (2) Human Rights of Women Victims

With China's industrialization, many women in rural areas began to move to cities or foreign countries such as South Korea to make more money. As a result, there is an increased demand for marriage partners or women as subjects of sexual desires. Due to such demand for North Korean women in China, they are often traded as live-in partners for Chinese men. It seems that most North Korean women were taken to Chinese men without knowing to whom they had been sold. However, even if they know that they will be subject to human trafficking, some North Korean women ask for help to a broker in river-crossing to cover the cost of crossing the border. Furthermore, cases have been

1095\_NKHR2019000061 2019-07-29.

identified where some decided to be sold voluntarily because they realized that human trafficking is the only way to escape North Korea due to a tightened control on defection since Kim Jong Un came to power. 1096 One North Korean defector who defected in 2015 testified that being sold to China through traffickers was the only way to defect and even in such circumstances, many North Korean women find it difficult to defect because it is hard to hire a broker. 1097

When a North Korean woman is forcibly married to a Chinese man, the marriage sometimes lasts for a long period. However, it appears there are a significant number of cases where the woman often flees to another region when the marriage encounters trouble due to sexual abuse, violence, gambling or drinking. When the husband desires to continue the relationship with the North Korean woman, he usually assumes various expenses, such as buying a resident permit for the woman and providing financial help to her and her family. Even if a North Korean woman was able to cross the border without the help of a broker, she is more likely to voluntarily live with a Chinese man because she has no other option if she wants to live in China. Such cases also appear to be no different from the situation of women in forced marriages. Unable to speak Chinese, it is impossible for North

<sup>1096</sup> NKHR2017000033 2017-06-15; NKHR2017000094 2017-10-23; NKHR2018000033 2018-05-08.

<sup>1097</sup> NKHR2017000094 2017-10-23.

Korean women to work at any public place or a restaurant. Thus, they come to realize that there is no choice but to have a de facto relationship with a Chinese man to avoid security checks. In one case, a North Korean woman managed to run away after being trafficked into a forced marriage only to find herself in a relationship with another Chinese man again because it was the only way for her to survive in China. 1098

In other cases, North Korean women traded in China are forced to provide sexual services at karaoke bars and adult entertainment establishments. 1099 A woman in her 20s who defected in 2015 testified that she followed a broker who said that he/she would introduce her to a job and found out where she arrived was a brothel. 1100 It has also been identified that there is an increasing number of organizations operating pornographic computer chatting businesses in China, using female North Korean defectors. 1101 One North Korean defector testified that she defected in 2015 through the help of a human trafficking broker, but was sold to a pornographic computer chatting business in Shandong, China, and worked there for two and a half years. 1102 It is said that with the recent increase in demand for human

<sup>1098</sup> NKHR2017000065 2017-07-31.

<sup>1099</sup>\_NKHR2017000066 2017-08-28; NKHR2019000043 2018-12-25.

<sup>1100</sup>\_NKHR2019000043 2019-07-01.

<sup>1101</sup>\_NKHR2015000125 2015-09-08.

<sup>1102</sup> NKHR2018000133 2018-11-19.

trafficking in China, there have been more cases where Chinese smugglers become also involved in human trafficking. 1103

Meanwhile it has become more difficult to find human trafficking agents in North Korea as the crackdown against them has strengthened. Many testimonies were collected that human traffickers are subject to public execution or imprisonment at political prison camps (kwanliso). 1104 There were also testimonies that while human trafficking is still active in Hoeryeong and Musan County, North Hamgyeong Province, it has decreased substantially in Onsong County due to the tightened crackdown. 1105

### E. Evaluation

The forced repatriation of defectors involves many human rights issues. Given that the issue of entering and exiting a country is at the discretion of that country, it cannot be said that North Korea's punishment of acts involving violation of border regulations based on its Immigration Law and Criminal Law is illegal in itself. However, after repatriation, there is serious

<sup>1103</sup>\_NKHR2015000170 2015-12-01.

<sup>1104</sup> NKHR2017000009 2017-04-10; NKHR2017000010 2017-04-10; NKHR2017000067 2017-08-28; NKHR2017000103 2017-10-23; NKHR2017000113 2017-11-20; NKHR2017000134 2017-12-18; NKHR2018000057 2018-07-02; NKHR2018000105 2018-10-01; NKHR2019000048 2019-07-01.

<sup>1105</sup> NKHR2015000171 2015-12-01.

infringement on the right to not be tortured or receive inhuman treatment (Article 7 of the ICCPR) and the right to humane treatment in detention (Article 10 of the ICCPR) during the investigation and trial processes at holding centers (jipkvulso), detention centers (guryujang), labor training camps (rodongdanryundae) and prison camps (kyohwaso). Those who attempt to go to South Korea or contact Christians in China are publicly executed or imprisoned at political prison camps (kwanliso), constituting a violation of the right to life (Article 6 of the ICCPR) and the right to a fair trial (Article 14 of the ICCPR). The abortions forced on female defectors who are impregnated in China and the trafficking of North Korean women also constitute violations of the right to not receive inhuman treatment (Article 7 of the ICCPR) and the right to liberty and security of person (Article 9 of the ICCPR).

Since approximately the beginning of the Kim Jong Un regime, border control and crackdowns on defection have been continuously strengthened. The amount of bribes to avoid severe punishment in the case of forced repatriation is skyrocketing, and it appears that the success rate of re-defection has also decreased dramatically. Nevertheless, there have been testimonies that the practice of extending punishment to family members, which was common in the past, is gradually decreasing as there are an excessive number of cases of attempted defection. To resolve the defector issue, the North Korean regime ultimately needs to be

changed. In addition, the North Korean judicial, trial, and correctional systems should be improved to comply with international standards. Moreover, it should be communicated to China that the forced repatriation of defectors is unjust. Furthermore, provisions prohibiting forced repatriation stipulated in Article 33 of the Convention relating to the Status of Refugees and Article 3 of the Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment should be continuously invoked.

# **Overseas Workers**

North Korea has dispatched workers to countries such as China and Russia. The number of dispatched workers was estimated to be at 50,000 to a maximum of 100,000 although the accurate number is difficult to grasp. 1106 North Korea has dispatched workers on a massive scale to earn foreign currency. The money coming into North Korea through their overseas dispatched workers was estimated to be around hundreds of millions of dollars per year. The UN Security Council limited new approvals of North Korean workers within the jurisdictions of UN members states through Resolution 2375 on 11 September 2017, as part of the sanctions in response to a series of nuclear/missile experiments by North Korea and decided that North Korean workers staying in the jurisdictions shall be repatriated within 24 months through Resolution 2397 on 22 December 2017. To that

<sup>1106</sup>\_The National Intelligence Service reported at the National Assembly Intelligence Committee on 2015 that the number of North Korean workers working overseas was 58,000. "In spite of risks of being favorable to capitalism, North Korea sent 80.000 workers on a mission to earn foreign currency." Joona Ana Ilbo. 10 November 2015.

end, the number of North Korean overseas workers is estimated to have significantly decreased.

Issues have been raised with regard to North Korea's practice of sending its workers overseas given that workers are used as a means of earning foreign currency and that their human rights are not properly protected in the process. The current human rights situation of North Korean overseas workers will be analyzed below based on defector testimonies.

# A. Discriminatory Selection Process

Every individual has the right to work, allowing him/her to live in dignity. The right to work contributes to the survival of both the individual and to that of his/her family, forms an inseparable and inherent part of human dignity, and is essential to realizing other human rights. 1107 Article 6, paragraph 1 of the ICESCR stipulates that States Parties shall "recognize the right to work, which includes the right of everyone to the opportunity to gain his living by work which he freely chooses or accepts, and will take appropriate steps to safeguard this right." As a State Party to the ICESCR, North Korea has a duty to protect the rights prescribed in the Covenant. However, as labor is fundamentally assigned according to the State labor supply plan in North Korea, workers'

<sup>1107</sup>\_UN CESCR, General Comment, No. 18 (2005), para. 1.

freedom to choose jobs is inherently restricted.

Even for overseas workers who voluntarily apply for dispatch, the freedom to choose their jobs is restricted. Although dispatching overseas is considered to be a good money-making opportunity, 1108 there is a tendency that such opportunity mostly comes to those with a good background (songbun) and with money who can afford to offer a bribe.

In general, one needs to have a good background to be dispatched overseas. 1109 Family background checks usually examine up to third cousins<sup>1110</sup> as well as the wives' family background in the case of married men. 1111 One also needs to be a Party member to be dispatched overseas. 1112 Although there were testimonies that one does not need to be a Party member in order to be dispatched overseas, 1113 most testifiers said that Party members are most often selected. 1114 Moreover, in many cases, their workplaces prior to dispatch had been in Pyongyang. In this respect, it appears that those who are middle class or above in terms of social class or financial capabilities, are given the

<sup>1108</sup> NKHR20170000007 2017-04-10; NKHR20180000022 2018-04-09.

<sup>1109</sup>\_There are also testimonies that those who were dispatched as loggers in Russia in the 1980s were dispatched because of their unfavorable family background. NKHR2014000122 2014-08-12.

<sup>1110</sup> NKHR2013000196 2013-10-29.

<sup>1111</sup> NHKR2014000020 2014-03-18.

<sup>1112</sup>\_NKHR2014000112 2014-08-12; NKHR2018000008 2018-03-12.

<sup>1113</sup>\_NKHR2013000196 2013-10-29.

<sup>1114</sup> NKHR2014000080 2014-07-01.

opportunity to be dispatched abroad. In addition, there were testimonies that a single person, who does not have a potential hostage (child) at home, is not allowed to be dispatched overseas for the risk of defection, 1115 and that a person has to have at least two children to be eligible to be dispatched abroad. 1116 A North Korean defector OOO explained that he/she applied for receptionists jobs to be dispatched to restaurants in China but was not selected because his/her parents had divorced. 1117 A North Korean defector  $\bigcirc\bigcirc\bigcirc$  who was actually dispatched overseas explained that the cadre department gives approval after screening resumes to check whether there are overseas relatives and marital status, etc. 1118

It seems that bribery is a decisive factor in the selection process. One North Korean defector helped people work overseas through a contact of senior officials in the Provincial Party Committee around 2013. The defector testified that he/she gave 250 dollars per worker to an official as a bribe while pocketing 150 dollars for him/herself and that the amount of bribe increased if the worker was dispatched as a restaurant server (700 dollars) or a doctor (2,000~3,000 dollars). A North Korean defector

<sup>1115</sup>\_NKHR2018000031 2018-05-07.

<sup>1116</sup>\_NKHR2018000008 2018-03-12.

<sup>1117</sup> NKHR2019000005 2019-04-08.

<sup>1118</sup> KKHR2019000050 2019-07-20.

<sup>1119</sup> NKHR2018000022 2018-04-09. This case appears to require a relatively higher amount of bribery since dispatching workers overseas is done through an

whose father was said to be dispatched to Russia in 2015 testified that he paid about 1,000 dollars to be selected. 1120 A testifier who said that he/she was dispatched to Mongolia from 2016 to 2019 testified that he/she thought giving bribes to senior level managers was a general practice and did not consider it to be bribery and explained that the amount of bribes was around 50~100 dollars. 1121 A defector who left North Korea in 2017 testified that unmarried women usually work at restaurants or work by entertaining guests in China as it is difficult to make a living back home. The testifier found out that a payment of 150 to 300 dollars was required when he/she tried to send her daughter for such jobs. 1122 Although exceptions to the rule, there were some cases collected where people were dispatched overseas without giving bribes. 1123 A North Korean defector OOO who was said to be dispatched as a staff at a restaurant in Thailand in 2015 testified that it was possible to be dispatched only by passing the security check and physical examination and that he/she did not give bribes during the application process. 1124 A North Korean defector \(\cap\)\(\cap\) who witnessed his/her neighbor being dispatched as a sewing worker in 2012 explained that when there were

#### unofficial route.

<sup>1120</sup>\_NKHR2019000020 2019-05-07.

<sup>1121</sup> NKHR2019000023 2019-05-18.

<sup>1122</sup>\_NKHR2017000073 2017-08-28.

<sup>1123</sup>\_NKHR2018000043 2018-06-04.

<sup>1124</sup> NKHR2019000014 2019-05-07.

certain qualifications for dispatch such as sewing skills, dispatching is decided based on whether the applicants meet the qualifications without bribes. 1125

As such, bribery and corruption prevalent in the selection process for overseas workers deprive people the fair opportunity to seek a livelihood by working overseas. In particular, the qualifications based on background and party membership in the selection process violate the right to equality, which prohibits all kinds of discrimination based on social background, birth, property or class. Thus, the freedom to choose jobs is not guaranteed in practical terms due to such requirements. Moreover, various factors are considered in the selection process to prevent flight by the dispatched workers. These include whether he/she has close family members in North Korea. Such requirements also serve as obstacles to choosing jobs based on fair opportunity according to one's abilities.

#### **B. Excessive Working Hours**

It appears that overseas North Korean workers work longer hours. At construction sites, North Korean enterprises sign subcontracts aimed at the completion of a construction project, and it is the North Korean enterprise, not the local company, that directly manages the work sites of North Korean workers who belong to the enterprises. One North Korean defector who worked in Magadan Oblast, Russia until 2014 testified that he/she worked for 16 hours a day. 1126 A North Korean defector \( \cap \cap \) who was said to be dispatched as a plasterer at an overseas construction enterprise in Moscow, Russia, from 2010 to 2017 testified that the work hours were from 8 am to 10 pm and there were no days off during the weekends as well. 1127 A North Korean defector OOO who explained that his/her father was dispatched as a logger in Russia but actually did farming testified that his/her father worked long hours of around 14 hours a day but the wages were only around 100 dollars per month. 1128 A North Korean defector OOO who was dispatched as a construction worker in Mongolia from 2016 to 2019 explained that his/her day started at 8 am in the morning and continued until 10 pm and there was little rest time other than lunch. The testifier also said that there were few days off even on holidays or weekends. 1129 A North Korean defector OOO who was dispatched to Sochi in 2016 said that he/she worked for 18 hours a day from early in the morning until night but the monthly wages were not paid properly. 1130 A North

<sup>1126</sup>\_NKHR2018000002 2018-03-12.

<sup>1127</sup> NKHR2019000037 2019-06-15.

<sup>1128</sup>\_NKHR2019000020 2019-05-07.

<sup>1129</sup>\_NKHR2019000023 2019-05-18.

<sup>1130</sup>\_NKHR2019000050 2019-07-20.

Korean defector  $\bigcirc\bigcirc\bigcirc$  who worked in Kuwait until 2017 described his/her long hours of going to work in the morning, coming back home late at night, and getting to sleep using alcohol as "a life like that of a slave." 1131

Meanwhile, North Korean workers sometimes face situations where they not only endure excessive working hours, but also have to do "personal contract work" to earn the required amount to be paid to the State. This infringes upon the basic rights of workers to safe and healthy working conditions. One North Korean defector who was dispatched to Kuwait from 2006 to 2008 testified that the testifier used money earned through personal contract work to submit part of the required amount to be paid to the State because the monthly salary he/she received from the Kuwait company was not enough to fulfill North Korea's required amount. 1132

### C. Excessive Pay Levy and Wage Exploitation by Middle Managers

As described above, it appears that while overseas North Korean workers suffer from excessive labor in poor working environments, they do not seem to receive proper levels of payment corresponding to their work. Two factors appear to

<sup>1131</sup>\_NKHR2018000031 2018-05-07.

<sup>1132</sup> NKHR2015000144 2015-10-06.

explain this discrepancy.

First, it is possible that North Korean workers are given relatively lower wages than those from other countries. One North Korean defector who was dispatched to a construction site in Russia from 2014 to 2015 said that the wage of North Korean workers was the lowest at around 75% that of fellow workers from Tajikistan, Ukraine, and Chechnya. 1133

Second, and more important, is the fact that North Korean workers overseas are required to pay an excessive amount of their wages to the State. North Korean workers usually belong not to a local company but to North Korean enterprises that sign a contract of labor supply with a local company. Therefore, North Korean enterprise, not the local company, pays the North Korean workers what remains of their wages<sup>1134</sup> after deducting payments submitted to the North Korean authorities, tax, and social security fees. <sup>1135</sup>

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<sup>1133</sup>\_North Korean defector OOO, 12 October 2016, interviewed in Seoul.

<sup>1134</sup>\_There are also testimonies that workers received their wages directly from a local company. Even in such cases, however, they must submit a substantial part of the received wages as loyalty payments, payments to the Party, and the State planned quota. North Korean defector ○○○ who was dispatched to a construction site in Sakhalin Island, Russia from 2006 to August 2013, testified that the testifier earned 60,000-70,000 rubles on average a month and submitted 25,000 of them to the State a month until 2013 (NKHR2015000001 2015-01-13).

<sup>1135</sup>\_A North Korean defector ○○○ who was dispatched to Kuwait from 2006 to 2008 testified that while the Kuwaiti company gives monthly wages, 60% of the wages go to the government and only 40% were given to the workers (NKHR2014000144 2015–10–06).

However, since labor-related contracts are mostly concluded between the North Korean site manager and the local company, the majority of North Korean overseas workers do not know the ratio of their actual wage and the required payment to the State. One North Korean defector who used to work in Eastern Europe asked the manager of the unit for the details of payment but was told that the manager was not allowed to do that. 1136 The size of the required payment to the State can be approximated by analyzing testimonies of some North Korean workers. It appears that a substantial part of the received wages are deducted in the name of the State planned quota.

One North Korean defector who was dispatched to a construction site in Russia in 2013 testified that he/she had to pay 800~850 dollars per month to the enterprise that the testifier was working for. The testifier said that as the value of the ruble declined due to the Russian financial crisis in 2013, there were cases where it was not possible to meet the State planned quota when remitting money after exchanging the wages to dollars. 1137 A North Korean defector OOO who was dispatched to Sochi, Russia, in 2016 also testified that he/she made little money due to the aggravated economic situation in Russia and rise in the exchange rate. 1138

<sup>1136</sup> NKHR2018000037 2018-05-08.

<sup>1137</sup>\_NKHR2016000163 2016-11-01.

<sup>1138</sup> NKHR2019000050 2019-07-20.

There was a testimony that 60% of workers' salaries was taken by the State and only 40% was given to the workers themselves. Even among the remaining 40%, 10% of the wages was spent on giving presents to the central authority as well as administrative expenses. One North Korean defector who used to work in Eastern Europe up until 2018 testified that about 150~220 dollars out of the company's monthly salary of around 500 dollars was recorded as his/her monthly payment and that the remaining balance was reportedly paid to the North Korean authorities. A North Korean defector OOO whose father was said to be dispatched as a logger in Russia from 2015 to 2016 testified that the monthly wages were around 100 dollars and, although he was supposed to be paid 500 dollars, he sometimes did not even get the full 100 dollars as there were deductions for diverse reasons including the Party fund.

A North Korean defector  $\bigcirc\bigcirc\bigcirc$  who was dispatched as a construction worker in Mongolia from 2016 to 2019 explained that he/she did not receive any wages in the first seven months of the dispatch, and only received 20 dollars per month after then, and although the wage payment system was based on setting a unit price for labor and making payments in proportion to the

<sup>1139</sup>\_NKHR2017000063 2017-07-31.

<sup>1140</sup>\_NKHR2018000043 2018-06-04.

<sup>1141</sup> NKHR2019000020 2019-05-07.

labor provided, the testifier never knew his/her unit price for labor. The testifier said that although he/she did not know the unit price, the amount was absurdly low and that he/she thought that around 70~80% of the wages were deducted. 1142 A North Korean defector OOO who was dispatched to Sochi, Russia, in 2016 testified that around 50% was deducted. 1143

Part of the money deducted in the name of the State planned fund seems to be exploited by middle managers and senior officials, and are not actually paid to the State. One North Korean defector testified that a greater part of the wages go to middle officials than to the State. 1144 One testifier who defected in 2017 said that at times, he/she was given less than 30% of the monthly payment since middle managers siphoned off much of his/her remaining salary. The testifier believed that because of such wage exploitation, being dispatched overseas is not as lucrative as it once used to be.1145 A North Korean defector OOO who was dispatched to Moscow, Russia, in 2010 and worked until 2017 explained that when given wages, he/she was paid from 100~250 dollars and the wage was calculated by the enterprise based on worker rankings and was paid in a discriminatory manner with 250 dollars for the top performer and 100 dollars for the lowest

<sup>1142</sup> NKHR2019000023 2019-05-18.

<sup>1143</sup>\_Above testimonies.

<sup>1144</sup>\_NKHR2015000158 2015-11-17.

<sup>1145</sup> NKHR2017000134 2017-12-18.

performer, etc. The testifier said that the wages he/she received were only 10% of what he/she worked for and assumed that the overly small amount was because executives embezzled much of the wages in the process. The testifier also testified that he/she did not receive any wages from the end of 2014 when sanctions against North Korea started.<sup>1146</sup>

Meanwhile, there appeared to be many cases where the local companies employing North Korean workers delay their payment. One North Korean defector who was dispatched to a construction site in Russia from 2011 to 2015 testified that when he/she was not paid for six months, the North Korean company he/she belonged to did not appropriately respond to the situation. 1148

# D. Monitoring and Control by North Korean Authorities

Workers dispatched from North Korea live under the management of the North Korean enterprise signed to the local company. The local North Korean enterprises provide workers with interpreting services, accommodations, and management services. Most overseas North Korean workers live in groups in

1146\_NKHR2019000037 2019-06-15.

<sup>1147</sup>\_North Korean defector OOO, 29 September 2016, interviewed in Seoul.

<sup>1148</sup>\_North Korean defector OOO, 10 October 2016, interviewed in Seoul.

areas close to the work sites. Their living conditions are mostly identified to be poor. One North Korean defector who was dispatched as a construction worker to St. Petersburg, Russia in 2013 testified that containers and bedding are provided for sleeping, with one container divided into three sections with about ten people in one section. The testifier said that the sanitary conditions were very poor with shared bathrooms used by hundreds of people, and he/she could not wash clothes or take any break. 1149 Cases where the workers live in dormitories provided by the local companies have also been identified. 1150 Depending on the work site, there are also cases where workers live and eat in temporary accommodations at the work site. 1151 Given the characteristics of the work sites, one cannot categorically conclude that those forms of living indicate that group life is forced upon, or arbitrary or illegal intervention is committed in individuals' housing. However, it is true that the space for personal activities is limited as people essentially move in work groups.

What is more worrisome regarding the breach of personal privacy is the daily life surveillance and mutual surveillance system by officials dispatched from the North Korean authority.

<sup>1149</sup> NKHR2014000112 2014-08-12.

<sup>1150</sup> North Korean defector OOO. 6 October 2016, interviewed in Seoul.

<sup>1151</sup> North Korean defector OOO, 10 October 2016, interviewed in Seoul; North Korean defector OOO, 12 October 2016, interviewed in Seoul.

North Korean authorities also operate a centralized and controlled regime at overseas workplaces. Specifically, North Korean authorities apparently send around one Party Secretary and one MSS agent assigned to manage overseas workers. 1152 Given the testimonies of North Korean defectors who were dispatched to Russia and Kuwait, MSS agents are found to be dispatched in the official position of "Labor Safety Officer." 1153

North Korean overseas workers are actually under the surveillance of the dispatched MSS officers or their North Korean enterprise's managers. Overseas North Korean workers living in groups are obligated to participate in Life Review Session (saenghwalchonghwa) held at a dormitory of their enterprise. 1154 It is said that those officers and mangers inspect workers' belongings two to three times a week and that one is not allowed to possess mobile phones. 1155 Those who engage in deviant behavior can be punished or forcibly repatriated to North Korea. Listening to South Korean radio or watching South Korean TV were punished most severely. 1156 One North Korean defector who was dispatched to Sakhalin Island, Russia from 2006 to 2013

<sup>1152</sup>\_North Korean defector OOO, 29 September 2016, interviewed in Seoul.

<sup>1153</sup>\_North Korean defector OOO, 12 October 2016, interviewed in Seoul; North Korean defector OOO, 30 October 2016, interviewed in Seoul.

<sup>1154</sup>\_Aeliah Lee and Chang-ho Lee, The Reality and Human Rights of North Korean Workers in the Maritime Province of Russia (Seoul: KINU, 2015), p. 35. (In Korean)

<sup>1155</sup>\_NKHR2013000196 2013-10-29.

<sup>1156</sup>\_NKHR2015000068 2015-04-07.

testified that workers might be punished when caught watching South Korean TV but can be exempted from punishment by offering a bribe to the agents and filling out self-criticism forms as the goal of the dispatched MSS staff was also to earn money. 1157

Workers dispatched overseas are known to be discouraged from going outside the work sites alone. 1158 One North Korean defector who was dispatched to a construction site in Russia from 2012 to 2014 testified that they had to move in groups and around ten to fifteen people moved together. 1159 Another North Korean defector who was dispatched to a construction site in Russia from 2006 to 2013 testified that when going outside, they needed to move in groups of three or more. 1160 One North Korean defector who was dispatched to Eastern Europe up until early 2018 also testified that he/she was only allowed to visit a designated store in a group of three only when reported to the authority in advance. 1161 North Korean workers are prohibited from contacting outside people, with only the on-site manager and interpreters having the right to do so. 1162 However, there have also been testimonies that the testifier occasionally moved alone

<sup>1157</sup> NKHR2015000001 2015-01-13.

<sup>1158</sup>\_North Korean defector OOO, 4 October 2016, interviewed in Seoul.

<sup>1159</sup>\_North Korean defector OOO, 5 September 2016, interviewed in Seoul.

<sup>1160</sup> NKHR2015000001 2015-01-13.

<sup>1161</sup> NKHR2018000043 2018-06-04.

<sup>1162</sup> Aeliah Lee and Chang-ho Lee. The Reality and Human Rights of North Korean Workers in the Maritime Province of Russia, p. 35. (In Korean)

or with the supervisor's approval.<sup>1163</sup> Some testimonies revealed that such limitations on personal outings are due to external factors such as linguistic barriers and the risks that may arise when going out alone.<sup>1164</sup>

#### E. Risk of Being Subject to Forced Labor

Article 8, paragraph 3 of the ICCPR prohibits forced labor, which refers to "all work or service which is exacted from any person under the menace of any penalty and for which the said person has not offered himself voluntarily." 1165 The "penalty" in the provision includes deprivation of rights or privileges and is not limited to criminal penalties. 1166

Whether the labor of overseas North Korean workers constitutes "forced labor" can only be determined by examining several contexts in great detail. In terms of whether the labor is voluntary, which is the primary standard in establishing whether labor is forced, it is hard to regard the labor of overseas North Korean workers as labor that is forced under the threats of

<sup>1163</sup>\_North Korean defector  $\bigcirc\bigcirc$ , 30 October 2016, interviewed in Seoul; North Korean defector  $\bigcirc\bigcirc$ , 7 October 2016, interviewed in Seoul; North Korean defector  $\bigcirc\bigcirc$ , 10 October 2016, interviewed in Seoul.

<sup>1164</sup>\_North Korean defector OOO, 6 October 2016, interviewed in Seoul.

<sup>1165</sup>\_Convention Concerning Forced or Compulsory Labour, No. 29 (1930), Article 2, paras. 1 and 2.

<sup>1166</sup>\_Sarah Joseph & Melissa Castan, *The International Covenant on Civil and Political Rights: Cases, Materials, and Commentary,* 3rd ed. (Oxford: Oxford University Press, 2013), p. 324.

punishment and thus involuntarily provided. This is because testimonies suggest that most workers were dispatched overseas on a voluntary basis and that they gave bribes to be selected. 1167 When asked about the motivation behind wanting to be dispatched abroad, North Korean defectors who were dispatched overseas answered that they had the expectation that they could earn money if they went overseas regardless of the time of their dispatch even if it might be difficult. 1168 When asked about having the autonomy to suspend or terminate work, it has been found that while requests to rest due to excessive labor are not accepted, 1169 it is possible to end the dispatch period if the worker wants to return to North Korea for health reasons. 1170 It seems that in some exceptional cases, it is possible to terminate the dispatch period even when it is not based on health reasons out of concern that continued dispatch may lead to deviant behavior.<sup>1171</sup> Given such context, it appears they were not necessarily forced into overseas dispatch and then into subsequent work after being dispatched.

<sup>1167</sup> NKHR2017000064 2017-07-31; NKHR2017000120 2017-11-20.

<sup>1168</sup>\_North Korean defector OOO, 4 October 2016, interviewed in Seoul; North Korean defector OOO. 7 October 2016. interviewed in Seoul; North Korean defector OOO, 23 October 2016, interviewed in Seoul, etc.

<sup>1169</sup>\_ "No, it is not possible. Unless you are dead or broke your legs, you need to keep going to work," North Korean defector OOO, 4 October 2016, interviewed in Seoul.

<sup>1170</sup>\_North Korean defector OOO, 29 September 2016, interviewed in Seoul; North Korean defector OOO, 7 October 2016, interviewed in Seoul.

<sup>1171</sup>\_North Korean defector OOO, 5 September 2016, interviewed in Seoul.

However, the International Labour Organization (hereinafter ILO) views that even such voluntary dispatch and work can also be regarded as "forced labor" because of several factors in the later processes including debt bondage, withholding of wages, confiscation of identity documents, and abuse of vulnerability. etc. 1172 As described above, overseas North Korean workers live in groups with external contact prohibited, and their identity documents (including passports, etc.) are confiscated and retained by the manager dispatched from the North Korean authorities. As shown in <Table V-9>, it appears it is the Security Department (police), officer, and Party committee that confiscate identity documents. According to another testimony, it was the local company, not the North Korean authority, which confiscated the identity documents. 1173 There have been recent cases where North Korean overseas workers whose contract period expired were forced to continue working overseas ever since sanctions against the DPRK have made the dispatch of laborers difficult. This practice in a sense falls into the category of forced labor. 1174

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<sup>1172</sup>\_ILO, "Indicators of Forced Labour, Special Action Programme to Combat Forced Labour," 2012, \( \text{www.ilo.org/forcelabour} \)). The ILO presented eleven indicators of forced labor that include not only labor that is forced under coercion or the threat of penalty, which is derived from the definition of forced labor, but also other indicators that can be regarded as potentially involving forced labor. These include 1) abuse of vulnerability, 2) deception, 3) restriction of movement, 4) isolation, 5) physical and sexual violence, 6) intimidation and threats, 7) retention of identity documents, 8) withholding of wages, 9) debt bondage, 10) abusive working and living conditions, and 11) excessive overtime.

<sup>1173</sup>\_North Korean defector OOO, 10 October 2016, interviewed in Seoul; North Korean defector OOO, 12 October 2016, interviewed in Seoul.

<sup>1174</sup>\_NKHR2018000043 2018-06-04.

resultionies of Agents confiscating facility bocaments				
Testimonies	Testifier ID			
"(Immediately after dispatch) We need to give it all to the Security Department."	North Korean defector OOO, 4 October 2016, interviewed in Seoul.			
"Our officer collected and took all of my identity documents and passport."	North Korean defector OOO, 5 October 2016, interviewed in Seoul.			
"When we were going, I carried my passport until I arrived at the destination. After that, the police took it all. They did not give it back. They took all the passports."	North Korean defector OOO, 6 October 2016, interviewed in Seoul			
"When we arrive, they give the passports back before we cross (the border), and after passing, they confiscate all of them. So, we cannot hold our passport more than five minutes because the Security Department and the police take them all."	North Korean defector OOO, 17 October 2016, interviewed in Seoul			
"We cannot carry it, and we have to give it to the	North Korean defector OOO,			

in Seoul.

Table V-9 Testimonies on Agents Confiscating Identity Documents

In addition, most North Korean workers start their overseas life with debts from flight costs and visa commissions. They are required to work for several months to repay the costs associated with their dispatch after they arrive. One North Korean defector who was dispatched as a construction worker to St. Petersburg, Russia in 2013 testified that he/she was paid around 1,500 US dollars per month, from which 950 dollars were taken by the company head, the head of the working group, and the Party secretary, as well as for the State Party fund. For the first year, he/she was effectively unpaid due to the additional deduction in the name of cost of airfare, residence, and other expenses. 1175

Party committee when we are there "

Such circumstances might lead to their forced labor due to debts.

As such, North Korean managers' control over workers' lives, confiscation of identity documents, and the automatically-incurring debt from being dispatched, are factors that inevitably put workers in a situation where they have no choice but to work against their will even though they voluntarily applied to be dispatched overseas.

#### F. Evaluation

The number of North Korean overseas workers is evaluated to be in decline since the second half of 2017 when sanctions imposed against the DRPK banned the overseas dispatch of North Korean workers. In the past, being dispatched overseas was recognized as a money-making opportunity. As such, there was an increasing demand to be dispatched overseas. However, such opportunity tended to be given mostly to a selected few who have good family backgrounds and can afford to offer bribes. This discrimination has led to the violation of the right to equality in the selection process.

Dispatched workers, after having gone through the entire process, are faced with difficulties on the work site. First, there appears to be many cases where workers are subject to long hours of excessive labor. Cases were reported that a substantial amount of their wages earned through such labor is submitted to the State as part of the State planned quota and that in some cases, part of the remaining wage is exploited by middle managers. Furthermore, workers during their entire period of dispatch are subject to live in groups under the surveillance and control of the North Korean authorities with their identity documents confiscated. Such way of living constitutes a violation of privacy. In addition, it appears that the workers risk being exposed to forced labor due to the debt incurred in the process of being dispatched.

## Separated Families, **Abductees and Korean War POWs**

The issues surrounding separated families, abductees, and Korean War POWs are among the humanitarian issues subject to international humanitarian law. At the same time, they are human rights issues to which international human rights law apply. Therefore, it can be said that those are pressing issues that call for a resolution regardless of the ups and downs in inter-Korean relations. This chapter will examine the issues of separated families, abductees, and Korean War POWs and their human rights.

#### A. Separated Families

#### (1) Current Status

"Separated families" refers to family members who are living

separately in South and North Korea, including relatives up to third cousins and current or former spouses. 1176 Many Korean families have been forced to live separately since 2 September 1945 when General Douglas MacArthur, Supreme Commander of the Allied Powers, issued General Order No. 1, which divided the Korean Peninsula in half along the 38th Parallel Northern Latitude and American and Soviet Forces moved in to occupy South and North Korea, respectively. As the two sides agreed on a cease-fire for the Korean War in July 1953, establishing the Military Demarcation Line on the Korean Peninsula, the history of family separation has long continued to this date. There are various causes behind family separation depending on the situation at the time, including the division of the Korean Peninsula, voluntary defection to the South or North, abduction or joining the Korean Voluntary Army (hereinafter KVA) during the Korean War, repatriation to the North from Japan, the failure or inability to return after the armistice agreement, being kidnapped to North Korea, and defection from North Korea. The number of separated families in the South and the North differs depending on the timing of the survey, survey organization, and the criteria for separated families.

The 1959 simplified census, conducted by the Ministry of

<sup>1176</sup> Article 2 of Act on Inter-Korean Confirmation of the Life or Death of Separated Families and Promotion of Exchange.

Internal Affairs, suggests that the number of North Koreans who had come to South Korea was 731,666 at that time. 1177 According to a population and housing census by Statistics Korea (South Korea) which has carried out a census every five years since its founding in 1990, the number of North Koreans who have come to South Korea has gradually decreased with 420,000 in 1990, 400,000 in 1995, and 350,000 in 2000. In the survey conducted in 2005, 720,000 people responded that they had a family in North Korea, and among them around 160,000 said that they themselves came from North Korea. 1178

The Ministry of Unification and the Korean Red Cross, along with the Committee of Five North Korean Provinces, have jointly established and operated an Integrated Information System for Separated Families. As of 31 December 2019, there were a total of 133,370 registered members of "separated families," with 52,730 surviving and 80,640 deceased. With each year, the number of the deceased has rapidly increased since the deceased first outnumbered the surviving in 2016. Furthermore, the

<sup>1177</sup>\_Reguoted in the Korean Red Cross, the White Paper on the Korean Separated Families (Seoul: The Korean Red Cross, 2016), The Ministry of Internal Affairs, The 1st Population Census (Seoul: The Ministry of Internal Affairs, 1959). (In Korean)

<sup>1178</sup>\_In the 1990 survey, a question addressed to the respondents was whether they came from North Korea. In the 1995 and 2000 survey, a question was revised to whether they were born in North Korea. Results of each survey show no significant difference. Starting with the 2005 survey, a question of the place of birth was removed from a questionnaire. But at the request of the Unification Ministry, additional survey was carried out on whether they have a family in North Korea. The Korean Red Cross. the White Paper on the Korean Separated Families (Seoul: The Korean Red Cross. 2016).

surviving separated family population is rapidly aging. For the first time in 2013, the proportion of those aged 80 or above exceeded 50% of the surviving separated family members. As of late 2019, that percentage has increased to as high as 63.2%. Those aged 70 or older represent as high as 85.4%.

Table V-10 Registered Separated Family Members
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Year	Registered (persons)	Survivors (persons)	Deceased (persons)
2008	127,343	88,417	38,926
2009	128,028	85,905	42,123
2010	128,461	82,477	45,984
2011	128,668	78,892	49,996
2012	128,779	74,836	53,943
2013	129,264	71,480	57,784
2014	129,616	68,264	61,352
2015	130,808	65,674	65,134
2016	131,143	62,631	68,512
2017	131,344	59,037	72,307
2018	133,208	55,978	77,221
2019	133,370	52,730	80,640

Source: Integrated information system for separated families. Ministry of Unification (Based on 31 December 2019), <a href="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reunion.unik SM00000129>.

#### Surviving Members of Separated Families

Category	90 years or older	80~89 years old	70~79 years old	60~69 years old	59 years or younger	Total
Persons	11,978	21,340	11,713	4,308	3,391	52,730
Percentage (%)	22.7	40.5	22.2	8.2	6.4	100

Source: Integrated information system for separated families, Ministry of Unification (Based on 31 December 2019), \(\(\text{https://reunion.unikorea.go.kr/reuni/home/pds/reqststat/list.do?mid=\) SM00000129>.

Surviving Members of Separated Families: Those Aged 80 or Above

Year	2013	2014	2015	2016	2017	2018	2019
Survivors (persons)	71,480	68,264	65,674	62,631	59,037	55,987	52,730
80 years or older (persons)	37,769	37,717	37,442	37,259	36,499	34,546	33,318
Percentage (%)	52.8	55.3	57.0	59.5	61.8	61.7	63.2

Source: Integrated information system for separated families, Ministry of Unification (Based on 31 December 2019), <a href="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid="https://reunion.unikorea.go.kr/reunion.unik SM00000129>.

Table V-13 Current Status of Deceased Members of Separated

Category	90 years or older	80~89 years old	70~79 years old	60~69 years old	59 years or younger	Total
Persons	19,760	36,314	19,131	4,485	950	80,640
Percentage (%)	24.5	45.0	23.7	5.6	1.2	100

Source: Integrated information system for separated families, Ministry of Unification (Based on 31 December 2019). (https://reunion.unikorea.go.kr/reuni/home/pds/reaststat/list.do?mid= SM00000129>.

#### (2) Human Rights Issues

#### (A) Infringement on the Right to Family Unification

Separated families live without the knowledge of whether their family members are still alive, without opportunities to exchange letters or the freedom to meet their family members. In other words, their family right under international humanitarian law is infringed upon. The Geneva Convention Relative to the Protection of Civilian Persons in Time of War of 1949 (Geneva Convention (IV)) specifically stipulates the protection of family rights. 1179

#### Table V-14

#### Provisions on Family Rights in the Geneva Convention (IV)

Article 27, paragraph 1 Protected persons are entitled, in all circumstances, to respect for their persons, their honour, their family rights, their religious convictions and practices, and their manners and customs (The rest is omitted)

At the time of the Korean War in 1950, South and North Korea were not Parties to Geneva Convention (IV). South Korea signed the Convention on 16 August 1966, and North Korea signed the Convention on 27 August 1957. Soon after the outbreak of the Korean War, the President of the International Committee of the Red Cross (hereinafter ICRC) stated that both South and North Korea are entitled to the benefits of the Convention even if they are not signatories. On 5 July 1950, South Korean President Syng-man Rhee pledged that his government would observe all provisions of the Geneva Convention. On 13 July 1950, North Korea also sent an official letter to the UN Secretary General accepting the proposition of the ICRC. Consequently, Geneva Convention (IV) has had a legally binding effect on both South and North Korea since the Korean War. 1180

<sup>1179</sup>\_International Committee of the Red Cross, "Geneva Convention Relative to the Protection of Civilian Persons in Time of War," (12 August 1949).

<sup>1180</sup>\_Seong-ho Jhe, "A Study on Kidnapping Civilians on Time of War in International Humanitarian Law Perspective Especially Focused on North Korea Abduction of South Koreans During the Korean War," *Seoul International Law Study*, Vol. 1, No. 18 (2011), p. 200. (In Korean)

Moreover, the separated family members' right to family unification, recognized by international human rights laws, is infringed upon. Among family rights, the right of a family to live together is called "the right to family unification" or "the right to family reunification." The UDHR and other international human rights treaties stipulate that men and women of full age have the right to marry and form a family, and the family is entitled to protection by the State. Moreover, when family members increase with the birth of a child, Article 9 of the CRC stipulates that a child shall not be separated from their parents against their will. The right to family unification derives from the right to marry and form a family, and the right of the child to not be separated from their parents.

Family rights and the right to family unification are also stipulated in the Constitution of both South and North Korea. The South Korean Constitution stipulates, "Marriage and family life shall be entered into and sustained on the basis of individual dignity and equality of sexes, and the State shall do everything in its power to achieve that goal" (Article 36, paragraph 1). The North Korean Constitution stipulates, "marriage and family shall be protected by the State. The State shall take deep interest in consolidating the family as the basic unit of social life"

<sup>1181</sup>\_Article 16, paragraph 3 of the UDHR; Article 17 and 23 of the ICCPR; Article 5 (d) (iv) of the International Convention on the Elimination of All Forms of Racial Discrimination; Article 12 of the ECPHRFF (European Convention); and Article 17, paragraph 2 of the ACHR (American Convention on Human Rights).

#### (Article 78).

To realize the right to family unification, the South Korean government has made efforts to resolve the issues surrounding separated families through inter-Korean Red Cross meetings and ministerial meetings, etc. A family reunion event for separated families was held in Mt. Kumgang in August 2018, and at the Third Inter-Korean summit held in September of the same year, it was agreed that the resolution of separated families would be a priority. However, exchanges or reunions in any form as well as the establishment of a permanent visiting office among separated families have not taken place. As a result, there were no exchanges among separated families at the government level including confirmation of survival/death, reunion meetings in the South or North, and video reunions in 2019.

Table V-15 Exchanges between Separated Families at the State Level

Year/ Category	Confirmation of Survival/Death	Letters Exchanged	Reunion Meetings in South Korea	Reunion Meetings in North Korea	On-screen Meetings
1985~2002	1,862 (12,005)	671 (671)	331 (2,700)	735 (2,817)	-
2003	963 (7,091)	8 (8)	-	598 (2,691)	-
2004	681 (5,007)	-	_	400 (1,926)	-
2005	962 (6,957)	-	_	397 (1,811)	199 (1,323)
2006	1,069 (8,314)	-	-	594 (2,683)	80 (553)

Year/ Category	Confirmation of Survival/Death	Letters Exchanged	Reunion Meetings in South Korea	Reunion Meetings in North Korea	On-screen Meetings
2007	1,196 (9,121)	-	-	388 (1,741)	278 (1,872)
2008	-	-	-	-	-
2009	302 (2,399)	_	_	195 (888)	_
2010	302 (2,176)	-	_	191 (886)	_
2011~2012	-	_	_	-	_
2013	316 (2,342)	-	-	-	-
2014	-	_	_	170 (813)	-
2015	317 (2,155)	_	_	186 (972)	-
2016~2017	_	_	-	-	_
2018	292 (1,996)	-	-	170 (833)	-
2019	-	_	_	-	-
Total	8,262 (59,563)	679 (679)	331 (2,700)	4,024 (18,061)	557 (3,748)

Source: Integrated information system for separated families, Ministry of Unification (Based on 31 December 2019), (https://reunion.unikorea.go.kr/reuni/home/pds/regststat/list.do?mid= SM00000129>.

In view of the urgency of the separated family issue, the South Korean government has been filming a series of "video letters" from South Korean families to be delivered to their family members in North Korea at a later date when inter-Korean exchanges re-open or even after the death of these individuals. In 2019, an additional 1,010 video letters were prepared. 1182

<sup>1182</sup>\_Separated Families Division, Ministry of Unification (Based on 31 December 2019).

In addition to government-level exchanges, the South Korean government has continuously provided support for separated family exchanges at the civilian level. Since the 1998 enactment of the "Guideline on Support of Expenses for Exchange of Separated Families," the government has provided expenses necessary for the confirmation of life or death of separated families, family reunion, and exchange of letters. With a revision of the Guideline in 2017, the government has increased the amount of individual grants to 3 million Korean won for confirmation of survival or death, to 6 million Korean won in the event of a reunion, and to 800,000 Korean won for continued contact, such as exchange of letter. However, exchanges among separated families even at the private level decreased substantially in 2019. There were two cases of confirmation of survival/death. 16 cases of letters exchanged, and one case of personal meeting. Even private-level exchanges are barely in operation.

### Table V-16 Exchanges between Separated Families at the Civilian Level

(Unit: Cases)

Year	Confirmation of Survival/Death	Letters Exchanged	Personal Meetings
1990	35	44	6
1991	127	193	11
1992	132	462	19
1993	221	948	12
1994	135	584	11
1995	104	571	17

Year	Confirmation of Survival/Death	Letters Exchanged	Personal Meetings
1996	96	473	18
1997	164	772	61
1998	377	469	109
1999	481	637	200
2000	447	984	152
2001	208	579	170
2002	198	935	208
2003	388	961	283
2004	209	776	188
2005	276	843	95
2006	69	449	54
2007	74	413	55
2008	50	228	36
2009	35	61	23
2010	16	15	7
2011	3	21	4
2012	6	16	3
2013	9	22	3
2014	6	11	5
2015	4	5	1
2016	6	43	3
2017	10	46	1
2018	7	36	1
2019	2	16	1
Total	3,895	11,634	1,757

Source: Integrated information system for separated families, Ministry of Unification (Based on 31 December 2019), <a href="https://reunion.unikorea.go.kr/reuni/home/pds/reqststat/list.do?mid=SM00000129">https://reunion.unikorea.go.kr/reuni/home/pds/reqststat/list.do?mid=SM00000129</a>).

### (B) Social Discrimination Against Families of Those Who Fled to South Korea

North Korean residents whose family members fled to South Korea face social discrimination. In particular, it appears that they cannot gain Party membership or join the military, and are discriminated against in college admissions and marriage. In addition, family members of those who went to South Korea are subject to constant surveillance by North Korean authorities. For example, one North Korean defector testified that since it was known through a 2006 program of finding separated families in South Korea that his/her paternal grandfather is still alive in South Korea, the testifier had been under a constant surveillance and been followed by the authorities for ten years, which had led him/her to defect in 2016.1183

Discrimination based on the social class of families of those who fled to South Korea may constitute a violation of the ICCPR and the ICESCR. Article 2, paragraph 1 of the ICCPR and Article 2, paragraph 2 of the ICESCR prohibit any kind of discrimination.

Cases of Discrimination against Separated Families in

Testimonies	Testifier ID
The testifier had a bad family background as his/her spouse's grandfather was a traitor and uncle was an overseas resident. His/Her spouse joined the military but was not promoted. Moreover, as his/her grandfather was missing during the war and a cousin went to South Korea and had a press conference, all relatives were sent to prison camps (kyohwaso).	NKHR2016000021 2016-01-26
The testifier's family background was bad with his/her father's family labeled a family of traitors and deported from Pyongyang in the 1960s. His/Her elder brother could join the military only after paying bribes and his/her son joined the military but could not enter the school for military officers.	NKHR2016000041 2016-04-05

1183\_NKHR2017000084 2017-09-25.

Testimonies	Testifier ID
The testifier had been under the surveillance of North Korean authorities and social discrimination all his/her life because his/her maternal grandfather fled to South Korea. He/She had no choice but to marry a person who does not have a good family background because he/she was told that "birds of a feather flock together."	NKHR2017000032 2017-06-05
All the family members were forcibly deported to Geumsan, Yanggang Province since the testifier's grandfather went to the U.S. during the Korean War. The testifier was born in a place of deportation.	NKHR2018000025 2018-04-09
All the family members of the testifier were forcibly deported to Anju, South Pyeongan Province in 1976 since the testifier's grandfather and uncle went to South Korea during the Korean War. The testifier was born in a place of deportation.	NKHR2018000085 2018-08-11

#### (3) Survey of the Current Situation

On 25 March 2009, South Korea enacted the Act on Confirmation of Life or Death and Promotion of Exchanges of Inter-Korean Separated Families (hereinafter the Inter-Korean Separated Families Act). The Act went into force on 26 September 2009, and stipulates that a survey be conducted to identify the current status and levels of exchange between the separated families (Article 6, paragraph 1). Accordingly, the Ministry of Unification and the Korean Red Cross conducted an extensive joint survey every five years on the current status of separated families. The first survey was carried out in 2011, followed by the second survey in 2016. The second survey identified the accurate personal data of 51,174 people who applied to find their separated family members so that more accurate information can be utilized in the event of future

separated family exchanges. Among those applicants, 6,142 were selected, for which a survey was conducted regarding the situation of separated family exchanges and policy perception.

The Inter-Korean Separated Families Act was partially revised on 22 May 2013. Revisions included a new section on DNA testing to confirm family relationship between separated family members (Article 8, paragraph 2). To that end, from 2014 to 2019, the government has inspected genetic samples of a total of 51,328 separated family members, such as blood, saliva, and hair. The genetic samples were initially collected and managed by a private contractor. However, for reasons of security concerns, the government (Korea Centers for Disease Control and Prevention under the Ministry of Health and Welfare) decided to assume direct management of such samples.

Table V-18 Status of Genetic Testing to Confirm Family Relations among Separated Families

Year	2014	2015	2016	2017	2018	2019	Total
Record	1,211	10,274	10,030	1,178	11,245	17,390	51,328

Source: Separated Families Division, Ministry of Unification (Based on 31 December 2019).

#### (4) Special Cases in Family Relationships of Separated Families

The Act on Special Cases Concerning Family Relationships, Inheritance between Residents in South and North Korea (hereinafter the Inter-Korean Family Special Cases Act) was enacted on 10 February 2012. This law stipulates special cases of provision on marriage and inheritance property at the level of civil law with regard to human rights of the North Korean people. It stipulates that when bigamy occurs because a couple was separated by the border between South and North Korea, and consequently married another person, the latter marriage cannot be revoked. However, exceptions are recognized when there is agreement from both spouses in the later marriage to revoke it (Article 6 and 7). Moreover, the law stipulates that North Koreans who acquired inherited or bequeathed property in South Korea shall appoint a South Korean estate administrator to be responsible for management of the North Korean's property in South Korea (Article 13). This provision is intended to effectively protect and manage such property and to limit the loss of North Koreans' property in South Korea to North Korea. If a North Korean who owns property in South Korea intends to bring that property to North Korea to directly use or manage property, he/she shall obtain permission from the South Korean Minister of Justice (Article 19).

#### **B.** Abductees

- (1) Current Status
- (A) South Koreans Abducted during the Korean War

The exact number of South Koreans abducted by North Korea during the Korean War is unclear. The seven lists of abducted

persons identified thus far show significant differences. It is only possible to make partial estimations of the number of abducted persons based on the followings: a list of abducted Seoul citizens revealed by the Statistics Bureau of South Korea's Ministry of Public Information (2,438) in 1950; a list of abducted persons during the Korean War published by the Statistics Bureau of South Korea's Ministry of Public Information in 1952 (82,959); a list of abducted persons by the Police Headquarters of the Ministry of Internal Affairs (17,532) in 1954; the Missing Civilians List compiled by the Korean Red Cross in 1956 (7,034); and the Missing Civilians List compiled by the Ministry of Defense in 1963 (11,700). 1184 It is easier to confirm an abduction when the same names appear on different lists, but there are many others who are not listed on any of them. There are even some cases where the same person appears on a list of South Koreans abducted by North Korea and a list of defectors to the North (1952, Ministry of Public Information).

<sup>1184</sup> In addition to these lists, there is a list of abducted persons during the Korean War (2,316) published by the Family Association for the Korean War Abducted Persons, which was founded in September 1951. The Association has compiled the list based on the registry of its members since the Second Battle of Seoul on 28 September 1950. Korean War Abductees' Family Union (KWAFU), "Korean War Abductee List-Adbuctees' Database." (http://www.kwafu.org/korean/directory. php>.

Number of South Koreans Abducted during the Korean

Category	Compiled by	Year	Number of Abductees	Existence of the List
List of victims from Seoul	Statistical Bureau, Ministry of Public Information	1950	2,438	0
List of persons abducted during the Korean War	Statistical Bureau, Ministry of Public Information	1952	82,959	0
Number of persons abducted during the Korean War	Police Headquarters of the Ministry of Internal Affairs	1952	(126,325)	×
List of persons abducted during the Korean War	Statistical Bureau, Ministry of Public Information	1953	(84,532)	×
List of persons abducted due to circumstances of the Korean War	Police Headquarters of the Ministry of Internal Affairs	1954	17,532	0
List of citizens registered as missing	Korean Red Cross /Statistical Bureau, Ministry of Public Information	1956	7,034	0
List of missing citizens	Ministry of Defense	1963	11,700 -	Vol. 1 ○ Vol. 2 ×

Source: The website of the Committee on Fact-Finding of Korean War Abductions and Restoration of the Reputation of the Victim, "Information Board - Current Status of South Koreans Abducted during the Korean War," <a href="http://www.abductions625.go.kr/home/dta/dta01/">http://www.abductions625.go.kr/home/dta/dta01/</a> dta01\_02.jsp> (data accessed: 6 February 2020).

Based on the lists available thus far, the Association of Families of Korean War Abducted Persons has analyzed a list of 96,013 abducted persons. The age distribution at the time of abduction can be seen in <Table V-20> below. An overwhelming majority (98.1%) of them were male.

Age Distribution of South Koreans Abducted during the Korean War

Age	Number of Persons	Percentage (%)
10 years old or younger	338	0.4
11~15 years old	376	0.4
16~20 years old	20,409	21.2
21~30 years old	51,436	53.6
31~40 years old	14,773	15.4
41~50 years old	5,456	5.7
51~60 years old	1,675	1.7
61 years or older	746	0.8
Age unknown	804	0.8
Total	96,013	100

Source: Korean War Abductees Research Institute, Source Book on Abduction to North Korea during the Korean War 2 (Seoul: Korean War Abductees Research Institute, 2009), (In Korean)

It has not been officially confirmed whether anyone forcibly abducted to the North during the Korean War has returned to South Korea. Although the number of South Koreans abducted during the Korean War is very large, none of them have been able to return on their own. The reasons are as follows. First, a large number of these abductees were killed as they did not cooperate with the North. Some of them also lost their lives in the process of wartime mobilization (e.g. military service and labor). Second, since the onset of the food shortage, a large number of North Koreans came to South Korea, but none of the wartime abductees returned to the South, perhaps because most of them are in their senior years, or they may have chosen not to reveal to their North Korean family that they had been forcibly abducted during the war.

#### (B) Post-War Abductees

A total of 3,835 persons have been abducted to North Korea since the cease-fire that ended the Korean War. Some of them have been held against their will in North Korea as a result of their utility, such as their physical condition and educational background. 1185 Most post-war abductees were taken to North Korea in the mid-1950s to the 1970s. Some who had been detained in North Korea — Jeong-uk Kim (missionary) in 2013 and Guk-gi Kim (missionary) and Chun-gil Choi in 2014 — have still not returned to South Korea. 3,310 post-war abductees (86.5%) have been returned to South Korea after being held for six months to a year. Nine of them defected from North Korea and have returned to South Korea after 2000. As of the end of 2019, one of the nine returning abductees has passed away, leaving eight currently living. As of late 2019, the total number of abducted South Koreans still detained in North Korea is estimated to be 516 individuals.

<sup>1185</sup> OOO Lee who returned to South Korea after abduction to North Korea, testified that the 27 men in the crews of boats Bongsan No. 21 and No. 22 were transferred from Haeju to Pyongyang. They were interrogated to ascertain whether or not they were spies. In the process, they picked seven healthy and educated (10 years of education or higher) individuals, and gave them special training in Chongiin. The North released the rest of the crew back to South Korea.

#### Table V-21 Current Status of Post-War Abductees (Estimated)

					Soldiers	Others		
Category		Total Fishermen		Korean Airlines	/Policemen	Domestic	Overseas	
	Abducted to North Korea		3,729	50	30	6	20	
Datumand	Repatriated	3,310	3,263	39	_	_	8	
Returned	Defected	9	9	-	-	-	-	
Remaining in North Korea		516	457	11	30	6	12	

Source: Separated Families Division, Ministry of Unification (Based on 31 December 2019).

Table V-22	Number of	Detained	Abductees l	oy Year	(Unit: persons)
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Year	Number of Persons Abducted	Cumulative Total	Year	Number of Persons Abducted	Cumulative Total
1955	10	10	1973	8	429
1957	2	12	1974	30	459
1958	23	35	1975	28	487
1962	4	39	1977	4	491
1964	16	55	1978	4	495
1965	20	75	1980	1	496
1966	19	94	1985	3	499
1967	52	146	1987	13	512
1968	133	279	1992	1	513
1969	20	299	1995	1	514
1970	36	335	1999	1	515
1971	20	355	2000	1	516
1972	66	421			

Source: Separated Families Division, Ministry of Unification (Based on 31 December 2019).

Current Status of Abducted Persons Who Have Returned to South Korea

Name	Date of Abduction	Occupation	Date of Return
OO Lee	29 April 1970	Crew, the Bongsan No. 22 (fishing boat)	26 July 2000
○○ Jin	12 April 1967	Crew, the Cheondae No. 11	30 October 2001
○○ Kim	24 November 1973	Chief engineer, the Daeyoungho	23 June 2003
○○ Go	17 August 1975	Crew, the Chunwangho	12 July 2005
OO Choi	17 August 1975	Crew, the Cheonwangho	16 January 2007
OO Lee	17 August 1975	Crew, the Cheonwangho	10 September 2007
○○ Yoon	2 July 1968	Crew, the Geumyungho	9 January 2008
○○ Yoon	17 August 1975	Crew, the Cheonnwangho	26 February 2009
○○ Jeon	28 December 1972	Crew, the Odaeyang No. 61	5 September 2013

Source: Separated Families Division, Ministry of Unification (Based on 31 December 2019).

#### (2) Human Rights Issues

#### (A) Infringement on the Right to Family Unification

As with separated families, abductees also suffer from human rights issues in relation to family unification, letter exchanges, and personal meetings. In this regard, abductees and Korean War POWs are sometimes categorized as special separated families.

Since the inter-Korean summit in 2000, the South Korean government has continuously raised the issue of abductees and Korean War POWs to North Korea. North Korea, however, has never acknowledged the existence of South Korean abductees and POWs, seeking to avoid drawing attention to these issues. In February and August of 2012, South Korea proposed a workinglevel inter-Korean Red Cross meeting at which it planned to discuss both separated families, POWs, and abducted South Koreans, a proposal that was only met by North Korea's refusal. 1186 In August 2013, during the working-level Red Cross meetings on the reunion of separated families, South Korea also raised the issue of POWs and abducted South Koreans. However, they were excluded from the final Inter-Korean Red Cross Working-level Agreement adopted on 23 August 2013. At the 19th reunion for separated families held in February 2014 at Mt. Kumgang resorts, the only confirmation received was that two of the South Koreans abducted during the Korean War, whose whereabouts were requested by the South, were dead. In the 20th reunion for separated families held in October 2015, Geon-mok Jeong, who was abducted in the Odaeyangho (a South Korean trawler) incident in 1972, met his mother living in South Korea. 1187 In a family reunion on August 2018, 21 POWs and abductees' survival or death were confirmed and 12 people of six families were reunited with their family members residing in the North. After the 2000 inter-Korean summit and up until the end of

<sup>1186</sup> Ministry of Unification, 2013 Unification White Paper (Seoul: Ministry of Unification 2013), p. 135. (In Korean)

<sup>1187</sup>\_ Yonhap News Agency, 24 October 2015.

2018, the survival or death of 133 South Korean abductees and POWs has been confirmed. During the same period, there were 60 cases of family reunions. 1188

#### (B) Forced Transfers and Detention

The abduction of civilians during the Korean War by North Korea constitutes a serious violation of Article 49 of Geneva Convention (IV), which prohibits forced transfer of civilians. At the time, this had already been an established norm under international customary law. 1189 Moreover, the Geneva Convention (IV), on protection of civilians during wars, prohibits detention of civilians in principle (Article 79).

Table V-24	Provisions on Forced Transfer and Detention in the Geneva Convention (IV)
Article 49	Individual or mass forcible transfers, as well as deportations of protected persons from occupied territory to the territory of the Occupying Power or to that of any other country, occupied or not, are prohibited, regardless of their motive. (the rest is omitted)
Article 79	The Parties to the conflict shall not intern protected persons, except in accordance with the provisions of Articles 41, 42, 43, 68 and 78.

<sup>1188</sup>\_Ministry of Unification, 2019 Unification White Paper (Seoul: Ministry of Unification 2019), p. 184. (In Korean)

<sup>1189</sup>\_Min-jeong Jeong, "Analysis of the Issues of Abductees and Korean War POWs from the Perspective of International Laws," in Abduction and Enforced Disappearances by North Korea, eds. Center for North Korean Human Rights Research (Seoul: KINU, 2015), p. 93. (In Korean)

#### (C) Enforced Disappearances

The abduction of civilians by North Korean authorities is closely related to enforced disappearances. Enforced disappearance is a serious issue in that it violates various human rights at multiple levels. In other words, committing enforced disappearances in itself infringes upon the right of individuals. Moreover, the act of enforced disappearance violates various civil, political, economic, social, and cultural rights due to the possibility of inhuman treatment that could occur in the process, including torture and deprivation of the right to health and education. 1190

Since 1950, North Korea has systematically abducted nationals of other countries as part of State policy and refused their repatriation. The COI estimates that victims of enforced disappearances exceed far over 200,000 persons, including children. It has been identified that the final approval of executing enforced disappearances is made by the Supreme Leader. The majority of the enforced disappearances were carried out to exploit labor and technology for North Korean authorities, and some victims were deployed for espionage and

<sup>1190</sup>\_OHCHR, Enforced or Involuntary Disappearance, Fact Sheet No. 6/Rev.3. (2009); Ku-youn Chung, "Emergence and Spread of the Concept of Enforced Disappearances," in Abduction and Enforced Disappearances by North Korea, eds. Center for North Korean Human Rights Research, requoted in p. 7. (In Korean)

<sup>1191</sup>\_UNHRC, "Report of Commission of Inquiry on Human Rights in the Democratic People's Republic of Korea," (2014), para. 6.

<sup>1192</sup>\_ Ibid., para. 67.

terrorist activities. Women abducted from Europe, the Middle East and Asia were forcibly married to men from other countries 1193

North Korea, however, has strongly denied the existence of these enforced disappearances. The "Korean Air Abductees' Families Committee" (hereinafter "the Families Committee") filed, with the UNHRC's Working Group on Enforced or Involuntary Disappearance (hereinafter WGEID), cases of abductees following the KAL incident who have not returned including won Hwang (17 June 2010), Dong-gi Lee (8 October 2010), and Jeong- woong Choi (8 November 2010). 1194 North Korea responded that "the cases of three people who have not returned do not constitute enforced disappearances" and "there is not a single case in North Korea which constitutes enforced or involuntary disappearances and detainment against their will." Moreover, North Korea asserted regarding the incident of Korean Air Lines hijacking in the Working Group on Enforced or Involuntary Disappearances meeting held in Geneva, Switzerland

<sup>1193</sup> Ibid.

<sup>1194</sup>\_In 1969, when an airplane operated by Korean Air was hijacked, 50 persons (4 flight attendants and 46 passengers) were abducted. North Korea returned only 39 passengers on 14 February 1970 but did not return the other 11. (4 flight attendants and 7 passengers). UNHRC's Working Group on Enforced or Involuntary Disappearance (WGEID) was established by the resolution of the UN Commission on Human Rights (currently UN Human Rights Council). WGEID reviews the cases of disappearances, submitted by families of enforced disappearances or civil organizations, and notifies the suspected countries of the review results demanding that those countries report accurate investigation outcomes.

that "This is part of a scheme to fight with North Korea, driven by hostile powers," responding that "It is impossible to confirm their life or death" to the request of the Families Committee for repatriation of abductees sent through the International Committee of the Red Cross in April 2011, and the request for confirmation of life or death by the South Korean government in June 2006.<sup>1195</sup>

WGEID requested in its report submitted to the UNHRC in 2014 that the UN Security Council refer North Korea's enforced disappearance to the International Criminal Court (ICC). 1196 It has also made a request to the North Korean authorities to reveal the information on a total of 66 enforced disappearances between May 2017 and May 2018, 1197 among which include Jeong-uk Kim, Guk-gi Kim, and Chun-gil Choi as stated above. 1198 WGEID made a request on a total of 233 enforced disappearance cases to North Korea to reveal the information by 2018. 1199

Among the forcibly disappeared, some were abducted through physical force or persuasion with false information. There may be others who voluntarily moved to North Korea. In any case, however, their right to leave North Korea was infringed upon. In

<sup>1195</sup>\_ Yonhap News Agency, 18 September 2012.

<sup>1196</sup>\_UN Doc. A/HRC/27/49 (2014), para. 72.

<sup>1197</sup>\_UN Doc. A/HRC/39/46 (2018).

<sup>1198</sup>\_UN Doc. A/HRC/WGEID/114/1 (2018).

<sup>1199</sup>\_UN Doc. A/HRC/39/46 (2018).

that regard, they can be called the victims of enforced disappearances. Moreover, they were deprived of the right to freely move within North Korea and the right to not receive brutal, inhuman treatment. All those who were forcibly disappeared are put under strict surveillance and are refused opportunities for education or jobs. 1200 In the 2014 resolutions on North Korean Human Rights adopted after publication of the COI report, the UNHRC criticized the organized abduction, refusal to repatriate, and enforced disappearances by North Korea, 1201 and urged North Korean authorities to immediately allow their return home. 1202 Since then, there have been numerous UNHRC resolutions on North Korean human rights expressing concern about enforced disappearances. 1203

#### (D) Use of Abductees by North Korean Authorities

Some of the abducted South Koreans are used in broadcasts delivered to South Korea or in espionage training. Two Korean Airlines flight attendants, Kyung-Hee Sung and Kyung-sook Chung, have been used in broadcasts sent to South Korea, while other detainees are used as instructors for North Korean espionage

1200\_UN Doc. A/HRC/25/63 (2014), para. 68.

1201\_UN Doc. A/HRC/RES/25/25 (2014), para. 2(f).

1202\_ Ibid., para. 3(f).

1203\_UN Doc. A/HRC/RES/28/22 (2015), paras. 1(f), 2(f).

agents sent to the South. According to testimonies of defectors who came to South Korea in 1993, about twenty unidentified abductees from South Korea are working as spy instructors at the "Center to Revolutionize South Korea" located in the Yongseong District of Pyongyang. This center replicates parts of South Korea and is designed to teach and train graduates of the Kim Jong II Political Military College (renamed in 1992) on how to adjust to life in South Korea. The center is under the direct control of the Operations Division in Building No. 3 of the Central Party, which is responsible for training espionage agents to infiltrate the South. In addition, North Korea is training some of the abductees in anti-South Korea operations. According to the testimonies of a formerly kidnapped fisherman who defected from North Korea in June 2000, some of his colleagues were also engaged in anti-South Korea operations after undergoing a period of special training. The testifier himself admitted to having received espionage training. 1204

The remainder of the abducted, whom North Korea presumably did not find useful, were estimated to be detained in various political prison camps (kwanliso) for a certain period. Some of these abducted individuals from South Korea detained in political prison camps can be identified from the Amnesty International

<sup>1204</sup>\_North Korean defector OOO, 7 January 2004, interviewed in Seoul.

special report, entitled "New Information on Political Prisoners in North Korea" published in 1994. Included are the names of abducted individuals, who appear to have been detained in the now defunct prison camp at Seungho-ri until 1990. In January 1999, South Korea's National Security Planning Agency also reported that 22 abducted South Korean individuals, including Jae-hwan Lee, were detained in a political prison camp.

## (3) Fact Finding on Abduction during the Korean War and Restoring the Honor of Abductees

In April 2004 and again on 23 July 2008, the National Human Rights Commission of Korea called for the implementation of the recommendations set forth in the survey and the enactment of special legislation for abductee relief and compensation. On 26 March 2010, South Korea enacted and promulgated the Act on Discovering the Truth of Abduction during the Korean War and Restoring the Honor of Victims (6·25 Abductee Law in short). On 13 December 2010, the Committee on the Act on Discovering the Truth of Abduction during the Korean War and Restoring Honor of Victims (hereinafter "the Committee") was officially launched. Article 10 of the 6·25 Abductee Law stipulates that the Committee shall prepare a report on the discovery of the truth of abductions and report it to President and the National Assembly and release the report. As such, the Committee published a

480-page-long "Report on Discovering the Truth of Abduction during the Korean War" on August 2017. 1205 The report contains the establishment of the Committee and its fact-finding activities, the progress of abduction cases during the Korean War, analysis of abduction cases, and a legal review of abduction acts during the war along with 15 supplements that contain documents regarding abduction cases of the Korean War.

Meanwhile, the Committee constructed the National Memorial for Abductees during the Korean War as part of the efforts to discover the truth and restore the honor of the abductees. The groundbreaking ceremony of the memorial was held at *Imjingak* Pavilion on 20 October 2016. The memorial opened one year later on 29 November 2017.

The Committee received information on 5,505 abduction cases from 3 January 2011 to December 2015. Among them, the Committee evaluated 5,375 cases after excluding overlapping application and cases that have been withdrawn by the applicant. Out of those cases, 4,777 cases were evaluated as abductions. 138 cases were decided as non-abductions and 460 cases were deemed be impossible to determine.

<sup>1205</sup>\_ "Report on Discovering the Truth of Abduction during the Korean War," by the Committee on the Act on Discovering the Truth of Abduction during the Korean War and Restoring the Honor of Victims, 2017. (In Korean)

Table V-25	Classification Status	(Unit: cases)	
Decision a Abduction	5 500,0,0,1,00	Impossible to Determine	Total
4,777	138	460	5,375

Source: Separated Families Division, Ministry of Unification (Based on 31 December 2019).

#### (4) Grants and Assistance for Post-War Abducted Victims to North Korea

On 27 April 2007, the Act on the Compensation and Assistance for Victims Abducted to North Korea since Conclusion of the Armistice Agreement (hereinafter referred to as the Post-War Abduction Victims Act) was enacted. Accordingly, when an abductee returns home, he/she will receive a government grant for re-settlement. The victims and their families are also entitled to compensation from the government. In accordance with Article 6 of the Act, the Committee on Compensation and Assistance for Victims Abducted to North Korea (hereinafter "the Committee") was formed on 30 November 2007, to review and determine the grant amount and the scope of assistance and compensation. As of December 2019, the Committee has received 453 applications. Among the applications, it has recognized 430 cases through 51 meetings and paid around 15 billion won in consolation payments.

Table V-26	Application and Receipt of	Consolation Payments for
	Returning Abductees	(Unit : cases)

Category	2008	2009	2010	2013	2015	2019	Total
Consolation Payment	232	99	97	0	1	2	431
Settlement and Housing Support	7	1	0	1	0	0	9
Compensation	0	8	4	1	0	0	13
Total	239	108	101	2	1	2	453

Source: Separated Families Division, Ministry of Unification (Based on 31 December 2019).

### Decisions on Consolation Payments, Etc., for Returning

Category	Number of Applications	Cases of Disbursement	Amount (1 million Korean won)
Consolation Payment	431	419	13,052
Settlement and Housing Support	9	9	1,773
Compensation	13	2	181
Total	453	430	15,006

Source: Separated Families Division, Ministry of Unification (Based on 31 December 2019).

#### Meetings of the Committee on Compensation and Assistance for People Abducted to North Korea

Category	2007	2008	2009	2010	2011	2013	2014	2015	2016	2019	Total
Number of meetings	1	11	11	11	6	2	2	3	2	2	51

Source: Separated Families Division, Ministry of Unification (Based on 31 December 2019).

#### C. Korean War POWs

#### (1) Current Status

The term "Korean Armed Forces prisoners" refers to those members of the South Korean Armed Forces detained in enemy countries, unable to return to South Korea. The UN Command at the time of the armistice following the Korean War estimated that 82,000 members of the Korean Armed Forces were missing. However, only 8,343 POWs were returned during three rounds of prisoner exchanges between April 1953 and January 1954. Accordingly, it is estimated that many more POWs remain detained in North Korea. 1206

All Korean POWs who have not returned were classified as Killed in Action based on the Military Personnel Management Act that requires all persons Missing in Action (herinafter referred to as MIAs) be re-classified as Killed so that after a certain period their families may benefit from compensation and consolation payments. Starting with the late Lt. Chang-ho Cho, a former Korean War POW, who returned to South Korea in 1994, other POWs have continued to return. As of December 2019, a total of 80 Korean War POWs have returned home to South Korea with 430 members of their families surviving. An absolute majority of former POWs returning home came from North Hamgyeong Province, as they were found to have been forcibly assigned to work at coal mines there.

Since 2011, there have been no former POWs returning home to South Korea, seemingly because patrols and surveillance along the border regions have strengthened since Kim Jong Un came to

1206\_Ministry of Defense, 2012 Defense White Paper (Seoul: Ministry of Defense, 2012), p. 110. (In Korean)

power, and the POWs themselves have aged considerably, which makes it physically difficult for them to cross borders on their own.

Table V-29 Status of Returning Korean War POWs by Year

Jnit: persons)

Year	Returning POWs	Cumulative Total	Year	Returning POWs	Cumulative Total
1994	1	1	2005	11	59
1997	1	2	2006	7	66
1998	4	6	2007	4	70
1999	2	8	2008	6	76
2000	9	17	2009	3	79
2001	6	23	2010	1	80
2002	6	29	2011~2019	-	80
2003	5	34	Total		80
2004	14	48			

Source: Ministry of Defense (Based on 31 December 2019).

#### Table V-30 Origin of POWs in North Korea (Including the Deceased)

Province/ Category	North Hamgyeong Province	South Hamgyeong Province	North Pyeongan Province	South Pyeongan Province	Yanggang Province	Jagang Province	North Hwanghae Province	South Hwanghae Province	Gangwon Province	Total
Number of persons	60	9	0	3	4	1	1	1	1	80
Percentage (%)	75.0	11.25	0.0	3.75	5.0	1.25	1.25	1.25	1.25	100

Source: Ministry of Defense (Based on 31 December 2019).

#### (2) Human Rights Issues

#### (A) Infringement on the Right to Family Unification

The human rights of Korean War POWs are infringed upon, as

is the case for separated families and abductees, with regard to family unification, letter exchanges and personal meetings, etc. From the time when prisoner exchanges took place after the Korean War to the 1960s, the United Nations Command at Military Armistice Commission meetings repeatedly demanded return of the remaining South Korean POWs to South Korea. However, North Korea insisted that they had returned "all" South Korean POWs to the Neutral Nations Supervisory Commission, and that no South Korean POWs were forcibly detained in North Korea. North Korea's position on this issue remains unchanged to this day. 1207

Korean War POW reunions should be carried out quickly for humanitarian reasons and to realize the right to family unification. As of late 2019, 56 of the 80 returned Korean War POWs have passed away. The 24 surviving returned Korean War POWs are 86 years old or above, with 13 of them aged 90 or above. There have been 56 Korean War POWs who were identified through a reunion event of separated families up until 2018, among which 18 Korean War POWs have met with their families. 1208

1207\_Ministry of Defense, 2012 Defense White Paper, p. 110. (In Korean)

1208\_Ministry of Defense, 2018 Defense White Paper, p. 218. (In Korean)

Table V-31	Age of Surviving POWs
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Age (years)	86	87	88	89	90	91	92	93	94	95	Total
Number of persons	1	1	4	5	2	6	1	2	1	1	24
Percentage (%)	4.2	4.2	16.7	20.8	8.3	25.0	4.2	8.3	4.2	4.2	100

Source: Ministry of Defence (As of 31 December 2019).

#### Table V-32 Age of POWs at the Time of Death

Age (years)/ Category	70 or younger	71~75	76~80	81~85	86 or above	Total
Number of persons	1	4	11	23	17	56
Percentage (%)	1.8	7.1	19.6	41.1	30.4	100

Source: Ministry of Defense (As of 31 December 2019).

#### (B) Forced Detainment

The Geneva Convention Relating to the Treatment of Prisoners of War of 12 August 1949 (Geneva Convention (III)) stipulates that prisoners of war must be released or returned without delay after the cessation of hostilities (Article 118). North Korea joined the Geneva Convention on 27 August 1957. Its denial of the existence of Korean War POWs and refusal to repatriate them violate Article 118 of Geneva Convention (III), which stipulates the release and return of prisoners of war. Even in customary international law, immediate release and return of prisoners of

<sup>1209</sup>\_International Committee of the Red Cross, "Geneva Convention Relating to the Treatment of Prisoners of War," 12 August 1949.

war is regarded as the duty of all states.<sup>1210</sup> This was a norm that was established as customary international law at that time.<sup>1211</sup>

#### (C) Forced Labor

According to the testimonies of defectors and returned POWs, many POWs were forced to join the KPA during the Korean War. After the ceasefire between 1954 and 1956, they were groupassigned to coal mines, factories, and farm villages to participate in post-war rehabilitation projects. According to a U.S. Department of Defense document declassified on 12 April 2007, a "Report on the Transfer of Korean War POWs to the Soviet Region," several thousand South Korean POWs were transferred to Okhotsk and other Soviet Far Eastern ports between November 1951 and April 1952. They were then detained in Kholima detention center (*guryujang*) near Yakutsk. The number of POWs transferred to the Chukchi Sea region was at least 12,000. The report also mentioned that the death toll was

<sup>1210</sup>\_International Committee of the Red Cross, *Customary International Humanitarian Law* (Cambridge: Cambridge University Press, 2005), p. 451; Requoted in Buhm-suk Baek, "Enforced Disappearances by North Korea and the Crime against Humanity," in *Abduction and Enforced Disappearances by North Korea*, eds. Center for North Korean Human Rights Research, p. 63. (In Korean)

<sup>1211</sup>\_UN Doc. A/HRC/25/CRP.1 (2014), para. 1143, footnote 1626.

<sup>1212</sup>\_This report was written by the U.S. and Russia on 26 August 1993, as a part of the investigative activities of the "Joint U.S.-Russian Committee for POWs and MIAs," which was formed after the Cold War to confirm the status of Korean War U.S. POWs in Russia and the return of their remains.

<sup>1213</sup>\_ Yonhap News Agency, 13 April 2007.

high due to the difficult road and airfield construction work to which they were assigned. With regard to this issue, the Ministry of National Defense in South Korea announced on 18 December 2007 that it was unable to confirm or discover any evidence concerning the transfer of South Korean soldiers (POWs) into the Russian Far Eastern Province, even though it had visited Russian military research institutes and conducted extensive interviews with the Pentagon personnel who wrote the report; the son of former Soviet Union general officer Seong-ho Kang, who claimed that the South Korean POWs were transferred to the Soviet Union; around ten Korean Russian veterans, who had participated in the Korean War; former POWs who returned to South Korea; and over 100 North Korean defectors in Russia.

Most Korean War POWs are believed to have been assigned to coal mines in North and South Hamgyeong Provinces. At the time, North Korea was in great need of manpower at their coal mines, and ordinary North Koreans were reluctant to work there. It was also easy to control and conduct surveillance on those living in coal mine communities. According to a series of previous research conducted by KINU, many South Korean POWs were assigned to work and lived at coal mines that included Sanghwa Coal Mine in Onsong County, North Hamgyeong Province (Hwa-sik Ahn, Kwang-yoon Woo, OO Jang, Seung-ro Hong, In-kong Park, Sang-jin Kim, Sang-won Shin, OO Choi, Sam-sik Ok, Myong-jo Bae, Bu-jae Baek,

won-mo Chung, and Bok-man Lee), Musan Coal Mine (Gap-do Lee, Young-ho Kang, and Hee-keun Lee), and Hakpo Coal Mine in Sechon County, Hoeryeong (Yong-yeon Jang, Tae-in Ryu, Oh, Jeung-ho Lee, and Soo-hwan Jeong). The POWs assigned to work as miners had to work 12-hour shifts with up to 1,100~1,200 of them estimated to be assigned to coal mines in North Hamgyeong Province during a certain period. 1214

It appears that after the Korean War, Korean War POWs were detained in No. 25 *Kwanliso* in Chongjin. This *Kwanliso* was used as a POW camp after the War, and later transformed into a political prison camp. 1215 Korean War POWs were given People's registration cards and released to society from political prison camps (*kwanliso*) in June 1956, but most were assigned to coal mines in their previous political prison camp.

The forced labor of Korean War POWs violates both international humanitarian laws and international human rights laws. First of all, it violates Articles 13, 51, and 52 of Geneva Convention (III), which stipulates that prisoners of war must at all times be humanely treated (Article 13), and that they must be granted suitable working conditions (Article 51). Moreover, Geneva Convention (III) prohibits labor that is dangerous or harmful to the health of a prisoner of war (Article 52). The forced

<sup>1214</sup>\_ The Chosun Ilbo, 30 April 2013.

<sup>1215</sup>\_North Korean defector OOO, 27 September 2012, interviewed in Seoul.

labor of Korean War POWs also violates Article 8, paragraph 3 of the ICCPR, which stipulates the prohibition against forced labor, and Article 10, paragraph 1 of the ICCPR, which stipulates humane treatment to everyone deprived of liberty.

Table V-33	Provisions on Forced Labor in the Geneva Convention (III)
Article 13	Prisoners of war must at all times be humanely treated. Any unlawful act or commission by the Detaining Power causing death or seriously endangering the health of a prisoner of war in their custody is prohibited and will be regarded as a serious breach of the present Convention. (The rest is omitted)
Article 51	Prisoners of war must be granted suitable working conditions, regarding accommodation, food, clothing and equipment. These conditions shall not be inferior to those enjoyed by nationals of the Detaining Power employed in similar work. (The rest is omitted)
Article 52	Unless it is voluntary, no prisoner of war may be employed on labor unhealthy or dangerous in nature. (The rest is omitted)

#### (D) Social Discrimination against Korean War POWs and their Families

Korean War POWs and their families face social discrimination in North Korea. It appears that they are constantly subject to oppression and discrimination, in terms of their choice of jobs and residence, because of their background as former POWs. Since their personal background impacts the lives of their children, many POWs chose not to tell their children about their past. Notwithstanding that fact, children of former POWs are discriminated against in their careers, as well as in Party membership, and college admission. 1216

1216\_NKHR2015000095 2015-05-12.

While there are testimonies of exceptional cases that even former POWs joined the Party or do not experience significant discrimination, discrimination against former POWs is identified to be a broad and continuous phenomenon that remains fixed in North Korean society. Social discrimination against Korean War POWs and their families or relatives may constitute violation of the ICCPR (Article 2, paragraph 1) and the ICESCR (Article 2, paragraph 2) which stipulate that discrimination is prohibited.

Table V-34	Table V-34 Discrimination against Korean War POWs and Their Families								
Testimonies Testifier ID									
The testifier's uncle faced discrimination in job assignments on the grounds that his grandfather was a Korean War POW from South Korea.  NKHR201400009 2014-07-15									
The testifier's but this was was an exchange	NKHR2014000168 2014-10-07								
testifier's gran faced discrimi	family background was very bad because the adfather was a POW and other family members ination in joining the Party, being selected as a and promotion.	NKHR2016000099 2016-06-14							

#### (3) Treatment and Support for Korean War POWs

The South Korean government has set standards and detailed rules about the treatment of and assistance for former POWs who have returned, and on 29 January 1999, enacted "The Act on Treatment of the Republic of Korea Armed Forces Prisoners of War." It also enacted "The Act on Repatriation, Treatment of the Republic of Korea Armed Forces Prisoners of War" on 24 March

2006 in an effort to help resettle and assist returned POWs and their families. Based on "the Act on Repatriation, Treatment of the Republic of Korea Armed Forces Prisoners of War" and the Enforcement Decree to this Act, all returned POWs receive back pay, pensions, resettlement grants, and housing assistance, based on their days served from the date they were taken prisoner, to the day they retired from active duty after returning to South Korea. If a POW died in North Korea, where he had been detained, his spouse or children, upon return to South Korea, receive special grants for POW families in addition to normal settlement assistance that North Korean defectors receive upon entry into South Korea.

The Ministry of Defense revised "the Act on Repatriation, Treatment of the Republic of Korea Armed Forces Prisoners of War" on 31 December 2008, and inserted a new section allowing "social adjustment education" for the returning POWs (Article 6-2). Since late 2008, the Ministry of Defense has conducted special reorientation programs for the returning POWs so they can quickly adjust to life in South Korea. They also receive professional education and psychiatric assistance offered by civilian organizations to gain the necessary skills and knowledge for their new lives. 1217

1217\_Ministry of Defense, 2012 Defense White Paper, p. 112. (In Korean)

The revised Act on Repatriation, Treatment of the Republic of Korea Armed Forces Prisoners of War, revised on 22 March 2013, improved the level of assistance and support for POWs and their families. It also includes the following articles that cover the provisions given in their titles: Protection of ROKAF Prisoners of War and Family Members of ROKAF Prisoners of War from Places of Detention (Article 5-2); Support of Employment for Family Members of Prisoners of War from Places of Detention (Article 15-2), Support for Admission to Ancient Palaces, etc. (Article 15-3), and Respectful Treatment for ROKAF Prisoners (Article 15-4).

Residence of Returning POWs (Including the Deceased) Region/ Seoul Incheon Gyeonggi Gangwon Daejeon Chung Daegu Gyeongsang Category cheong cheona Persons 22 1 20 5 2 0 1 4 6 Percentage 27.5 1.3 25.0 6.3 2.5 0.0 1.3 5.0 7.5 (%) S. N. Region/ Busan Ulsan Gwangju Jeju Gyeongsang Jeolla Jeolla Persons 5 2 6 1 3 2 0 80 Percentage 6.3 2.5 7.5 1.3 3.8 2.5 0.0 100 (%)

Source: Ministry of Defense (Based on 31 December 2019).

Table V-36 Residence of Surviving POWs									
Region/ Category	Seoul	Incheon	Gyeonggi	Gangwon	Daejeon	S. Chung cheong	N. Chung cheong	Daegu	N. Gyeongsang
Persons	7	0	11	2	0	0	0	1	0
Percentage (%)	29.2	0.0	45.8	8.3	0.0	0.0	0.0	4.2	0.0
Region/ Category	Busan	Ulsan	S. Gyeongsang	Gwangju	S. Jeolla	N. Jeolla	Jeju		Total
Persons	1	1	0	0	1	0	0	24	
Percentage (%)	4.2	4.2	0.0	0.0	4.2	0.0	0.0		100

Source: Ministry of Defense (Based on 31 December 2019).

#### D. Evaluation

The issues of separated families, abductees, and Korean War POWs are humanitarian issues where international humanitarian laws apply and at the same time, have the characteristics of human rights issues where international human rights laws apply. As for separated families, their family rights are being infringed upon in violation of international humanitarian laws (Article 27 of Geneva Convention (IV)). Moreover, the right to family unification is being violated in terms of separated families, abductees and Korean War POWs, i.e. the right to not be subjected to arbitrary or unlawful interference (Article 17 of the ICCPR) and the right to form a family and be protected by society and the state (Article 23) of the ICCPR). To realize the right to family unification for these people, there is a need to confirm the survival or death of these groups on a massive scale, and a need for regular reunions and expansion of the size of those reunions. North Korea accepted the recommendation on cooperation with the South Korean government to resolve the issue of separated families in the Third UPR in 2019. However, as inter-Korean relations soured in 2019, there was little achievement in exchanges among separated families.

The abduction of civilians during the Korean War and their detention by North Korea constitute violation of Article 49 and 79 of Geneva Convention (IV), which prohibit forced transfer and detention of civilians. Moreover, North Korea's denial of the existence of Korean War POWs and its refusal to repatriate them violate Article 118 of Geneva Convention (III), which stipulates the release and return of POWs. Furthermore, the forced labor of Korean War POWs violates the right to humane treatment (Article 13), the right to appropriate work conditions (Article 51) and the right to not be used for labor that is harmful to health or dangerous (Article 52) in Geneva Convention (III), the right to not be forced into labor (Article 8), and the right to receive humane treatment when deprived of liberty (Article 10) in the ICCPR. The social discrimination against families of those who had fled to South Korea, Korean War POWs and their families, may violate Article 2 of the ICESCR and Article 2 of the ICCPR, which stipulate that discrimination is prohibited. Meanwhile, it has been found that there is a substantial number of victims of enforced disappearances

among these abductees.

Also in the 2019 survey, there were very few testimonies on the specific realities and human rights violations of families of those who had fled to South Korea, or abductees and Korean War POWs and their families. To make progress in resolving these issues, they should be handled separately from the political situation between South Korea and North Korea.

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